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Chapter 2

What kinds of atmospheric temperature variations can the current observing systems detect and what are their strengths and limitations, both spatially and temporally?

Convening Lead Author: John Christy

Lead Authors: Dian Seidel, Steve Sherwood

Contributing Authors: Adrian Simmons, Ming Cai, Eugenia Kalnay, Chris Folland, Carl Mears, Peter Thorne, John Lanzante

42 Findings and Recommendations

43 • The observing systems available for this report are able to detect small surface and upper air
44 temperature variations from year to year, for example, those caused by El Niño or volcanic
45 eruptions.

46

47 • The data from these systems also have the potential to provide accurate trends in climate over
48 the last few decades (and over the last century for surface observations), once the raw data are
49 successfully adjusted for changes over time in observing systems, practices, and micro-climate
50 exposure to produce usable climate records. Measurements from all systems require such
51 adjustments and this report relies on adjusted datasets.

52

53 • Adjustments to the land surface temperature record have been sufficiently successful that
54 trends are reasonably similar on large (e.g., continental) scales, despite the fact that spatial
55 sampling is uneven and some errors undoubtedly remain. This conclusion holds to a lesser extent
56 for the ocean surface record, which suffers from more serious sampling problems and changes in
57 observing practice.

58

59 • Adjustments for changing instrumentation are most challenging for upper-air datasets. While
60 these show promise for trend analysis, it is likely that current upper-air climate records give
61 reliable indications of directions of change (e.g. warming of troposphere, cooling of stratosphere)
62 but some questions remain regarding the precision of the measurements.

63 • Upper-air datasets have been subjected to less scrutiny than surface datasets.

- 64 • Adjustments are complicated, sometimes as large as the trend itself, involve expert
65 judgments, and cannot be stringently evaluated because of lack of traceable standards.
- 66 • Unlike surface trends, reported upper-air trends vary considerably between research
67 teams beginning with the same raw data owing to their different decisions on how to
68 remove non-climatic factors.
- 69 • The diurnal cycle, which must be factored into some adjustments for satellite data, is
70 well observed only by surface observing systems.
- 71 • No available observing system has reference stations or multi-sensor instrumentation
72 that would provide stable calibration over time.
- 73 • Most observing systems have not retained complete metadata describing changes in
74 observing practices which could be used to identify and characterize non-climatic
75 influences.
- 76
- 77 • Relevant satellite datasets measure broad vertical layers and cannot reveal the detailed vertical
78 structure of temperature changes, nor can they completely isolate the troposphere from the
79 stratosphere. However, retrieval techniques can be used both to approximately isolate these
80 layers and to check for vertical consistency of trend patterns. Consistency between satellite and
81 radiosonde data can be tested by proportionately averaging radiosonde profiles.
- 82 • Reanalyses and other multi-system products have the potential for addressing issues of
83 surface and atmospheric temperature trends by making better use of available information and
84 allowing analysis of a more comprehensive, internally consistent, and spatially and temporally

85 complete set of climate variables. At present, however, they contain biases, especially in the
86 stratosphere, that affect trends and that cannot be readily removed because of the complexity of
87 the data products.

88

- 89 • There are as yet under-exploited data archives with potential to contribute to our
90 understanding of past changes, and new observing systems that may improve estimates of future
91 changes if designed for long-term measurement stability and operated for sufficient periods.

92

93

94 *Recommendation: Current and future observing systems should adhere to the principles for*
95 *climate observations adopted internationally under the Framework Convention on Climate*
96 *Change and documented in “NRC 2000b” and the “Strategic Plan for the U.S. Climate Change*
97 *Science Program (2003)” to significantly mitigate the limitations listed above.*

98

99 *Recommendation: The ability to fully and accurately observe the diurnal cycle should be an*
100 *important consideration in the design and implementation of new observing systems.*

101

102 *Recommendation: When undertaking efforts to retrieve data it is important to also to collect*
103 *detailed metadata which could be used to reduce ambiguity in the timing, sign and magnitude of*
104 *non-climatic influences in the data.*

105

106 *Recommendation: New climate-quality reanalysis efforts should be strongly encouraged and*
107 *specifically designed to minimize small, time-dependent biases arising from imperfections in*
108 *both data and forecast models.*

109
110 *Recommendation: Some largely overlooked satellite datasets should be reexamined to try to*
111 *extend, fortify or corroborate existing microwave-based temperature records for climate*
112 *research, e.g. microwave data from NEMS (1972) and SCAMS (1975), infrared from the HIRS*
113 *suite and radio occultation from GPS.*

114

115

116

117 **1. MAIN OBSERVING SYSTEMS AND SYNTHESIS DATA PRODUCTS**

118

119 Temperature is measured in three main ways; (1) *in situ*, where the sensor is immersed in the
120 substance of interest; (2) by *radiative emission*, where a remote sensor detects the intensity or
121 brightness of the radiation emanating from the substance; and (3) *radiative transmission*, where
122 radiation is modified as it passes through the substance in a manner determined by the
123 substance's temperature. All observations contain some level of random measurement error,
124 which is reduced by averaging; bias, which is not reduced by averaging; and sampling errors (see
125 Appendix).

126

127 a) Surface and near-surface air temperatures

128 Over land, “near-surface” air temperatures are those commonly measured about 1.5 to 2.0 meters
129 above the ground level at official weather stations, at sites run for a variety of scientific purposes,
130 and by volunteer (cooperative) observers (e.g., Jones and Moberg, 2003). These stations often
131 experience relocations, changes in instrumentation and/or exposure and changing observing
132 practices all of which can introduce biases into their long-term records. These changes are often
133 undocumented.

134

135 “Near-surface” air temperatures over the ocean (“Marine Air Temperatures” or MATs) are
136 measured by ships and buoys at various heights from 2 to more than 25m, with poorer coverage
137 than over land (e.g., Rayner et al., 2003). To avoid the contamination of daytime solar heating of
138 the ships’ surfaces that may affect the MAT, it is generally preferred to limit these to night MAT
139 (NMAT) readings only. Observations of the water temperature near the ocean surface or “Sea
140 Surface Temperatures” (SSTs) are widely used and are closely tied to MATs; ships and buoys
141 measure SSTs within a few meters below the surface.

142

143 Incomplete geographic sampling, changing measurement methods, and land-use changes all
144 introduce errors into surface temperature compilations. The spatial coverage, indicated in Figure
145 2.1, is far from uniform over either land or ocean areas. The southern oceans, polar regions and
146 interiors of Brazil and Africa are not well sampled by in-situ networks. However, creating
147 global surface temperature analyses involves not only merging land and ocean data but also

148 considering how best to represent areas where there are few or no observations. The most
149 conservative approach is to use only those grid boxes with data, thus avoiding any error
150 associated with interpolation. Unfortunately, the areas without data are not evenly or randomly
151 distributed around the world, leading to considerable uncertainties in the analysis, though it is
152 possible to make an estimate of these uncertainties. Using the conservative approach, the tropical
153 land surface areas would be under-represented, as would the southern ocean. Therefore,
154 techniques have been developed to interpolate data to some extent into surrounding data-void
155 regions. A single group may produce several different such datasets for different purposes. The
156 choice may depend on whether the interest is a particular local region, the entire globe, or use of
157 the dataset with climate models (Chapter 5). Estimates of global and hemispheric scale averages
158 of near-surface temperatures generally begin around 1860 over both land and ocean.

