

**Category III Contract**

**United States Department of the Interior  
National Park Service**

Glacier Bay National Park & Preserve

**Guided Raft Trips on the Alsek River**

Concession Contract No. **CC-GLBA-011-05**

**Chilkat Guides, Ltd.**

**Address  
PO Box 170  
Haines, AK 99827  
(907) 766-2491**

Covering the Period **January 1, 2005** through **December 31, 2014**

This Contract is between the National Park Service and **Chilkat Guides, Ltd.** (hereinafter referred to as "Concessioner"), a Corporation, under the authority of 16 U.S.C. 1 et. seq., including 16 U.S.C. 5901 et seq., and other laws that supplement and amend these laws. The Director and the Concessioner agree:

#### Sec. 1. Term of Contract

This Contract will be from **January 1, 2005** until its expiration on **December 31, 2014**.

#### Sec. 2. Services and Operations

##### (a) Required and Authorized Visitor Services

The Concessioner must provide the following required Visitor Services within the Area: **guided raft trips on the Alsek River**.

The Concessioner may provide the following authorized Visitor Services within the Area: **sale or rental of equipment and clothing associated for its conduct to participants in the activity**.

##### (b) Operation, Maintenance and Quality of Operation

The Concessioner must provide, operate and maintain the Visitor Services in accordance with this Contract in a manner considered satisfactory by the Director, including the nature, type and quality of the Visitor Services. The Concessioner's authority to provide Visitor Services under the terms of this Contract is nonexclusive. The Concessioner's operations and contract compliance will be evaluated on at least an annual basis.

##### (c) Operating Plan

The Director will establish and revise, as necessary, after consultation with the Concessioner, specific requirements for the operations of the Concessioner under this Contract in the form of an Operating Plan. The initial Operating Plan is attached to this Contract as Exhibit B.

##### (d) Rates

All rates and charges to the public by the Concessioner for Visitor Services must be reasonable and appropriate and must be approved by the Director.

##### (e) No Capital Improvements

The Concessioner may not construct any Capital Improvements upon Area lands.

#### Sec. 3. Concessioner Personnel

(a) The Concessioner must ensure that its employees are hospitable and exercise courtesy and consideration in their relations with the public.

(b) The Concessioner must establish appropriate screening, hiring, training, safety, employment, termination and other policies and procedures.

(c) The Concessioner must review the conduct of any of its employees whose action or activities are considered by the Concessioner or the Director to be inconsistent with the proper administration of the Area and enjoyment and protection of visitors and must take such actions as are necessary to correct the situation.

(d) The Concessioner must maintain, to the greatest extent possible, a drug free work environment.

#### Sec. 4. Environmental

The Concessioner must utilize appropriate best management practices (practices that apply the most current and advanced means and technologies available to the Concessioner to undertake and maintain a superior level of environmental performance reasonable in light of the circumstances of the operations conducted under this Contract) in its provision of Visitor Services and other activities under this Contract.

#### Sec. 5. Fees

##### (a) Franchise Fee

- (1) The Concessioner must pay a franchise fee to the Director as follows: **The greater of \$500 annually or \$33.80/person adjusted annually based on CPI-All Urban Consumers (January 1, 2005 base CPI).**
  - (2) The Concessioner has no right to waiver of the fee under any circumstances.
- (b) Payments Due
- (1) Payment of the franchise fee is due on November 15 of each year.
  - (2) All franchise fee payments consisting of \$10,000 or more, will be deposited electronically by the Concessioner in the manner directed by the Director.
- (c) Interest

An interest charge will be assessed on overdue amounts for each thirty (30) day period, or portion thereof, that payment is delayed. The percent of interest charged will be based on the current value of funds to the United States Treasury as published quarterly in the Treasury Fiscal Requirements Manual. The Director may also impose penalties for late payment to the extent authorized by Applicable Law.

#### Sec. 6. Insurance

The Concessioner must obtain and maintain during the entire term of this Contract at its sole cost and expense, coverage necessary to fulfill the obligations of this Contract. The insurance requirements are set forth in Exhibit D.

#### Sec. 7. Records and Reports

##### (a) Accounting System

- (1) The Concessioner must maintain an accounting system under which its accounts can be readily identified with its system of accounts classification. Such accounting system must be capable of providing the information required by this Contract. The Concessioner's system of accounts classification must be directly related to the Concessioner Annual Financial Report Form issued by the Director.
- (2) If the Concessioner's annual gross receipts are \$500,000 or more, the Concessioner must use the accrual accounting method.
- (3) The Concessioner must keep its accounts in such manner that there can be no diversion or concealment of profits or expenses in the operations authorized under this Contract by means of arrangements for the procurement of equipment, merchandise, supplies or services from sources controlled by or under common ownership with the Concessioner or by any other device.

##### (b) Annual Financial Report

- (1) The Concessioner must submit annually as soon as possible, but not later than March 1, a financial statement for the preceding fiscal year or portion of a year as prescribed by the Director ("Concessioner Annual Financial Report").
- (2) If the annual gross receipts of the Concessioner are in excess of \$1,000,000, the financial statements must be audited by an independent Certified Public Accountant in accordance with Generally Accepted Auditing Standards (GAAS) and procedures promulgated by the American Institute of Certified Public Accountants.
- (3) If annual gross receipts are between \$500,000, and \$1,000,000, the financial statements must be reviewed by an independent Certified Public Accountant in accordance with Generally Accepted Auditing Standards (GAAS) and procedures promulgated by the American Institute of Certified Public Accountants.

