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EXECUTIVE SUMMARY

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22 *New Results and Findings*

23

24 This Report is concerned with temperature changes in the atmosphere, differences in these
25 changes at various levels in the atmosphere, and our understanding of the causes of these
26 changes and differences. Considerable progress has been made since the production of reports by
27 the National Research Council (NRC) and the Intergovernmental Panel on Climate Change
28 (IPCC) in 2000 and 2001. Data sets for the surface and from satellites and radiosondes
29 (temperature sensors on weather balloons) have been extended and improved, and new satellite
30 and radiosonde data sets have been developed¹. Many new model simulations of the climate of
31 the 20th century have been carried out using improved climate models¹ and better estimates of
32 past forcing changes, and numerous new and updated model/observed data comparisons have
33 been performed. The present Report reviews this progress. A summary of the main results is
34 presented first. Then, to address the issues in more detail, six questions that provide the basis for
35 the six main chapters in this Synthesis/Assessment Report are posed and answered.

36

37 The important new results presented in this Report include:

38

39

40 Global Average Temperatures

41

- 42 • Since the late 1950s, the start of the study period for this Report, the surface and troposphere
43 have warmed² substantially, while the stratosphere has cooled². These changes are in accord
44 with our understanding of the effects of radiative forcing agents and with model predictions.
- 45
- 46 • Since the late 1950s, the low and mid troposphere have warmed at a rate slightly faster than
47 the rate of warming at the surface.

48

49 • During the satellite era (1979 onwards), both the low and mid troposphere have warmed. The
50 majority of data sets show warming at the surface that is greater than in the troposphere.

51 Some data sets, however, show the opposite – tropospheric warming that is greater than that
52 at the surface.

53

54 • For global-mean temperature changes in the new climate model simulations, some show
55 more warming in the troposphere than at the surface, while a slightly smaller number of
56 simulations show the opposite behavior. Given the range of observed results and the range of
57 model results, there is no inconsistency between models and observations at the global scale.

58

59 • Studies to detect climate change and attribute its causes using patterns of observed
60 temperature change in space and time (rather than global averages) show clear evidence of
61 human influences on the climate system (due to changes in greenhouse gases, aerosols, and
62 stratospheric ozone).

63

64 • The observed patterns of change cannot be explained by natural processes alone, nor by the
65 effects of short-live species (such as aerosols and tropospheric ozone) alone.

66

67 Tropical Temperatures (20°S to 20°N)

68 • The majority of observed data sets show more warming at the surface than in the
69 troposphere, while some newer observed data sets show the opposite behavior. Almost all
70 model simulations show more warming in the troposphere than at the surface.

71

72 These results characterize important changes in our understanding of the details of temperature
73 changes at the surface and higher in the troposphere. In 2000 and 2001, the NRC and the IPCC
74 both concluded that global-mean surface temperature increases were larger and differed
75 significantly from temperature increases in the troposphere. The new and improved observed
76 data sets and new model simulations that have been developed require modifications of these
77 conclusions.

78

79 The crucial issue here is whether changes in the troposphere are greater or less than those at the
80 surface. Greater changes in the troposphere would mean that changes there are “amplified”
81 relative those at the surface. We use the short-hand notation “amplification” to refer to this
82 possibility. Studies of amplification in the tropics have considered changes on month-to-month,
83 year-to-year and decade-to-decade time scales.

84

85 At the global-mean level, observed changes from 1958 through 2004 exhibit amplification: i.e.,
86 they show greater warming trends in the troposphere compared with the surface. Since 1979,
87 however, the situation is different: most data sets show slightly greater warming at the surface.

88

89 Whether or not these results are in accord with expectations based on climate models is a
90 complex issue, one that we have been able to address more comprehensively now using new
91 model results. Over the period since 1979, the range of recent model simulations is almost
92 evenly divided among those that show greater global-mean warming at the surface and others

93 that show greater warming aloft. Given this range of results, there is no conflict between
94 observed changes and the results from climate models.

95

96 In the tropics, the agreement between models and observations depends on the time scale
97 considered. For month-to-month and year-to-year variations, models and observations both show
98 amplification (i.e., the month-to-month and year-to-year variations are larger aloft than at the
99 surface). The magnitude of this amplification is essentially the same in models and observations.

100 On decadal and longer time scales, however, while almost all model simulations show greater
101 warming aloft, most observations show greater warming at the surface.

102

103 These results have at least two possible explanations, which are not mutually exclusive. Either
104 amplification effects on short and long time scales are controlled by different physical
105 mechanisms, and models fail to capture such behavior; and/or remaining errors in some of the
106 observed tropospheric data sets adversely affect their long-term temperature trends. The second
107 explanation is judged more likely.

108

109 **1. How do we expect vertical temperature profiles to change?**

110 This Section considers the first question:

111 *Why do temperatures vary vertically (from the surface to the stratosphere) and what do we*
112 *understand about why they might vary and change over time?*

113

114 This is addressed in both Chapter 1 and Chapter 5 of this Report.

115

116 In response to this question, Chapter 1 notes the following ...

117

118 (1) Temperatures vary vertically.

119 The effects of solar heating of the surface of the planet combined with the physical properties of
120 the overlying air, lead to the highest temperatures, on average, occurring at the surface. Surface
121 heat is mixed vertically and horizontally, and this mixing, combined with the effects of various
122 physical processes, produces a decrease of temperature with height up to the tropopause
123 (marking the top of the troposphere, i.e., the lower 8 to 16 km of the atmosphere, depending on
124 latitude). Above this, the radiative properties of the air produce a warming with height through
125 the stratosphere (up to about 50 km).

126

127 (2) Temperature trends at the surface can be expected to be different from temperature trends
128 higher in the atmosphere because:

129 • The physical properties of the surface vary substantially according to location and
130 this produces strong horizontal variations in near-surface temperature. Above the
131 surface, these contrasts are quickly smoothed out so the patterns of change in the
132 troposphere must differ from those at the surface. Temperature trend variations with
133 height must, therefore, vary according to location.