159

160 Figure 2.1 Top: Location of radiosonde stations used in the HadAT upper air dataset with those also in the LKS as
161 crosses. Bottom: Distribution of land stations (green) and SST observations (blue) reporting temperatures used in the
162 surface temperature datasets over the period 1979-2004. Darker colors represent locations for which data were
163 reported with greater frequency.

164 See chapter 3 for definitions of datasets.

165

166 Datasets of near-surface land and ocean temperatures have traditionally been derived from *in-situ*

167 thermometers. With the advent of satellites, some datasets now combine both *in-situ* and
168 remotely sensed data (Reynolds et al., 2002; Smith and Reynolds, 2005), or use exclusively
169 remotely sensed data (Kilpatrick et al., 2001) to produce more geographically complete
170 distributions of surface temperature. Because the satellite sensors measure infrared or microwave
171 emission from the earth's surface (a "skin" typically tens of microns thick that may have a
172 temperature different from either the air above or material at greater depths), calculations are
173 required to convert the skin temperature into the more traditional near-surface air or SST
174 observation (in this context SSTs are called "bulk sea surface temperatures", Chelton, 2005.)
175 Typically, in-situ observations are taken as "truth" and satellite estimates (which may be affected
176 by water vapor, clouds, volcanic aerosols, etc.) are adjusted to agree with them (Reynolds, 1993.)
177 With continued research, datasets with surface temperatures over land, ice, and ocean from
178 infrared and microwave sensors should provide expanded coverage of surface temperature
179 variations (e.g., Aires et al., 2004).

180

181 Sampling errors in ship and buoy SST data typically contribute more to large-scale averages than
182 random measurement errors as shown in Smith and Reynolds (2004), especially as the
183 temperature record extends backward in time. Biases depend on observing method. Most ship
184 observations since the 1950s were made from insulated buckets, hull contact sensors, and engine
185 intake temperatures at depths of one to several meters. Historic correction of ship data prior to
186 1942 is discussed in (Folland and Parker, 1995) and bias and random errors from ships are
187 summarized by (Kent and Taylor, 2004) and (Kent and Challenor, 2004). They report that engine
188 intake temperatures are typically biased 0.1-0.2°C warmer than insulated buckets. This is

189 primarily due to engine room heating of the water temperatures although there is also
190 evaporative cooling of the water in the insulated buckets. Hull contact sensors are the most
191 accurate though much less common. The bias correction of the ship SST data (Kent and Kaplan,
192 2004) requires information on the type of measurement (e.g. insulated bucket, etc.) which
193 becomes more difficult to determine prior to 1990s due to incomplete documentation. Kent and
194 Kaplan (2005) also found that insulated bucket temperatures may be too cold by 0.12 to 0.16°C.
195 When the bucket bias is used, engine intake temperatures in the mid-to-late 1970s and the 1980s
196 were found to be smaller than that suggested by previous studies, ranging from 0.09 to 0.18°C. In
197 addition, their study indicates that engine intake SSTs may have a cold bias of -0.13°C in the
198 early 1990s. The reliability of these biases are subject to revision due to small sample sizes that
199 sample sizes for these comparisons tend to be small with large random errors. Buoy observations
200 became more plentiful following the start of the Tropical Ocean Global Atmosphere (TOGA)
201 Program (McPhaden, 1995) in 1985. These observations are typically made by an immersed
202 temperature sensor or a hull contact sensor, and are more accurate because they do not have the
203 bias errors of ship injection or insulated bucket temperatures.

204

205 The global surface air temperature data sets used in this report are to a large extent based on data
206 readily exchanged internationally, e.g., through CLIMAT reports and the WMO publication
207 *Monthly Climatic Data for the World*. Commercial and other considerations prevent a fuller
208 exchange, though the United States may be better represented than many other areas. In this
209 report we present three global surface climate records, created from available data by NASA
210 Goddard Institute for Space Studies (GISS), NOAA National Climatic Data Center

211 (NOAA/NCDC) and the cooperative project of the U.K. Hadley Centre and the Climate
212 Research Unit of the University of East Anglia (HadCRUT2v). These will be identified as $T_{\text{Sfc-G}}$,
213 $T_{\text{Sfc-N}}$ and $T_{\text{Sfc-U}}$ respectively.

214

215 b) Atmospheric “upper air” temperatures

216 *1. Radiosondes*

217 Radiosonde or balloon-based observations of atmospheric temperature are *in-situ* measurements
218 as the thermometer (often a thermistor or a capacitance-based sensor), suspended from a balloon,
219 is physically carried through the atmospheric column. Readings are radio-transmitted back to a
220 data recorder. Balloons are released once or twice a day (00 and/or 12 Coordinated Universal
221 Time or UTC) at about 1,000 stations around the globe, many of which began operations in the
222 late 1950s or 1960s. These sites are unevenly distributed, with only the extratropical northern
223 hemisphere land areas and the Western Pacific Ocean/Indonesia/Australia region being well-
224 sampled in space and time. Useful temperature data can be collected from near the surface
225 through the lower and middle stratosphere (though not all balloons survive to these heights).
226 Radiosonde data in the first hundred meters or so above the surface are sometimes erroneous if
227 the sensors have not been allowed to reach equilibrium with the atmosphere before launch, and
228 may not be representative of regional conditions, due to microclimatic and terrain effects.

229

230 Although most radiosonde data are transmitted to meteorological centers around the world and
231 archived, in practice many soundings do not reach this system and are collected later. No
232 definitive archive of radiosonde data exists, but several archives in the U.S. and abroad contain

233 nearly complete collections, though several different schemes have been employed for quality
234 control. To monitor climate, it is desirable to have a long, continuous record of measurements
235 from many well-distributed fixed sites. There are about 700 radiosonde stations that have
236 operated in the same location for at least three decades; many of these are clustered in a few
237 areas, further reducing the effective coverage (Figure 2.1). Thus, a dilemma exists for estimating
238 long-term changes: whether to use a smaller number of stations having long segments of
239 continuous records, or a larger number of stations with shorter records that do not always overlap
240 well. Various analysis groups have approached this differently (see Chapters 3 and 4).

