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## Chapter 2

What kinds of atmospheric temperature variations can the current observing systems detect and what are their strengths and limitations, both spatially and temporally?

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## 42 Findings and Recommendations

43 • The observing systems available for this report are able to detect small surface and upper air  
44 temperature variations from year to year, for example, those caused by El Niño or volcanic  
45 eruptions.

46

47 • The data from these systems also have the potential to provide accurate trends in climate over  
48 the last few decades (and over the last century for surface observations), once the raw data are  
49 successfully adjusted for changes over time in observing systems, practices, and micro-climate  
50 exposure to produce usable climate records. Measurements from all systems require such  
51 adjustments and this report relies on adjusted datasets.

52

53 • Adjustments to the land surface temperature record have been sufficiently successful that  
54 trends are reasonably similar on large (e.g., continental) scales, despite the fact that spatial  
55 sampling is uneven and some errors undoubtedly remain. This conclusion holds to a lesser extent  
56 for the ocean surface record, which suffers from more serious sampling problems and changes in  
57 observing practice.

58

59 • Adjustments for changing instrumentation are most challenging for upper-air datasets. While  
60 these show promise for trend analysis, it is likely that current upper-air climate records give  
61 reliable indications of directions of change (e.g. warming of troposphere, cooling of stratosphere)  
62 but some questions remain regarding the precision of the measurements.

63 • Upper-air datasets have been subjected to less scrutiny than surface datasets.

- 64 • Adjustments are complicated, sometimes as large as the trend itself, involve expert  
65 judgments, and cannot be stringently evaluated because of lack of traceable standards.
- 66 • Unlike surface trends, reported upper-air trends vary considerably between research  
67 teams beginning with the same raw data owing to their different decisions on how to  
68 remove non-climatic factors.
- 69 • The diurnal cycle, which must be factored into some adjustments for satellite data, is  
70 well observed only by surface observing systems.
- 71 • No available observing system has reference stations or multi-sensor instrumentation  
72 that would provide stable calibration over time.
- 73 • Most observing systems have not retained complete metadata describing changes in  
74 observing practices which could be used to identify and characterize non-climatic  
75 influences.
- 76
- 77 • Relevant satellite datasets measure broad vertical layers and cannot reveal the detailed vertical  
78 structure of temperature changes, nor can they completely isolate the troposphere from the  
79 stratosphere. However, retrieval techniques can be used both to approximately isolate these  
80 layers and to check for vertical consistency of trend patterns. Consistency between satellite and  
81 radiosonde data can be tested by proportionately averaging radiosonde profiles.
- 82 • Reanalyses and other multi-system products have the potential for addressing issues of  
83 surface and atmospheric temperature trends by making better use of available information and  
84 allowing analysis of a more comprehensive, internally consistent, and spatially and temporally

85 complete set of climate variables. At present, however, they contain biases, especially in the  
86 stratosphere, that affect trends and that cannot be readily removed because of the complexity of  
87 the data products.

88

89 • There are as yet under-exploited data archives with potential to contribute to our  
90 understanding of past changes, and new observing systems that may improve estimates of future  
91 changes if designed for long-term measurement stability and operated for sufficient periods.

92

93

94 *Recommendation: Current and future observing systems should adhere to the principles for*  
95 *climate observations adopted internationally under the Framework Convention on Climate*  
96 *Change and documented in “NRC 2000b” and the “Strategic Plan for the U.S. Climate Change*  
97 *Science Program (2003)” to significantly mitigate the limitations listed above.*

98

99 *Recommendation: The ability to fully and accurately observe the diurnal cycle should be an*  
100 *important consideration in the design and implementation of new observing systems.*

101

102 *Recommendation: When undertaking efforts to retrieve data it is important to also to collect*  
103 *detailed metadata which could be used to reduce ambiguity in the timing, sign and magnitude of*  
104 *non-climatic influences in the data.*

105

106 *Recommendation: New climate-quality reanalysis efforts should be strongly encouraged and*  
107 *specifically designed to minimize small, time-dependent biases arising from imperfections in*  
108 *both data and forecast models.*

109

110 *Recommendation: Some largely overlooked satellite datasets should be reexamined to try to*  
111 *extend, fortify or corroborate existing microwave-based temperature records for climate*  
112 *research, e.g. microwave data from NEMS (1972) and SCAMS (1975), infrared from the HIRS*  
113 *suite and radio occultation from GPS.*

114

115

116

## 117 **1. MAIN OBSERVING SYSTEMS AND SYNTHESIS DATA PRODUCTS**

118

119 Temperature is measured in three main ways; (1) *in situ*, where the sensor is immersed in the  
120 substance of interest; (2) by *radiative emission*, where a remote sensor detects the intensity or  
121 brightness of the radiation emanating from the substance; and (3) *radiative transmission*, where  
122 radiation is modified as it passes through the substance in a manner determined by the  
123 substance's temperature. All observations contain some level of random measurement error,  
124 which is reduced by averaging; bias, which is not reduced by averaging; and sampling errors (see  
125 Appendix).

126

127 a) Surface and near-surface air temperatures

128 Over land, “near-surface” air temperatures are those commonly measured about 1.5 to 2.0 meters  
129 above the ground level at official weather stations, at sites run for a variety of scientific purposes,  
130 and by volunteer (cooperative) observers (e.g., Jones and Moberg, 2003). These stations often  
131 experience relocations, changes in instrumentation and/or exposure and changing observing  
132 practices all of which can introduce biases into their long-term records. These changes are often  
133 undocumented.

134

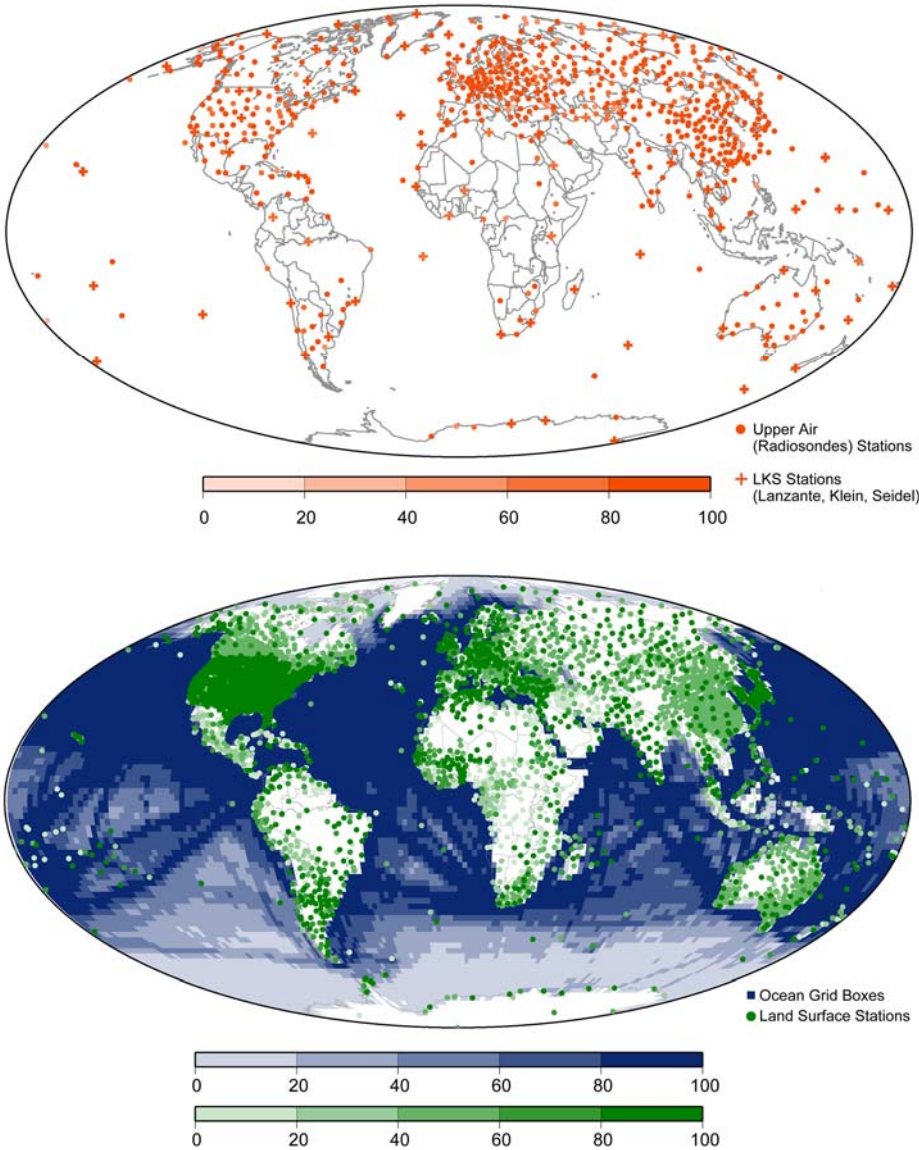
135 “Near-surface” air temperatures over the ocean (“Marine Air Temperatures” or MATs) are  
136 measured by ships and buoys at various heights from 2 to more than 25m, with poorer coverage  
137 than over land (e.g., Rayner et al., 2003). To avoid the contamination of daytime solar heating of  
138 the ships’ surfaces that may affect the MAT, it is generally preferred to limit these to night MAT  
139 (NMAT) readings only. Observations of the water temperature near the ocean surface or “Sea  
140 Surface Temperatures” (SSTs) are widely used and are closely tied to MATs; ships and buoys  
141 measure SSTs within a few meters below the surface.