134 • Changes in atmospheric circulation or modes of atmospheric variability (e.g., the El
135 Niño-Southern Oscillation [ENSO]) can produce different temperature trends at the
136 surface and aloft.

137 • Under some circumstances, temperatures may increase with height near the surface or
138 higher in the troposphere, producing a "temperature inversion." Such inversions are
139 more common at night, in winter over continents, and in the trade wind regions. Since

140 the air in inversion layers is resistant to vertical mixing, temperature trends can differ
141 between inversion layers and adjacent layers.

142 • Forcing factors, either natural or human-induced³, can result in differing temperature
143 trends at different levels in the atmosphere, and these vertical variations may change
144 over time.

145

146 As noted above, temperatures in the atmosphere vary naturally as a result of internal factors and
147 natural and human-induced perturbations (“forcings”³). These factors are expected to have
148 different effects on temperatures near the surface, in the troposphere, and in the stratosphere, as
149 summarized in Table 1. When all forcings are considered, we expect the troposphere to have
150 warmed and the stratosphere to have cooled since the late 1950s (and over the whole 20th
151 century). The relative changes in the troposphere and stratosphere provide information about the
152 causes of observed changes.

153

154 Table 1: Summary of the most important global-scale climate forcing factors and their likely individual effects on
 155 global, annual-mean temperatures; based on Figure 1.3 (which gives temperature information) and Table 1.1 (which
 156 gives information on radiative forcing) in Chapter 1, and literature cited in Chapter 1. The stated effects are those
 157 that would be expected if the change specified in column 1 were to occur. The top two rows are the primary natural
 158 forcing factors, while the other rows summarize the main human-induced forcing factors. The relative importance of
 159 these different factors varies spatially and over time.
 160
 161

| Forcing Factor | Surface | Low to Mid Troposphere | Stratosphere |
|---|---|-------------------------------|---------------------|
| Increased solar output | Warming | Warming | Warming |
| Volcanic eruptions | Cooling | Cooling | Short-term warming |
| Increased concentrations of well-mixed greenhouse gases (CO ₂ , CH ₄ , N ₂ O, halocarbons) | Warming | Warming | Cooling |
| Increased tropospheric ozone (O ₃) | Warming | Warming | Slight cooling |
| Decreased stratospheric ozone | Negligible except at high latitudes | Slight cooling | Cooling |
| Increased loading of sulfate (SO ₄) aerosol – sum of direct plus indirect effects | Cooling | Cooling | Negligible |
| Increased loading of carbonaceous aerosol (black carbon (BC) and organic matter (OM)) – sum of direct plus indirect effects | Regional cooling – possible global-mean cooling | Warming | Uncertain |
| Land use and land cover changes | Regional cooling or warming – probably slight global-mean cooling | Uncertain | Negligible |

162
 163

164 Within the troposphere, the relative changes in temperature at different levels are controlled by
165 different processes according to latitude. In the tropics, the primary control is the
166 thermodynamics of moist air (i.e., the effects of evaporation at the surface and the release of
167 latent heat through condensation that occurs in clouds as moist air rises due to convection), and
168 the way these effects are distributed and modified by the atmospheric circulation.
169 Thermodynamic principles require that temperature changes in the tropics will be larger in the
170 troposphere than near the surface (“amplification”), largely independent of the type of forcing. In
171 mid to high latitudes, the processes controlling how temperature changes in the vertical are more
172 complex, and it is possible for the surface to warm more than the troposphere. These issues are
173 addressed in Chapter 1 and Chapter 5.

174

175 **2. Strengths and limitations of the observational data**

176 The second question is:

177 *What kinds of atmospheric temperature variations can the current observing systems detect and*
178 *what are their strengths and limitations, both spatially and temporally?*

179

180 This is addressed in Chapter 2 of this Report. Chapter 2 draws the following main conclusions ...

181

182 (1) The observing systems available for this Report are able to detect small surface and upper air
183 temperature variations from year to year as well as trends⁴ in climate since the late 1950s (and
184 over the last century for surface observations), once the raw data are successfully adjusted for
185 changes over time in observing systems and practices, and micro-climate exposure.

186 Measurements from all systems require such adjustments. This Report relies solely on adjusted
187 data sets.

188

189 (2) Independently-performed adjustments to the land surface temperature record have been
190 sufficiently successful that trends given by different data sets are very similar on large (e.g.,
191 continental) scales. This conclusion holds to a lesser extent for the ocean surface record.

192

193 (3) Adjustments for changing instrumentation are most challenging for upper-air datasets. While
194 these show promise for trend analysis, it is not clear that current upper-air climate records have
195 achieved sufficient accuracy to resolve trend-related scientific questions.

- 196 • Upper-air datasets have been subjected to less scrutiny than surface datasets.
- 197 • Adjustments are complicated, large compared to the linear trend signal, involve
198 expert judgments, and cannot be stringently evaluated because of lack of traceable
199 standards.
- 200 • Unlike surface trends, reported upper-air trends vary considerably between research
201 teams beginning with the same raw data owing to their different decisions on how to
202 remove non-climatic factors.

203

204 Many different methods are used to measure temperature changes at the Earth's surface and at
205 various levels in the atmosphere. Near-surface temperatures have been measured for the longest
206 period, over a century, and are measured directly by thermometers. Over land, these data come
207 from fixed meteorological stations. Over the ocean, measurements are of both air temperature
208 and sea-surface (top 10 meters) temperature taken by ships or from buoys.

209

210 The next-longest records are upper-air data measured by radiosondes (temperature sensors
211 carried aloft by weather balloons). These have been collected routinely since 1958. There are still
212 substantial gaps in radiosonde coverage.