241
242 Typically, radiosonde-based datasets are developed for specific atmospheric pressure surfaces
243 known as “mandatory reporting levels” (Figure 2.2). Such data at discrete vertical levels provide
244 unique information for assessing changes in the structure of the atmosphere. Two such datasets
245 are featured in this report, The Hadley Centre Atmospheric Temperatures from the U.K.
246 (HadAT) and Radiosonde Atmospheric Temperatures Products for Assessing Climate
247 (RATPAC) from NOAA. A product such as $T_{850-300}$, for example, will be identified as $T_{850-300-U}$
248 and $T_{850-300-N}$ for HadAT and RATPAC respectively. ¹

¹ A third radiosonde dataset was generated by comparing radiosonde observations against the first-guess field of the ERA-40 simulation forecast model (Haimberger, 2004). Adjustments were applied when the relative difference between the radiosonde temperatures and the forecast temperatures changed by a significant amount. The data were not yet in final form for consideration in this report, although the tropospheric values appear to have general agreement with HadAT and RATPAC

249

250 Figure 2.2 Terminology and vertical profiles for the temperature products referred to in this report. Radiosonde-
251 based layer temperatures ($T_{850-300}$, T_{100-50}) are height-weighted averages of the temperature in those layers. Satellite-
252 based temperatures (T_{2LT} , T_2 , and T_4) are mass-weighted averages with varying influence in the vertical as depicted
253 by the curved profiles, i.e., the larger the value at a specific level, the more that level contributes to the overall
254 satellite temperature average. The subscript simply indicates the layer where 90% of the information for the satellite
255 average originates.

256
257 Notes: (1) because radiosondes measure the temperature at discrete (mandatory) levels, their information may be
258 used to create a temperature value that mimics a satellite temperature (Text Box 2.1), (2) layer temperatures vary
259 from equator to pole so the pressure and altitude relationship here is based on the atmospheric structure over the
260 conterminous U.S., (3) about 10% (5%) of the value of T_{2LT} (T_2) is determined by the surface character and
261 temperature, (4) T^*_T and T^*_G are simple retrievals, being linear combinations of 2 channels, T_2 and T_4 .

262

263 Throughout the radiosonde era there have been numerous changes in stations, types of
264 instrumentation, and data processing methods that can create data discontinuities. Because
265 radiosondes are expendable instruments, instruments are more easily changed than for the more
266 permanent surface sites. The largest discontinuities appear to be related to solar heating of the
267 temperature sensor and changes in design and/or data adjustments intended to deal with this
268 problem. These discontinuities have greatest impact at stratospheric levels (the stratosphere's
269 lower boundary is ~16 km in the tropics, dropping to < 10 km in the high latitudes, Figure 2.2),

270 where direct sunlight can cause radiosonde-measured temperatures to rise several °C above
271 ambient temperatures. For example, when Australia and U.S. stations changed instrumentation to
272 Vaisala RS-80, processed stratospheric temperatures shifted downward by 1 to 3°C (Parker et al.,
273 1997, Christy et al., 2003). Many other sources of system-dependent bias exist (which often
274 affect the day and night releases differently), including icing of the sensors in regions of super-
275 cooled water, software errors in some radiosonde systems, poor calibration formulae, and
276 operator errors. Documentation of these many changes is limited, especially in the earlier
277 decades.

278

279 *2. Passive Satellite Instrumentation*

280 Unlike radiosondes, passive satellite observations of microwave and infrared brightness
281 temperatures sample thick atmospheric layers (and may include surface emissions), depicted as
282 weighting functions in Figure 2.2. These measurements may be thought of as bulk atmospheric
283 temperatures, as a single value describes the entire layer. Although this bulk measurement is less
284 informative than the detailed information from a radiosonde, horizontal coverage is far superior,
285 and consistency can be checked by comparing the appropriate vertical average from a radiosonde
286 station against nearby satellite observations (see Box 2.2). Furthermore, because there are far
287 fewer instrument systems than in radiosonde datasets, it is potentially easier to isolate and adjust
288 problems in the data.

289

290 The space and time sampling of the satellites varies according to the orbit of the spacecraft,
291 though the longer satellite datasets are based on polar orbiters. These spacecraft circle the globe

292 from pole to pole while maintaining a nominally constant orientation relative to the sun (sun-
293 synchronous). In this configuration, the spacecraft completes about 14 roughly north-south orbits
294 per day as the earth spins eastward beneath it, crosses the equator at a constant local time, and
295 provides essentially global coverage. Microwave measurements utilized in this report begin in
296 late 1978 with the TIROS-N spacecraft using a 4-channel radiometer (Microwave Sounding Unit
297 or “MSU”) which was upgraded in 1998 to a 16-channel system (advanced MSU or “AMSU”)
298 with better calibration, more stable station-keeping (i.e., the timing and positioning of the
299 satellite in its orbit – see discussion of “Diurnal Sampling” below), and higher spatial and
300 temporal sampling resolution.

301
302 Laboratory estimates of precision (random error) for a single MSU measurement are 0.25 °C.
303 Thus with 30,000 observations per day, this error is inconsequential for global averages. Of far
304 more importance are the time varying biases which arise once the spacecraft is in orbit; diurnal
305 drifting, orbital decay, intersatellite biases and calibration changes due to heating of the
306 instrument in space (see section 3 below.)

307
308 While bulk-layer measurements offer the robustness of a large-volume sample, variations within
309 the observed layer are masked. This is especially true for the layer centered on the mid-
310 troposphere (T_2) for which the temperatures of both lower stratospheric and tropospheric levels,
311 which generally show opposite variations, are merged (Figure 2.2). Three MSU/AMSU-based
312 climate records are presented in this report, prepared by Remote Sensing Systems (RSS) of Santa
313 Rosa, California, The University of Alabama in Huntsville (UAH), and The University of

314 Maryland (UMd). Subscripts identify the team, for example, T_2 will be listed as T_{2-R} , T_{2-A} and
315 T_{2-M} for RSS, UAH and UMd respectively.

316
317 Some polar orbiters also carry the Stratospheric Sounding Unit (SSU), an infrared sensor for
318 monitoring deep layer temperatures above about 15 km. SSU data have been important in
319 documenting temperature variations at higher elevations than observed by MSU instruments on
320 the same spacecraft (Ramaswamy et al., 2001). Generally, the issues that complicate the creation
321 of long-term MSU time series also affect the SSU, with the added difficulty that infrared
322 channels are more sensitive to variations in atmospheric composition (e.g., volcanic aerosols,
323 water vapor, etc.).

324
325 Future observing systems using passive-satellite methods include those planned for the National
326 Polar-orbiting Operational Environmental Satellite System (NPOESS) series: the microwave
327 sensors Conical scanning Microwave Imager/Sounder (CMIS) (which will succeed the Special
328 Sensor Microwave/Imager [SSM/I]), Special Sensor Microwave Imager/Sounder (SSM/I-S) and
329 Advanced Technology Microwave Sounder (ATMS) (which will succeed the AMSU), and the
330 infrared sensor Cross-track Infrared Sounder (CrIS) (following the High-resolution Infrared
331 Radiation Sounder [HIRS]). Each of these will follow measuring strategies that are both similar
332 (polar orbit) and dissimilar (e.g., CMIS's conical scanner vs. AMSU's cross-track scanner) but
333 add new spectral and more detailed resolution.