142

143 Incomplete geographic sampling, changing measurement methods, and land-use changes all  
144 introduce errors into surface temperature compilations. The spatial coverage, indicated in Figure  
145 2.1, is far from uniform over either land or ocean areas. The southern oceans, polar regions and  
146 interiors of Brazil and Africa are not well sampled by in-situ networks. However, creating  
147 global surface temperature analyses involves not only merging land and ocean data but also

148 considering how best to represent areas where there are few or no observations. The most  
149 conservative approach is to use only those grid boxes with data, thus avoiding any error  
150 associated with interpolation. Unfortunately, the areas without data are not evenly or randomly  
151 distributed around the world, leading to considerable uncertainties in the analysis, though it is  
152 possible to make an estimate of these uncertainties. Using the conservative approach, the tropical  
153 land surface areas would be under-represented, as would the southern ocean. Therefore,  
154 techniques have been developed to interpolate data to some extent into surrounding data-void  
155 regions. A single group may produce several different such datasets for different purposes. The  
156 choice may depend on whether the interest is a particular local region, the entire globe, or use of  
157 the dataset with climate models (Chapter 5). Estimates of global and hemispheric scale averages  
158 of near-surface temperatures generally begin around 1860 over both land and ocean.

Global Temperature Observations



159

160 Figure 2.1 Top: Location of radiosonde stations used in the HadAT upper air dataset with those also in the LKS as  
 161 crosses. Bottom: Distribution of land stations (green) and SST observations (blue) reporting temperatures used in the  
 162 surface temperature datasets over the period 1979-2004. Darker colors represent locations for which data were  
 163 reported with greater frequency.

164 See chapter 3 for definitions of datasets.

165

166 Datasets of near-surface land and ocean temperatures have traditionally been derived from *in-situ*



167 thermometers. With the advent of satellites, some datasets now combine both *in-situ* and  
168 remotely sensed data (Reynolds et al., 2002; Smith and Reynolds, 2005), or use exclusively  
169 remotely sensed data (Kilpatrick et al., 2001) to produce more geographically complete  
170 distributions of surface temperature. Because the satellite sensors measure infrared or microwave  
171 emission from the earth's surface (a "skin" typically tens of microns thick that may have a  
172 temperature different from either the air above or material at greater depths), calculations are  
173 required to convert the skin temperature into the more traditional near-surface air or SST  
174 observation (in this context SSTs are called "bulk sea surface temperatures", Chelton, 2005.)  
175 Typically, in-situ observations are taken as "truth" and satellite estimates (which may be affected  
176 by water vapor, clouds, volcanic aerosols, etc.) are adjusted to agree with them (Reynolds, 1993.)  
177 With continued research, datasets with surface temperatures over land, ice, and ocean from  
178 infrared and microwave sensors should provide expanded coverage of surface temperature  
179 variations (e.g., Aires et al., 2004).

180

181 Sampling errors in ship and buoy SST data typically contribute more to large-scale averages than  
182 random measurement errors as shown in Smith and Reynolds (2004), especially as the  
183 temperature record extends backward in time. Biases depend on observing method. Most ship  
184 observations since the 1950s were made from insulated buckets, hull contact sensors, and engine  
185 intake temperatures at depths of one to several meters. Historic correction of ship data prior to  
186 1942 is discussed in (Folland and Parker, 1995) and bias and random errors from ships are  
187 summarized by (Kent and Taylor, 2004) and (Kent and Challenor, 2004). They report that engine  
188 intake temperatures are typically biased 0.1-0.2°C warmer than insulated buckets. This is

189 primarily due to engine room heating of the water temperatures although there is also  
190 evaporative cooling of the water in the insulated buckets. Hull contact sensors are the most  
191 accurate though much less common. The bias correction of the ship SST data (Kent and Kaplan,  
192 2004) requires information on the type of measurement (e.g. insulated bucket, etc.) which  
193 becomes more difficult to determine prior to 1990s due to incomplete documentation. Kent and  
194 Kaplan (2005) also found that insulated bucket temperatures may be too cold by 0.12 to 0.16°C.  
195 When the bucket bias is used, engine intake temperatures in the mid-to-late 1970s and the 1980s  
196 were found to be smaller than that suggested by previous studies, ranging from 0.09 to 0.18°C. In  
197 addition, their study indicates that engine intake SSTs may have a cold bias of -0.13°C in the  
198 early 1990s. The reliability of these biases are subject to revision due to small sample sizes that  
199 sample sizes for these comparisons tend to be small with large random errors. Buoy observations  
200 became more plentiful following the start of the Tropical Ocean Global Atmosphere (TOGA)  
201 Program (McPhaden, 1995) in 1985. These observations are typically made by an immersed  
202 temperature sensor or a hull contact sensor, and are more accurate because they do not have the  
203 bias errors of ship injection or insulated bucket temperatures.

204

205 The global surface air temperature data sets used in this report are to a large extent based on data  
206 readily exchanged internationally, e.g., through CLIMAT reports and the WMO publication  
207 *Monthly Climatic Data for the World*. Commercial and other considerations prevent a fuller  
208 exchange, though the United States may be better represented than many other areas. In this  
209 report we present three global surface climate records, created from available data by NASA  
210 Goddard Institute for Space Studies (GISS), NOAA National Climatic Data Center

211 (NOAA/NCDC) and the cooperative project of the U.K. Hadley Centre and the Climate  
212 Research Unit of the University of East Anglia (HadCRUT2v). These will be identified as  $T_{\text{Sfc-G}}$ ,  
213  $T_{\text{Sfc-N}}$  and  $T_{\text{Sfc-U}}$  respectively.

214

215 b) Atmospheric “upper air” temperatures

216 *1. Radiosondes*

217 Radiosonde or balloon-based observations of atmospheric temperature are *in-situ* measurements  
218 as the thermometer (often a thermistor or a capacitance-based sensor), suspended from a balloon,  
219 is physically carried through the atmospheric column. Readings are radio-transmitted back to a  
220 data recorder. Balloons are released once or twice a day (00 and/or 12 Coordinated Universal  
221 Time or UTC) at about 1,000 stations around the globe, many of which began operations in the  
222 late 1950s or 1960s. These sites are unevenly distributed, with only the extratropical northern  
223 hemisphere land areas and the Western Pacific Ocean/Indonesia/Australia region being well-  
224 sampled in space and time. Useful temperature data can be collected from near the surface  
225 through the lower and middle stratosphere (though not all balloons survive to these heights).  
226 Radiosonde data in the first hundred meters or so above the surface are sometimes erroneous if  
227 the sensors have not been allowed to reach equilibrium with the atmosphere before launch, and  
228 may not be representative of regional conditions, due to microclimatic and terrain effects.