(4) If annual gross receipts are less than \$500,000, the financial statements may be prepared without involvement by an independent Certified Public Accountant, unless otherwise directed by the Director.

##### (c) Other Reports

(1) Balance Sheet. If requested by the Director, within ninety (90) days of the execution of this Contract or its effective date, whichever is later, the Concessioner must submit to the Director a balance sheet as of the beginning date of the term of this Contract. The balance sheet must be audited or reviewed, as determined by the annual gross receipts, by an independent Certified Public Accountant.

(2) The Director from time to time may require the Concessioner to submit other reports and data regarding its performance under this Contract or otherwise, including, but not limited to, operational information.

#### Sec.8. Suspension, Termination, or Expiration

##### (a) Termination and Suspension

(1) The Director may temporarily suspend operations under this Contract in whole or in part or terminate this Contract in writing at any time in order to protect Area visitors, protect, conserve, and preserve Area resources, or to limit Visitor Services in the Area to those that continue to be necessary and appropriate.

(2) The Director may terminate this Contract if the Director determines that the Concessioner has materially breached any requirement of this Contract.

(3) In the event of a breach of the Contract, the Director will provide the Concessioner an opportunity to cure by providing written notice to the Concessioner of the breach. In the event of a monetary breach, the Director will give the Concessioner a fifteen (15) day period to cure the breach. If the breach is not cured within that period, then the Director may terminate the Contract for default. In the event of a nonmonetary breach, if the Director considers that the nature of the breach so permits, the Director will give the Concessioner thirty (30) days to cure the breach, or to provide a plan, to the satisfaction of the Director, to cure the breach over a specified period of time. If the breach is not cured within this specified period of time, the Director may terminate the Contract for default. Notwithstanding this provision, repeated breaches (two or more) of the same nature will be grounds for termination for default without a cure period. In the event of a breach of any nature, the Director may suspend the Concessioner's operations as appropriate in accordance with Section 8(a).

(b) Requirements in the Event of Suspension, Termination or Expiration

(1) In the event of suspension or termination of this Contract for any reason or expiration of this Contract, no compensation of any nature will be due the Concessioner, including, but not limited to, compensation for personal property, or for losses based on lost income, profit, or the necessity to make expenditures as a result of the termination.

(2) Upon termination of this Contract for any reason, or upon its expiration, and except as otherwise provided in this section, the Concessioner must, at the Concessioner's expense, promptly vacate the Area, remove all of the Concessioner's personal property, and repair any injury caused by removal of the property. This removal must occur within thirty (30) days (unless the Director in particular circumstances otherwise determines). Personal property not removed from the Area will be considered abandoned property subject to disposition by the Director, at full cost and expense of the Concessioner.

Sec. 9. Assignment, Sale or Encumbrance of Interests

This Contract may not be assigned [e.g. sold] or encumbered [e.g. mortgaged] without the approval of the Director in accordance with 36 CFR Part 51 with respect to proposed assignments and encumbrances.

Sec. 10. General Provisions – See Addendum 1.

Addendum 1 attached to this Contract is made a part of this Contract.

Sec. 11. Special Provisions – See Addendum 2.

Addendum 2 attached to this Contract is made a part of this Contract.

By:

CONCESSIONER  
**Chilkat Guides, Ltd.**

UNITED STATES OF AMERICA  
**National Park Service**

/s/ R. Bartlett Henderson Jr.  
Signature

/s/ Tomie Patrick Lee  
Signature

R. Bartlett Henderson Jr.  
Printed Name

Tomie Patrick Lee  
Printed Name

President  
Title

Superintendent  
Title

10/18/04  
Date

11/1/04  
Date

Attest

/s/ Travis J. Reid  
Signature

Travis J. Reid  
Printed Name

General Manager  
Title

Attachments:

- Addendum 1 – General Provisions
- Addendum 2 – Reserved
- Exhibit A – Nondiscrimination
- Exhibit B – Operating Plan
- Exhibit C – Reserved
- Exhibit D – Insurance
- Exhibit E – River Trip Survey Form

## ADDENDUM 1 GENERAL PROVISIONS

### 1. Definitions.

The following terms used in this Contract will have the following meanings, which apply to both the singular and the plural forms of the defined terms:

- (a) “**Applicable Laws**” means the laws of Congress governing the Area, including, but not limited to, the rules, regulations, requirements and policies promulgated under those laws, whether now in force, or amended, enacted or promulgated in the future, including, without limitation, federal, state and local laws, rules, regulations, requirements and policies governing nondiscrimination, protection of the environment and protection of public health and safety.
- (b) “**Area**” means the property within the boundaries of Glacier Bay National Park & Preserve.
- (c) “**Days**” means calendar days.
- (d) “**Director**” means the Director of the National Park Service, and his duly authorized representatives.
- (e) “**Exhibit**” means the various exhibits, which are attached to this Contract, each of which is hereby made a part of this Contract.
- (f) “**Gross Receipts**” means the total amount received or realized by, or accruing to, the Concessioner from all sales for cash or credit, of services, accommodations, materials, and other merchandise made pursuant to the rights granted by this Contract, commissions earned on contracts or agreements with other persons or companies operating in the Area, and gross receipts earned from electronic media sales, but excluding:
  - (1) Intracompany earnings on account of charges to other departments of the operation (such as laundry);
  - (2) Charges for employees' meals, lodgings, and transportation;
  - (3) Cash discounts on purchases;
  - (4) Cash discounts on sales;
  - (5) Returned sales and allowances;
  - (6) Interest on money loaned or in bank accounts;
  - (7) Income from investments;
  - (8) Income from subsidiary companies outside of the Area;
  - (9) Sale of property other than that purchased in the regular course of business for the purpose of resale;
  - (10) Sales and excise taxes that are added as separate charges to sales prices, gasoline taxes, hunting and fishing license fees, and postage stamps, provided that the amount excluded will not exceed the amount actually due or paid government agencies;
  - (11) Receipts from the sale of handicrafts that have been approved for sale by the Director as constituting authentic American Indian, Alaskan Native, Native Samoan, or Native Hawaiian handicrafts.All monies paid into coin operated devices, except telephones, whether provided by the Concessioner or by others, must be included in gross receipts. However, only revenues actually received by the Concessioner from coin-operated telephones must be included in gross receipts. All revenues received from charges for in-room telephone or computer access must be included in gross receipts.
- (g) “**Superintendent**” means the manager of the Area.
- (h) “**Visitor Services**” means the accommodations, facilities and services that the Concessioner is required and/or authorized to provide by this Contract.

### 2. Legal and Regulatory Compliance

This Contract, operations under it by the Concessioner, and its administration by the Director, are subject to all Applicable Laws. The Concessioner must comply with all Applicable Laws in fulfilling its obligations under this Contract at the Concessioner's sole cost and expense. The Concessioner must give the Director immediate written notice of any violation of Applicable Laws by the Concessioner, including its employees, agents or Contractors, and must promptly correct any violation.

### 3. Services and Operations

- (a) All promotional material, regardless of media format (i.e., printed, electronic, broadcast media), provided to the public by the Concessioner in connection with the services provided under this

Contract must be approved in writing by the Director prior to use. All such material will identify the Concessioner as an authorized Concessioner of the National Park Service, Department of the Interior.

- (b) The Concessioner will provide Federal employees reduced rates, in accordance with guidelines established by the Director, when conducting necessary official business. Complimentary or reduced rates and charges may otherwise not be provided to Federal employees by the Concessioner except to the extent that they are equally available to the general public.
- (c) The Director and Comptroller General of the United States, or any of their duly authorized representatives, will have access to the records of the Concessioner as provided by the terms of Applicable Laws.
- (d) Subconcession or other third party agreements, including management agreements, for the provision of Visitor Services required and/or authorized under this Contract, whether in consideration of a percentage of revenues or otherwise, are not permitted.
- (e) The Concessioner will ensure that any protected sites and archeological resources within the Area are not disturbed or damaged by the Concessioner's activities. Discoveries of any archeological resources by the Concessioner will be promptly reported to the Director.

#### 4. Environmental Data, Reports, Notifications, and Approvals

- (a) Inventory of Hazardous Substances and Inventory of Waste Streams. The Concessioner must submit to the Director, upon request, an inventory of hazardous chemicals used and stored in the Area by the Concessioner. The Concessioner must obtain the Director's approval prior to using any extremely hazardous substance, as defined in the Emergency Planning and Community Right to Know Act of 1986, in operations under this Contract. The Concessioner must also submit to the Director, upon request, an inventory of all waste streams generated by the Concessioner under this Contract.
- (b) Reports. The Concessioner must submit to the Director copies of all documents, reports, monitoring data, manifests, and other documentation required under Applicable Laws to be submitted to regulatory agencies. The Concessioner must also submit to the Director any environmental plans for which coordination with Area operations are necessary and appropriate, as determined by the Director in accordance with Applicable Laws.
- (c) Notification of Releases. The Concessioner must give the Director immediate written notice of any discharge, release or threatened release (as these terms are defined by Applicable Laws) within or at the vicinity of the Area (whether solid, semi-solid, liquid or gaseous in nature) of any hazardous or toxic substance, material, or waste of any kind, including, without limitation, building materials such as asbestos, or any contaminant, pollutant, petroleum, petroleum product or petroleum by-product.
- (d) Notice of Violation. The Concessioner must give the Director in writing immediate notice of any written threatened or actual notice of violation from other regulatory agencies of any Applicable Law arising out of the activities of the Concessioner, its agents or employees.
- (e) Communication with Regulatory Agencies. The Concessioner must provide timely written advance notice to the Director of communications, including without limitation, meetings, audits, inspections, hearings and other proceedings, between regulatory agencies and the Concessioner related to compliance with Applicable Laws concerning operations under this Contract. The Concessioner must also provide to the Director any written materials prepared or received by the Concessioner in advance of or subsequent to any such communications. The Concessioner must allow the Director to participate in any such communications. The Concessioner must also provide timely notice to the Director following any unplanned communications between regulatory agencies and the Concessioner.
- (f) Cost Recovery for Concessioner Environmental Activities. If the Concessioner does not promptly contain and remediate an unauthorized discharge or release arising out of the activities of the Concessioner, its employees, agents and Contractors, as set forth in this section, or correct any environmental self-assessment finding of non-compliance, in full compliance with Applicable Laws, the Director may, in its sole discretion and after notice to the Concessioner, take any such action consistent with Applicable Laws as the Director deems necessary to abate, mitigate, remediate, or otherwise respond to such release or discharge, or take corrective action on the environmental self-assessment finding. The Concessioner will be liable for and must pay to the Director any costs of the Director associated with such action upon demand. Nothing in this section precludes the Concessioner from seeking to recover costs from a responsible third party.