213

214 Satellite data have been collected for the upper air since 1979 with almost complete global
215 coverage. The most important satellite records come from Microwave Sounding Units (MSU) on
216 polar orbiting satellites. The microwave data from MSU instruments require calculations and
217 adjustments in order to be interpreted as temperatures. Furthermore, these satellite data do not
218 represent the temperature at a particular level, but, rather, the average temperature over thick
219 atmospheric layers (see Figure 2.2 in Chapter 2). Channel 2 data (mid to upper troposphere, T_2)
220 have a latitudinally-dependent contribution from the stratosphere, while Channel 4 data (lower
221 stratosphere, T_4) have a latitudinally-dependent contribution from the troposphere, factors that
222 complicate their interpretation.

223

224 All measurement systems have inherent uncertainties associated with: the instruments employed;
225 changes in instrumentation; and the way local measurements are combined to produce area
226 averages. All data sets require careful examination for instrument biases and reliability, and
227 adjustments to remove changes that might have arisen for non-climatic reasons. The term
228 “homogenization” is used to describe this adjustment procedure. Recent improvements in and
229 corrections to some of these adjustments have resulted in better agreement between data sets.

230

231

232 **3. What temperature changes have been observed?**

233 This Section combines information related to questions 3 and 4:

234 *What do observations indicate about the changes of temperature in the atmosphere and at*
235 *the surface since the advent of measuring temperatures vertically?*

236
237 *What is our understanding of the contribution made by observational or methodological*
238 *uncertainties to the previously reported vertical differences in temperature trends?*
239

240 These questions are addressed in Chapters 3 and 4 of this Report. The following conclusions are
241 drawn in these chapters. Supporting information is given in Figure 1 and Figure 2.

242

243 (1) Surface temperatures: For global-mean changes, as well as in the tropics (20°S to 20°N), all
244 data sets show warming at the surface since 1958, with a greater rate of increase since 1979.

245 Differences between the data sets are small.

246

247 • Global-mean temperature increased at about 0.12°C per decade since 1958, and about
248 0.16°C per decade since 1979. In the tropics, temperature increased at about 0.11°C per
249 decade since 1958, and about 0.13°C per decade since 1979.

250

251 • Local biases in surface temperatures may exist due to changes in station exposure and
252 instrumentation over land⁵, or changes in measurement techniques by ships and buoys in
253 the ocean. It is likely that these biases are largely random and therefore cancel out over
254 large regions such as the globe or tropics, the regions that are of primary interest to this
255 Report.

256

257 • Errors in observed surface/troposphere trend differences are more likely to come from
258 errors in tropospheric data than from errors in surface data.

259

260 (2) Tropospheric temperatures: All data sets show that the global-mean and tropical troposphere
261 has warmed from 1958 to the present, with the warming in the troposphere being slightly more
262 than at the surface. Since 1979, due to the considerable disagreements between tropospheric data
263 sets, it is not clear whether the troposphere has warmed more than or less than the surface.

264

- 265 • Global-mean tropospheric temperature increased at about 0.14°C per decade since 1958,
266 and between 0.10°C and 0.20°C per decade since 1979. In the tropics, temperature
267 increased at about 0.13°C per decade since 1958, and between 0.02°C and 0.19°C per
268 decade since 1979.

269

- 270 • It is very likely that trends in troposphere temperatures are affected by errors that remain
271 in the homogenized radiosonde data sets. Such errors arise because the methods used to
272 produce these data sets are only able to detect and remove the more obvious cases, and
273 involve many subjective decisions. The full consequences of these errors for large-area
274 averages, however, have not yet been fully resolved. Nevertheless, it is likely that a net
275 spurious cooling corrupts the area-averaged homogenized radiosonde data in the tropical
276 troposphere, causing these data to indicate less warming than has actually occurred there.

277

- 278 • For tropospheric satellite data, a primary cause of trend differences between different
279 versions is differences in how the data from different satellites are merged together.
280 Corrections required to account for drifting measurement times, and diurnal cycle
281 adjustments are also important.

282

- 283 • Comparisons between satellite and radiosonde temperatures for the mid to upper
284 tropospheric layer (MSU channel 2; T₂) are very likely to be corrupted by excessive
285 stratospheric cooling in the radiosonde data

