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Chapter 4

What is our understanding of the contribution made by observational or methodological uncertainties to the previously reported vertical differences in temperature trends?

Convening Lead Author: Carl Mears

Lead Authors: Chris Forest, Roy Spencer, Russell Vose, and Dick Reynolds

Contributing Authors

Peter Thorne and John Christy

46 **Chapter 4: Key Findings**

47

48 *Surface*

49

50 **It is likely that errors in the homogenized surface air temperature data do not**
51 **contribute substantially to the large-scale differences between trends for**
52 **different levels because these errors are very likely to be smaller than those for**
53 **the upper air data.**

54 • Systematic local biases in surface trends may exist due to changes in station
55 exposure or instrumentation over land, and due to the small number of
56 measurements over a number of regions of the earth, including parts of the
57 oceans, sea ice areas, and some land areas. Such biases have been
58 documented at the local and regional scale, but no such effect has been
59 identified in the zonal and global averages presented in this report. On large
60 spatial scales, sampling studies suggest that these local biases in trends are
61 likely to mostly cancel through the use of many observations with differing
62 instrumentation.

63 • Since all known bias adjustments have not yet been applied to sea surface
64 temperature data, it is likely that errors remain in these data, though it is
65 generally agreed that these errors are likely to be small compared to errors in
66 radiosonde and satellite measurements of the upper air, especially for the
67 satellite era.

68

69 ***Troposphere***

70 **While all datasets indicate that the troposphere has warmed over both the**
71 **radiosonde era and the satellite era, uncertainties in the tropospheric data**
72 **make it difficult to determine whether the troposphere has warmed more than**
73 **or less than the surface. Some tropospheric datasets indicate that the**
74 **troposphere has warmed more than the surface, while others indicate the**
75 **opposite.**

- 76 • It is very likely that errors remain in the homogenized radiosonde datasets in
77 the troposphere since the methods used to produce them are only able to
78 detect and remove the more obvious errors, and involve many subjective
79 decisions. It is likely that a net spurious cooling corrupts the area-averaged
80 homogenized radiosonde data in the tropical troposphere in at least one and
81 probably both of the datasets, causing the data to indicate less warming than
82 has actually occurred.
- 83 • For tropospheric satellite data (T_2 and T_{2LT}), the primary cause of trend
84 discrepancies between different versions of the datasets is differences in
85 how the data from the different satellites are merged together.
- 86 • A secondary contribution to the differences between these datasets is the
87 difference between the diurnal adjustments that are used to account for
88 drifting measurement times. These differences in the diurnal adjustment are
89 more important for regional trends than for global trends, though regional
90 trend differences are also partly influenced by differences in merging
91 methods.

- 92 • Each tropospheric satellite dataset has strengths and weaknesses that are
93 coming into better focus. Improvements have occurred in several datasets
94 even during the drafting of this report, each moving it closer to the others,
95 suggesting that further convergence in the not-too-distant future is a strong
96 possibility.
- 97 • Comparisons between radiosonde data and satellite data for T_2 are very
98 likely to be corrupted by the excessive cooling in the radiosonde data from
99 the stratosphere which are used to help construct the radiosonde-derived T_2
100 data. Trend discrepancies between radiosonde and satellite datasets are
101 reduced by considering a multi-channel retrieval that estimates and removes
102 the stratospheric influence (T^*_G).

103

104 ***Stratosphere***

105 **Despite their large discrepancies, all datasets indicate that the stratosphere has**
106 **cooled considerably over both the radiosonde era and the satellite era.**

- 107 • The largest discrepancies between datasets are in the stratosphere,
108 particularly between the radiosonde and satellite-based datasets. It is very
109 likely that the satellite-sonde discrepancy arises primarily from uncorrected
110 errors in the radiosonde data.
- 111 • There are also substantial discrepancies between the satellite datasets in the
112 stratosphere, indicating that there remain unresolved issues with these
113 datasets as well.

114

115 Chapter 4 recommendations

116

117 *All of the surface and atmospheric temperature datasets used in this report require*
118 *ongoing assessment to further quantify uncertainty and to identify and remove any*
119 *possible systematic biases that remain after the appropriate homogenization methods*
120 *have been applied.*

121

122 • *The diurnal cycles in both atmospheric and surface temperature need to be*
123 *accurately determined and validated to reduce uncertainties in the satellite data*
124 *due to the diurnal adjustment. Possible approaches include examining more*
125 *model or reanalysis data to check the diurnal adjustments currently in use,*
126 *concerted in situ measurement campaigns at a number of representative*
127 *locations, or operating a satellite-borne sounder in a non sun-synchronous orbit.*
128 *Information about the surface skin temperature diurnal cycle may be obtained by*
129 *studying data from existing satellites, or the upcoming Global Precipitation*
130 *Mission.*

131 • *The relative merits of different merging methods for satellite data for all relevant*
132 *layers need to be diagnosed in detail. Possible approaches include comparison*
133 *with other temperature data sources (radiosondes or IR satellites) over limited*
134 *time periods where the discrepancies between the satellite results are the greatest,*
135 *comparison with other ancillary data sources such as winds and integrated water*
136 *vapor, and comparison of trends on regional spatial scales, particularly in*
137 *regions where trends are large or well characterized by radiosonde data.*

- 138 • *The methods used to remove radiosonde inhomogeneities and their effects on*
139 *trends need to be rigorously studied. The detailed intercomparisons of the*
140 *methods used by different groups to construct satellite based climate records has*
141 *been beneficial to our understanding of these products, and similar parallel*
142 *efforts to create climate records from radiosonde data would be likely to provide*
143 *similar benefits.*
- 144 • *Possible errors in trends in spatially averaged surface temperature need to be*
145 *assessed further. On land these errors may arise from local errors due to*
146 *changes in instrumentation or local environment that do not completely cancel*
147 *when spatial averaging is performed. Over the ocean, these errors may arise*
148 *from the small number of samples available in many regions, and long-term*
149 *changes in measurement methods. For historical data, these assessments may*
150 *benefit from the recovery of additional metadata to better characterize possible*
151 *non-climatic signals.*
- 152 • *Tools and methods need to be developed to help reduce structural uncertainty by*
153 *providing methods to objectively differentiate between different datasets and*
154 *construction methods. To the extent possible, such tools should be based on*
155 *generally accepted physical principles, such as consistency of the temperature*
156 *changes at adjacent levels in the atmosphere, include physically-based*
157 *comparisons with external ancillary data, and take account of the consistency of*
158 *intermediate data generated while producing the datasets.*

159

160 **1. Background**

161

162 In the previous chapter, we have discussed a number of estimates of vertically resolved
163 global temperature trends. Different sources of data (e.g., surface measurements, vertical
164 profiles from radiosondes, and data from satellite borne sounding radiometers), as well as
165 different analysis methods applied to the same data, can yield long term (multi-decadal)
166 temperature trends that differ by as much as several tenths of a °C per decade. This is of
167 comparable magnitude to the actual climate change signal being searched for. In this
168 chapter we discuss these discrepancies in light of the observing system capabilities and
169 limitations described in Chapter 2. We note the degree to which estimates of uncertainty
170 can account for the differences in reported values for the temperature trends in given
171 layers, and differences in the trends of adjacent layers. Most of the time our focus will be
172 on the period from 1979-2004, during which atmospheric temperatures were observed
173 using multiple observing systems.

174

175 We begin our discussion in the stratosphere, and move to successively lower layers until
176 we reach the Earth's surface. We proceed in this order because the largest discrepancies
177 in trends between data sources occur in upper atmospheric layers, especially the
178 stratosphere. As mentioned in Box 2.2, when satellite-equivalent measures are made from
179 vertically resolved radiosonde data to facilitate comparisons between the two systems,
180 large stratospheric errors can significantly influence measures centered much lower in the
181 atmosphere.

182

183 **2. Uncertainty in stratospheric temperature trends**

184

185 Long-term observations of the stratosphere have been made by two observing systems:
186 radiosondes and satellite-borne sounders. On both the global and the zonally averaged
187 scale, there is considerably less variation between datasets derived from the same type of
188 observing system for this layer than between those from different observing systems.
189 This can be seen in the leftmost panel of Figure 3.5, which shows the zonally averaged
190 trends over the satellite era (1979-2004) for two radiosonde-based datasets, and two
191 satellite-based datasets. The radiosonde data ($T_{4\text{-HadAT2}}$ and $T_{4\text{-NOAA}}$) show more cooling
192 than datasets based on satellite data ($T_{4\text{-UAH}}$ and $T_{4\text{-RSS}}$), and also do not show the reduced
193 cooling in the tropics relative to the mid-latitudes that is seen in the satellite data.