334

335 *3. "Active" satellite instrumentation*

336 A relatively recent addition to temperature monitoring is the use of Global Positioning System
337 (GPS) radio signals, whose time of transmission through the atmosphere is altered by an amount
338 proportional to air density and thus temperature at levels where humidity can be ignored
339 (Kursinski et al., 1997). A key advantage of this technique for climate study is that it is self-
340 calibrating. Current systems are accurate in the upper troposphere and lower to middle
341 stratosphere where moisture is insignificant, but at lower levels, humidity becomes a
342 confounding influence on density. Future versions of this system may overcome this limitation
343 by using shorter wavelengths to measure humidity and temperature independently. Because of
344 the relatively short GPS record and limited spatial coverage to date, its value for long-term
345 climate monitoring cannot yet be definitively demonstrated.

346

347 c) Operational Reanalyses

348 Operational reanalyses (hereafter simply “reanalyses”) will be discussed here in chapter 2, but
349 their trends presented only sparingly in the following chapters because of evidence that they are
350 not always reliable, even during the recent period. All authors expressed concern regarding
351 reanalyses trends, a concern that ranged from unanimous agreement that stratospheric trends
352 were likely spurious to mixed levels of confidence regarding tropospheric trends (see chapter 3).
353 Surface temperature trends are a separate issue as reanalyses values are indirectly *estimated*
354 rather than *observed* (see below). However, reanalyses products hold significant potential for
355 addressing many aspects of climate variability and change.

356

357 Reanalyses are not separate observing systems, but are mathematically blended products based

358 upon as many observing systems as practical. Observations are assimilated into a global weather
359 forecasting model to produce analyses that are most consistent with both the available data
360 (given their imperfections) and the assimilation model. The model, which is constrained by
361 known but parameterized atmospheric physics, generates a result that could be more accurate and
362 physically self-consistent than can be obtained from any one observing system. Some data are
363 rejected or adjusted based on detected inconsistencies. Importantly, the operational procedure
364 optimizes only the accuracy of each near-instantaneous (“synoptic”) analysis. Time-varying
365 biases of a few hundredths or tenths of a degree, which contribute little to short time scale
366 weather error, present a major problem for climate trends, and these are not minimized (e.g.,
367 Sherwood, 2000). The two main reanalyses available at this time are the National Centers for
368 Environmental Prediction (NCEP)/National Center for Atmospheric Research (NCAR)
369 reanalysis of data since 1948 (Kalnay et al., 1996) and the European Center for Medium-Range
370 Weather Forecasts Re-Analysis-40 (ECMWF ERA-40) beginning in 1957 (Simmons, 2004).

371
372 Because many observational systems are employed, a change in any one will affect the time
373 series of the final product. Reanalyses would be more accurate than lower-level data products for
374 climate variations only if the above shortcomings were outweighed by the benefits of using a
375 state-of-the-art model to treat unsampled variability. Factors that would make this scenario likely
376 include a relatively skillful forecast model and assimilation system, large sampling errors (which
377 are reduced by reanalysis), and small systematic discrepancies between different instruments.
378 However, current models tend to have significant intrinsic biases that can particularly affect
379 reanalyses when sampling is sparse.

380

381 Reanalysis problems that influence temperature trend calculations arise from changes over time
382 in (a) radiosonde and satellite data coverage, (b) radiosonde biases (or in the corrections applied
383 to compensate for these biases), (c) the effectiveness of the bias corrections applied to satellite
384 data and (d) the propagation of errors due to an imprecise formulation of physical processes in
385 the models. For example, since few data exist for the Southern Hemisphere before 1979,
386 temperatures were determined mainly by model forecasts; a cold model bias (in ERA-40, for
387 example) then produces a spurious warming trend when real data become available. Indirect
388 effects may also arise from changes in the biases of other fields, such as humidity and clouds,
389 which affect the model temperature (Andrae et al., 2004; Simmons et al., 2004.).

390

391 Different reanalyses do not employ the same data. NCEP/NCAR does not include surface
392 temperature observations over land but the analysis still produces estimated near-surface
393 temperatures based on the other data (Kalnay and Cai, 2003). On the other hand, ERA-40 does
394 incorporate these but only indirectly through their modeled impacts on soil temperature and
395 surface humidity (Simmons et al., 2004). Thus, the 2-meter air temperatures of both reanalyses
396 may not track closely with surface observations over time (Kalnay and Cai, 2003). SSTs in both
397 reanalyses are simply those of the climate records used as input.

398

399 For upper air reanalyses temperatures, simultaneous assimilation of radiosonde and satellite data
400 is particularly challenging because the considerably different instrument characteristics and
401 products make it difficult to achieve the consistency possible in theory. Despite data adjustments,

402 artifacts still remain in both radiosonde and satellite analyses; these produce the largest
403 differences in the lower stratosphere in current reanalysis datasets (e.g., Pawson and Fiorino,
404 1999; Santer et al., 1999; Randel, 2004). Some of these differences can now be explained, so that
405 future reanalyses will very likely improve on those currently available. However any calculation
406 of deep-layer temperatures from reanalyses which require stratospheric information are
407 considered in this report to be suspect (see Figure 2.2, T_T , T_2 , T_4 , and T_{100-50}).

408

409 d.) Simple retrieval techniques

410 A problem in interpreting MSU (i.e., broad-layer) temperature trends is that many channels
411 receive contributions from both the troposphere and stratosphere, yet temperatures tend to
412 change oppositely in these two layers with respect to both natural variability and predicted
413 climate change. In particular, MSU Channel 2 (T_2) receives 10-15% of its emissions from the
414 stratosphere (Spencer and Christy, 1992), which is a significant percentage because stratospheric
415 cooling in recent decades far exceeds tropospheric warming. It is impossible to eliminate all
416 physical stratospheric influences on MSU 2 by simply subtracting out MSU 4 (T_4) influences
417 because any linear combination of these two channels still retains stratospheric influence
418 (Spencer et al., 2005), which will lead to errors. However, it is possible to rely upon radiosonde-
419 measured correlations between tropospheric and stratospheric temperature fluctuations in order
420 to find what linear combination of these two channels leads to a near-cancellation of these errors,
421 i.e., where y is determined by regression:

422 *Tropospheric Retrieval* = $(1+y) \cdot (T_2) - (y) \cdot (T_4)$. The challenge here is that the resulting
423 relationship depends on the training dataset (radiosondes) being globally or tropically

424 representative (i.e., the troposphere/stratosphere boundary varies spatially and thus the
425 relationship between T_2 and T_4 does as well) and free from significant biases.

426

427 Fu et al. (2004) used a radiosonde dataset to estimate values for y (for the globe, tropical region,
428 and Northern and Southern Hemispheres) that most closely reproduced the monthly variability of
429 mean temperature from 850 to 300 hPa, spanning most of the troposphere. From physical
430 arguments, however, it is clear that the true physical contributions to the retrieval come from a
431 broader range of altitudes, which, in the tropics, approximately span the full troposphere (Fu and
432 Johanson, 2004; 2005). Although derived values of y are robust ($\pm 10\%$, Gillett et al., 2004,
433 Johanson and Fu, 2005), the veracity of the retrieval for climate change has been a subject of
434 debate (due to the accuracy and global representativeness issues mentioned above), and will be
435 further addressed in Chapter 4.