229

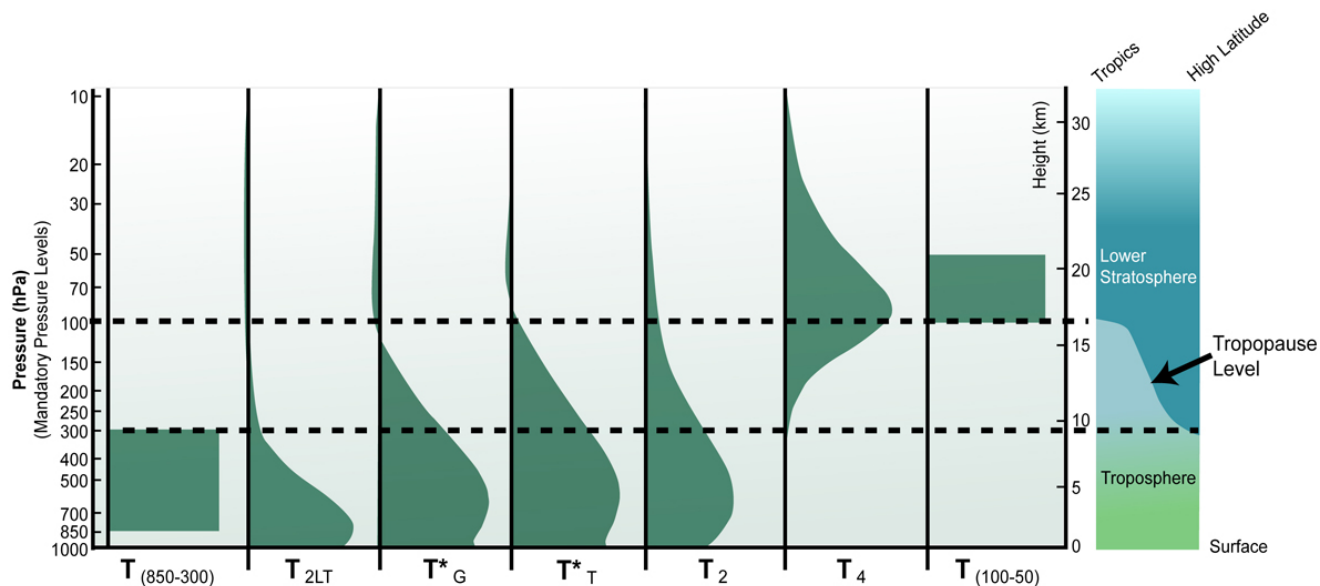
230 Although most radiosonde data are transmitted to meteorological centers around the world and  
231 archived, in practice many soundings do not reach this system and are collected later. No  
232 definitive archive of radiosonde data exists, but several archives in the U.S. and abroad contain

233 nearly complete collections, though several different schemes have been employed for quality  
234 control. To monitor climate, it is desirable to have a long, continuous record of measurements  
235 from many well-distributed fixed sites. There are about 700 radiosonde stations that have  
236 operated in the same location for at least three decades; many of these are clustered in a few  
237 areas, further reducing the effective coverage (Figure 2.1). Thus, a dilemma exists for estimating  
238 long-term changes: whether to use a smaller number of stations having long segments of  
239 continuous records, or a larger number of stations with shorter records that do not always overlap  
240 well. Various analysis groups have approached this differently (see Chapters 3 and 4).

241  
242 Typically, radiosonde-based datasets are developed for specific atmospheric pressure surfaces  
243 known as “mandatory reporting levels” (Figure 2.2). Such data at discrete vertical levels provide  
244 unique information for assessing changes in the structure of the atmosphere. Two such datasets  
245 are featured in this report, The Hadley Centre Atmospheric Temperatures from the U.K.  
246 (HadAT) and Radiosonde Atmospheric Temperatures Products for Assessing Climate  
247 (RATPAC) from NOAA. A product such as  $T_{850-300}$ , for example, will be identified as  $T_{850-300-U}$   
248 and  $T_{850-300-N}$  for HadAT and RATPAC respectively. <sup>1</sup>

---

<sup>1</sup> A third radiosonde dataset was generated by comparing radiosonde observations against the first-guess field of the ERA-40 simulation forecast model (Haimberger, 2004). Adjustments were applied when the relative difference between the radiosonde temperatures and the forecast temperatures changed by a significant amount. The data were not yet in final form for consideration in this report, although the tropospheric values appear to have general agreement with HadAT and RATPAC



249

250 Figure 2.2 Terminology and vertical profiles for the temperature products referred to in this report. Radiosonde-  
 251 based layer temperatures ( $T_{850-300}$ ,  $T_{100-50}$ ) are height-weighted averages of the temperature in those layers. Satellite-  
 252 based temperatures ( $T_{2LT}$ ,  $T_2$ , and  $T_4$ ) are mass-weighted averages with varying influence in the vertical as depicted  
 253 by the curved profiles, i.e., the larger the value at a specific level, the more that level contributes to the overall  
 254 satellite temperature average. The subscript simply indicates the layer where 90% of the information for the satellite  
 255 average originates.

256  
 257 Notes: (1) because radiosondes measure the temperature at discrete (mandatory) levels, their information may be  
 258 used to create a temperature value that mimics a satellite temperature (Text Box 2.1), (2) layer temperatures vary  
 259 from equator to pole so the pressure and altitude relationship here is based on the atmospheric structure over the  
 260 conterminous U.S., (3) about 10% (5%) of the value of  $T_{2LT}$  ( $T_2$ ) is determined by the surface character and  
 261 temperature, (4)  $T^*_T$  and  $T^*_G$  are simple retrievals, being linear combinations of 2 channels,  $T_2$  and  $T_4$ .

262

263 Throughout the radiosonde era there have been numerous changes in stations, types of  
 264 instrumentation, and data processing methods that can create data discontinuities. Because  
 265 radiosondes are expendable instruments, instruments are more easily changed than for the more  
 266 permanent surface sites. The largest discontinuities appear to be related to solar heating of the  
 267 temperature sensor and changes in design and/or data adjustments intended to deal with this  
 268 problem. These discontinuities have greatest impact at stratospheric levels (the stratosphere's  
 269 lower boundary is ~16 km in the tropics, dropping to < 10 km in the high latitudes, Figure 2.2),

270 where direct sunlight can cause radiosonde-measured temperatures to rise several °C above  
271 ambient temperatures. For example, when Australia and U.S. stations changed instrumentation to  
272 Vaisala RS-80, processed stratospheric temperatures shifted downward by 1 to 3°C (Parker et al.,  
273 1997, Christy et al., 2003). Many other sources of system-dependent bias exist (which often  
274 affect the day and night releases differently), including icing of the sensors in regions of super-  
275 cooled water, software errors in some radiosonde systems, poor calibration formulae, and  
276 operator errors. Documentation of these many changes is limited, especially in the earlier  
277 decades.

278

## 279 *2. Passive Satellite Instrumentation*

280 Unlike radiosondes, passive satellite observations of microwave and infrared brightness  
281 temperatures sample thick atmospheric layers (and may include surface emissions), depicted as  
282 weighting functions in Figure 2.2. These measurements may be thought of as bulk atmospheric  
283 temperatures, as a single value describes the entire layer. Although this bulk measurement is less  
284 informative than the detailed information from a radiosonde, horizontal coverage is far superior,  
285 and consistency can be checked by comparing the appropriate vertical average from a radiosonde  
286 station against nearby satellite observations (see Box 2.2). Furthermore, because there are far  
287 fewer instrument systems than in radiosonde datasets, it is potentially easier to isolate and adjust  
288 problems in the data.

289

290 The space and time sampling of the satellites varies according to the orbit of the spacecraft,  
291 though the longer satellite datasets are based on polar orbiters. These spacecraft circle the globe

292 from pole to pole while maintaining a nominally constant orientation relative to the sun (sun-  
293 synchronous). In this configuration, the spacecraft completes about 14 roughly north-south orbits  
294 per day as the earth spins eastward beneath it, crosses the equator at a constant local time, and  
295 provides essentially global coverage. Microwave measurements utilized in this report begin in  
296 late 1978 with the TIROS-N spacecraft using a 4-channel radiometer (Microwave Sounding Unit  
297 or “MSU”) which was upgraded in 1998 to a 16-channel system (advanced MSU or “AMSU”)  
298 with better calibration, more stable station-keeping (i.e., the timing and positioning of the  
299 satellite in its orbit – see discussion of “Diurnal Sampling” below), and higher spatial and  
300 temporal sampling resolution.