#### 5. Fees

(a) Adjustment of Franchise Fee

- (1) The Concessioner or the Director may request, in the event that either considers that extraordinary, unanticipated changes have occurred after the effective date of this Contract, a reconsideration and possible subsequent adjustment of the franchise fee established in this section. For the purposes of this section, the phrase "extraordinary, unanticipated changes" will mean extraordinary, unanticipated changes from the conditions existing or reasonably anticipated before the effective date of this Contract which have or will significantly affect the probable value of the privileges granted to the Concessioner by this Contract. For the purposes of this section, the phrase "probable value" means a reasonable opportunity for net profit in relation to capital invested and the obligations of this Contract.
- (2) The Concessioner or the Director must make a request for a reconsideration by mailing, within sixty (60) days from the date that the party becomes aware, or should have become aware, of the possible extraordinary, unanticipated changes, a written notice to the other party that includes a description of the possible extraordinary, unanticipated changes and why the party believes they have affected or will significantly affect the probable value of the privileges granted by this Contract.
- (3) If the Concessioner and the Director agree that extraordinary, unanticipated changes have occurred, the Concessioner and the Director will undertake good faith negotiations as to an appropriate adjustment of the franchise fee.
- (4) The negotiation will last for a period of sixty (60) days from the date the Concessioner and the Director agree that extraordinary, unanticipated changes occurred. If the negotiation results in agreement as to an adjustment (up or down) of the franchise fee within this period, the franchise fee will be adjusted accordingly, prospectively as of the date of agreement.
- (5) If the negotiation does not result in agreement as to the adjustment of the franchise fee within this sixty (60) day period, then either the Concessioner or the Director may request binding arbitration to determine the adjustment to franchise fee in accordance with this section. Such a request for arbitration must be made by mailing written notice to the other party within fifteen (15) days of the expiration of the sixty (60) day period.
- (6) Within thirty (30) days of receipt of such a written notice, the Concessioner and the Director will each select an arbiter. These two arbiters, within thirty (30) days of selection, must agree to the selection of a third arbiter to complete the arbitration panel. Unless otherwise agreed by the parties, the arbitration panel will establish the procedures of the arbitration. Such procedures must provide each party a fair and equal opportunity to present its position on the matter to the arbitration panel.
- (7) The arbitration panel will consider the written submissions and any oral presentations made by the Concessioner and the Director and provide its decision on an adjusted franchise fee (up, down or unchanged) that is consistent with the probable value of the privileges granted by this Contract within sixty (60) days of the presentations.
- (8) Any adjustment to the franchise fee resulting from this section will be prospective only.
- (9) Any adjustment to the franchise fee will be embodied in an amendment to this Contract.
- (10) During the pendency of the process described in this section, the Concessioner will continue to make the established franchise fee payments required by this Contract.

6. Indemnification

The Concessioner agrees to assume liability for and does hereby agree to save, hold harmless, protect, defend and indemnify the United States of America, its agents and employees from and against any and all liabilities, obligations, losses, damages or judgments (including without limitation penalties and fines), claims, actions, suits, costs and expenses (including without limitation attorneys fees and experts' fees) of any kind and nature whatsoever on account of fire or other peril, bodily injury, death or property damage, or claims for bodily injury, death or property damage of any nature whatsoever, and by whomsoever made, in any way connected with or arising out of the activities of the Concessioner, its employees, agents or Contractors under this Contract. This indemnification will survive the termination or expiration of this Contract.

7. Notice of Bankruptcy or Insolvency

The Concessioner must give the Director immediate notice (within five (5) days) after the filing of any petition in bankruptcy, filing any petition seeking relief of the same or different kind under any provision of the Bankruptcy Act or its successor, or making any assignment for the benefit of creditors. The Concessioner must also give the Director immediate notice of any petition or other proceeding against the

Concessioner for the appointment of a trustee, receiver, or liquidator, or, the taking by any person or entity of the rights granted by this Contract or any part thereof upon execution, attachment or other process of law or equity. For purposes of the bankruptcy statutes, NPS considers that this Contract is not a lease but an executory Contract exempt from inclusion in assets of Concessioner pursuant to 11 U.S.C. 365. The Director may terminate this Contract if the Director determines that the Concessioner is unable to perform the terms of Contract due to such bankruptcy or insolvency action.

