

Guiding Principles for ECR Processes

- **Analysis of Situation** – An assessment of the nature and domain of the conflict is conducted before embarking on a process.
- **Consensual Participation** – All necessary parties are willing and able to participate.
- **Balanced Representation** – All essential interests are represented in the process.
- **Managed, Constructive Interactions** – A structured process exists to facilitate productive and effective engagement.
- **Shared Responsibility for the Process and Agreement Outcomes** – All participants are committed to the process and are prepared to implement the resulting actions or agreements.
- **Consistency with Prevailing Laws and Regulations** – Processes in compliance with regulation e.g., FACA and substantive laws (CWA, ESA, NEPA).
- **Informed by Best Available Information** – All process participants have access to relevant, most up-to-date information available.

PRE-CONDITIONS FOR PARTICIPANTS, CONVENORS, & NEUTRALS

- Has an analysis of incentives, BATNA's occurred?
- Are all parties willing and able to participate?
- Are sufficient resources available (time, staff, funds)?
- Are deadlines known and reasonable?
- Awareness and understanding of regulatory & legal mandates?
- Agency mission, policies, and legislated parameters are clear?
- Are the appropriate levels of decision makers involved or delegated responsibilities?
- Is third party assistance needed?

ESSENTIAL ELEMENTS FOR AN EFFECTIVE ECR PROCESS