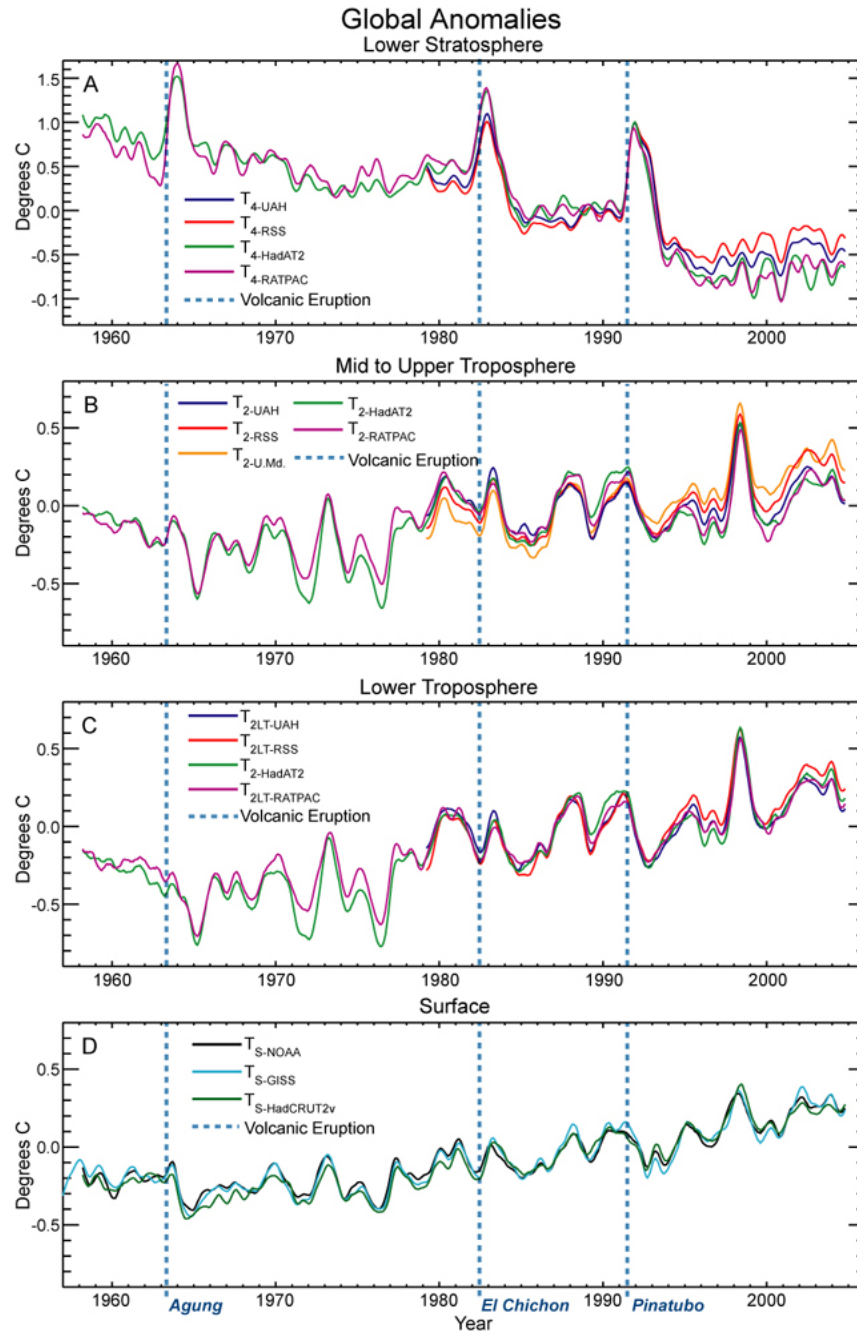
286

287 (3) Lower stratospheric temperatures: All data sets show that the stratosphere has cooled
288 considerably from 1958 and from 1979 to the present, although there are large differences in the
289 linear trend values from different data sets.

290

- 291 • The largest differences between data sets are in the stratosphere, particularly between the
292 radiosonde and satellite-based data sets. It is very likely that the satellite/radiosonde
293 discrepancy arises primarily from uncorrected errors in the radiosonde data.

294 Figure 1 shows the various temperature time series examined in this Report.



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Figure 1: Observed surface and upper air global-mean temperature records. From top to bottom: A, lower stratosphere (denoted T_4) records from two satellite analyses (UAH and RSS) together with equivalently-weighted radiosonde records based on HadAT2 and RATPAC data; B, mid- to upper-troposphere (T_2) records from three satellite analyses (UAH, RSS and U.Md.) together with equivalently-weighted radiosonde records based on HadAT2 and RATPAC; C, lower troposphere (T_{2LT}) records from UAH and RSS (satellite), and from HadAT2 and RATPAC (equivalently-weighted radiosonde); D, surface (T_S). All time series are based on monthly-mean data smoothed with a 7-month running average, expressed as departures from the Jan. 1979 to Dec. 1997 average. Note that the T_2 data (panel B) contain a small contribution (about 10%) from the lower stratosphere. Information here is from Figures 3.1, 3.2 and 3.3 in Chapter 3.

306 For the lower stratosphere, the cooling trend since the late 1950s (which is as expected due to the
307 effects of greenhouse-gas concentration increases and stratospheric ozone depletion) is
308 punctuated by short-term warming events associated with the explosive volcanic eruptions of Mt.
309 Agung (1963), El Chichón (1982) and Mt. Pinatubo (1991).

310

311 Both the troposphere and the surface show warming since the late 1950s. For the surface, most,
312 if not all of the temperature increase since 1958 occurs starting around the mid-1970s, a time
313 coincident with a previously identified climate regime shift. However, there does not appear to
314 be a strong jump up in temperature at this time; rather, the major part of the rise seems to occur
315 in a more gradual fashion. For the balloon-based tropospheric data, the major part of the
316 temperature increase since 1958 appears in the form of a rapid rise in the mid-1970s, apparently
317 in association with the climate regime shift that occurred at this time.

318

319 The dominant shorter time scale fluctuations are those associated with the El Niño-Southern
320 Oscillation phenomenon (ENSO). The major ENSO warming event in 1998 is obvious in all
321 records. Cooling following the eruptions of Mt. Agung and Mt. Pinatubo is also evident, but the
322 cooling effect of El Chichón is masked by an ENSO warming that occurred at the same time.