436

437 In the following chapters, two simple retrievals will be utilized in comparison studies with the
438 products of the observing systems. The tropospheric retrieval generated from global mean
439 values of T_2 and T_4 , is identified as T^*_G where $y = 0.143$ (Johanson and Fu, 2005), and when
440 applied to tropical mean values is identified as T^*_T where $y = 0.100$ (Fu and Johanson, 2005).

441

442 A summary of the sources of biases and uncertainties for the datasets and other products
443 described above is given at the end of this chapter. There are several datasets yet to be generated
444 (or not yet at a stage sufficient for climate analysis) from other sources that have the potential to
445 address the issue of vertical temperature distribution. A generic listing of these datasets with a

446 characterization of their readiness is given in Table 2.1.

447

448

449

450

451 Table 2.1 Dataset types and readiness for high quality climate monitoring related to the vertical temperature
452 structure of the atmosphere. "Usage of Data" indicates the level of application of the dataset to the vertical
453 temperature issue. "Understanding" indicates the level of confidence (or readiness) in the dataset to provide accurate
454 information on this issue.

455

I

456

457 : Adequate for long-term global climate variations =Green

458 Improvements or continued research needed for long-term global climate variations = Yellow

459 Problems exist or a lack of analysis to date inhibit long-term global climate variation studies = Red

460 P: Polar orbiter, twice per day per orbiter per ground location

461 G: Geostationary, many observations per day per ground location

462 2x Day: Twice daily at site

463 Hrly: Up to several times per day, many report hourly

464 Syn: Synoptic or generally up to 8 times per day. (Buoys continuous)

465

466 **2. ANALYSIS OF CLIMATE RECORDS**

467 Two factors can interfere with the accurate assessment of climate variations over multi-year
468 periods and relatively large regions. First, much larger variability (weather or “atmospheric
469 noise”) on shorter time or smaller space scales can, if inadequately sampled by the observing
470 network, bias estimates of relatively small climate changes. For example, an extended heat wave
471 in an un-instrumented region accompanied by a compensating cold period in a well-instrumented
472 region may be interpreted as a “global” cold period when it was not. Such biases can result from
473 either spatial or temporal data gaps (Agudelo and Curry, 2004). Second, instrumental errors,
474 particularly biases that change over time, can create erroneous trends. The seriousness of each

475 problem depends not only on the data available but also on how they are analyzed. Finally, even
476 if global climate is known accurately at all times and places, there remains the issue of what
477 measures to use for quantifying climate change; different choices can sometimes create different
478 impressions, e.g., linear trends versus low frequency filtered analyses that retain some
479 information beyond a straight line.

480

481 Upper air layers experience relatively rapid horizontal smoothing of temperature variations, so
482 that on annual mean time scales, the atmosphere is characterized by large, coherent anomaly
483 features, especially in the east-west direction (Wallis, 1998, Thorne et al., 2005b). As a result, a
484 given precision for the global mean value over, say, a year, can be attained with fewer, if
485 properly spaced, upper air measurement locations than at the surface (Hurrell et al., 2000). Thus,
486 knowledge of global, long-term changes in upper-air temperature is limited mainly by
487 instrumental errors. However, for some regional changes (e.g., over sparsely observed ocean
488 areas) sampling problems may compete with or exceed instrumental ones.

489

490 a) Climate Records

491 Various groups have developed long time series of climate records, often referred to as Climate
492 Data Records (CDRs) (NRC, 2000b; 2000c; 2004) from the raw measurements generated by
493 each observing system. Essentially, climate records are time series that include estimates of error
494 characteristics so as to enable the study of climate variation and change on decadal and longer
495 time scales with a known precision.

496

497 Long-term temperature changes occur within the context of shorter-term variations, which are
 498 listed in Table 2.2. These shorter changes include: periodic cycles such as day-night and seasonal
 499 changes; fairly regular changes due to synoptic weather systems, the Quasi-Biennial Oscillation
 500 (QBO), and the El Niño-Southern Oscillation (ENSO); and longer-term variations due to
 501 volcanic eruptions or internal climate dynamics. These changes have different vertical
 502 temperature signatures, and the magnitude of each signal may be different at the surface, in the
 503 troposphere, and in the stratosphere. Details are given in Table 2.2. Some of these signals can
 504 complicate the identification of temperature trends in climate records.

505 Table 2.2 Listing of atmospheric temperature variations by time scale and their properties. (Time scales and sources
 506 of global temperature variations)
 507

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
Diurnal ¹	Warmer days than nights, due to earth's rotation on its axis affecting solar heating.	Daily (outside of polar regions)	Highly variable. Surface skin T changes up to 35K. Boundary layer changes <10K. Free tropospheric changes <1K. Stratospheric changes ~0.1-1 K.	Well detected in surface data. Poorly detected globally in the troposphere and stratosphere due to infrequent sampling (once or twice daily) and potential influence of measurement errors with their own diurnal signal. A few ground-based systems	Satellite data require adjustment of drift in the local equatorial crossing time of spacecraft orbits. Inadequate quantification of the true diurnal cycle hinders this adjustment. Different diurnal adjustments by different groups may

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
				detect signal well.	partly account for differences in trend estimates.
Synoptic ²	Temperature changes associated with weather events, such as wave and frontal passages, due to internal atmospheric dynamics.	1-4 days	Up to ~15K or more at middle latitudes, ~3K in Tropics.	Well detected by observing systems designed to observe meteorological variability.	Not significant, but contributes to noise in climate data records.
Intraseasonal ³	Most notably, an eastward-and vertically-propagating pattern of disturbed weather in the tropical Indo-Pacific ocean region, of unknown cause. Also, atmospheric “blocking” and wet/dry land surface can cause intra-seasonal variations at mid-latitudes.	40-60 days (Tropics), < 180 days (mid-latitudes)	1-2 K at surface, less aloft (tropics), larger in mid-latitudes.	Temperature signals moderately well detected, with tropical atmosphere limited by sparse radiosonde network and IR-based surface temperature limited by cloud. Reanalysis data are useful.	Not significant due to short duration, but may be important if character of the oscillation changes over time.
Annual ⁴	Warmer summers than winters, and shift in position of major precipitation	Yearly	~2-30 K; greater over land than sea, greater at high than low latitudes,	Well observed.	Trends are often computed from “anomaly” data, after the

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
	zones, due to tilt of the earth's axis of rotation affecting solar heating.		greater near the surface and tropopause than at other heights.		mean annual cycle has been subtracted. Changes in the nature of the annual cycle could affect annual-average trends.
Quasi-Biennial Oscillation (QBO) ⁵	Nearly periodic wind and temperature changes in the equatorial stratosphere, due to internal atmospheric dynamics.	Every 23-28 months (average of 27 months because occasionally periods of up to 36 months occur.)	Up to 10 K locally, ~0.5 K averaged over the tropical stratosphere.	Fairly well observed by equatorial radiosonde stations and satellites.	Like ENSO, can influence trends in short data records, but it is relatively easy to remove this signal.
Interannual ⁶	Multiannual variability due to interaction of the atmosphere with dynamic ocean and possibly land surfaces; most notably, ENSO. Can also be caused by volcanic eruptions.	ENSO events occur every 3-7 years and last 6-18 months; major volcanic eruptions, irregular but approximately every 5-20 years with effects lasting ~ 2 years.	Up to 3K in equatorial Pacific (ENSO), smaller elsewhere. Volcanic warming of stratosphere can exceed 5K in tropics cooling of surface <2K.	Fairly well observed, although the vertical structure of ENSO is not as well documented, due to sparseness of the tropical radiosonde network.	ENSO affects surface global mean temperatures by $\pm 0.4K$, and more in the tropical troposphere. Large ENSO events near the start or end of a data record can strongly affect computed trends, as was the case for the 1997-98 event. Changes in ENSO