8. Additional Provisions

- (a) This Contract contains the sole and entire agreement of the parties. No oral representations of any nature form the basis of or may amend this Contract. This Contract may be extended, renewed or amended only when agreed to in writing by the Director and the Concessioner.
- (b) This Contract does not grant rights or benefits of any nature to any third party.
- (c) The invalidity of a specific provision of this Contract will not affect the validity of the remaining provisions of this Contract.
- (d) Waiver by the Director or the Concessioner of any breach of any of the terms of this Contract by the other party will not be deemed to be a waiver or elimination of such term, nor of any subsequent breach of the same type, nor of any other term of the Contract. The subsequent acceptance of any payment of money or other performance required by this Contract will not be deemed to be a waiver of any preceding breach of any term of the Contract.
- (e) No member of, or delegate to, Congress or Resident Commissioner will be admitted to any share or part of this Contract or to any benefit that may arise from this Contract but this restriction will not be construed to extend to this Contract if made with a corporation or company for its general benefit.
- (f) This Contract is subject to the provisions of 43 CFR, Subtitle A, Part 12, Subpart D, concerning nonprocurement debarment and suspension. The Director may recommend that the Concessioner be debarred or suspended in accordance with the requirements and procedures described in those regulations, as they are effective now or may be revised in the future.

## **Exhibit A**

### **Nondiscrimination**

#### Section I: Requirements Relating to Employment and Service to the Public

##### A. Employment

During the performance of this CONTRACT the Concessioner agrees as follows:

- (1) The Concessioner will not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, national origin, or disabling condition. The Concessioner will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, age, national origin, or disabling condition. Such action shall include, but not be limited to, the following: Employment upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Concessioner agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Secretary setting forth the provision of this nondiscrimination clause.
- (2) The Concessioner will, in all solicitations or advertisements for employees placed by on behalf of the Concessioner, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age, national origin, or disabling condition.
- (3) The Concessioner will send to each labor union or representative of workers with which the Concessioner has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the Secretary, advising the labor union or workers' representative of the Concessioner's commitments under Section 202 of Executive Order No. 11246 of September 24, 1965, as amended by Executive Order No. 11375 of October 13, 1967, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (4) Within 120 days of the commencement of a contract every Government contractor or subcontractor holding a contract that generates gross receipts which exceed \$50,000 and having 50 or more employees shall prepare and maintain an affirmative action program at each establishment which shall set forth the contractor's policies, practices, and procedures in accordance with the affirmative action program requirement.
- (5) The Concessioner will comply with all provisions of Executive Order No. 11246 of September 24, 1965, as amended by Executive Order No. 11375 of October 13, 1967, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- (6) The Concessioner will furnish all information and reports required by Executive Order No. 11246 of September 24, 1965, as amended by Executive Order No. 11375 of October 13, 1967, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to the Concessioner's books, records, and accounts by the Secretary of the Interior and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (7) In the event of the Concessioner's noncompliance with the nondiscrimination clauses of this CONTRACT or with any of such rules, regulations, or orders, this CONTRACT may be canceled, terminated or suspended in whole or in part and the Concessioner may be declared ineligible for further Government concession contracts in accordance with procedures authorized in Executive Order No. 11246 of September 24, 1965, as amended by Executive Order No. 11375 of October 13, 1967, and such other sanctions may be imposed and remedies invoked as provided in Executive Order No. 11246 of September 24, 1965, as amended by Executive Order No. 11375 of October 13, 1967, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- (8) The Concessioner will include the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order No. 11246 of September 24, 1965, as amended by Executive Order No. 11375 of October 13, 1967, so that such provisions will be binding upon each subcontractor or vendor. The Concessioner will take such action with respect to any subcontract or purchase order as the Secretary may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event the Concessioner becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such

direction by the Secretary, the Concessioner may request the United States to enter into such litigation to protect the interests of the United States.

#### B. Construction, Repair, and Similar Contracts

The preceding provisions A(1) through A(8) governing performance of work under this CONTRACT, as set out in Section 202 of Executive Order No. 11246 of September 24, 1965, as amended by Executive Order No. 11375 of October 13, 1967, shall be applicable to this CONTRACT, and shall be included in all contracts executed by the Concessioner for the performance of construction, repair, and similar work contemplated by this CONTRACT, and for that purpose the term "CONTRACT" shall be deemed to refer to this instrument and to contracts awarded by the Concessioner and the term "Concessioner" shall be deemed to refer to the Concessioner and to contractors awarded contracts by the Concessioner.

#### C. Facilities

(1) Definitions: As used herein:

- (a) Concessioner shall mean the Concessioner and its employees, agents, lessees, sublessees, and contractors, and the successors in interest of the Concessioner;
- (b) Facility shall mean any and all services, facilities, privileges, accommodations, or activities available to the general public and permitted by this agreement.

(2) The Concessioner is prohibited from:

- (a) Publicizing facilities operated hereunder in any manner that would directly or inferentially reflect upon or question the acceptability of any person because of race, color, religion, sex, age, national origin, or disabling condition;
- (b) Discriminating by segregation or other means against any person.

### Section II: Accessibility

Title V, Section 504, of the Rehabilitation Act of 1973, as amended in 1978, requires that action be taken to assure that any "program" or "service" being provided to the general public be provided to the highest extent reasonably possible to individuals who are mobility impaired, hearing impaired, and visually impaired. It does not require architectural access to every building or facility, but only that the service or program can be provided somewhere in an accessible location. It also allows for a wide range of methods and techniques for achieving the intent of the law, and calls for consultation with disabled persons in determining what is reasonable and feasible.

No handicapped person shall, because a Concessioner's facilities are inaccessible to or unusable by handicapped persons, be denied the benefits of, be excluded from participation in, or otherwise be subjected to discrimination under any program or activity receiving Federal financial assistance or conducted by any Executive agency or by the U.S. Postal Service.