194

195 *2.1 Radiosonde Uncertainty*

196 As discussed in Chapter 2, radiosonde data are plagued by numerous spurious
197 discontinuities in measured temperature that must be detected and removed in order to
198 construct a homogenized long-term record of atmospheric temperature, a task that is
199 particularly difficult in the absence of reliable metadata describing changes in
200 instrumentation or observing practice. A number of physical sources of such
201 discontinuities have larger effects in the stratosphere. The lower atmospheric pressure in
202 the stratosphere leads to reduced thermal contact between the air and the temperature
203 sensor in the radiosonde package. This in turn leads to increased errors due to daytime
204 solar heating and lags between the real atmospheric temperature and the sensor response
205 as the instrument rises through atmospheric layers with rapidly varying temperatures.

206 Such systematic errors are not important for trend studies provided that they do not
207 change over the time period being studied. In practice, as noted in Chapter 2, radiosonde
208 design, observing practices, and procedures used to attempt to correct for radiation and
209 lag errors have all changed over time.

210

211 Past attempts to make adjustments to radiosonde data using detailed physical models of
212 the instruments (Luers and Eskridge, 1998) improved data homogeneity in the
213 stratosphere, but not in the troposphere (Durre et al., 2002). Since it is important to use
214 the same methods for all radiosonde levels for consistency, scientists have tended to
215 instead use empirical methods to deduce the presence and magnitude of any suspected
216 discontinuity. Both of the homogenized radiosonde datasets used in this report make
217 these estimates using retrospective statistical analyses of the radiosonde data without
218 input from other measurements. The investigators who constructed these datasets have
219 attempted to identify and to adjust for the effects of suspected change points, either by
220 examination of station time series in isolation (NOAA), or by comparison with nearby
221 stations (UK). Both approaches can most successfully identify changes that are large and
222 step-like. While based in statistics, both these methods also include significant subjective
223 components. As a result, different investigators with nominally the same sets of
224 radiosonde data can calculate different trend estimates because of differences in
225 adjustment procedures (Free et al., 2002). The lack of sensitivity to small or gradual
226 changes may bias the resulting homogenized products if such changes are numerous and

227 predominantly of one sign or the other¹. The relative frequency of large step-like changes
228 and smaller changes that may be statistically indistinguishable from natural variability
229 remains an open question.

230

231 Since the adjustments needed to remove the resulting discontinuities tend to be larger for
232 the stratosphere than for lower levels (Parker et al., 1997; Christy et al., 2003; Lanzante
233 et al., 2003), the uncertainty associated with the homogenization procedures is very likely
234 to be larger in the stratosphere than at lower levels, as has been shown for the UK
235 radiosonde dataset (Thorne et al., 2005). The best estimate of the size of this source of
236 uncertainty is obtained by comparing the statistics (e.g., the trends) from the two adjusted
237 radiosonde datasets that are currently available. However, the UK group analysis is partly
238 based upon the NOAA dataset, so we may be under-estimating the uncertainty. Only
239 through increasing the number of independently produced datasets under different
240 working assumptions can we truly constrain the uncertainty (Thorne et al., 2005).

241

242 Differences in trends between daytime and nighttime observations in the uncorrected
243 radiosonde data used in constructing the NOAA and UK radiosonde datasets, suggest that
244 the biases caused by solar heating² have been reduced over time, leading to a spurious
245 cooling trend in the raw daytime data (Sherwood et al., 2005). Many of the changes in

¹ It is speculated that gradual changes could result from the same changes in instrumentation or practices that cause the step like changes, provided that these changes are implemented gradually (Lanzante et al., 2003).

² For some types of radiosondes, radiation adjustments based on information provided by the manufacturer are made as part of routine processing of radiosonde data by the observing station. The findings cited here refer to data that has already had these corrections performed. The reduction in daytime biases is likely to be due to a combination of improvements in instrument design, and improvements in the radiation adjustment procedure.

246 observing practice will affect both day and night time observations; e.g., a change in
247 practice may yield a spurious 0.5°C daytime cooling and 0.4°C night time cooling, so
248 day-night differences cannot be used in isolation to correct the observations. Whether the
249 NOAA and UK methods have successfully removed day-night and other effects, or if
250 sufficiently targeted are capable of doing so, is a matter for ongoing research. Randel and
251 Wu (2005) have shown for a subset of tropical stations in the NOAA dataset, there is
252 strong evidence for step-like residual cooling biases following homogenization, which
253 will cause a spurious cooling in the tropical area-averaged NOAA time series considered
254 here. They find that the effect is not limited to daytime launches, as would be expected
255 from discussions above, and that it is likely to affect at least the upper-troposphere as
256 well as the stratosphere. Finally, the balloons that carry the instruments aloft have
257 improved over time, so they are less likely to burst at high altitudes or in extreme cold.
258 This could also lead to a warm sampling bias within the stratosphere in early radiosondes
259 which has gradually ameliorated with time, introducing a spurious stratospheric cooling
260 signal (Parker et al., 1997). Taken together these results imply that any residual
261 systematic errors in the homogenized radiosonde products will likely lead to a spurious
262 cooling bias.

263

264 Since the radiosonde stations selected for inclusion in the homogenized datasets do not
265 cover the entire globe, there can be a bias introduced in to the global mean trend
266 depending on the locations of the chosen stations. On a global scale, this bias has been
267 estimated to be less than 0.02°C/decade for T_4 by sub-sampling globally complete

268 satellite or reanalysis datasets at the station locations³, and thus it is not an important
269 cause of the differences between the datasets on large spatial scales (Free and Seidel,
270 2004). Though they have not been explicitly calculated, sampling errors are likely to be
271 more important for the zonal radiosonde trends plotted in Figure 3.5, and may account for
272 some of the zone-to-zone variability seen in the radiosonde data in that figure that is not
273 duplicated in the smoother satellite data. The sampling effects also permeate in the
274 vertical – above 100hPa there is a significant reduction in the number of valid
275 measurements whereas below this level the number of measurements is relatively stable.
276 Because the trends vary with height, this can lead to errors, particularly when calculating
277 satellite-equivalent measures.

278

279 *2.2 Satellite Uncertainty*

280

281 The two satellite-based stratospheric datasets (T_{4-UAH} and T_{4-RSS}) have received
282 considerably less attention than their tropospheric counterparts (see section 4.3 below),
283 though they differ in estimated trend by roughly the same absolute amount
284 ($\sim 0.1^{\circ}\text{C}/\text{decade}$) as the corresponding tropospheric datasets produced by the same
285 institutions. However the importance of the differences is perceived to be much less
286 because the trend is much larger (a cooling over 1979-2004 of approximately 0.8°C). A
287 detailed comparison of the methods used to construct the two datasets has not yet been
288 performed. Despite the lack of such a study, it is very likely that in the stratosphere, like
289 the troposphere (discussed in section 4.3), structural uncertainty is the most important

³ This estimate is valid for the NOAA dataset and a previous version of the UK dataset. The estimated bias increases to about 0.05K for a tropical average. In the cited work the tropics were defined to be 30S to 30N – we would expect the sampled error to be a few hundredths of a degree per decade larger for the 20S to 20N definition of the tropics used in this report.

290 source of uncertainty. Two important types of structural uncertainty are likely to
291 dominate: those associated with the method of correcting for drifts in diurnal sampling
292 time, and those associated with the method of correcting calibration drifts associated with
293 the temperature of the hot calibration target. Section 3 discusses how these uncertainty
294 sources are treated in the troposphere.

295

296 Despite unresolved problems in the satellite datasets, the similarity of the satellite
297 measurement and homogenization methods suggest that the satellite measurements of the
298 stratosphere are no more uncertain than those of the mid-troposphere, where satellites and
299 radiosondes are in much closer agreement. This assessment, coupled with the evidence
300 presented above that residual artificial cooling is likely to exist in the stratospheric
301 radiosonde data, particularly in the tropics, implies that the discrepancy between
302 radiosondes and satellite estimates of stratospheric trends (see Table 3.3) during the
303 satellite era is very likely to be mostly due to uncorrected biases in the radiosonde
304 measurements.

305

306 **3. Uncertainty in tropospheric trends**

307 In contrast to the stratosphere, differences in reported tropospheric trends from the same
308 type of measurement are as large as or larger than differences in trends reported from
309 different data sources. This can be seen in Figure 3.5 and Tables 3.3 and 3.4. Also note
310 that the radiosonde data for the two tropospheric layers show the same general north-
311 south pattern (i.e. more temperature increase in the mid-latitudes than at the poles or in
312 the tropics) as the satellite data, in contrast to the stratospheric results.

313

314 *3.1 Radiosonde uncertainty*

315 The main sources of error in tropospheric radiosonde trends are similar to those
316 encountered in the stratosphere. The challenge is to assess to what extent these types of
317 errors, which in the stratosphere likely result in artificial cooling even in homogenized
318 datasets, extend down into the troposphere. Another important issue is that when
319 performing calculations to directly compare radiosonde data with satellite trends for the
320 T₂ layer, the contribution of errors in the stratospheric trends to the results for this layer
321 become important, since 10% to 15% of the weight for this layer comes from the
322 stratosphere.