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
					frequency or strength affect (and may be coupled with) long-term trends.
Decadal to interdecadal oscillations and shifts ⁷	Like interannual, but longer time scales. Prominent example is the PDO/ Interdecadal Pacific Oscillation. Despite long time scale, changes can occur as abrupt shifts, for example, a warming shift around 1976. Others include regional changes in the North Atlantic, Pacific-North American, Arctic, and the Antarctic oscillations. Some changes also caused by 11-year solar cycle.	Poorly known; 50-year PDO cycle suggested by 20 th -century observations; others a decade or two; solar 11-year cycle detectable also.	Not well studied. The 1976-77 shift associated with a sharp warming of at least 0.2K globally, though difficult to distinguish from anthropogenic warming. 11-year cycle leads to stratospheric temperature changes of ~2K, and interacts with the Quasi-Biennial Oscillation (QBO).	Relatively large regional changes are well observed, but global expression is subject to data consistency issues over time and possible real changes.	Can account for a significant fraction of linear trends calculated over periods of a few decades or less regionally. Such trends may differ significantly from one such period to the next.
Sub-centennial 60-80 year fluctuation or "Atlantic	Fluctuates in instrumental and paleo data at least back to c.1600. Seems to	60-80 years	~ ±0.5C in parts of the Atlantic. Apparently detectable in	Detectable globally above the noise, clear in North Atlantic SST.	Effects small globally, but probably detectable in last few

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
Multidecadal Oscillation ⁸	particularly affect Atlantic sector. Possible interhemispheric component.		global mean ~ ±0.1C		decades. Readily detectable over this period in North Atlantic Ocean where it clearly affects surface temperature trends and probably climate generally.
Centennial and longer variations ⁹	Warming during 20 th Century due to human influences, solar, and internal variability. Earlier changes included the “little ice age” and “medieval warm period.”	None confirmed, though 1500 year Bond cycle possible.	20 th century warming of ~0.6K globally appears to be as large or larger than other changes during the late Holocene.	Surface warming during 20 th century fairly well observed; proxies covering earlier times indicated 20 th century warmer than the past 5 centuries	Natural temperature variations occur on the longest time scales accessible in any instrumental record.

508

509

510 ¹ Christy et al., 2003; Mears et al., 2003; Vinnikov and Grody. 2003; Dai and Trenberth, 2004; Jin, 2004; Seidel et
 511 al., 2005.

512 ² Palmen and Newton, 1969

513
 514 ³ Duvel et al.,2004.

515
 516 ⁴ Wallace and Hobbs, 1977

517
 518 ⁵ Christy and Drouilhet, 1994; Randel et al., 1999; Baldwin et al., 2001

519
 520 ⁶ Parker and Brownscombe, 1983; Pan and Oort, 1983; Christy and McNider, 1994; Parker et al., 1996; Angell,

521 2000; Robock, 2000; Michaels and Knappenberger, 2000; Santer et al., 2001; Free and Angell, 2002a; Trenberth et
522 al., 2002; Seidel et al., 2004; Seidel and Lanzante, 2004

523
524 ⁷ Labitzke, K., 1987; Trenberth and Hurrell, 1994; Lean et al., 1995; Zhang et al., 1997; Thompson et al., 2000;
525 Douglass and Clader, 2002; Seidel and Lanzante, 2004; Hurrell et al., 2003; Folland et al., 1999; Power et al., 1999;
526 Folland et al. 2002.

527
528 ⁸ Schlesinger and Ramankutty, 1994; Mann et al., 1998; Folland et al., 1999; Andronova and Schlesinger, 2000;
529 Goldenberg et al., 2001; Enfield et al., 2001

530
531 ⁹ Folland et al., 2001a.

532 Our survey of known atmospheric temperature variations, how well they are measured, and their
533 impact on trend estimates suggests that most observing systems are generally able to quantify
534 well the magnitudes of change associated with shorter time scales. For longer time scale changes,
535 where the magnitudes of change are smaller and the stability requirements more rigorous, the
536 observing systems face significant challenges (Seidel et al., 2004).

537

538 b) Measuring Temperature Change

539

540 Over the last three to five decades, global surface temperature records show increases of almost
541 two tenths of a °C per decade. Explaining atmospheric and surface trends therefore demands
542 relative accuracies of a few hundredths of a degree per decade in global time series of both
543 surface and upper-air observations. As this and subsequent chapters will show, the effects of
544 instrumental biases on the global time series are significantly larger than a few hundredths of a
545 degree for the upper-air data, though the global surface temperature compilations do appear to
546 reach this level of precision in recent decades (Folland et al., 2001b). These biases, especially
547 those of the upper air, must therefore be understood and quantified rather precisely (see section 3
548 below). For this fundamental reason, reliable assessment of lapse rate changes remains a

549 considerable challenge.

550

551 Natural modes of climate variability on regional scales are manifested in decadal fluctuations in
552 (a) the tropical Pacific, e.g., ENSO, and (b) the northern latitudes, e.g., the North Atlantic,
553 Pacific-North American and the Arctic atmospheric oscillations (Table 2.2). Even fluctuations on
554 longer time scales have been proposed, e.g., the Atlantic Multidecadal Oscillation/60-80 year
555 variation (Schlesinger and Ramankutty, 1994; Enfield et al., 2001). Each of these phenomena is
556 associated with regions of both warming and cooling. Distinguishing slow, human-induced
557 changes from such phenomena requires identifying the patterns and separating the influences of
558 such modes from the warming signal (e.g., as attempted by SST by Folland et al., 1999.) In
559 addition, these oscillations could themselves be influenced by human-induced atmospheric
560 changes (Hasselmann, 1999).

561

562 **3. LIMITATIONS**

563

564 A key question addressed in this report is whether climate records built by investigators using
565 various components of the observing system can meet the needs for assessing climate variations
566 and trends with the accuracy and representativeness which allows any human attribution to be
567 reliably identified. Climate record builders have usually underestimated the overall uncertainty in
568 their products by relying on traditional sources of uncertainty that can be quantified using
569 standard statistical methods. For example, published linear trend values exist of the same
570 temperature product from the same observing system whose error estimates do not overlap,

571 indicating serious issues with error determination. Thus, in 2003, three realizations of T₂ (or
572 MSU channel 2) 1979-2002 global trends were published as +0.03 ±0.05 +0.12 ±0.02, and +0.24
573 ±0.02 °C per decade (Christy et al., 2003; Mears et al., 2003; and Vinnikov and Grody, 2003,
574 respectively.) Over 40% of the difference between the first two trends is due to the treatment of a
575 single satellite in the 1984-1986 period, with a combination of lesser differences during later
576 satellite periods. The third dataset has more complex differences, though it is being superseded
577 by a version whose trend is now lower (Grody et al., 2004, Vinnikov et al. 2005).