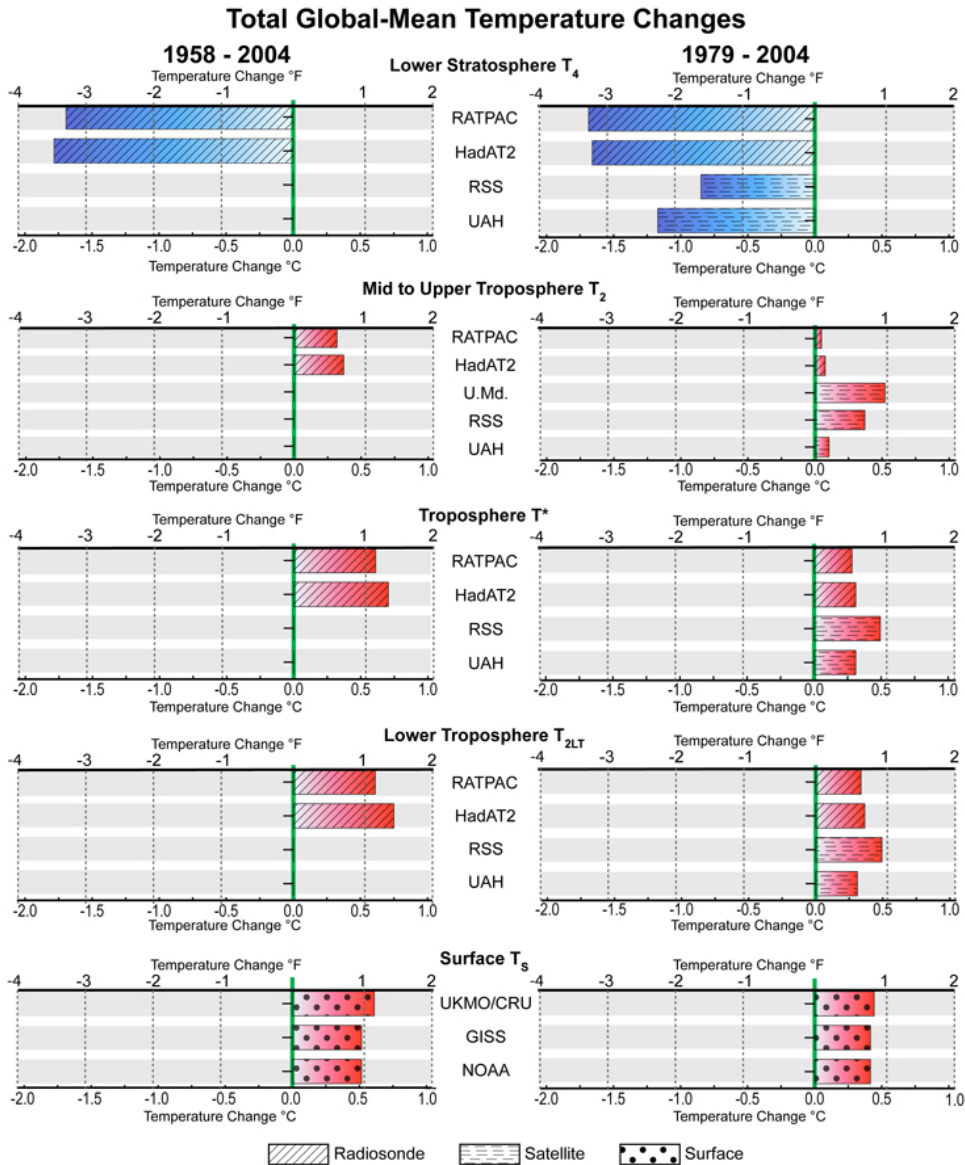
323 The changes following volcanic eruptions (i.e., surface and tropospheric cooling and
324 stratospheric warming) are consistent with our physical understanding and with model
325 simulations.

326

327 Global-mean temperature changes over the periods 1958 through 2004 and 1979 through 2004
328 are shown in Figure 2 in degrees Celsius and degrees Fahrenheit.

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Figure 2: Total global-mean temperature changes for the surface and different atmospheric layers, from different data sets and over two periods, 1958 to 2004 and 1979 to 2004. The values shown are the total change over the stated period in both degrees Celsius (degC; lower scales) and degrees Fahrenheit (degF; upper scales). All changes are statistically significant at the 5% level except RSS T_4 and RATPAC, HadAT2 and UAH T_2 . Total change in degC is the linear trend in degC per decade (see Tables 3.2 and 3.3 in Chapter 3) times the number of decades in the time period considered. Total change in degF is this number times 1.8 to convert to degF. For example, the Table 3.2 trend for NOAA surface temperatures over January 1958 through December 2004 is $0.11^{\circ}\text{C}/\text{decade}$. The total change is therefore 0.11 times 4.7 decades to give a total change of 0.53°C . Multiplying this by 1.8 gives a total change in degrees Fahrenheit of 0.93°F . Warming is shown in red, and cooling in blue.

342

343 **4. Are model simulations consistent with the observed temperature changes?**

344 Computer-based climate models encapsulate our understanding of the climate system and the
345 driving forces that lead to changes in climate. Such models are the only tools we have for
346 estimating the likely patterns of response of the climate system to different forcing mechanisms.

347 The crucial test of our understanding is to compare model simulations with observed changes.

348 The fifth question therefore is:

349 *How well can the observed vertical temperature changes be reconciled with our*
350 *understanding of the causes of these changes?*

351
352 This question is addressed in Chapter 5 of this Report. Chapter 5 draws the following

353 conclusions ...

354

355 **PATTERN STUDIES**

356

357 (1) Results from many different pattern-based “fingerprint”⁵ studies (see Box 5.5 in Chapter 5)
358 provide consistent evidence for human influences on the three-dimensional structure of
359 atmospheric temperature changes over the second half of the 20th century.

360

- 361 • Fingerprint studies have identified greenhouse gas and sulfate aerosol signals in observed
362 surface temperature records, a stratospheric ozone depletion signal in stratospheric
363 temperatures, and the combined effects of these forcing agents in the vertical structure of
364 atmospheric temperature changes.

365

366 (2) Natural factors have influenced surface and atmospheric temperatures, but cannot fully
367 explain their changes over the past 50 years.

368

369 LINEAR TREND COMPARISONS^{4,6}

370

371 (3) When models are run with natural and human-induced forcings, simulated global-mean
372 temperature trends for individual atmospheric layers are consistent with observations.

373

374 (4) Comparing trend differences between the surface and the troposphere exposes potential
375 model/observed data discrepancies in the tropics.

376

- 377 • In the tropics, the majority of observational data sets show more warming at the surface
378 than in the troposphere, while almost all model simulations have larger warming aloft
379 than at the surface.

380

381 AMPLIFICATION OF SURFACE WARMING IN THE TROPOSPHERE

382

383 (5) Amplification means that temperatures show larger changes aloft than at the surface.

384 In the tropics, on monthly and inter-annual time scales, both models and observations show

385 amplification of temperature variability in the troposphere relative to the surface. This

386 amplification is of similar magnitude in models and observations. For multi-decadal trends,

387 models show the same amplification that is seen on shorter time scales. A number of observed

388 data sets, however, do not show this amplification.