323

324 *3.1.1 Removing non-climatic influences.*

325 There are several pieces of evidence that suggest that any residual bias in tropospheric
326 radiosonde data will be towards a cooling. First, the more obvious step-like
327 inhomogeneities that have been found tend to predominantly introduce spurious cooling
328 into the raw time series, especially in the tropics. This suggests that any undetected
329 change points may also favor spurious cooling (Lanzante et al., 2003). Second, solar-
330 heating-induced errors, while largest in the stratosphere have been found to bias daytime
331 measurements to higher temperatures at all levels, particularly in the tropics. Periodic
332 radiosonde intercomparisons (most recently at Mauritius in Feb. 2005) undertaken under
333 the auspices of WMO imply that the magnitude of these errors has been reduced over
334 time, and that radiosondes from independent manufacturers have become increasingly

335 similar (and presumably more accurate) over time⁴ (Silveira et al., 2003; Pathack et al.,
336 2005). If these effects have on average been uncorrected by the statistical procedures
337 used to construct the homogenized radiosonde datasets discussed in this report, they
338 would introduce an artificial cooling signal into the radiosonde records. Of course on an
339 individual station basis the picture is likely to be much more ambiguous and many
340 stations records, even following homogenization efforts, are likely to retain large residual
341 warm or cold biases. But on average, the evidence outlined above suggests that if there is
342 a preferred sign it is likely to be towards a residual cooling. It is important to stress that to
343 date the quantitative evidence to support such an argument, at least away from a small
344 number of tropical stations (Randel and Wu, 2005), is at best ambiguous.

345

346 *3.1.2 Sampling uncertainty*

347 The fact that most radiosonde data are primarily collected over Northern Hemispheric
348 land areas naturally leads to uncertainties about whether or not averages constructed from
349 radiosonde data can faithfully represent global trends. However, (Wallis, 1998) and
350 (Thorne et al., 2005) show that stations can be representative of much larger scale
351 averages above the boundary layer, particularly within the deep tropics. Spatial and
352 temporal sampling errors for the radiosonde datasets have been assessed by sub-sampling
353 trends in reanalyses or satellite data at the locations of radiosonde stations used in the
354 production of global datasets, and comparing the results to the full global average of the
355 reanalysis or satellite data (Free and Seidel, 2004). Typically, errors of a few hundredths
356 of a °C per decade have been estimated for global averages, too small to fully account for

⁴ These intercomparisons provide a source data about the differences between different type of sondes that have not yet been used to homogenize sonde data.

357 the differences between radiosonde and satellite trends, though it has been suggested that
358 the existing sampling could lead to a warm bias in the radiosonde record (Agudelo and
359 Curry, 2004). As is the case for the stratosphere, sampling errors may be part of the cause
360 for the zone to zone variability seen in the radiosonde data. Residual differences between
361 two radiosonde dataset global means are assessed to be approximately equally caused by
362 sampling error, choice of raw data, and choice of adjustments made⁵.

363

364 *3.1.3 The influence of uncertainty in stratospheric measurements*

365 To compare data that represent identical layers in the atmosphere, “satellite-equivalent”
366 radiosonde data products are constructed using a weighted average of radiosonde
367 temperatures at a range of levels (see Box 2.2). The T₂ radiosonde datasets are
368 constructed to match the weighting function for Microwave Sounding Unit (MSU)
369 channel 2. Since 10% to 15% of the weight for this channel comes from the stratosphere
370 (see Figure 2.1), it is important to keep in mind the suspected relatively large errors in the
371 stratospheric measurements made by radiosondes. It is possible that stratospheric errors
372 could cause the trends in the radiosonde-derived T₂ to be as much as 0.05°C/decade too
373 cool, particularly in the tropics, where the suspected stratospheric errors are the largest
374 (Randel and Wu, 2005) and therefore have a large impact on area-weighted averages.
375 This error source may be partly eliminated by considering the multi-channel tropospheric
376 retrievals discussed in section 5 below.

377

⁵ This comparison was made using a previous version of the UK dataset (HadRT), which uses a different set of stations than the current version. This difference is very unlikely to substantially alter these conclusions.

378 *3.2. Satellite uncertainty*

379 Satellite-derived temperature trends in the middle and upper troposphere have received
380 considerable attention. In particular, the causes of the differences between T_{2-UAH} and T_{2-}
381 RSS have been examined in detail; less work has been done concerning $T_{2-U.Md.}$ because
382 this dataset is newer. There are two potentially important contributions to the residual
383 uncertainty in satellite estimates of global trends for the satellite-based datasets: (1)
384 corrections for drifts in diurnal sampling, and (2) different methods of merging data from
385 the series of different satellites.

386

387 *3.2.1 Diurnal Sampling Corrections*

388 During the lifetime of each satellite, the orbital parameters tend to drift slowly with time.
389 This includes both a slow change of the local equator crossing time (LECT), and a decay
390 of orbital height over time due to drag by the upper atmosphere. The LECT is the time at
391 which the satellite passes over the equator in a northward direction. Changes in LECT
392 indicate corresponding changes in local observation time for the entire orbit. Because the
393 temperature changes with the time of day (e.g., the cycle of daytime heating and
394 nighttime cooling), slow changes in observation time can cause a spurious long-term
395 trend. These diurnal sampling effects must be estimated and removed in order to produce
396 a climate-quality data record.

397

398 The three research groups that are actively analyzing data from microwave satellite
399 sounders first average together the ascending and descending orbits, which has the effect
400 of removing most of the first harmonic of the diurnal cycle. For the purposes of this

401 report, “diurnal correction” means the removal of the second and higher harmonics. Each
402 group uses a different method to perform the diurnal correction.

403

404 The UAH group calculates mean differences by subtracting the temperature
405 measurements on one side of the satellite track from the other (Christy et al., 2000). This
406 produces an estimate of how much, on average, the temperature changes due to the
407 difference in local observation times from one side of the satellite swath to another,
408 typically about 40 minutes. This method has the advantage of not relying on data from
409 other sources to determine the diurnal cycle, but it has been shown to be sensitive to
410 satellite attitude errors (Mears and Wentz, 2005), and is too noisy to produce a diurnal
411 adjustment useable on small spatial scales.

412

413 The RSS group uses hourly output from a climate model in a microwave radiative
414 transfer model to estimate the diurnal cycle in brightness temperature at each grid point in
415 the satellite dataset (Mears et al., 2003). This method has the advantage that a diurnal
416 adjustment can be made at the data resolution. However, it is likely that the climate
417 model-based adjustment contains errors, both because models are often unable to
418 accurately represent the diurnal cycle (Dai and Trenberth, 2004), and because the
419 parameterization of the ocean surface temperature used as a lower boundary for the
420 atmospheric model used does not include diurnal variability. The model has been shown
421 to represent the first harmonic of the diurnal cycle for MSU channel 2 with less than 10%
422 error, but less is known about the accuracy of the second and higher harmonics that are
423 more important for adjusting for the diurnal sampling errors (Mears et al., 2003).

424

425 Both groups use their diurnal cycle techniques to adjust the satellite data before merging
426 the data from the different satellites. In contrast, the Maryland group averaged the
427 ascending and descending satellite data to remove only the first harmonic in the diurnal
428 cycle before merging, and used a fitting procedure to account for both the first and
429 second harmonic diurnal components when performing the trend analysis *after* merging
430 the data from different satellites (Vinnikov and Grody, 2003; Vinnikov et al., 2005).

431 Since they only accounted for the first harmonic diurnal component during the merging
432 of satellite data, errors in the diurnal cycle can cause errors in the data analysis following
433 the merging procedure. However, the removal of the diurnal cycle before merging may
434 also introduce some error into UAH and RSS merging procedures if the assumed diurnal
435 cycle is inaccurate, but physically, the removal of the diurnal harmonics before merging
436 seems to be a more logical approach as the diurnal harmonics will tend to add noise
437 unless removed.

438

439 On a global scale, the total impact of the diurnal correction applied by the RSS and UAH
440 groups to the microwave sounding data for the RSS data is to increase the decadal trend
441 by about 0.03°C/decade for T_2 (Christy et al., 2003; Mears et al., 2003). The impact of the
442 Maryland group's adjustment is almost negligible. For the RSS T_2 data, when a diurnal
443 correction is applied that is 50% or 150% as large as the best estimate, these adjustments
444 significantly worsen the magnitude of the intersatellite differences. Changes of this
445 magnitude in the diurnal cycle lead to temperature trends that differ by 0.015°C; so we
446 estimate that the uncertainty in trends due to uncertainty in the diurnal correction is about

447 0.015°C/decade for T_2 . The UAH group estimates that the diurnal correction for T_2 is
448 known to 0.01°C/decade (Christy et al., 2000). These estimates of residual uncertainty are
449 relatively small, and are considerably less than the structural uncertainties associated with
450 the satellite merging methodology described in the next section. Despite the global
451 agreement for the diurnal adjustment for the RSS and UAH results, significant
452 differences in the adjustments exist as a function of location (Mears and Wentz, 2005),
453 which may explain some of the difference on smaller spatial scales between these two
454 datasets⁶.