#### A. Discrimination Prohibited

A Concessioner, in providing any aid, benefit, or service, may not directly or through contractual, licensing, or other arrangements, on the basis of handicap:

- (1) Deny a qualified handicapped person the opportunity to participate in or benefit from the aid, benefit, or service;
- (2) Afford a qualified handicapped person an opportunity to participate in or benefit from the aid, benefit, or service that is not equal to that afforded others;
- (3) Provide a qualified handicapped person with an aid, benefit, or service that is not as effective as that provided to others;
- (4) Provide different or separate aids, benefits, or services to handicapped persons or to any class of handicapped persons unless such action is necessary to provide qualified handicapped persons with aid, benefits, or services that are as effective as those provided to others;
- (5) Aid or perpetuate discrimination against a qualified handicapped person by providing significant assistance to an agency, organization, or person that discriminates on the basis of handicap in providing any aid, benefit, or service to beneficiaries of the recipient's program;
- (6) Deny a qualified handicapped person the opportunity to participate as a member of planning or advisory boards; or

- (7) Otherwise limit a qualified handicapped person in the enjoyment of any right, privilege, advantage, or opportunity enjoyed by others receiving an aid, benefit, or service.

B. Existing Facilities

A Concessioner shall operate each program or activity so that the program or activity, when viewed in its entirety, is readily accessible to and usable by handicapped persons. This paragraph does not require a Concessioner to make each of its existing facilities or every part of a facility accessible to and usable by handicapped persons.

## Exhibit B Operating Plan

### Introduction

This Operating Plan between Chilkat Guides, Ltd. (hereinafter referred to as the “Concessioner”) and Glacier Bay National Park & Preserve, National Park Service (hereinafter referred to as the “Service”) shall serve as a supplement to Concession Contract CC-GLBA-011-05 (hereinafter referred to as the “CONTRACT”). It describes specific operating responsibilities of the Concessioner and the Service with regard to those lands and facilities within Glacier Bay National Park & Preserve (hereinafter referred to as the “Area”) which are assigned to the Concessioner for the purposes authorized by the CONTRACT. In the event of any conflict between the terms of the CONTRACT and this Operating Plan, the terms of the CONTRACT, including its designations and amendments, shall prevail. This plan will be reviewed annually by the Superintendent in consultation with the Concessioner and revised as determined necessary by the Superintendent of the Glacier Bay National Park & Preserve. Any revisions shall not be inconsistent with the main body of this CONTRACT. Any revisions must be reasonable and in furtherance of the purposes of the CONTRACT.

- 1) Definitions:
  - a) **“Bear resistant container”** means a container which has been tested and approved in accordance with the Interagency Grizzly Bear Committee Bear-Resistant Products Testing Program (Part 1 category) [see: <http://www.fs.fed.us/r1/wildlife/igbc/resistantcontainer4-04.pdf>]
- 2) The Concessioner must comply with applicable Canadian requirements while in Canada, including but not limited to commercial river rafting permits, fishing licenses and customs clearances.
- 3) **The Chilkat Guides, Ltd. is allocated 7 trips, with take-out dates during the June 12 to September 15 “control season,” per year. This allocation may be changed by the Service. This Concessioner has ANILCA section 1307 historic rights for 1 trip (included in the total of 7 noted above), which has been awarded to the Concessioner pursuant to 36 CFR 13.82(d).**
- 4) Any trips not used for two consecutive years will be forfeited, except that the Superintendent may allow the concessioner to retain the trips if the concessioner relinquishes the trips at least six months in advance or can demonstrate that there was insufficient visitor demand to justify running the trip.
- 5) The Concessioner will be assigned arrival dates at Dry Bay for all authorized trips. Trips arriving at Dry Bay on any other date are prohibited. For 2005, the Concessioner is assigned the arrival dates at Dry Bay as shown on Exhibit F. For subsequent years, the National Park Service will notify the Concessioner of assigned trip dates after consultation with Canadian land managers and Concessioners.
- 6) A trip report, in the format shown on Exhibit E or as revised by the Service, will be submitted to the Dry Bay Ranger at the take-out, or mailed to the Park within 5 days after take-out.
- 7) Group size is limited to a maximum of 15 people, including clients, boatman and trainees. Concessioner trips authorized prior to the July 1989 *Alsek River Visitor Use Management Plan* are allowed a maximum group size of 25 people, including clients, boatman and trainees (unless there has been a change in controlling interest, as noted below). **Chilkat Guides, Ltd. is allocated 1 such trip.** The larger group size will be lost when there is a change in a controlling interest in the ownership of the concessioner, as defined in 36 CFR 51.84.
- 8) Groups will not remain longer than one night on each trip at Walker Glacier, Gateway Knob and Alsek Lake Peninsula/Spit except that on each trip a group may remain two nights at only one of these three sites listed above. At all other campsites, groups will not remain longer than three consecutive nights on each trip.
- 9) Boat motors may not be used above Gateway Knob.
- 10) Solid human waste must be disposed of outside of Glacier Bay National Park & Preserve, except when hiking more than 1/2 mile from the Alsek River.\*
- 11) Open wood fires shall be contained in a fire-pan and all fire residue distributed in the main channel of the river.
- 12) Firewood is limited to driftwood collected at least one mile from the campsite.
- 13) All trash and garbage will be carried out of the park.
- 14) No materials, supplies, or equipment of any type will be cached or stored in the park or preserve without prior written approval by the Superintendent.