389

- 390 • These results have several possible explanations, which are not mutually exclusive. One
391 explanation is that “real world” amplification effects on short and long time scales are
392 controlled by different physical mechanisms, and models fail to capture such behavior. A
393 second explanation is that remaining errors in some of the observed tropospheric data sets
394 adversely affect their long-term temperature trends. The second explanation is more
395 likely in view of the model-to-model consistency of amplification results, the large
396 uncertainties in observed tropospheric temperature trends, and independent physical
397 evidence supporting substantial tropospheric warming.

398

399 OTHER FINDINGS

400

- 401 (6) Because of differences between different observed data sets, it is important to account for
402 observational uncertainty in comparisons between modeled and observed temperature changes.

403

- 404 • Large “construction” uncertainties in observed estimates of global-scale atmospheric
405 temperature change can critically influence the outcome of consistency tests between
406 models and observations.

407

- 408 (7) Inclusion of previously-ignored spatially-heterogeneous forcings in the most recent climate
409 models does not fundamentally alter conclusions about the amplification of warming in the
410 troposphere relative to the surface.

411

412 • Changes in sulfate aerosols and tropospheric ozone, which have spatially-heterogeneous
413 forcings, have been incorporated routinely in climate model experiments for a number of
414 years. It has been suggested that the spatially-heterogeneous forcing effects of black
415 carbon aerosols and land use/land cover may have had significant effects on regional
416 temperatures that might modify previous conclusions regarding vertical temperature
417 changes. These forcings have been included for the first time in about half of the global
418 model simulations considered here. Within statistical uncertainties, model simulations
419 that include these forcings show the same amplification of warming in the troposphere
420 relative to the surface at very large spatial scales (global and tropical averages) as
421 simulations in which these forcings are neglected.

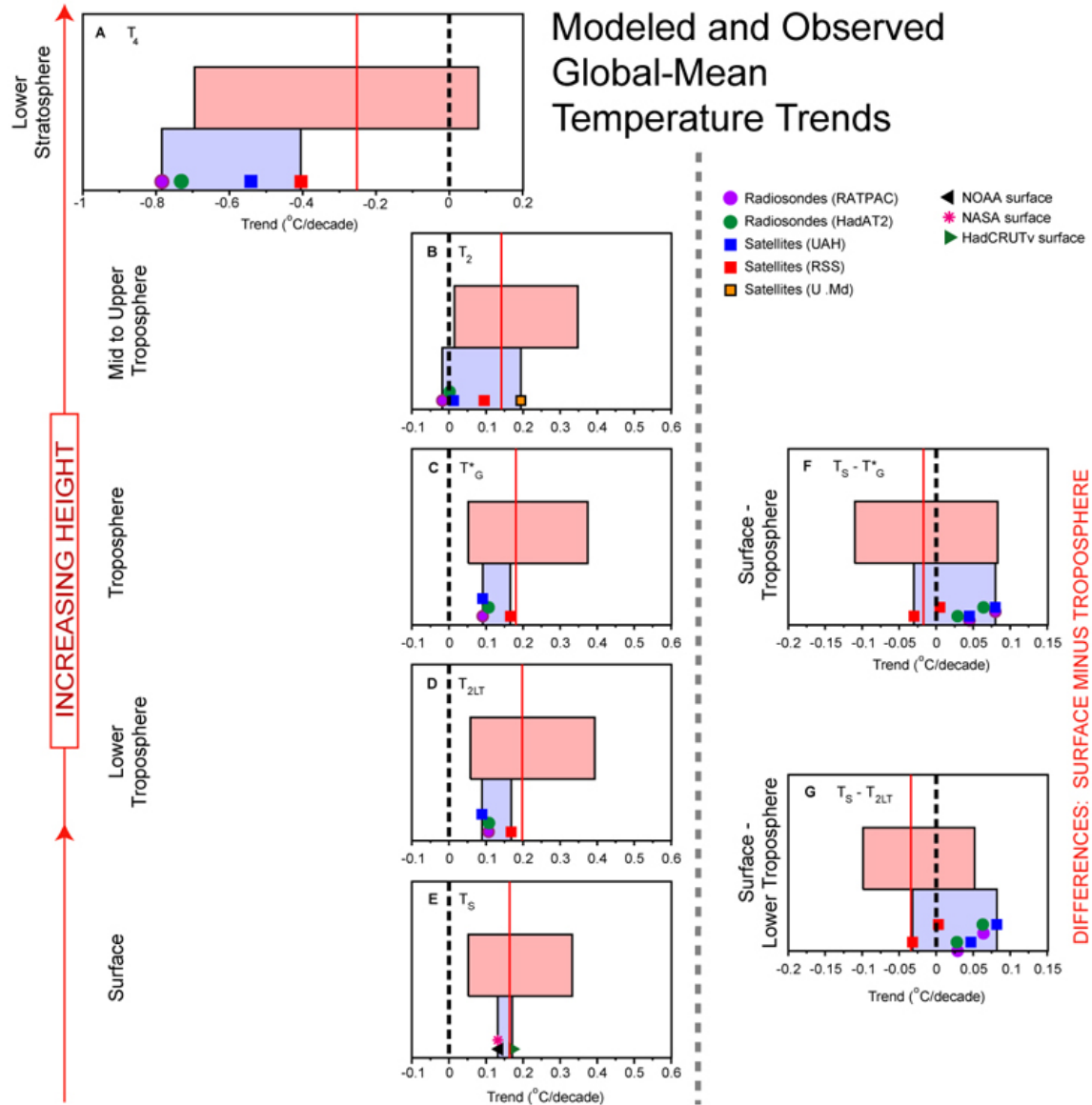
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423 Chapter 5 analyses state-of-the-art model simulations from 19 institutions globally, run using
424 combinations of the most important natural and human-induced forcings. The Chapter compares
425 the results of these simulations with a number of different observational data sets for the surface
426 and different atmospheric layers, resulting in a large number of possible model/observed data
427 comparisons.