455

456 *3.2.2 Satellite merging methodology*

457

458 It is very likely that the most important source of uncertainty in microwave sounding
459 temperature trends is due to inter-satellite calibration offsets, and calibration drifts that
460 are correlated with the temperature of the calibration target (Christy et al., 2000; Mears et
461 al., 2003). When results from supposedly identical co-orbiting satellites are compared,
462 intersatellite offsets are immediately apparent. These offsets, typically a few tenths of a
463 °C, must be identified and removed or they will produce errors in long-term trends of
464 several tenths of a °C per decade. When constant offsets are used to remove the inter-
465 satellite differences, the UAH group found that significant differences still remain that
466 are strongly correlated with the temperature of the calibration target⁷ (Christy *et al.*,
467 2000). This effect has since been confirmed by the RSS group (Mears *et al.*, 2003). Both

⁶ See for example Figure 3.5 versus Figure 4.3.

⁷ The calibration target can change temperature by tens of °C over the course of the life of the satellite due to orbit- and season-dependent solar heating.

468 the UAH and RSS groups remove the calibration target temperature effect using a model
469 that includes a constant offset for each satellite, and an additional empirical “target
470 factor” multiplied by the calibration target temperature.

471

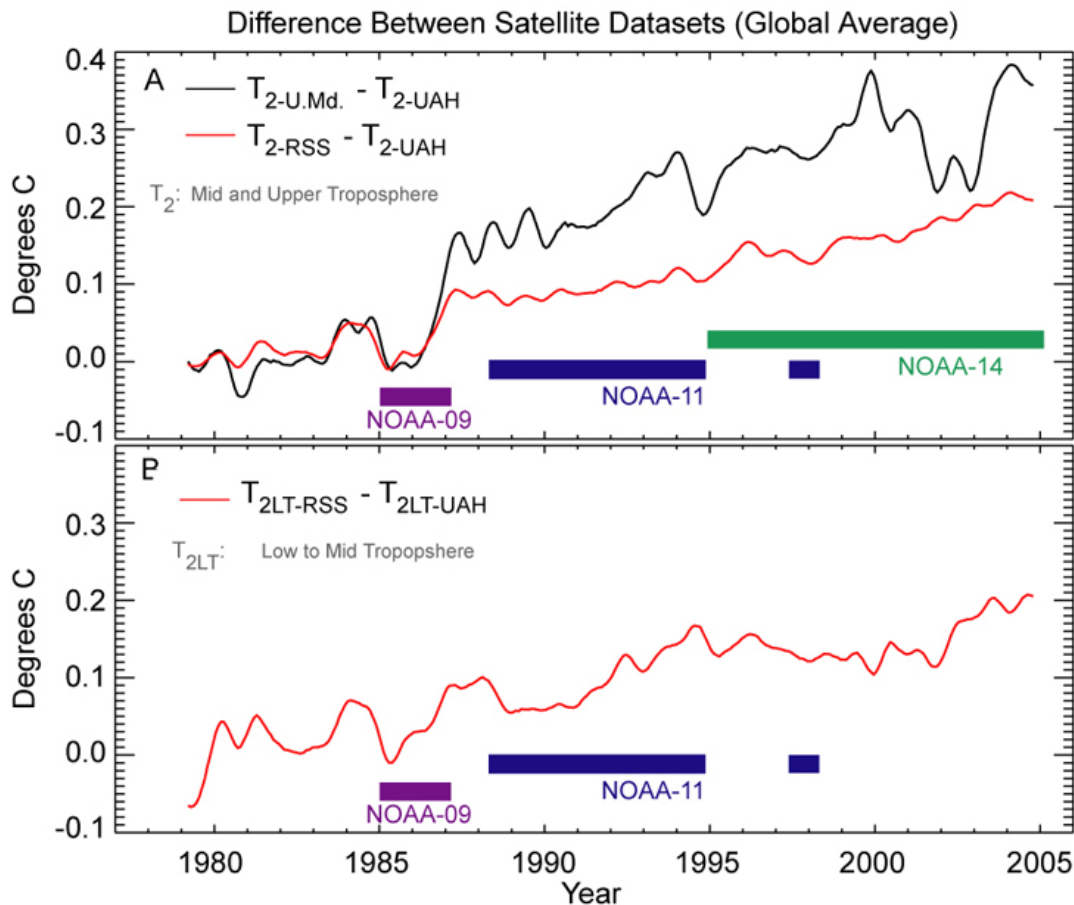
472 Despite the similarity in methods, the RSS and UAH groups obtain significantly different
473 values for the global temperature trends (see Table 3.3). In particular, the difference
474 between the trends for T_2 has received considerable attention. A close examination of the
475 procedures suggests that about 50% of the discrepancy in trends is accounted for by a
476 difference between the target factor for the NOAA-09 instrument deduced by the two
477 groups. This difference mainly arises from the subsets of data used by the two groups
478 when determining the satellite merging parameters (i.e., offsets and target factors). The
479 UAH group emphasizes pairs of satellites that have long periods of overlap, and thus uses
480 data from six pairs of satellites, while RSS uses all available (12) overlapping pairs of
481 satellites. Most of the remainder of the difference is due to a smaller difference in the
482 calibration target temperature proportionality constant for NOAA-11, and to small
483 differences in the diurnal correction. Both these differences primarily affect the
484 measurements made by NOAA-11 and NOAA-14, due to their large drifts in local
485 measurement time.

486

487 In Figure 4.1a, we plot the difference ($T_{2-RSS} - T_{2-UAH}$) between the RSS and UAH time
488 series. There is an obvious step that occurs in 1986, near the end of the NOAA-09
489 observation period, and a gradual slope that occurs during the observation periods of
490 NOAA-11 and NOAA-14. Note that the trend difference between these two datasets is

491 statistically significant at the 1% level, even though the error ranges quoted in Table 3.3
 492 overlap, due to the presence of nearly identical short term fluctuations in the two datasets
 493 (see Appendix A for more details).

494



495

496 Figure 4.1 (a) Time series of the difference between global averages of satellite-derived T_2 datasets. Both
 497 the RSS and UMD datasets show a step-like feature relative to the UAH dataset during the lifetime of
 498 NOAA-09. The difference between the RSS and the UAH datasets shows a slow drift during the NOAA-11
 499 and NOAA-14 lifetimes. Both these satellites drifted more than 4 hours in observations time. (b) Time
 500 series difference between global averages of satellite derived T_{2LT} datasets. A slow drift is apparent during
 501 the lifetime of NOAA-11, but the analysis during the NOAA-14 lifetime is complicated because the T_{2LT} -
 502 RSS dataset does not include data from the AMSU instruments on NOAA-15 and NOAA-16, while the T_{2LT} -
 503 UAH dataset does. All time series have been smoothed using a Gaussian filter with width = 7 months.

504

505 The Maryland group data set ($T_{2-U.Md.}$), in its most recent version (Grody et al., 2004;

506 Vinnikov et al., 2005), implemented a more detailed, physically based error model to

507 describe the errors that correlated with a nonlinear combination of the observed
508 brightness temperature measurements and the warm target temperature used for
509 calibration⁸. They use a substantially different merging procedure to deduce values of the
510 parameters that describe the intersatellite differences. First, as noted above, only the first
511 harmonic diurnal component is accounted for during the satellite merging, possibly
512 causing errors in the retrieved parameters. Second, they only use the spatial variation
513 seen by the different MSU instruments to derive the calibration adjustments and perform
514 long-time-scale temporal averaging of the measured temperatures to reduce the noise in
515 the overlapping satellite measurements. This averaging procedure may attenuate the time
516 dependent signal that the UAH empirical error model was introduced to explain. The
517 large step in the $T_{2-U.Md.} - T_{2-UAH}$ difference time series that occurs in 1986 (see Figure
518 4.1a) suggests that uncertainty in the parameters for the NOAA-09 satellite are also
519 important for this dataset⁹. The cause of the large fluctuations in the difference during the
520 2000-2004 time period is not known, but may be related to the absence of AMSU data in
521 the $T_{2-U.Md.}$ dataset. Due to its relatively recent appearance, considerably less is known
522 about the reasons for the differences between the Maryland dataset and the RSS and
523 UAH datasets, thus the comments about these differences should be viewed as more
524 speculative than the statements about the RSS-UAH differences.

⁸ The Maryland group accounted for uncertainties in the radiometers non-linearity parameter as well as errors in the warm target radiation temperature (due to uncertainties in its emissivity and physical temperature) and errors in the cold space radiation temperature (due to uncertain antenna side lobe contributions for example). However, while all of these error sources are accounted for, they are assumed to be constant during the lifetime of a given instrument and thus do not take into account the possibility of contributions to the side lobe response from the earth or warm parts of the satellites whose temperature varies with time. These error sources lead, when globally averaged and linearized, to an expression where the target temperature is the most important factor. Thus while the exact physical cause of the observed effect is not known precisely, it is possible to accurately model and remove it on a global scale from the data using either method

⁹ The trend in this difference time series is statistically significant at the 1% level.