- 15) Concessioner will coordinate with or participate in an effort among the various commercial rafting outfitters operating on the Tatshenshini and Alsek to test alternatives for food storage including, bear resistant food containers, an electric fence system and a food cache alarm system, in conjunction with the NPS and Canadian agencies. Concessioner will report the test results to the NPS and implement any NPS approved system that results from this process.\*
- 16) The Concessioner will not leave campsites unattended.\*
- 17) A satellite phone will be carried on all trips.\*
- 18) Dry suits will be offered to all clients for use on the river.\*
- 19) The Concessioner will develop a comprehensive river guide manual, for NPS approval. The approved guide manual will be provided to all guides and will be the basis for guide performance standards.\*
- 20) The Concessioner will ensure that all existing guides have at least swift water rescue, emergency trauma technician, cardiopulmonary resuscitation certification (or equivalent) and that new guides have at least Wilderness First Responder certification.\*
- 21) The Concessioner will develop a master river map for guide use which will be updated throughout the season with current river information, local conditions, etc.
- 22) The Concessions will carry reference materials related to the Alsek Tatshenshini area for guide and client use on each trip. Topics should include natural history, geology, plants/wildflowers, wildlife, an annotated river map,
- 23) The Concessioner will require a written trip leaders report for each trip (in addition to the trip report submitted to the NPS). The Concessioner will submit, for Superintendent approval, the format to be used for the trip report. As a minimum, the form should solicit comments regarding the pre-trip orientation, client expectations, trip equipment, logistics, staff performance, and trip conditions. Trip reports will be made available to the NPS on request.\*

\* These are items that were not included in the draft permit but were elements of the best offer.

## **Exhibit D Insurance Requirements**

### **I. General**

The Concessioner must obtain and maintain during the entire term of this Contract, at its sole cost and expense, the types and amounts of insurance coverage necessary to fulfill the obligations of the Contract. The Director will approve the types and amounts of insurance coverage purchased by the Concessioner.

At the request of the Director, the Concessioner must, at the time insurance is first purchased and annually thereafter, provide the Director with a Certificate of Insurance that accurately details the conditions of the policy as evidence of compliance with this section. The Concessioner must provide the Director immediate written notice of any material change in the Concessioner's insurance program hereunder, including without limitation, cancellation of any required insurance coverages.

From time to time, as conditions in the insurance industry warrant, the Director may modify this Exhibit, provided that any additional requirements must be reasonable and consistent with the types of insurance a prudent businessperson would purchase in similar circumstances.

The Director will not be responsible for any omissions or inadequacies of insurance coverages and amounts in the event the insurance purchased by the Concessioner proves to be inadequate or otherwise insufficient for any reason whatsoever.

### **II. Liability Insurance.**

The Concessioner must provide commercial general liability insurance against claims arising out of or resulting from the acts or omissions of the Concessioner or its employees, agents or contractors, in carrying out the activities and operations required and/or authorized under this Contract.

All liability policies must specify that the insurance company will have no right of subrogation against the United States of America and must provide that the United States of America is named an additional insured.

This insurance must be in the amount commensurate with the degree of risk and the scope and size of the activities required and/or authorized under this Contract. The following Liability Coverages and limits are to be maintained at a minimum, all of which are to be written on an occurrence basis only. The Concessioner may attain the limits specified below by means of supplementing the respective coverage(s) with Excess or Excess "Umbrella" Liability. Furthermore, the commercial general liability package must provide no less than the coverages and limits described.

#### **A. Commercial General Liability**

1. Coverage will be provided for bodily injury, property damage, personal or advertising injury liability (and must include Contractual Liability and Products/Completed Operations Liability).

Bodily Injury and Property Damage Limit \$300,000

Products/Completed Operations Limit: same

Personal Injury & Advertising Injury Limit: same

General Aggregate: same

Fire Damage Legal Liability "per fire": same

2. The liability coverages may not contain the following exclusions/limitations:

- a. Athletic or Sports Participants
- b. Products/Completed Operations
- c. Personal Injury or Advertising Injury exclusion or limitation
- d. Contractual Liability limitation
- e. Explosion, Collapse and Underground Property Damage exclusion
- f. Total Pollution exclusion
- g. Watercraft limitations affecting the use of watercraft in the course of the concessioner's operations (unless separate Watercraft coverage is maintained)

3. If the policy insures more than one location, the General Aggregate limit must be amended to apply separately to each location, or, at least, separately to the appropriate NPS location(s).
  