428

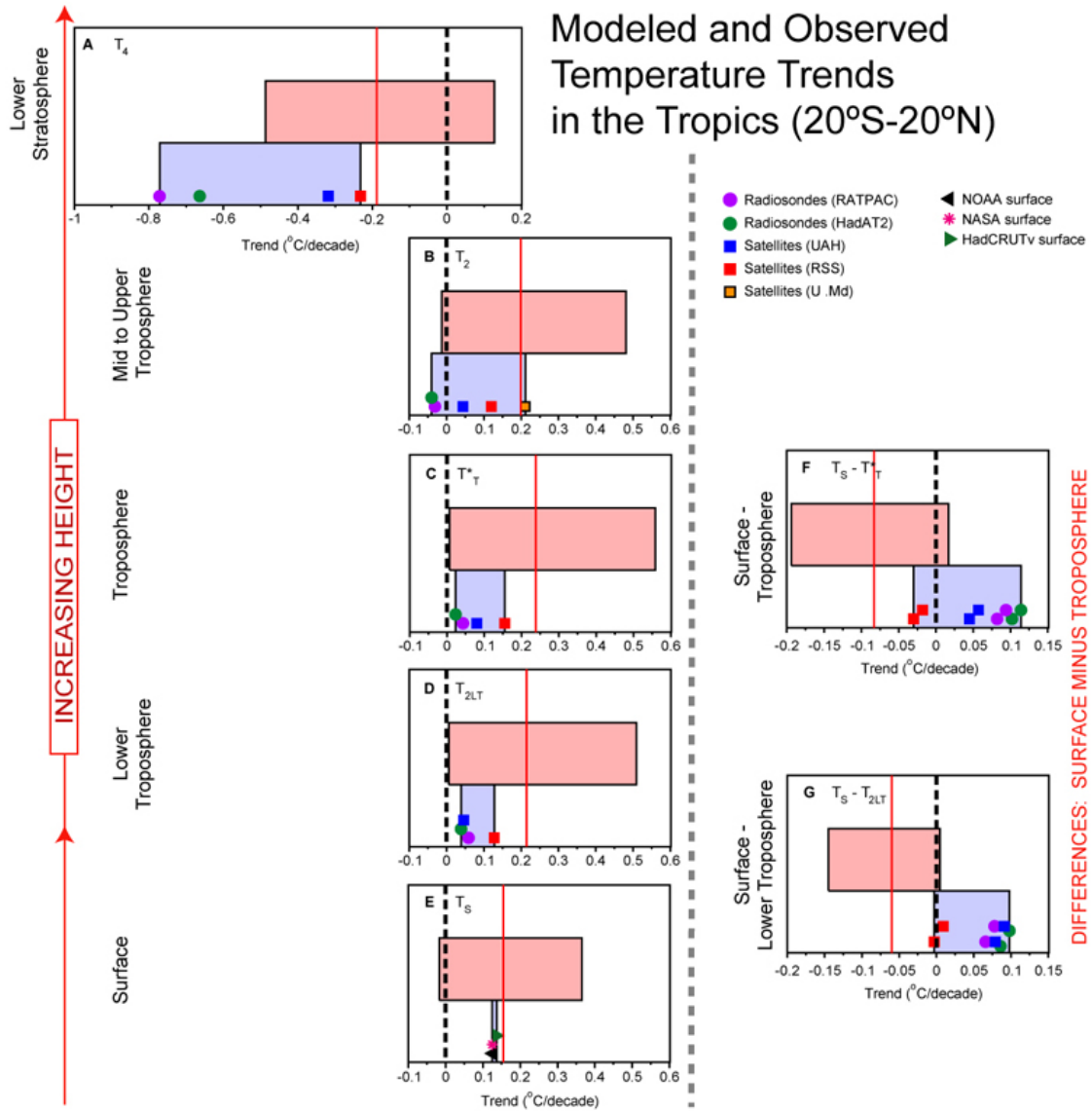
429 Figures 3 and 4 summarize the new model results used in this Report, together with the
430 corresponding observations. Figure 3 gives global-mean results, while Figure 4 gives results for
431 the tropics (20°S to 20°N). Model and observed results are compared in these Figures using
432 linear trends over the period January 1979 through December 1999⁷ for the surface, for
433 individual layers, and (right-hand panels) for surface changes relative to the troposphere.

434 Rectangles are used to illustrate the ranges of both model trends (red rectangles) and observed
435 trends (blue rectangles). Individual observed-data trends are also shown.
436
437 Since statistical uncertainties (see Appendix) are not shown in these Figures, the rectangles do
438 not represent the full ranges of uncertainty. However, they allow a meaningful first-order
439 assessment of model/observed similarities and differences. Fully overlapping rectangles indicate
440 consistency, partially overlapping rectangles point to possible discrepancies, while rectangles
441 that either do not overlap or show minimal overlap indicate important model/observed data
442 inconsistencies. At the global-mean level, models and observations generally show fully
443 overlapping rectangles. The only potentially serious inconsistency is in the tropics (Figure 4)
444 where the troposphere warms more rapidly than the surface in all except two of the 49 individual
445 model simulations examined here, while, in the majority of observational data sets, the surface
446 has warmed more rapidly than the troposphere.



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Figure 3: Comparison of observed and model-simulated global-mean temperature trends (left-hand panels) and trend differences (right-hand panels) over January 1979 through December 1999, based on Table 5.4A and Figure 5.3 in Chapter 5. The upper red rectangles in each box show the range of model trends from 49 model simulations. The lower blue rectangles show the range of observed trends, with the individual trends from different data sets indicated by the symbols. From bottom to top, the left-hand panels show trends for the surface (T_S), the lower troposphere (T_{2LT}), the troposphere (T^*), the mid troposphere to lower stratosphere (T_2), and the lower stratosphere (T_4). The right-hand panels show differences in trends between the surface and either the troposphere or the lower troposphere, with a positive value indicating a stronger warming at the surface. The red vertical lines show the average of all model results. The vertical black dashed lines show the zero value. For the observed trend differences, there are eight values corresponding to combinations of the four upper-air data sets (as indicated by the symbols) and either the HadCRUT2v surface data or the NASA/NOAA surface data (which have almost identical trends).