525

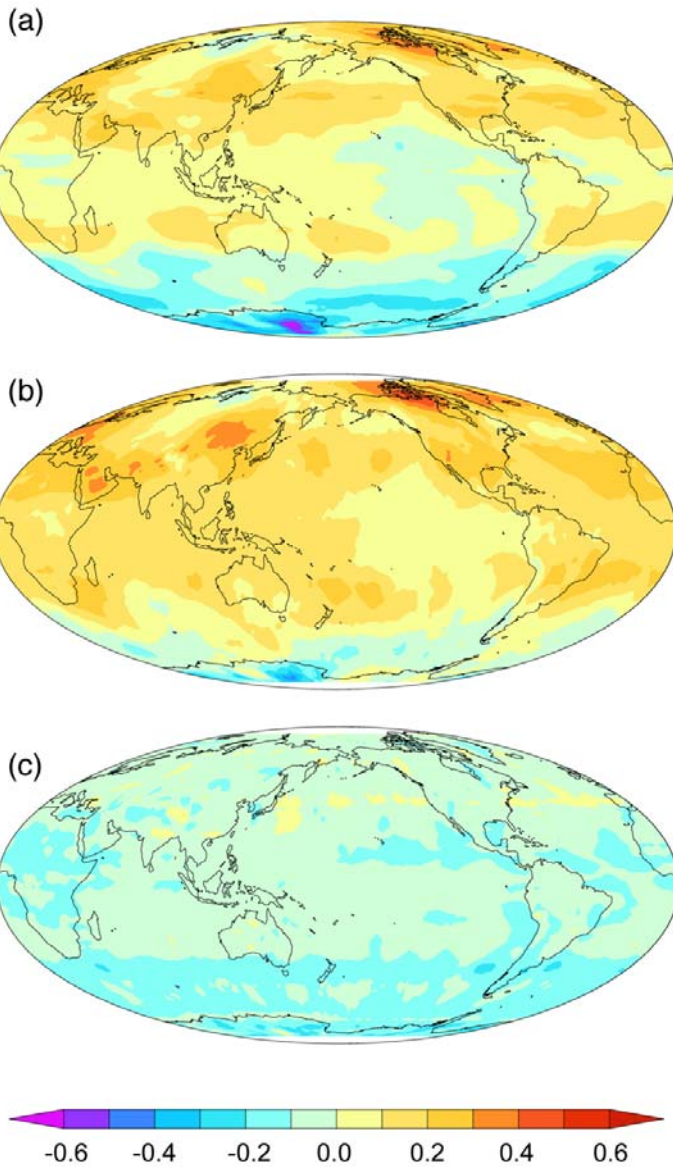
526 These differences are an excellent example of structural uncertainty, where identical
527 input data and three seemingly reasonable methodologies lead to trends that differ
528 significantly more than the amount expected given their reported internal uncertainties.
529 Since methodological differences yield data products showing differences in trends in T_2
530 of about 0.1 °C per decade, it is clear that the most important source of uncertainty for
531 satellite data are structural uncertainties and that these need to be included in any overall
532 uncertainties assessed for tropospheric temperature trends and lapse rates.

533

534 *3.2.3 Differences in spatial pattern.*

535 Only T_{2-UAH} and T_{2-RSS} have provided gridded results. Maps of gridded trends for these
536 products are shown in Fig 4.2, along with a map of the difference between the trends. The
537 overall pattern in the trends is very similar between the two datasets, aside from
538 difference in the globally averaged trends. Differences in the latitude dependence are due
539 to the use of zonally varying intersatellite offsets in the construction of T_{2-UAH} (in contrast
540 to the constant offsets in T_{2-RSS}) and to differences in the applied diurnal adjustment as a
541 function of latitude. Other differences may be caused by the spatial smoothing applied to
542 the T_{2-UAH} during the construction of the data set, and to differences in spatial averaging
543 performed on the diurnal adjustment before it was applied. This last difference will be
544 discussed in more detail in section 4.4 below because the effects are more obvious for the
545 T_{2LT} layer.

$T_{\text{Mid-Trop}}$ Temperature Trend 1979-2003 ($^{\circ}\text{C}/\text{Decade}$)



546

547

548 Figure 4.2 Global maps of trends from 1979-2004 for (a) $T_{2\text{-UAH}}$ and (b) $T_{2\text{-RSS}}$. Except for an overall
549 difference between the two results, the spatial patterns are very similar. A map of the difference $T_{2\text{-UAH}} -$
550 $T_{2\text{-RSS}}$ between trends for the two products shown in (c) reveals more subtle differences in the trend.

551

552

553 **4 Uncertainty in Lower Tropospheric Trends**

554

555 *4.1 Radiosonde Uncertainty*

556 Uncertainties in lower tropospheric trends measured by radiosondes are very similar to
557 those discussed above for the middle-upper troposphere. The most important difference is
558 that when comparing to the T_{2LT} satellite product, the contribution of the stratospheric
559 radiosonde trends, which is suspected to be erroneous to some extent, is substantially less
560 than for the T_2 data records. This decreases the likelihood that T_{2LT} data products
561 constructed from radiosonde data are biased toward excess cooling. However, it is
562 possible that undetected negative trend bias remains in all tropospheric levels (see section
563 3.1 above for more details), so radiosonde trends may still be biased cold.

564

565 *4.2 Satellite Uncertainty*

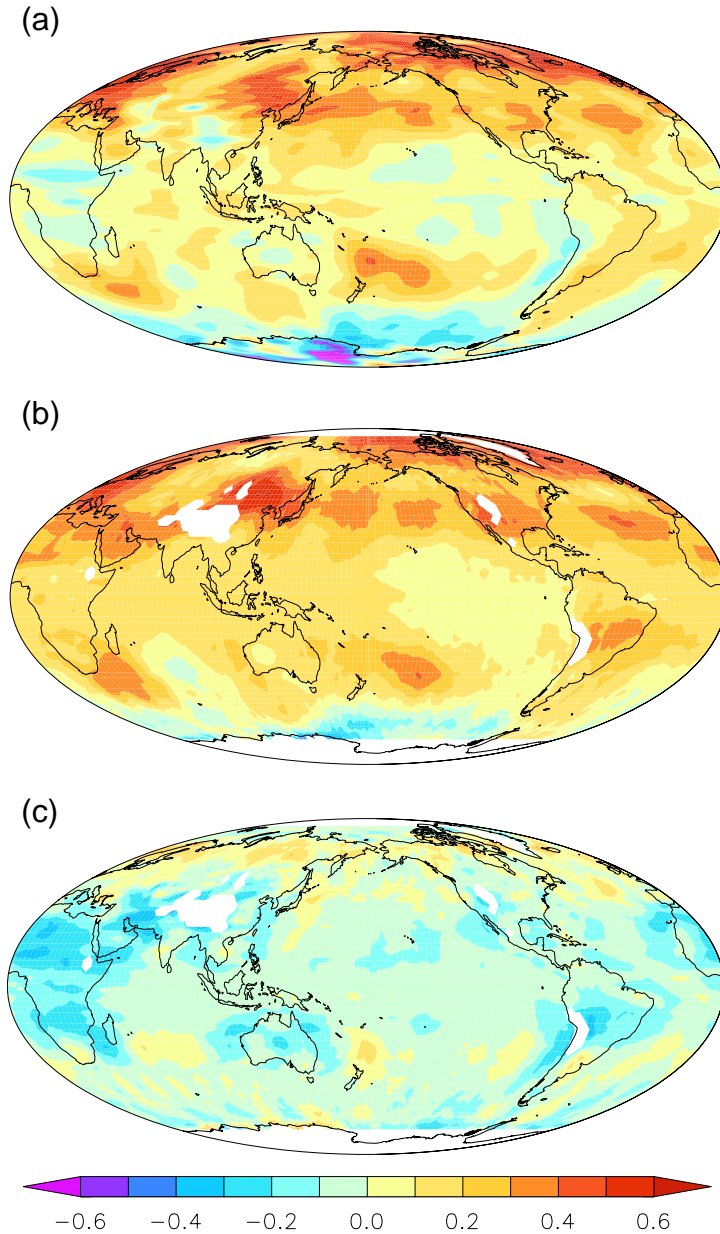
566 Currently, there are two lower tropospheric satellite data records, $T_{2LT-UAH}$ and $T_{2LT-RSS}$.
567 As discussed in the Preface, both datasets are relatively recent, thus little is known about
568 the specific reasons for their differences. Because of the noise amplification effects of the
569 differencing procedure¹⁰ used to construct the data record, the merging parameters tend to
570 be more sensitive to the methods used to deduce them. A number of different methods
571 were explored in the creation of $T_{2LT-RSS}$, leading to an estimate of the structural
572 uncertainty of 0.08°C/decade for global trends. When combined with internal uncertainty,
573 the estimated total global trend uncertainty for this dataset is 0.09°C/decade (Mears and
574 Wentz, 2005). Note that the difference between the global trends for $T_{2LT-RSS}$

¹⁰ The T_{2LT} datasets are constructed by subtracting 3 times the average temperature measured by the outermost 4 (near-limb) views from 4 times the average temperature measured by the 4 adjacent views, which are closer to nadir. This has the effect of removing most of the stratospheric signal, and moving the effective weighting function lower in the troposphere (Spencer and Christy 1992). Assuming that the errors in each measurement are uncorrelated, this has the effect of amplifying these errors by a factor of about 5 relative to T_2 (Mears and Wentz 2005). Even if some of the error is correlated between view, this argument still applies to the uncorrelated portion of the error.