301  
302 Laboratory estimates of precision (random error) for a single MSU measurement are 0.25 °C.  
303 Thus with 30,000 observations per day, this error is inconsequential for global averages. Of far  
304 more importance are the time varying biases which arise once the spacecraft is in orbit; diurnal  
305 drifting, orbital decay, intersatellite biases and calibration changes due to heating of the  
306 instrument in space (see section 3 below.)

307  
308 While bulk-layer measurements offer the robustness of a large-volume sample, variations within  
309 the observed layer are masked. This is especially true for the layer centered on the mid-  
310 troposphere ( $T_2$ ) for which the temperatures of both lower stratospheric and tropospheric levels,  
311 which generally show opposite variations, are merged (Figure 2.2). Three MSU/AMSU-based  
312 climate records are presented in this report, prepared by Remote Sensing Systems (RSS) of Santa  
313 Rosa, California, The University of Alabama in Huntsville (UAH), and The University of

314 Maryland (UMd). Subscripts identify the team, for example,  $T_2$  will be listed as  $T_{2-R}$ ,  $T_{2-A}$  and  
315  $T_{2-M}$  for RSS, UAH and UMd respectively.

316  
317 Some polar orbiters also carry the Stratospheric Sounding Unit (SSU), an infrared sensor for  
318 monitoring deep layer temperatures above about 15 km. SSU data have been important in  
319 documenting temperature variations at higher elevations than observed by MSU instruments on  
320 the same spacecraft (Ramaswamy et al., 2001). Generally, the issues that complicate the creation  
321 of long-term MSU time series also affect the SSU, with the added difficulty that infrared  
322 channels are more sensitive to variations in atmospheric composition (e.g., volcanic aerosols,  
323 water vapor, etc.).

324  
325 Future observing systems using passive-satellite methods include those planned for the National  
326 Polar-orbiting Operational Environmental Satellite System (NPOESS) series: the microwave  
327 sensors Conical scanning Microwave Imager/Sounder (CMIS) (which will succeed the Special  
328 Sensor Microwave/Imager [SSM/I]), Special Sensor Microwave Imager/Sounder (SSM/I-S) and  
329 Advanced Technology Microwave Sounder (ATMS) (which will succeed the AMSU), and the  
330 infrared sensor Cross-track Infrared Sounder (CrIS) (following the High-resolution Infrared  
331 Radiation Sounder [HIRS]). Each of these will follow measuring strategies that are both similar  
332 (polar orbit) and dissimilar (e.g., CMIS's conical scanner vs. AMSU's cross-track scanner) but  
333 add new spectral and more detailed resolution.

334

335 *3. "Active" satellite instrumentation*



336 A relatively recent addition to temperature monitoring is the use of Global Positioning System  
337 (GPS) radio signals, whose time of transmission through the atmosphere is altered by an amount  
338 proportional to air density and thus temperature at levels where humidity can be ignored  
339 (Kursinski et al., 1997). A key advantage of this technique for climate study is that it is self-  
340 calibrating. Current systems are accurate in the upper troposphere and lower to middle  
341 stratosphere where moisture is insignificant, but at lower levels, humidity becomes a  
342 confounding influence on density. Future versions of this system may overcome this limitation  
343 by using shorter wavelengths to measure humidity and temperature independently. Because of  
344 the relatively short GPS record and limited spatial coverage to date, its value for long-term  
345 climate monitoring cannot yet be definitively demonstrated.

346

347 c) Operational Reanalyses

348 Operational reanalyses (hereafter simply “reanalyses”) will be discussed here in chapter 2, but  
349 their trends presented only sparingly in the following chapters because of evidence that they are  
350 not always reliable, even during the recent period. All authors expressed concern regarding  
351 reanalyses trends, a concern that ranged from unanimous agreement that stratospheric trends  
352 were likely spurious to mixed levels of confidence regarding tropospheric trends (see chapter 3).  
353 Surface temperature trends are a separate issue as reanalyses values are indirectly *estimated*  
354 rather than *observed* (see below). However, reanalyses products hold significant potential for  
355 addressing many aspects of climate variability and change.

356

357 Reanalyses are not separate observing systems, but are mathematically blended products based

358 upon as many observing systems as practical. Observations are assimilated into a global weather  
359 forecasting model to produce analyses that are most consistent with both the available data  
360 (given their imperfections) and the assimilation model. The model, which is constrained by  
361 known but parameterized atmospheric physics, generates a result that could be more accurate and  
362 physically self-consistent than can be obtained from any one observing system. Some data are  
363 rejected or adjusted based on detected inconsistencies. Importantly, the operational procedure  
364 optimizes only the accuracy of each near-instantaneous (“synoptic”) analysis. Time-varying  
365 biases of a few hundredths or tenths of a degree, which contribute little to short time scale  
366 weather error, present a major problem for climate trends, and these are not minimized (e.g.,  
367 Sherwood, 2000). The two main reanalyses available at this time are the National Centers for  
368 Environmental Prediction (NCEP)/National Center for Atmospheric Research (NCAR)  
369 reanalysis of data since 1948 (Kalnay et al., 1996) and the European Center for Medium-Range  
370 Weather Forecasts Re-Analysis-40 (ECMWF ERA-40) beginning in 1957 (Simmons, 2004).

371  
372 Because many observational systems are employed, a change in any one will affect the time  
373 series of the final product. Reanalyses would be more accurate than lower-level data products for  
374 climate variations only if the above shortcomings were outweighed by the benefits of using a  
375 state-of-the-art model to treat unsampled variability. Factors that would make this scenario likely  
376 include a relatively skillful forecast model and assimilation system, large sampling errors (which  
377 are reduced by reanalysis), and small systematic discrepancies between different instruments.  
378 However, current models tend to have significant intrinsic biases that can particularly affect  
379 reanalyses when sampling is sparse.

380

381 Reanalysis problems that influence temperature trend calculations arise from changes over time  
382 in (a) radiosonde and satellite data coverage, (b) radiosonde biases (or in the corrections applied  
383 to compensate for these biases), (c) the effectiveness of the bias corrections applied to satellite  
384 data and (d) the propagation of errors due to an imprecise formulation of physical processes in  
385 the models. For example, since few data exist for the Southern Hemisphere before 1979,  
386 temperatures were determined mainly by model forecasts; a cold model bias (in ERA-40, for  
387 example) then produces a spurious warming trend when real data become available. Indirect  
388 effects may also arise from changes in the biases of other fields, such as humidity and clouds,  
389 which affect the model temperature (Andrae et al., 2004; Simmons et al., 2004.).

390

391 Different reanalyses do not employ the same data. NCEP/NCAR does not include surface  
392 temperature observations over land but the analysis still produces estimated near-surface  
393 temperatures based on the other data (Kalnay and Cai, 2003). On the other hand, ERA-40 does  
394 incorporate these but only indirectly through their modeled impacts on soil temperature and  
395 surface humidity (Simmons et al., 2004). Thus, the 2-meter air temperatures of both reanalyses  
396 may not track closely with surface observations over time (Kalnay and Cai, 2003). SSTs in both  
397 reanalyses are simply those of the climate records used as input.

398

399 For upper air reanalyses temperatures, simultaneous assimilation of radiosonde and satellite data  
400 is particularly challenging because the considerably different instrument characteristics and  
401 products make it difficult to achieve the consistency possible in theory. Despite data adjustments,

402 artifacts still remain in both radiosonde and satellite analyses; these produce the largest  
403 differences in the lower stratosphere in current reanalysis datasets (e.g., Pawson and Fiorino,  
404 1999; Santer et al., 1999; Randel, 2004). Some of these differences can now be explained, so that  
405 future reanalyses will very likely improve on those currently available. However any calculation  
406 of deep-layer temperatures from reanalyses which require stratospheric information are  
407 considered in this report to be suspect (see Figure 2.2,  $T_T$ ,  $T_2$ ,  $T_4$ , and  $T_{100-50}$ ).

