

Appendix table 5-69

**Academic patenting and licensing activities: 1991–2003**

Activity indicator	1991 (98)	1992 (98)	1993 (117)	1994 (120)	1995 (127)	1996 (131)	1997 (132)	1998 (132)	1999 (139)	2000 (142)	2001 (139)	2002 (156)	2003 (165)
Millions of dollars													
Net royalties .....	na	na	195.0	217.4	239.1	290.1	391.1	517.3	583.0	1,012.0	753.9	868.9	866.8
Gross royalties.....	130.0	172.4	242.3	265.9	299.1	365.2	482.8	613.6	675.5	1,108.9 <sup>a</sup>	868.3	997.8	1,033.6
Royalties paid to others .....	na	na	19.5	20.8	25.6	28.6	36.2	36.7	34.5	32.7	41.0	38.8	65.5
Unreimbursed legal fees expended .....	19.3	22.2	27.8	27.7	34.4	46.5	55.5	59.6	58.0	64.2	73.4	90.1	101.3
New research funding from licenses <sup>b</sup> .....	na	na	na	106.3	112.5	155.7	136.2	126.9	149.0	184.0	225.7	212.8	212.8
Number													
Invention disclosures received .....	4,880	5,700	6,598	6,697	7,427	8,119	9,051	9,555	10,052	10,802	11,259	12,638	13,718
New U.S. patent applications filed.....	1,335	1,608	1,993	2,015	2,373	2,734	3,644	4,140	4,871	5,623	5,784	6,509	7,203
U.S. patents granted .....	na	na	1,307	1,596	1,550	1,776	2,239	2,681	3,079	3,272	3,179	3,109	3,450
Startup companies formed.....	na	na	na	175	169	184	258	279	275	368	402	364	348
Revenue-generating licenses/options.....	2,210	2,809	3,413	3,560	4,272	4,958	5,659	6,006	6,663	7,562	7,715	8,490	11,118
New licenses/options executed.....	1,079	1,461	1,737	2,049	2,142	2,209	2,707	3,078	3,295	3,569	3,300	3,660	3,855
Equity licenses/options .....	na	na	na	na	99	113	203	210	181	296	328	373	316
Percent <sup>c</sup>													
Sponsored research funds .....	65	68	75	76	78	81	82	83	82	86	84	87	87
Federal research funds .....	79	82	85	85	85	89	90	90	90	92	92	93	94

NA = not available

<sup>a</sup>Includes one-time payments of equity cash in and funds received from settlement of patent infringement suit.<sup>b</sup>Directly related to license or option agreement.<sup>c</sup>Of national academic total represented by number of institutions reporting.

NOTE: Number of institutions reporting given in parentheses.

SOURCE: Association of University Technology Managers, AUTM Licensing Survey (various years).

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