575 (0.189°C/decade) and $T_{2LT-UAH}$ (0.115°C/decade) shown in
576 Table 3.3 is less than this estimated uncertainty. The estimated global trends in the
577 radiosonde datasets are also within the $T_{2LT-RSS}$ error range. In Figure 4.1b we plot the
578 difference ($T_{2LT-RSS} - T_{2LT-UAH}$) between the RSS and UAH time series. This time series
579 shows more variability than the corresponding T_2 difference time series, making it more
580 difficult to speculate about the underlying causes of the differences between them. The
581 step-like feature during the 1985-1987 period is less obvious, and while there appears to
582 be a slow drift during the NOAA-11 lifetime, a corresponding drift during the NOAA-14
583 lifetime is less obvious, perhaps because the RSS data do not yet include data from the
584 more recent AMSU satellites. We speculate that the drift during NOAA-11 is in part due
585 to differences in the diurnal correction applied. The UAH diurnal correction is based on
586 a parameterization of the diurnal cycle which is constrained by measurements made
587 during a time period with 3 co-orbiting satellites, , while RSS uses a model-based diurnal
588 correction analogous to that used for TMT.

589

590 In Figure 4.3, we show global maps of the gridded trends for $T_{2LT-UAH}$ and $T_{2LT-RSS}$, along
591 with a map of the trend differences. The spatial variability in the trend differences
592 between the two datasets is much larger than the variability for T_2 , though both datasets
593 show similar patterns in general, with the greatest temperature increase occurring in the
594 Northern Hemisphere, particularly over Eastern Asia, Europe, and Northern Canada. The
595 two datasets are in relatively good agreement north of 45°N latitude. In the tropics and
596 subtropics, the largest differences occur over land, particularly over arid regions.



598 Figure 4.3 Global maps of trends from 1979-2004 for (a) $T_{2LT-UAH}$ and (b) $T_{2LT-RSS}$. Except for an overall
 599 difference between the two results, the spatial patterns are similar. A map of the difference $T_{2LT-UAH} - T_{2LT-}$
 600 $T_{2LT-RSS}$ between trends for the two products shown in (c) shows that the largest differences are over tropical and
 601 subtropical land areas. Data from land areas with elevation higher than 2000m are excluded from the T_{2LT-}
 602 $T_{2LT-RSS}$ dataset and shown in white.

603

604

605 We speculate that this may be in part due to differences in how the diurnal adjustment is

606 done by the two groups. The UAH group applies an averaged diurnal adjustment for each

607 zonal band, based on different adjustments used for land and ocean. The RSS group uses
608 a grid-point resolution diurnal correction. The UAH method may lead to errors for
609 latitudes where the diurnal cycle varies strongly with longitude. More arid regions (e.g.,
610 subtropical Africa), which typically have much larger surface diurnal cycles, may be
611 under-adjusted when the zonally averaged correction is applied, leading to long-term
612 trends that are too low. Correspondingly more humid regions and oceans may be over-
613 adjusted, in some cases making up for the overall difference between the two datasets,
614 perhaps accounting for the good agreement in regions such as Southeast Asia, Southern
615 India, and Northern South America. Further analysis is required using a range of
616 alternative diurnal correction estimation techniques for definitive conclusions to be
617 reached. Other differences, such the north-south streaking seen in the RSS data, may be
618 caused by differences in spatial smoothing, and by the inclusion of AMSU data in T_{2LT} -
619 UAH , but not in $T_{2LT-RSS}$.

620

621 The decay of orbital height over each satellite's lifetime can cause substantial errors in
622 satellite-derived T_{TLT} because changes in height lead to changes in the earth incidence
623 angles for the near-limb observations used to construct the data record (Wentz and
624 Schabel, 1998). Both the RSS and UAH groups correct for this error by calculating the
625 expected change in observed temperature as a function of incidence angle, and then using
626 this estimate to remove the effect of orbital decay. The straight-forward method used to
627 make these corrections, combined with its insensitivity to assumptions about the vertical
628 structure of the atmosphere, leads to the conclusion that errors due to orbital decay have

629 been accurately removed from both datasets and are not an important cause of any
630 differences between them.

631

632 *4.3 Comparison between satellite and well characterized radiosonde stations*

633 Point-by-point comparisons between radiosonde and satellite data eliminate many
634 sources of sampling error normally present in radiosonde data. Also, since uniform global
635 coverage is less important when using radiosondes to validate satellite data locally,
636 stations can be chosen to minimize the contribution due to undocumented changes in
637 radiosonde instrumentation or observing practice. For instance, if one restricts
638 comparisons of the satellite and radiosonde data to 29 Northern Hemisphere radiosonde
639 stations that have consistently used a single type of instrumentation (the Viz sonde) since
640 1979, the average difference between these radiosonde trends and $T_{2LT-UAH}$ trends since
641 1979-2004 is only 0.03°C/decade (Christy et al., 2003; Christy et al., 2005). Similarly,
642 when this set of radiosondes is extended to include a set of Southern Hemisphere stations
643 where instrument changes were well documented, agreement between $T_{2LT-UAH}$ and
644 radiosonde trends is almost as good (Christy and Norris, 2004; Christy et al., 2005). This
645 suggests that, for the T_{2LT} layer, where the stratospheric problems with radiosonde data
646 are minimized, some level of corroboration can be attained from these two diverse
647 measurement systems.

648

649 **5 Multi-channel retrievals of tropospheric temperature.**

650 As mentioned above, the single channel satellite measurements commonly identified as
651 tropospheric temperature (T_2) are impossible to interpret as solely tropospheric

652 temperatures because 10% to 15% (seasonally and latitudinally varying) of the signal
653 measured by MSU channel 2 arises from the stratosphere. In principle, it is possible to
654 reduce the stratospheric contribution to Channel 2 by subtracting out a portion of the
655 stratospheric Channel 4, though the exact values of the weights used in this procedure are
656 controversial (see Chapter 2 for more details). Despite this controversy, there is little
657 doubt that the resulting trends are more representative of the troposphere than the T_2
658 datasets. The reduction in stratospheric signal also reduces the difference between trends
659 in the satellite data and the radiosonde data (see Table 3.3), because the error-prone
660 stratospheric levels in the stratosphere have reduced (but still non-zero) weight.

661

662 The existence of a stratosphere-corrected tropospheric retrieval allows tests for
663 consistency of temperature trends among the different datasets constructed by a research
664 group for different atmospheric layers. One test, when applied to an earlier version (v5.1)
665 of the UAH global average trends, did not prove inconsistency on the global scale,
666 because the difference between the $T_{2LT-UAH}$ trend and the retrieval-calculated T_{2LT} trend
667 was well within the published margin of error. However, a clearer inconsistency was
668 found for the tropics (Fu and Johanson, 2005; Johanson and Fu, 2005). In this case, the
669 difference between the retrieval-calculated trend and $T_{2LT-UAH}$ trend was larger than its
670 estimated error range, an indication of uncharacterized error in at least one of the UAH
671 products, or more generally that $T_{2LT-UAH}$, T_{2-UAH} and T_{4-UAH} were not strictly self-
672 consistent as a set. This inconsistency is now resolved (within error estimates) with the
673 introduction of a new version of the $T_{2LT-UAH}$ dataset. The RSS versions of the T_2 , T_4 and
674 T^* datasets were found to be consistent for both global and tropical averages (Fu and

675 Johanson, 2005). The trends in the RSS version of the TLT dataset (produced after Fu
676 and Johanson was submitted) is also consistent with the other RSS based datasets.

677

678 **6 Uncertainty in Surface Trends.**

679

680 *6.1 Sea surface temperature uncertainty*

681 Temperature analyses over the ocean are produced from sea surface temperatures (SST)
682 instead of marine air temperatures. This is because marine air temperatures are biased
683 from daytime ship deck heating (Folland and Parker, 1995; Rayner et al., 2003) and
684 because satellite observations are available for SST beginning in November 1981 to
685 augment *in situ* data (Reynolds and Smith, 1994). Spatially complete analyses of SSTs
686 can be produced by combining satellite and *in situ* data (from ships and buoys) (Reynolds
687 et al., 2002; Rayner et al., 2003), from *in situ* data alone (Smith and Reynolds, 2004), or
688 from satellite data alone (Kilpatrick et al., 2001).