408

409 d.) Simple retrieval techniques

410 A problem in interpreting MSU (i.e., broad-layer) temperature trends is that many channels  
411 receive contributions from both the troposphere and stratosphere, yet temperatures tend to  
412 change oppositely in these two layers with respect to both natural variability and predicted  
413 climate change. In particular, MSU Channel 2 ( $T_2$ ) receives 10-15% of its emissions from the  
414 stratosphere (Spencer and Christy, 1992), which is a significant percentage because stratospheric  
415 cooling in recent decades far exceeds tropospheric warming. It is impossible to eliminate all  
416 physical stratospheric influences on MSU 2 by simply subtracting out MSU 4 ( $T_4$ ) influences  
417 because any linear combination of these two channels still retains stratospheric influence  
418 (Spencer et al., 2005), which will lead to errors. However, it is possible to rely upon radiosonde-  
419 measured correlations between tropospheric and stratospheric temperature fluctuations in order  
420 to find what linear combination of these two channels leads to a near-cancellation of these errors,  
421 i.e., where  $y$  is determined by regression:

422 *Tropospheric Retrieval* =  $(1+y) \cdot (T_2) - (y) \cdot (T_4)$ . The challenge here is that the resulting  
423 relationship depends on the training dataset (radiosondes) being globally or tropically

424 representative (i.e., the troposphere/stratosphere boundary varies spatially and thus the  
425 relationship between  $T_2$  and  $T_4$  does as well) and free from significant biases.

426

427 Fu et al. (2004) used a radiosonde dataset to estimate values for  $y$  (for the globe, tropical region,  
428 and Northern and Southern Hemispheres) that most closely reproduced the monthly variability of  
429 mean temperature from 850 to 300 hPa, spanning most of the troposphere. From physical  
430 arguments, however, it is clear that the true physical contributions to the retrieval come from a  
431 broader range of altitudes, which, in the tropics, approximately span the full troposphere (Fu and  
432 Johanson, 2004; 2005). Although derived values of  $y$  are robust ( $\pm 10\%$ , Gillett et al., 2004,  
433 Johanson and Fu, 2005), the veracity of the retrieval for climate change has been a subject of  
434 debate (due to the accuracy and global representativeness issues mentioned above), and will be  
435 further addressed in Chapter 4.

436

437 In the following chapters, two simple retrievals will be utilized in comparison studies with the  
438 products of the observing systems. The tropospheric retrieval generated from global mean  
439 values of  $T_2$  and  $T_4$ , is identified as  $T^*_G$  where  $y = 0.143$  (Johanson and Fu, 2005), and when  
440 applied to tropical mean values is identified as  $T^*_T$  where  $y = 0.100$  (Fu and Johanson, 2005).





































441



















442 A summary of the sources of biases and uncertainties for the datasets and other products  
443 described above is given at the end of this chapter. There are several datasets yet to be generated  
444 (or not yet at a stage sufficient for climate analysis) from other sources that have the potential to  
445 address the issue of vertical temperature distribution. A generic listing of these datasets with a

446 characterization of their readiness is given in Table 2.1.




447  
448  
449  
450  
451  
452  
453  
454  
455

Table 2.1 Dataset types and readiness for high quality climate monitoring related to the vertical temperature structure of the atmosphere. "Usage of Data" indicates the level of application of the dataset to the vertical temperature issue. "Understanding" indicates the level of confidence (or readiness) in the dataset to provide accurate information on this issue.

DATA SET SOURCE	Measured Variables	Usage of Data for Vertical Temperature	Understanding	Temporal Sampling	Geographic Completeness
<b>Radiosondes (Balloons)</b>	<b>Upper Air Temperature</b>			<b>2x Day</b>	
	<b>Upper Air Humidity</b>			<b>2x Day</b>	
	<b>Upper Air Wind</b>			<b>2x Day</b>	
<b>Microwave Radiometers Space-based</b>	<b>Upper air Temperature</b>			<b>P</b>	
	<b>Sea Surface Temperature</b>			<b>P</b>	
	<b>Total Column Vapor (ocean)</b>			<b>P</b>	
<b>Surface-based sounders and profilers</b>	<b>Upper air Temperature</b>			<b>Hrly</b>	
<b>Infrared Radiometers Space-based</b>	<b>Upper Air Temperature</b>			<b>P, G</b>	
	<b>Land Surface Temperature</b>			<b>P, G</b>	
	<b>Sea Surface Temperature</b>			<b>P, G</b>	
	<b>Upper Air Humidity</b>			<b>P, G</b>	
<b>Visible and</b>	<b>Radiative</b>			<b>P, G</b>	

<b>Infrared Radiometers</b>	<b>Fluxes</b>				
<b>GPS Satellites</b>	<b>Temperature</b>			<b>quasi-P</b>	
<b>Surface Stations Land</b>	<b>Land Surface Air Temperature</b>			<b>Hrly</b>	
	<b>Land Surface Air Humidity</b>			<b>Hrly</b>	
<b>Surface Instruments Ocean</b>	<b>Sea Surface Temperature</b>			<b>Syn</b>	
	<b>Marine Air Temperature</b>			<b>Syn</b>	
<b>Reanalyses</b>	<b>All</b>			<b>Syn</b>	

456

- 457  : Adequate for long-term global climate variations
- 458  : Improvements or continued research needed for long-term global climate variations
- 459  : Problems exist or a lack of analysis to date inhibit long-term global climate variation studies
- 460 P: Polar orbiter, twice per day per orbiter per ground location
- 461 G: Geostationary, many observations per day per ground location
- 462 2x Day: Twice daily at site
- 463 Hrly: Up to several times per day, many report hourly
- 464 Syn: Synoptic or generally up to 8 times per day. (Buoys continuous)

465

466 **2. ANALYSIS OF CLIMATE RECORDS**

467 Two factors can interfere with the accurate assessment of climate variations over multi-year  
 468 periods and relatively large regions. First, much larger variability (weather or “atmospheric  
 469 noise”) on shorter time or smaller space scales can, if inadequately sampled by the observing  
 470 network, bias estimates of relatively small climate changes. For example, an extended heat wave  
 471 in an un-instrumented region accompanied by a compensating cold period in a well-instrumented  
 472 region may be interpreted as a “global” cold period when it was not. Such biases can result from  
 473 either spatial or temporal data gaps (Agudelo and Curry, 2004). Second, instrumental errors,  
 474 particularly biases that change over time, can create erroneous trends. The seriousness of each

475 problem depends not only on the data available but also on how they are analyzed. Finally, even  
476 if global climate is known accurately at all times and places, there remains the issue of what  
477 measures to use for quantifying climate change; different choices can sometimes create different  
478 impressions, e.g., linear trends versus low frequency filtered analyses that retain some  
479 information beyond a straight line.

480

481 Upper air layers experience relatively rapid horizontal smoothing of temperature variations, so  
482 that on annual mean time scales, the atmosphere is characterized by large, coherent anomaly  
483 features, especially in the east-west direction (Wallis, 1998, Thorne et al., 2005b). As a result, a  
484 given precision for the global mean value over, say, a year, can be attained with fewer, if  
485 properly spaced, upper air measurement locations than at the surface (Hurrell et al., 2000). Thus,  
486 knowledge of global, long-term changes in upper-air temperature is limited mainly by  
487 instrumental errors. However, for some regional changes (e.g., over sparsely observed ocean  
488 areas) sampling problems may compete with or exceed instrumental ones.

489

#### 490 a) Climate Records

491 Various groups have developed long time series of climate records, often referred to as Climate  
492 Data Records (CDRs) (NRC, 2000b; 2000c; 2004) from the raw measurements generated by  
493 each observing system. Essentially, climate records are time series that include estimates of error  
494 characteristics so as to enable the study of climate variation and change on decadal and longer  
495 time scales with a known precision.

496



497 Long-term temperature changes occur within the context of shorter-term variations, which are  
 498 listed in Table 2.2. These shorter changes include: periodic cycles such as day-night and seasonal  
 499 changes; fairly regular changes due to synoptic weather systems, the Quasi-Biennial Oscillation  
 500 (QBO), and the El Niño-Southern Oscillation (ENSO); and longer-term variations due to  
 501 volcanic eruptions or internal climate dynamics. These changes have different vertical  
 502 temperature signatures, and the magnitude of each signal may be different at the surface, in the  
 503 troposphere, and in the stratosphere. Details are given in Table 2.2. Some of these signals can  
 504 complicate the identification of temperature trends in climate records.