578

579 This situation illustrates that it is very challenging to determine the true error characteristics of
580 datasets (see Chapter 4), although considerably less attention has been paid to this than to the
581 construction of the datasets themselves. In this report, we refer to systematic errors in the climate
582 data records as “construction errors.” Such errors can be thought of as having two fundamentally
583 different sources, *structural* and *parametric* (see Box 2.1). The human decisions that underlie the
584 production of climate records may be thought of as forming a *structure* for separating real and
585 artificial behavior in the raw data. Assumptions made by the experts may not be correct, or
586 important factors may have been ignored; these possibilities lead to *structural uncertainty*
587 (Thorne et al., 2005a) in any trend or other metric obtained from a given the climate record.
588 Experts generally tend to underestimate structural uncertainty (Morgan, 1990). The T₂ example
589 above shows that this type of error can considerably exceed those recognized by the climate
590 record builders. Sorting out which decisions are better than others, given the fact many
591 individual decisions are interdependent and often untestable, is challenging.

592

593 Structural uncertainty is difficult to quantify because this requires considering alternatives to the
594 fundamental assumptions, rather than just to the specific sampling or bias pattern in the available
595 data (the main source of parametric uncertainty). For example, is an apparent diurnal variation
596 due to (a) real atmospheric temperature change, (b) diurnal solar heating of an instrument
597 component, (c) a combination of both, or (d) something else entirely? If the answer is not known
598 *a priori*, different working assumptions may lead to a different result when corrections are
599 determined and applied.

600

601 There may be several ways to identify structural errors. First, it is well known in statistics that
602 one should examine the variability that is left over when known effects are removed in a data
603 analysis, to see whether the residuals appear as small and “random” as implied by the
604 assumptions. Even when the residuals are examined, it is often difficult to identify the cause of
605 any non-randomness. Second, one can compare the results with external or independent data
606 (such as comparing SST and NMAT observations). However, one then encounters the problem
607 of assessing the accuracy of the independent data; because, in the case of global atmospheric
608 temperature data there are no absolute standards for any needed adjustment. Christy et al. (2000)
609 demonstrate the use of internal and external methods for evaluating the error of their upper air
610 time series. They assumed that where agreement of independent measurements exists, there is
611 likely to be increased confidence in the trends. Third, one can try to assess the construction
612 uncertainty by examining the spread of results obtained by multiple experts working
613 independently (e.g., the T₂ example, Thorne et al., 2005a). Unfortunately, though valuable, this
614 does not establish the uncertainties of individual efforts, nor is it necessarily an accurate measure

615 of overall uncertainty. If all investigators make common mistakes, the estimate of construction
616 uncertainty may be too optimistic; but if some investigators are unaware of scientifically sound
617 progress made by others, the estimate can be too pessimistic.

618

619 A general concern regarding all of the datasets used in this analysis - land air temperature, sea
620 surface temperature, radiosonde temperature, and satellite-derived temperature – is the level of
621 information describing the operational characteristics and evolution of the associated observing
622 system. As indicated above, the common factor that creates the biggest differences between
623 analyses of the same source data is the homogeneity adjustments made to account for biases in
624 the raw data. All homogeneity adjustments would improve with better metadata - that is,
625 information (data) about the data (see chapter 6). For satellite-derived temperature, additional
626 metadata such as more data points used in the pre-launch calibration would have been helpful to
627 know, especially if done for differing solar angles to represent the changes experienced on orbit.
628 For the in situ data sets, additional metadata of various sorts likely exist in one form or another
629 somewhere in the world and could be acquired or created. These include the type of instrument,
630 the observing environment, the observing practices and the exact dates for changes in any of the
631 above.

632

633 Below we identify various known issues that led to errors in the datasets examined in this report,
634 and which have generally been addressed by the various dataset builders. Note that reanalyses
635 inherit the errors of their constituent observing systems, though they have the advantage of
636 seeking a degree of consensus among the various observing systems through the constraint of

637 model physics. The complex reanalysis procedure transforms these errors of output data into
638 errors of construction methodology that are hard to quantify.

639

640 **Errors primarily affecting *in situ* observing systems.**

641

642 **Spatial and temporal sampling:** The main source of this error is the poor sampling of oceanic
643 regions, particularly in the Southern Hemisphere, and some tropical and Southern
644 Hemisphere continental regions (see Text Box 2.1). Temporal variations in radiosonde
645 sampling can lead to biases, (e.g., switching from 00 to 12 UTC) but these are generally
646 documented and thus potentially treatable.

647 **Local environmental changes:** Land-use changes, new instrument exposures, etc., create new
648 localized meteorological conditions to which the sensor responds. These issues are most
649 important for land near-surface air temperatures but can also affect the lower elevation
650 radiosonde data. Some changes, e.g., irrigation, can act to increase nighttime minima
651 while decreasing daytime maxima, leaving an ambiguous signal for the daily mean
652 temperature. Such changes are sources of error only if the change in the immediate
653 surroundings of the station is unrepresentative of changes over a larger region.

654 **Changes in methods of observation:** A change in the way in which an instrument is used, as in
655 calibrating a radiosonde before launch, i.e., whether it is compared against a typical
656 outdoor sensor or against a traceable standard.

657 **Changes in data processing algorithms:** A change in the way raw data are converted to
658 atmospheric information can introduce similar problems. For radiosonde data, the raw

659 observations are often not archived and so the effects of these changes are not easily
660 removed.

661

662 **Errors primarily affecting satellite systems**

663

664 **Diurnal sampling:** It is common for polar orbiters to drift slowly away from their “sun-
665 synchronous” initial equatorial crossing times (e.g., 1:30 p.m. to 5 p.m.), introducing
666 spurious trends related to the natural diurnal cycle of daily temperature. The later polar
667 orbiters (since 1998) have more stable station keeping. Diurnal drift adjustments for T_{2LT}
668 and T_2 impact the trend by a few hundredths °C/decade. Changes in local observation
669 time also significantly afflict *in situ* temperature observations, with a lesser impact on the
670 global scale.

671 **Orbit decay:** Variations in solar activity cause expansion and contraction of the thin atmosphere
672 at the altitudes where satellites orbit, which create variable frictional drag on spacecraft.
673 This causes periods of altitude decay, changing the instrument’s viewing geometry
674 relative to the earth and therefore the radiation emissions observed. This issue relates
675 most strongly to T_{2LT} , which uses data from multiple view angles, and is of order 0.1
676 °C/decade.

677 **Calibration shifts/changes:** For satellite instruments, the effects of launch conditions or
678 changes in the within-orbit environment (e.g., varying solar shadowing effects on the
679 spacecraft components as it drifts through the diurnal cycle) may require adjustments to
680 the calibration equations. Adjustment magnitudes vary among the products analyzed in

681 this report but are on the order of 0.1 °C/decade for T_{2LT} and T_2 .