689

690 *6.1.1 Satellite SST uncertainties*

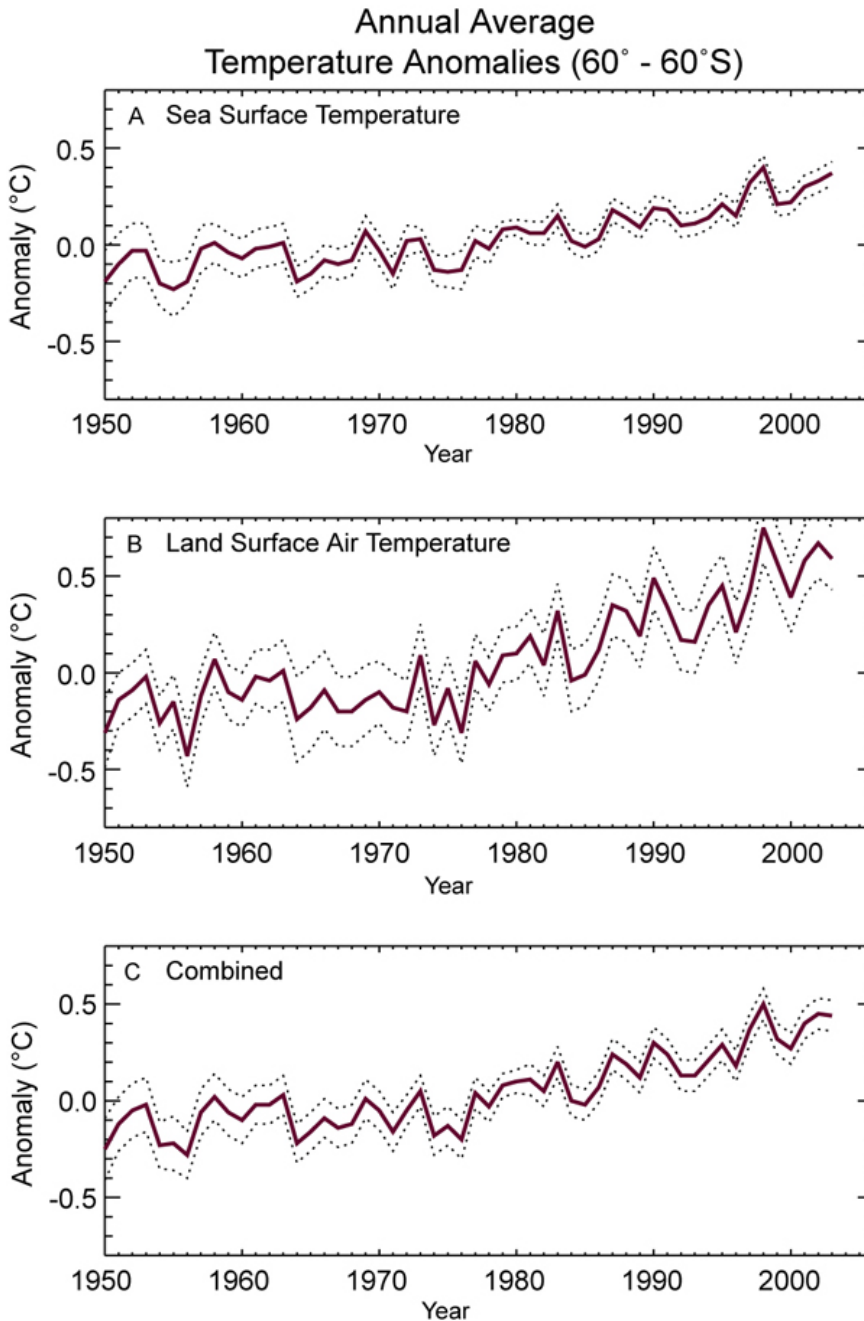
691 Climate comparison analyses based on infra-red satellite data alone are not useful
692 because of possible large time-dependent biases. These biases have typically occurred
693 near the end of a satellite's life time when the instrument no longer works properly, or
694 during periods when assumptions made about the atmospheric profile in the satellite
695 algorithm are no longer valid, e.g., during periods immediately following volcanic
696 eruptions, when a large amount of dust from the eruption is present in the stratosphere
697 (Reynolds, 1993; Reynolds et al., 2004). These problems may be partially mitigated in

698 the future by use of the microwave SST sensors that became available starting with the
699 launch of the Tropical Rainfall Measuring Mission (TRMM) in 1987 (Wentz et al.,
700 2000), but these microwave SST data have not been available long enough to derive
701 meaningful trends, and are difficult to calibrate absolutely due to various instrument
702 related problems (Wentz et al., 2001; Gentemann et al., 2004). Thus, analyses now use
703 multiple satellite instruments blended with or anchored to *in situ* data that reduce the
704 overall analysis errors (e.g., Reynolds et al., 2002, Rayner et al., 2003).

705

706 *6.1.2 In Situ SST uncertainties*

707 As discussed in Chapter 2, the primary sources of uncertainty in *in situ* SST
708 measurements are non-climatic signals caused by changes in the mix of instrumentation
709 over time and sampling errors. Over time the measurements have typically evolved from
710 insulated bucket measurements to engine intake, through hull, and buoy mounted sensors
711 – these changes are not necessarily accurately recorded in the metadata Both non-climatic
712 signals and sampling error are thought to be largest in sparsely sampled regions, such as
713 the southern oceans, where a single erroneous or unrepresentative measurement could
714 bias the average for an entire measurement cell for the month in question. Both types of
715 errors have been calculated for the ERSST dataset and included in the quoted error range
716 (see Figure 4.4).



717

718 Figure 4.4. SST, Land Surface Air Temperature, and the Combined Temperature Data Record anomaly
 719 averaged annually and between 60°S and 60°N (purple), with its estimated 95% confidence intervals
 720 (dashed). Data are from the NOAA GHCN-ERSST dataset (Smith and Reynolds 2005).

721

722 6.2 Land surface air temperature uncertainty

723 The three surface temperature analyses exhibit similar warming rates since 1958. As the
 724 surface data sets have many stations in common, they are not totally independent.

725 However, the MSU series take identical input, and radiosonde datasets have common
726 data also, so this problem is not unique to the surface records. The fact that the range in
727 trends is much smaller for the surface datasets than for these other datasets implies that
728 the structural uncertainty arising from dataset construction choices is much smaller at the
729 surface, in agreement with the arguments made in Thorne et al. (2005a). Also, a number
730 of studies e.g., (Peterson et al., 1999; Vose et al., 2004) suggest that long-term, large-
731 scale trends are not particularly sensitive to variations in choice of station networks. But
732 because most land networks were not designed for climate monitoring, the data contain
733 biases that dataset creators address with different detailed methods of analysis. The
734 primary sources of uncertainty from a land-surface perspective are (a) the construction
735 methods used in the analyses and (b) local environmental changes around individual
736 observing stations that may not have been addressed by the homogeneity assessments.

737

738 Because the stations are not fully representative of varying-within-area land surface,
739 coastal, and topographical effects, global data sets are produced by analyzing deviations
740 of temperature from station averages (anomalies) as these deviations vary more slowly
741 with a change in location than the temperatures themselves (Jones et al., 1997). Random
742 errors in inhomogeneity detection and adjustments may result in biased trend analyses on
743 a grid box level. However, on the relatively large space scales of greatest importance to
744 this Report, such problems are unlikely to be significant in current data sets in the period
745 since 1958 except where data gaps are still serious, e.g., in parts of central Africa, central
746 South America, and over parts of Antarctica. Note that for the contiguous United States,
747 the period 1958-2004 uses the greatest number of stations per grid box anywhere on the

748 Earth's land surface, generally upwards of 20 stations per grid box. For regions with
749 either poor coverage or data gaps, trends in surface air temperature should be regarded
750 with considerable caution, but do not have serious effects on the largest of scales as most
751 of the spatial variability is well sampled.

752

753 Local micro-climatological environmental changes around observing stations may be
754 problematic, particularly if a similar change occurred near many observing stations (e.g.,
755 Davey and Pielke, 2005). For instance, urbanization may have increased temperatures in
756 many locations. Numerous investigators have used a variety of approaches to study these
757 effects and most have shown that any bias is likely to be small in comparison to the
758 warming signal for large-scale means (e.g., Peterson, 2003; Parker, 2004). To insure that
759 potential urbanization effects do not impact analyses, NASA adjusts the data from all
760 urban stations so that their long-term trends are consistent with those from neighbouring
761 rural stations (Hansen et al., 2001). It is generally accepted that local biases in trends
762 mostly cancel through the use of many stations or ocean observations. Because such a
763 cancellation has not been rigorously proved, partly due to the lack of adequate metadata,
764 it is conceivable that systematic changes in many station exposures of a similar kind may
765 exist over the land during the last few decades, which may give biases in trends of one
766 sign over large land regions.

767

768 *6.3 Combined land-ocean analyses uncertainty.*

769 Global combined surface temperature products are computed by combining ocean and
770 land gridded datasets. The latest version of the UK surface dataset, HadCRUT2v, (Jones
771 and Moberg, 2003) has been optimally averaged with uncertainties for the globe and

772 hemispheres. The NOAA surface temperature dataset produced by (Smith and Reynolds,
773 2005), uses Global Historical Climatology Network (GHCN), merged with the *in situ*
774 Extended Reconstruction SST (ERSST) analysis of (Smith and Reynolds, 2004). The
775 analyses are done separately over the ocean and the land following the ERSST methods.
776 Error estimates include the bias, random and sampling errors.