505 Table 2.2 Listing of atmospheric temperature variations by time scale and their properties. (Time scales and sources  
 506 of global temperature variations)  
 507

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
Diurnal <sup>1</sup>	Warmer days than nights, due to earth's rotation on its axis affecting solar heating.	Daily (outside of polar regions)	Highly variable. Surface skin T changes up to 35K. Boundary layer changes <10K. Free tropospheric changes <1K. Stratospheric changes ~0.1-1 K.	Well detected in surface data. Poorly detected globally in the troposphere and stratosphere due to infrequent sampling (once or twice daily) and potential influence of measurement errors with their own diurnal signal. A few ground-based systems	Satellite data require adjustment of drift in the local equatorial crossing time of spacecraft orbits. Inadequate quantification of the true diurnal cycle hinders this adjustment. Different diurnal adjustments by different groups may

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
				detect signal well.	partly account for differences in trend estimates.
Synoptic <sup>2</sup>	Temperature changes associated with weather events, such as wave and frontal passages, due to internal atmospheric dynamics.	1-4 days	Up to ~15K or more at middle latitudes, ~3K in Tropics.	Well detected by observing systems designed to observe meteorological variability.	Not significant, but contributes to noise in climate data records.
Intraseasonal <sup>3</sup>	Most notably, an eastward-and vertically-propagating pattern of disturbed weather in the tropical Indo-Pacific ocean region, of unknown cause. Also, atmospheric “blocking” and wet/dry land surface can cause intra-seasonal variations at mid-latitudes.	40-60 days (Tropics), < 180 days (mid-latitudes)	1-2 K at surface, less aloft (tropics), larger in mid-latitudes.	Temperature signals moderately well detected, with tropical atmosphere limited by sparse radiosonde network and IR-based surface temperature limited by cloud. Reanalysis data are useful.	Not significant due to short duration, but may be important if character of the oscillation changes over time.
Annual <sup>4</sup>	Warmer summers than winters, and shift in position of major precipitation	Yearly	~2-30 K; greater over land than sea, greater at high than low latitudes,	Well observed.	Trends are often computed from “anomaly” data, after the

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
	zones, due to tilt of the earth's axis of rotation affecting solar heating.		greater near the surface and tropopause than at other heights.		mean annual cycle has been subtracted. Changes in the nature of the annual cycle could affect annual-average trends.
Quasi-Biennial Oscillation (QBO) <sup>5</sup>	Nearly periodic wind and temperature changes in the equatorial stratosphere, due to internal atmospheric dynamics.	Every 23-28 months (average of 27 months because occasionally periods of up to 36 months occur.)	Up to 10 K locally, ~0.5 K averaged over the tropical stratosphere.	Fairly well observed by equatorial radiosonde stations and satellites.	Like ENSO, can influence trends in short data records, but it is relatively easy to remove this signal.
Interannual <sup>6</sup>	Multiannual variability due to interaction of the atmosphere with dynamic ocean and possibly land surfaces; most notably, ENSO. Can also be caused by volcanic eruptions.	ENSO events occur every 3-7 years and last 6-18 months; major volcanic eruptions, irregular but approximately every 5-20 years with effects lasting ~ 2 years.	Up to 3K in equatorial Pacific (ENSO), smaller elsewhere. Volcanic warming of stratosphere can exceed 5K in tropics cooling of surface <2K.	Fairly well observed, although the vertical structure of ENSO is not as well documented, due to sparseness of the tropical radiosonde network.	ENSO affects surface global mean temperatures by $\pm 0.4K$ , and more in the tropical troposphere. Large ENSO events near the start or end of a data record can strongly affect computed trends, as was the case for the 1997-98 event. Changes in ENSO

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
					frequency or strength affect (and may be coupled with) long-term trends.
Decadal to interdecadal oscillations and shifts <sup>7</sup>	Like interannual, but longer time scales. Prominent example is the PDO/ Interdecadal Pacific Oscillation. Despite long time scale, changes can occur as abrupt shifts, for example, a warming shift around 1976. Others include regional changes in the North Atlantic, Pacific-North American, Arctic, and the Antarctic oscillations. Some changes also caused by 11-year solar cycle.	Poorly known; 50-year PDO cycle suggested by 20 <sup>th</sup> -century observations; others a decade or two; solar 11-year cycle detectable also.	Not well studied. The 1976-77 shift associated with a sharp warming of at least 0.2K globally, though difficult to distinguish from anthropogenic warming. 11-year cycle leads to stratospheric temperature changes of ~2K, and interacts with the Quasi-Biennial Oscillation (QBO).	Relatively large regional changes are well observed, but global expression is subject to data consistency issues over time and possible real changes.	Can account for a significant fraction of linear trends calculated over periods of a few decades or less regionally. Such trends may differ significantly from one such period to the next.
Sub-centennial 60-80 year fluctuation or "Atlantic	Fluctuates in instrumental and paleo data at least back to c.1600. Seems to	60-80 years	~ ±0.5C in parts of the Atlantic. Apparently detectable in	Detectable globally above the noise, clear in North Atlantic SST.	Effects small globally, but probably detectable in last few

Variation	Description	Dominant Period	Approx. Magnitude	Detectability	Effect on Trend Estimates
Multidecadal Oscillation <sup>8</sup>	particularly affect Atlantic sector. Possible interhemispheric component.		global mean ~ ±0.1C		decades. Readily detectable over this period in North Atlantic Ocean where it clearly affects surface temperature trends and probably climate generally.
Centennial and longer variations <sup>9</sup>	Warming during 20 <sup>th</sup> Century due to human influences, solar, and internal variability. Earlier changes included the “little ice age” and “medieval warm period.”	None confirmed, though 1500 year Bond cycle possible.	20 <sup>th</sup> century warming of ~0.6K globally appears to be as large or larger than other changes during the late Holocene.	Surface warming during 20 <sup>th</sup> century fairly well observed; proxies covering earlier times indicated 20 <sup>th</sup> century warmer than the past 5 centuries	Natural temperature variations occur on the longest time scales accessible in any instrumental record.

508

509

510 <sup>1</sup> Christy et al., 2003; Mears et al., 2003; Vinnikov and Grody. 2003; Dai and Trenberth, 2004; Jin, 2004; Seidel et  
 511 al., 2005.

512 <sup>2</sup> Palmen and Newton, 1969

513  
 514 <sup>3</sup> Duvel et al.,2004.

515  
 516 <sup>4</sup> Wallace and Hobbs, 1977

517  
 518 <sup>5</sup> Christy and Drouilhet, 1994; Randel et al., 1999; Baldwin et al., 2001

519  
 520 <sup>6</sup> Parker and Brownscombe, 1983; Pan and Oort, 1983; Christy and McNider, 1994; Parker et al., 1996; Angell,

521 2000; Robock, 2000; Michaels and Knappenberger, 2000; Santer et al., 2001; Free and Angell, 2002a; Trenberth et  
522 al., 2002; Seidel et al., 2004; Seidel and Lanzante, 2004

523  
524 <sup>7</sup> Labitzke, K.,1987; Trenberth and Hurrell, 1994; Lean et al., 1995; Zhang et al., 1997; Thompson et al., 2000;  
525 Douglass and Clader, 2002; Seidel and Lanzante, 2004; Hurrell et al., 2003; Folland et al., 1999; Power et al., 1999;  
526 Folland et al. 2002.

527  
528 <sup>8</sup> Schlesinger and Ramankutty, 1994; Mann et al., 1998; Folland et al., 1999; Andronova and Schlesinger, 2000;  
529 Goldenberg et al., 2001; Enfield et al., 2001

530  
531 <sup>9</sup> Folland et al., 2001a.

532 Our survey of known atmospheric temperature variations, how well they are measured, and their  
533 impact on trend estimates suggests that most observing systems are generally able to quantify  
534 well the magnitudes of change associated with shorter time scales. For longer time scale changes,  
535 where the magnitudes of change are smaller and the stability requirements more rigorous, the  
536 observing systems face significant challenges (Seidel et al., 2004).

537

#### 538 b) Measuring Temperature Change

539

540 Over the last three to five decades, global surface temperature records show increases of almost  
541 two tenths of a °C per decade. Explaining atmospheric and surface trends therefore demands  
542 relative accuracies of a few hundredths of a degree per decade in global time series of both  
543 surface and upper-air observations. As this and subsequent chapters will show, the effects of  
544 instrumental biases on the global time series are significantly larger than a few hundredths of a  
545 degree for the upper-air data, though the global surface temperature compilations do appear to  
546 reach this level of precision in recent decades (Folland et al., 2001b). These biases, especially  
547 those of the upper air, must therefore be understood and quantified rather precisely (see section 3  
548 below). For this fundamental reason, reliable assessment of lapse rate changes remains a

549 considerable challenge.