682 **Surface emissivity effects:** The intensity of surface emissions in observed satellite radiances
683 can vary over time due to changes in surface properties, e.g. wet vs. dry ground, rough vs.
684 calm seas, etc., and longer-term land cover changes, e.g., deforestation leading to higher
685 daytime skin temperatures and larger diurnal temperature cycles.

686 **Atmospheric effects:** Atmospheric composition can vary over time (e.g., aerosols), affecting
687 satellite radiances, especially the infrared.

688

689 **Errors affecting all observing systems**

690

691 **Instrument Changes:** Systematic variations of calibration between instruments will lead to
692 time-varying biases in absolute temperature. These involve (a) changes in instruments
693 and their related components (e.g., changes in housing can be a problem for *in situ*
694 surface temperatures), (b) changes in instrument design or data processing (e.g.,
695 radiosondes) and (c) copies of the same instrument that are intended to be identical but
696 are not (e.g., satellites).

697

698 **Errors or differences related to analysis or interpretation**

699

700 **Construction Methodology:** As indicated, this is often the source of the largest differences
701 among trends from datasets and is the least quantifiable. When constructing a
702 homogeneous, global climate record from an observing system, different investigators

703 often make a considerable range of assumptions as to how to treat unsampled or
704 undersampled variability and both random and systematic instrument errors. The trends
705 and their uncertainties that are subsequently estimated are sensitive to treatment
706 assumptions (Free et al., 2002b). For example, the trends of the latest versions of T₂ from
707 the three satellite analyses vary from +0.044 to +0.199 °C/decade (chapter 3), reflecting
708 the differences in the combination of individual adjustments determined and applied by
709 each team (structural uncertainty.) Similarly, the T₂ global trends of the radiosonde-
710 based and reanalyses datasets range from -0.036 to +0.067 °C/decade indicating
711 noticeable differences in decisions and methodologies by which each was constructed.
712 Thus the goal of achieving a consensus with an error range of a few hundredths
713 °C/decade is not evidenced in these results.

714 **Trend Methodology:** Differences between analyses can arise from the methods used to
715 determine trends. Trends shown in this report are calculated by least squares linear
716 regression.

717 **Representativeness:** Any given measure reported by climate analysts could under- or overstate
718 underlying climatic behavior. This is not so much a source of error as a problem of
719 interpretation. This is often called statistical error. For example, a trend computed for
720 one time period (say, 1979-2004) is not necessarily representative of either longer or
721 earlier periods (e.g., 1958-1979), so caution is necessary in generalizing such a result. By
722 the same token, large variations during portions of the record might obscure a small but
723 important underlying trend. (See Appendix for Statistical Uncertainties.)

724

725

726 4. IMPLICATIONS

727 The observing systems deployed since the late 1950s, and the subsequent climate records derived
728 from their data, have the capability to provide information suitable for the detection of many
729 temperature variations in the climate system. These include temperature changes that occur with
730 regular frequency, e.g., daily and annual cycles of temperature, as well as non-periodic events
731 such as volcanic eruptions or serious heat and cold waves. The data from these systems also have
732 the potential to provide accurate trends in climate over the last few decades (and over the last
733 century for surface observations), once the raw data are successfully adjusted for changes over
734 time in observing systems, practices, and micro-climate exposure to produce usable climate
735 records. Measurements from all systems require such adjustments and this report relies on
736 adjusted datasets. The details of making such adjustments when building climate records from
737 the uncorrected observations are examined in the following chapters.

738

739 Text Box 2.1: Comparing Radiosonde and Satellite Temperatures

740 Attempts to compare temperatures from satellite and radiosonde measurements are hindered by a
741 mismatch between the respective raw observations. While radiosondes measure temperatures at
742 specific vertical levels, satellites measure radiances which can be interpreted as the temperature
743 averaged over a deep layer. To simulate a satellite observation, the different levels of
744 temperature in the radiosonde sounding are proportionally weighted to match the profiles shown
745 in Figure 2.2. This can be done in one of two ways.

746

747 1. Employ a simple set of geographically and seasonally invariant coefficients or weights, called
748 a static weighting function. These coefficients are multiplied by the corresponding set of
749 temperatures at the radiosonde levels and the sum is the simulated satellite temperature. Over
750 land, the surface contributes more to the layer-average than it does over the ocean, and this
751 difference is taken into account by slightly different sets of coefficients applied to land vs. ocean
752 calculations. This same method may be applied to the temperature level data of global
753 reanalyses. We have applied the “static weighting function” approach in this report.

754

755 2. Take into account the variations in the air mass temperature, surface temperature and pressure,
756 and atmospheric moisture (Spencer et al., 1990). Here, the complete radiosonde temperature
757 and humidity profiles are ingested into a radiation model to generate the simulated satellite
758 temperature (e.g., Christy and Norris, 2004). This takes much more computing power to
759 calculate and requires humidity information, which for radiosondes is generally of poorer quality
760 than temperature information or is missing entirely. For climate applications, in which the time
761 series of large-scale anomalies is the essential information, the output from the two methods
762 differs only slightly.

763

764 There are practical difficulties in generating long time series of simulated satellite temperatures
765 under either approach. To produce a completely homogeneous data record, the pressure levels
766 used in the calculation must be consistent throughout time, i.e., always starting at the surface and
767 reaching the same designated altitude. If, for example, soundings achieved higher elevations as
768 time went on, there would likely be a spurious trend due to the effects of having measured

769 observations during the latter period of record, while by necessity, relying on estimates for the
770 missing values in the earlier period. We also note that HadAT utilizes 9 pressure levels for
771 simulating satellite profiles while RATPAC use 15, so differences can arise from these differing
772 inputs.

773

774 An additional complication is that many radiosonde datasets and reanalyses may provide data at
775 mandatory levels beginning with 1000 and/or 850 hPa, i.e., with no identifiable surface. Thus,
776 the location of the material surface, and its temperature, can only be estimated so that an
777 additional source of error to the anomaly time series may occur. There are a number of other
778 processing choices available when producing a time series of simulated satellite data for site-by-
779 site comparisons between actual satellite data and radiosondes (or reanalyses) and these also
780 have the potential to introduce non-negligible biases.

781

782 Averaging of spatially incomplete radiosonde observations for comparison of global and tropical
783 anomalies also introduces some error (Agudelo and Curry, 2004). In this report we have first
784 zonally averaged the data, then generated satellite-equivalent measures from these data and
785 finally calculated global and tropical averages. The spatial coverage differs markedly between
786 the two radiosonde datasets. However, as anomalies are highly correlated in longitude the
787 relative poor longitudinal sampling density of RATPAC (and HadAT outside of the NH mid-
788 latitudes) is not necessarily an impediment (Hurrell et al., 2000). Comparing global averages
789 estimated using only those zonally-averaged grids observed at RATPAC station sites by MSU
790 versus the globally complete fields from MSU, a sampling error of less than ± 0.05 °C/decade

791 was inferred for T_{2LT} . Satellite and reanalyses are essentially globally complete and thus do not
792 suffer from spatial subsampling.

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