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Figure 4: As Figure 3, but for the tropics (20°S to 20°N), based on Table 5.4B and Figure 5.4 in Chapter 5.

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470 **5. Recommendations**

471 This Section addresses question 6:

472 *What measures can be taken to improve the understanding of observed changes?*

473

474 In answer to this question, drawing on the material presented in the first five chapters of this

475 Report, a set of primary recommendations has been developed and is described in detail in

476 Chapter 6. It should be noted that, rather than invent new proposals or recommendations, the

477 items described in Chapter 6 expand and build upon existing ideas, emphasizing those that are

478 considered to be of highest utility. The seven recommendations are:

479

480 (1) In order to encourage further independent scrutiny, data sets and their full metadata (i.e.,

481 information about instrumentation used, observing practices, the environmental context of

482 observations, and data-processing procedures) should be made openly available. Comprehensive

483 analyses should be carried out to ascertain the causes of remaining differences between data sets

484 and to refine uncertainty estimates.

485

486 (2) Efforts should be made to archive and make openly available surface, balloon-based, and

487 satellite data and metadata that have not previously been exploited. Emphasis should be placed

488 on the tropics.

489

490 (3) Efforts should be made to create climate quality data sets⁸ for a range of variables other than

491 temperature. These data sets should subsequently be compared with each other and with

492 temperature data to determine whether they are consistent with our physical understanding.

493

494 (4) Efforts should be made to create several homogeneous atmospheric reanalyses⁹. Particular
495 care needs to be taken to identify and homogenize critical input data. Identification of critical
496 data requires, in turn, observing system experiments where the impacts and relative importance
497 of different observation types from land, radiosonde, and space-based observations are assessed.

498

499 (5) Models that appear to include the same forcings often differ in both the way the forcings are
500 quantified and how these forcings are applied to the model. Hence, efforts are required to
501 separate more formally uncertainties arising from model structure from the effects of forcing
502 uncertainties. This requires running multiple models with standardized forcings, and running the
503 same models individually under a range of plausible scenarios for each forcing.

504

505 (6) The GCOS (Global Climate Observing System) climate monitoring principles should be fully
506 adopted. In particular, when any particular type of instrument is changed or re-sited, there should
507 be a period of overlap between old and new instruments or configurations that is sufficient to
508 allow analysts to adjust for the change with small uncertainties that do not prejudice the analysis
509 of climate trends. The minimum period is a full annual cycle of the climate.

510

511 (7) A small subset (about 5%) of the operational radiosonde network should be developed and
512 implemented as reference sites for all kinds of climate data from the surface to the stratosphere.

513

514

515 **Footnotes**

516

517 ¹ For details of new observed data see Table 3.1 in Chapter 3. For details of new models and
518 model simulations see Chapter 5 and <http://www-pcmdi.llnl.gov/ipcc/model.documentation>.

519

520 ² We use the words “warming” and “cooling” here to refer to temperature increases or decreases,
521 as is common usage. Technically, these words refer to changes in heat content, which may occur
522 through changes in either the moisture content and/or the temperature of the atmosphere. When
523 we say that the atmosphere has warmed (or cooled) over a given period, this means that there has
524 been an overall positive (or negative) temperature change based on a linear trend analysis.

525

526 ³ The main natural perturbations are changes in solar output and the effects of explosive volcanic
527 eruptions. The main human-induced (“anthropogenic”) factors are: the emissions of greenhouse
528 gases (e.g., carbon dioxide [CO₂], methane [CH₄], nitrous oxide [N₂O]); aerosols (tiny droplets
529 or particles such as smoke) and the gases that lead to aerosol formation (most importantly, sulfur
530 dioxide); and changes in land cover and land use. Since these perturbations act to drive or
531 “force” changes in climate, they are referred to as “forcings”. Tropospheric ozone [O₃], which is
532 not emitted directly, is also an important greenhouse gas. Tropospheric ozone changes occur
533 through the emissions of gases like carbon monoxide, nitrogen oxides and volatile organic
534 compounds, which are not important directly as greenhouse gases.

535

536 ⁴ Many of the results in this Report (and here in the Executive Summary) are quantified in terms
537 of linear trends, i.e., by the value of the slope of a straight line that is fitted to the data. A simple
538 straight line is not always the best way to describe temperature data, so a linear trend value may
539 be deceptive if the trend number is given in isolation, removed from the original data.
540 Nevertheless, used appropriately, linear trends provide the simplest and most convenient way to
541 describe the overall change over time in a data set, and are widely used. For a more detailed
542 discussion, see the Appendix.

543

544

545 ⁵ Some have expressed concern that land temperature data might be biased due to urbanization
546 effects. Recent studies specifically designed to identify systematic problems using a range of
547 approaches have found no detectable urban influence in large-area averages in the data sets that
548 have been “homogenized” (i.e., adjusted to remove non-climatic influences).

549

550 ⁶ Fingerprint studies use rigorous statistical methods to compare the patterns of observed
551 temperature changes with model expectations and determine whether or not similarities could
552 have occurred by chance. Linear trend comparisons are less powerful than fingerprint analyses
553 for studying cause-effect relationships, but can highlight important differences and similarities
554 between models and observations.

555

556 ⁷ This is the longest period common to all model simulations.

557

558 ⁸ Climate quality data sets are those where the best possible efforts have been made to identify
559 and remove non-climatic effects that might produce spurious changes over time.

560

561 ⁹ Reanalyses are mathematically blended products based upon as many observing systems as
562 practical. Observations are assimilated into a global weather forecasting model to produce
563 globally-comprehensive data sets that are most consistent with both the available data and the
564 assimilation model.

565