550

551 Natural modes of climate variability on regional scales are manifested in decadal fluctuations in  
552 (a) the tropical Pacific, e.g., ENSO, and (b) the northern latitudes, e.g., the North Atlantic,  
553 Pacific-North American and the Arctic atmospheric oscillations (Table 2.2). Even fluctuations on  
554 longer time scales have been proposed, e.g., the Atlantic Multidecadal Oscillation/60-80 year  
555 variation (Schlesinger and Ramankutty, 1994; Enfield et al., 2001). Each of these phenomena is  
556 associated with regions of both warming and cooling. Distinguishing slow, human-induced  
557 changes from such phenomena requires identifying the patterns and separating the influences of  
558 such modes from the warming signal (e.g., as attempted by SST by Folland et al., 1999.) In  
559 addition, these oscillations could themselves be influenced by human-induced atmospheric  
560 changes (Hasselmann, 1999).

561

### 562 **3. LIMITATIONS**

563

564 A key question addressed in this report is whether climate records built by investigators using  
565 various components of the observing system can meet the needs for assessing climate variations  
566 and trends with the accuracy and representativeness which allows any human attribution to be  
567 reliably identified. Climate record builders have usually underestimated the overall uncertainty in  
568 their products by relying on traditional sources of uncertainty that can be quantified using  
569 standard statistical methods. For example, published linear trend values exist of the same  
570 temperature product from the same observing system whose error estimates do not overlap,

571 indicating serious issues with error determination. Thus, in 2003, three realizations of T<sub>2</sub> (or  
572 MSU channel 2) 1979-2002 global trends were published as +0.03 ±0.05 +0.12 ±0.02, and +0.24  
573 ±0.02 °C per decade (Christy et al., 2003; Mears et al., 2003; and Vinnikov and Grody, 2003,  
574 respectively.) Over 40% of the difference between the first two trends is due to the treatment of a  
575 single satellite in the 1984-1986 period, with a combination of lesser differences during later  
576 satellite periods. The third dataset has more complex differences, though it is being superseded  
577 by a version whose trend is now lower (Grody et al., 2004, Vinnikov et al. 2005).

578

579 This situation illustrates that it is very challenging to determine the true error characteristics of  
580 datasets (see Chapter 4), although considerably less attention has been paid to this than to the  
581 construction of the datasets themselves. In this report, we refer to systematic errors in the climate  
582 data records as “construction errors.” Such errors can be thought of as having two fundamentally  
583 different sources, *structural* and *parametric* (see Box 2.1). The human decisions that underlie the  
584 production of climate records may be thought of as forming a *structure* for separating real and  
585 artificial behavior in the raw data. Assumptions made by the experts may not be correct, or  
586 important factors may have been ignored; these possibilities lead to *structural uncertainty*  
587 (Thorne et al., 2005a) in any trend or other metric obtained from a given the climate record.  
588 Experts generally tend to underestimate structural uncertainty (Morgan, 1990). The T<sub>2</sub> example  
589 above shows that this type of error can considerably exceed those recognized by the climate  
590 record builders. Sorting out which decisions are better than others, given the fact many  
591 individual decisions are interdependent and often untestable, is challenging.

592



593 Structural uncertainty is difficult to quantify because this requires considering alternatives to the  
594 fundamental assumptions, rather than just to the specific sampling or bias pattern in the available  
595 data (the main source of parametric uncertainty). For example, is an apparent diurnal variation  
596 due to (a) real atmospheric temperature change, (b) diurnal solar heating of an instrument  
597 component, (c) a combination of both, or (d) something else entirely? If the answer is not known  
598 *a priori*, different working assumptions may lead to a different result when corrections are  
599 determined and applied.

600

601 There may be several ways to identify structural errors. First, it is well known in statistics that  
602 one should examine the variability that is left over when known effects are removed in a data  
603 analysis, to see whether the residuals appear as small and “random” as implied by the  
604 assumptions. Even when the residuals are examined, it is often difficult to identify the cause of  
605 any non-randomness. Second, one can compare the results with external or independent data  
606 (such as comparing SST and NMAT observations). However, one then encounters the problem  
607 of assessing the accuracy of the independent data; because, in the case of global atmospheric  
608 temperature data there are no absolute standards for any needed adjustment. Christy et al. (2000)  
609 demonstrate the use of internal and external methods for evaluating the error of their upper air  
610 time series. They assumed that where agreement of independent measurements exists, there is  
611 likely to be increased confidence in the trends. Third, one can try to assess the construction  
612 uncertainty by examining the spread of results obtained by multiple experts working  
613 independently (e.g., the T<sub>2</sub> example, Thorne et al., 2005a). Unfortunately, though valuable, this  
614 does not establish the uncertainties of individual efforts, nor is it necessarily an accurate measure

615 of overall uncertainty. If all investigators make common mistakes, the estimate of construction  
616 uncertainty may be too optimistic; but if some investigators are unaware of scientifically sound  
617 progress made by others, the estimate can be too pessimistic.

618

619 A general concern regarding all of the datasets used in this analysis - land air temperature, sea  
620 surface temperature, radiosonde temperature, and satellite-derived temperature – is the level of  
621 information describing the operational characteristics and evolution of the associated observing  
622 system. As indicated above, the common factor that creates the biggest differences between  
623 analyses of the same source data is the homogeneity adjustments made to account for biases in  
624 the raw data. All homogeneity adjustments would improve with better metadata - that is,  
625 information (data) about the data (see chapter 6). For satellite-derived temperature, additional  
626 metadata such as more data points used in the pre-launch calibration would have been helpful to  
627 know, especially if done for differing solar angles to represent the changes experienced on orbit.  
628 For the in situ data sets, additional metadata of various sorts likely exist in one form or another  
629 somewhere in the world and could be acquired or created. These include the type of instrument,  
630 the observing environment, the observing practices and the exact dates for changes in any of the  
631 above.

632

633 Below we identify various known issues that led to errors in the datasets examined in this report,  
634 and which have generally been addressed by the various dataset builders. Note that reanalyses  
635 inherit the errors of their constituent observing systems, though they have the advantage of  
636 seeking a degree of consensus among the various observing systems through the constraint of

637 model physics. The complex reanalysis procedure transforms these errors of output data into  
638 errors of construction methodology that are hard to quantify.

639

640 **Errors primarily affecting *in situ* observing systems.**

641

642 **Spatial and temporal sampling:** The main source of this error is the poor sampling of oceanic  
643 regions, particularly in the Southern Hemisphere, and some tropical and Southern  
644 Hemisphere continental regions (see Text Box 2.1). Temporal variations in radiosonde  
645 sampling can lead to biases, (e.g., switching from 00 to 12 UTC) but these are generally  
646 documented and thus potentially treatable.

647 **Local environmental changes:** Land-use changes, new instrument exposures, etc., create new  
648 localized meteorological conditions to which the sensor responds. These issues are most  
649 important for land near-surface air temperatures but can also affect the lower elevation  
650 radiosonde data. Some changes, e.g., irrigation, can act to increase nighttime minima  
651 while decreasing daytime maxima, leaving an ambiguous signal for the daily mean  
652 temperature. Such changes are sources of error only if the change in the immediate  
653 surroundings of the station is unrepresentative of changes over a larger region.

654 **Changes in methods of observation:** A change in the way in which an instrument is used, as in  
655 calibrating a radiosonde before launch, i.e., whether it is compared against a typical  
656 outdoor sensor or against a traceable standard.

657 **Changes in data processing algorithms:** A change in the way raw data are converted to  
658 atmospheric information can introduce similar problems. For radiosonde data, the raw

659 observations are often not archived and so the effects of these changes are not easily  
660 removed.

661

662 **Errors primarily affecting satellite systems**

663

664 **Diurnal sampling:** It is common for polar orbiters to drift slowly away from their “sun-  
665 synchronous” initial equatorial crossing times (e.g., 1:30 p.m. to 5 p.m.), introducing  
666 spurious trends related to the natural diurnal cycle of daily temperature. The later polar  
667 orbiters (since 1998) have more stable station keeping. Diurnal drift adjustments for  $T_{2LT}$   
668 and  $T_2$  impact the trend by a few hundredths °C/decade. Changes in local observation  
669 time also significantly afflict *in situ* temperature observations, with a lesser impact on the  
670 global scale.