777

778 As an example of uncertainties in a combined land-ocean analysis, near-global time
779 series (60°S to 60°N) are shown in Figure 4.4 for SST, land-surface air temperature, and
780 the combined SST and land-surface air temperature (Smith and Reynolds, 2005). (The
781 combined product is the GHCN-ERSST product used in Chapter 3). The SST has the
782 tightest (95%) uncertainty limits (upper panel). The land-surface air temperature (middle
783 panel) has a larger trend over the period since 1958, but its uncertainty limits are also
784 larger than for SST. Land surface air temperature uncertainty is larger than the
785 uncertainty for SST because of higher variability of surface air temperature over land (see
786 Chapter 1), persistently un-sampled regions, including central Africa and interior South
787 America, and because the calculations include an increasing urbanization bias-error
788 estimate. Merged temperature anomalies and their uncertainty (lower panel) closely
789 resemble the SST result, since oceans cover most of the surface area. Similar uncertainty
790 was found by (Folland et al., 2001) using different methods.

791

792

793 **7. Interlayer comparisons.**

794

795 *7.1 Troposphere/Stratosphere*

796

797 All data sources agree that on a global scale, the stratosphere has cooled substantially
798 while the troposphere has warmed over both the 1958-2004 and the 1979-2004 time
799 periods (note that this is not true for all 25-year time periods within the longer 1958-2004
800 time period). We suspect that the stratospheric cooling trends estimated from radiosondes
801 are larger in magnitude than the actual trend. Despite the uncertainty in the exact
802 magnitude of stratospheric cooling, we have very high confidence that the lower
803 stratosphere has cooled relative to the troposphere by several tenths of a °C per decade
804 over the past 5 decades.

805

806 *7.2 Lower Troposphere/Mid-Upper Troposphere*

807

808 The difference in trend between the lower troposphere and mid-upper troposphere is not
809 well characterized by the existing data. On a global scale, all data sets suggest that T_{2LT} is
810 warming relative to T_2 , but it is important to note that the T_2 data records have significant
811 stratospheric contributions that reduce their warming trends. Radiosonde measurements
812 suggest that the $T_{(850-300)}$ layer (which does not include the stratosphere) is warming at
813 about the same rate as T_{2LT} , while satellite data suggest that T^*_G is warming more rapidly
814 than T_{2LT} . The magnitude of these inter-dataset differences are typically less than their
815 individual estimates of uncertainty, substantially reducing confidence in our ability to
816 deduce even the sign of the lower troposphere-mid-upper troposphere trend difference.

817

818 *7.3 Surface/Lower Troposphere*

819

820 On a global scale, both radiosonde datasets and one of the satellite datasets ($T_{2LT-UAH}$)
821 indicate that the surface warmed more than the lower troposphere between 1979 and
822 2004, while one satellite dataset ($T_{2LT-RSS}$) suggests the opposite. The magnitude of these
823 differences is less than the uncertainty estimates for any one data record. The situation is
824 similar in the tropics. However, in some regions, such as North America and Europe
825 (regions where the most reliable radiosonde stations are located), the warming in the
826 surface and lower troposphere appears to be very similar in all datasets.

827

828 *7.4 Surface/Mid Troposphere*

829

830 It is also interesting to consider the trend differences between the surface and mid
831 troposphere since more satellite datasets are available for T_2 . Here, mostly due to the
832 large structural uncertainty in the trends in T_2 , the various datasets are unable to agree on
833 the sign of the trend difference over the 1979-2004 period. On a global scale, the two
834 radiosonde datasets and two of the satellite datasets suggest that T_2 has warmed less than
835 the surface, but the other satellite dataset suggests that the opposite is true. The situation
836 is similar in the tropics. For the longer 1958-2004 period, all available datasets agree that
837 T_2 warms more than the surface. When T^*_G is considered, the difference between the
838 surface and tropospheric trends is reduced, with two satellite datasets indicating more
839 warming than at the surface.

840

841 8. Resolution of Uncertainty

842

843 In almost all of the tropospheric and stratospheric data records considered, our
844 uncertainty is dominated by structural uncertainty arising through dataset construction
845 choices (Thorne et al., 2005). Differences arising as a result of different, seemingly
846 plausible correction models applied by different groups to create a climate-quality data
847 record are significantly larger than the uncertainties internal to each method, in the raw
848 data measurements, or in the sampling uncertainties. These structural uncertainties are
849 difficult to assess in an absolute sense. The best estimates we can currently make come
850 from examining the spread of results obtained by different groups analyzing the same
851 type of data. This “all datasets are equal” approach has been employed in our present
852 analysis. As outlined in Chapter 2, this estimate of uncertainty can either be too small or
853 too large, depending on the situation. Given this caveat, it is always better to have
854 multiple (preferably at least three) data records that purport to measure the same aspects
855 of climate with the same data, so we can get some idea of the structural uncertainty.

856

857 In reality, all datasets are not equally plausible realizations of the true climate system
858 evolution. The climate system has evolved in a single way, and some datasets will be
859 closer to this truth than others. Given that the importance of structural uncertainty,
860 particularly for trends aloft, has only recently been recognized, it is perhaps unsurprising
861 that we are unable to quantify this at present. We could make value-based judgments to
862 imply increased confidence in certain datasets, but these would not be unambiguous, may
863 eventually be proven wrong, and are not a tenable approach in the longer term from a

864 scientific perspective. Therefore tools need to be developed to objectively discriminate
865 between datasets. These may include (1) measures of the internal consistency of the
866 construction methods, (2) assessment of the physical plausibility of the merged products,
867 including consistency of vertically resolved trends, and (3) comparisons with vicarious
868 data – for example, changes in temperature need to be compared with changes in water
869 vapor, winds, clouds, and various measures of radiation to assess consistency with the
870 expected physical relationships between these variables. Taken together such a suite of
871 indicators can be used to provide an objectively based way of highlighting residual
872 problems in the datasets and gaining a closer estimate of the truth. Such an audit of
873 current datasets should be seen as very high priority and preferably undertaken
874 independently of the dataset builders in a similar manner to the model intercomparisons
875 performed at Lawrence Livermore National Laboratory. In addition to an agreed set of
876 objective analysis tools, such an effort requires full and open access to all of the datasets
877 including a full audit trail.

878

879 Some specific suggestions for resolving some of the issues brought forward in this
880 chapter are mentioned here, but these are not exhaustive and further investigation is
881 required.

882

883 *8.1 Radiosondes.*

884

885 A significant contribution to the long-term inhomogeneity of the radiosonde record
886 appears to be related to changes in radiative heating of the temperature sensor for various

887 radiosonde models, and changes in the adjustments made to attempt to correct for these
888 changes. Recent work suggests that such problems may account for much of the tropical
889 cooling shown in unadjusted data. Other recent work suggests that step-like changes in
890 bias may still remain, even in adjusted datasets. Suitable tests on radiosonde products
891 may therefore include: stability of day-night differences, spatial consistency, internal
892 consistency (perhaps including wind data that to date have not been incorporated), and
893 consistency with MSU-derived and other independent estimates.

894

895 *8.2 Satellites.*

896

897 The most important contributions to satellite uncertainty are merging methodology and
898 the diurnal adjustment. The satellite data are simple enough that considerable
899 understanding can result from examination of intermediate results in the merging process,
900 including intersatellite differences that remain after the merging adjustments are
901 complete. Consistent reporting of such results can help differentiate between methods. It
902 appears that the differences in merging methodology often result in sharp step-like
903 features in difference time series between datasets. Other datasets, such as spatially
904 averaged adjusted radiosonde data, might be expected to show more slowly changing
905 errors, since their errors are due to the overlap of many different, potentially step-like
906 errors that occur at different times. So comparisons of satellite data with radiosonde data
907 over short time periods may help differentiate between satellite datasets. The diurnal
908 adjustment can be improved by a more rigorous validation of model-derived diurnal
909 cycles, or by further characterization of the diurnal cycle using the TRMM satellite or

910 concerted radiosonde observing programs designed to characterize the diurnal cycle at a
911 number of representative locations.

912

913 *8.3 Surface.*

914

915 The uncertainty in the historical near-surface temperature data is dominated by sampling
916 uncertainty, systematic changes in the local environment of surface observing stations,
917 and by difficult-to-characterize biases due to changes in SST measurement methods. The
918 relative maturity of the surface datasets suggest that to a large degree, these problems
919 have been addressed to the extent possible for the historical data, due to the absence of
920 the required metadata (for the bias-induced uncertainties) or the existence of any
921 observations at all. However, it is likely that much of the relatively recent SST data can
922 be adjusted for measurement type as some of the needed metadata is available or can be
923 estimated.

924

925

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