671 **Orbit decay:** Variations in solar activity cause expansion and contraction of the thin atmosphere  
672 at the altitudes where satellites orbit, which create variable frictional drag on spacecraft.  
673 This causes periods of altitude decay, changing the instrument’s viewing geometry  
674 relative to the earth and therefore the radiation emissions observed. This issue relates  
675 most strongly to  $T_{2LT}$ , which uses data from multiple view angles, and is of order 0.1  
676 °C/decade.

677 **Calibration shifts/changes:** For satellite instruments, the effects of launch conditions or  
678 changes in the within-orbit environment (e.g., varying solar shadowing effects on the  
679 spacecraft components as it drifts through the diurnal cycle) may require adjustments to  
680 the calibration equations. Adjustment magnitudes vary among the products analyzed in

681 this report but are on the order of 0.1 °C/decade for  $T_{2LT}$  and  $T_2$ .

682 **Surface emissivity effects:** The intensity of surface emissions in observed satellite radiances  
683 can vary over time due to changes in surface properties, e.g. wet vs. dry ground, rough vs.  
684 calm seas, etc., and longer-term land cover changes, e.g., deforestation leading to higher  
685 daytime skin temperatures and larger diurnal temperature cycles.

686 **Atmospheric effects:** Atmospheric composition can vary over time (e.g., aerosols), affecting  
687 satellite radiances, especially the infrared.

688

#### 689 **Errors affecting all observing systems**

690

691 **Instrument Changes:** Systematic variations of calibration between instruments will lead to  
692 time-varying biases in absolute temperature. These involve (a) changes in instruments  
693 and their related components (e.g., changes in housing can be a problem for *in situ*  
694 surface temperatures), (b) changes in instrument design or data processing (e.g.,  
695 radiosondes) and (c) copies of the same instrument that are intended to be identical but  
696 are not (e.g., satellites).

697

#### 698 **Errors or differences related to analysis or interpretation**

699

700 **Construction Methodology:** As indicated, this is often the source of the largest differences  
701 among trends from datasets and is the least quantifiable. When constructing a  
702 homogeneous, global climate record from an observing system, different investigators

703 often make a considerable range of assumptions as to how to treat unsampled or  
704 undersampled variability and both random and systematic instrument errors. The trends  
705 and their uncertainties that are subsequently estimated are sensitive to treatment  
706 assumptions (Free et al., 2002b). For example, the trends of the latest versions of T<sub>2</sub> from  
707 the three satellite analyses vary from +0.044 to +0.199 °C/decade (chapter 3), reflecting  
708 the differences in the combination of individual adjustments determined and applied by  
709 each team (structural uncertainty.) Similarly, the T<sub>2</sub> global trends of the radiosonde-  
710 based and reanalyses datasets range from -0.036 to +0.067 °C/decade indicating  
711 noticeable differences in decisions and methodologies by which each was constructed.  
712 Thus the goal of achieving a consensus with an error range of a few hundredths  
713 °C/decade is not evidenced in these results.

714 **Trend Methodology:** Differences between analyses can arise from the methods used to  
715 determine trends. Trends shown in this report are calculated by least squares linear  
716 regression.

717 **Representativeness:** Any given measure reported by climate analysts could under- or overstate  
718 underlying climatic behavior. This is not so much a source of error as a problem of  
719 interpretation. This is often called statistical error. For example, a trend computed for  
720 one time period (say, 1979-2004) is not necessarily representative of either longer or  
721 earlier periods (e.g., 1958-1979), so caution is necessary in generalizing such a result. By  
722 the same token, large variations during portions of the record might obscure a small but  
723 important underlying trend. (See Appendix for Statistical Uncertainties.)

724

725

**726 4. IMPLICATIONS**

727 The observing systems deployed since the late 1950s, and the subsequent climate records derived  
728 from their data, have the capability to provide information suitable for the detection of many  
729 temperature variations in the climate system. These include temperature changes that occur with  
730 regular frequency, e.g., daily and annual cycles of temperature, as well as non-periodic events  
731 such as volcanic eruptions or serious heat and cold waves. The data from these systems also have  
732 the potential to provide accurate trends in climate over the last few decades (and over the last  
733 century for surface observations), once the raw data are successfully adjusted for changes over  
734 time in observing systems, practices, and micro-climate exposure to produce usable climate  
735 records. Measurements from all systems require such adjustments and this report relies on  
736 adjusted datasets. The details of making such adjustments when building climate records from  
737 the uncorrected observations are examined in the following chapters.

738

**739 Text Box 2.1: Comparing Radiosonde and Satellite Temperatures**

740 Attempts to compare temperatures from satellite and radiosonde measurements are hindered by a  
741 mismatch between the respective raw observations. While radiosondes measure temperatures at  
742 specific vertical levels, satellites measure radiances which can be interpreted as the temperature  
743 averaged over a deep layer. To simulate a satellite observation, the different levels of  
744 temperature in the radiosonde sounding are proportionally weighted to match the profiles shown  
745 in Figure 2.2. This can be done in one of two ways.

746

747 1. Employ a simple set of geographically and seasonally invariant coefficients or weights, called  
748 a static weighting function. These coefficients are multiplied by the corresponding set of  
749 temperatures at the radiosonde levels and the sum is the simulated satellite temperature. Over  
750 land, the surface contributes more to the layer-average than it does over the ocean, and this  
751 difference is taken into account by slightly different sets of coefficients applied to land vs. ocean  
752 calculations. This same method may be applied to the temperature level data of global  
753 reanalyses. We have applied the “static weighting function” approach in this report.

754

755 2. Take into account the variations in the air mass temperature, surface temperature and pressure,  
756 and atmospheric moisture (Spencer et al., 1990). Here, the complete radiosonde temperature  
757 and humidity profiles are ingested into a radiation model to generate the simulated satellite  
758 temperature (e.g., Christy and Norris, 2004). This takes much more computing power to  
759 calculate and requires humidity information, which for radiosondes is generally of poorer quality  
760 than temperature information or is missing entirely. For climate applications, in which the time  
761 series of large-scale anomalies is the essential information, the output from the two methods  
762 differs only slightly.

763

764 There are practical difficulties in generating long time series of simulated satellite temperatures  
765 under either approach. To produce a completely homogeneous data record, the pressure levels  
766 used in the calculation must be consistent throughout time, i.e., always starting at the surface and  
767 reaching the same designated altitude. If, for example, soundings achieved higher elevations as  
768 time went on, there would likely be a spurious trend due to the effects of having measured



769 observations during the latter period of record, while by necessity, relying on estimates for the  
770 missing values in the earlier period. We also note that HadAT utilizes 9 pressure levels for  
771 simulating satellite profiles while RATPAC use 15, so differences can arise from these differing  
772 inputs.

773

774 An additional complication is that many radiosonde datasets and reanalyses may provide data at  
775 mandatory levels beginning with 1000 and/or 850 hPa, i.e., with no identifiable surface. Thus,  
776 the location of the material surface, and its temperature, can only be estimated so that an  
777 additional source of error to the anomaly time series may occur. There are a number of other  
778 processing choices available when producing a time series of simulated satellite data for site-by-  
779 site comparisons between actual satellite data and radiosondes (or reanalyses) and these also  
780 have the potential to introduce non-negligible biases.

781

782 Averaging of spatially incomplete radiosonde observations for comparison of global and tropical  
783 anomalies also introduces some error (Agudelo and Curry, 2004). In this report we have first  
784 zonally averaged the data, then generated satellite-equivalent measures from these data and  
785 finally calculated global and tropical averages. The spatial coverage differs markedly between  
786 the two radiosonde datasets. However, as anomalies are highly correlated in longitude the  
787 relative poor longitudinal sampling density of RATPAC (and HadAT outside of the NH mid-  
788 latitudes) is not necessarily an impediment (Hurrell et al., 2000). Comparing global averages  
789 estimated using only those zonally-averaged grids observed at RATPAC station sites by MSU  
790 versus the globally complete fields from MSU, a sampling error of less than  $\pm 0.05$  °C/decade

791 was inferred for  $T_{2LT}$ . Satellite and reanalyses are essentially globally complete and thus do not  
792 suffer from spatial subsampling.

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