- B. Automobile Liability  
Coverage will be provided for bodily injury or property damage arising out of the ownership, maintenance or use of "any auto," Symbol 1. (Where there are no owned autos, coverage applicable to "hired" and "non-owned" autos, "Symbols 8 & 9," will be maintained.)  
Each Accident Limit: NA
  
- C. Liquor Liability (if applicable)  
Coverage will be provided for bodily injury or property damage including damages for care, loss of services, or loss of support arising out of the selling, serving or furnishing of any alcoholic beverage.  
Each Common Cause Limit: Liquor sales not authorized.  
Aggregate Limit
  
- D. Watercraft Liability (or Protection & Indemnity) (if applicable)  
Coverage will be provided for bodily injury or property damage arising out of the use of any watercraft.  
Each Occurrence Limit: NA
  
- E. Aircraft Liability (if applicable)  
Coverage will be provided for bodily injury or property damage arising out of the use of any aircraft.  
Each Person Limit: NA  
Property Damage Limit: NA  
Each Accident Limit: NA
  
- F. Excess Liability or Excess "Umbrella" Liability  
This coverage is not required, but may be used to supplement any of the above Liability coverage policies in order to arrive at the required minimum limit of liability. If maintained, coverage will be provided for bodily injury, property damage, personal or advertising injury liability in excess of scheduled underlying insurance. In addition, coverage must be at least as broad as that provided by underlying insurance policies and the limits of underlying insurance must be sufficient to prevent any gap between such minimum limits and the attachment point of the coverage afforded under the Excess Liability or Excess "Umbrella" Liability policy.
  
- G. Care, Custody and Control--Legal Liability (Describe Specific Coverage)  
Coverage will be provided for damage to property in the care, custody or control of the concessioner.  
Any One Loss: \$300,000
  
- H. Environmental Impairment Liability  
Coverage (if requested by the Director) will be provided for bodily injury, personal injury or property damage arising out of pollutants or contaminants (on site and/or offsite).  
Each Occurrence or Each Claim Limit: NA  
Aggregate Limit: NA
  
- I. Special Provisions for Use of Aggregate Policies.  
  
At such time as the aggregate limit of any required policy is (or if it appears that it will be) reduced or exhausted, the concessioner may be required to reinstate such limit or purchase additional coverage limits.
  
- J. Self-Insured Retentions.  
Self-insured retentions on any of the above described Liability insurance policies (other than Excess "Umbrella" Liability, if maintained) may not exceed \$5,000.
  
- I. Workers Compensation and Employers' Liability.

Coverage will comply with the statutory requirements of the state(s) in which the concessioner operates.

## II. Insurance Company Minimum Standards.

All insurance companies providing the above described insurance coverages must meet the minimum standards set forth below:

1. All insurers for all coverages must be rated no lower than A-by the most recent edition of Best's Key Rating Guide (Property-Casualty Edition).
2. All insurers for all coverages must have a Best's Financial Size Category of at least VIII according to the most recent edition of Best's Key Rating Guide (Property-Casualty edition).
3. All insurers must be admitted (licensed) in the state in which the concessioner is domiciled.

## III. Certificates of Insurance.

All certificates of Insurance required by this Contract must be completed in sufficient detail to allow easy identification of the coverages, limits, and coverage amendments that are described above. In addition, the insurance companies must be accurately listed along with their A.M. Best Identification Number ("AMB#"). The name, address and telephone number of the issuing insurance agent or broker must be clearly shown on the certificate of insurance as well.

Due to the space limitations of most standard certificates of insurance, it is expected that an addendum will be attached to the appropriate certificate(s) in order to provide the space needed to show the required information.

In addition to providing certificates of insurance, the concessioner, upon written request of the Director, must provide the Director with a complete copy of any of the insurance policies (or endorsements thereto) required herein to be maintained by the concessioner.

## IV. Statutory Limits

In the event that a statutorily required limit exceeds a limit required herein, the higher statutorily required limit will be considered the minimum to be maintained.

## Exhibit E

### Alsek/Tatshenshini River Trip Survey Form

A copy of this form should be carried on each trip and filled out during the trip. The completed form is to be turned in to the NPS at Dry Bay.

Trip Leader/Company \_\_\_\_\_  
Launch Location \_\_\_\_\_ Launch Date \_\_\_\_\_  
Take-out Date \_\_\_\_\_ Number of Participants \_\_\_\_\_  
Number of Watercraft: Raft \_\_\_\_\_ Cataraft \_\_\_\_\_ Inflatable Kayak/Canoe \_\_\_\_\_  
Rigid Kayak \_\_\_\_\_ Rigid Canoe \_\_\_\_\_ Other \_\_\_\_\_

Campsite Locations (Be specific, using topo map locations/river left or right):

Night 1 \_\_\_\_\_

Night 2 \_\_\_\_\_

Night 3 \_\_\_\_\_

Night 4 \_\_\_\_\_

Night 5 \_\_\_\_\_

Night 6 \_\_\_\_\_

Night 7 \_\_\_\_\_

Night 8 \_\_\_\_\_

Night 9 \_\_\_\_\_

Night 10 \_\_\_\_\_

Night 11 \_\_\_\_\_

Night 12 \_\_\_\_\_

How many different groups did you encounter: Total? \_\_\_\_\_

Could you tell how many were Commercial? \_\_\_\_\_

Private? \_\_\_\_\_

Were there any problems between groups? \_\_\_\_\_ If yes, describe in "Comments" section.

How many bear were seen during the trip:

black bear \_\_\_\_\_ brown/grizzly \_\_\_\_\_ unknown species \_\_\_\_\_

Were any bear seen: (give locations here or in "Comments" section)

within 100 yards of a campsite? \_\_\_\_\_

in camp? \_\_\_\_\_

acting in an unusual or aggressive manner? \_\_\_\_\_

Any other wildlife encounters/sightings?

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Were there any campsites where trash or human disturbance was evident (give locations)?

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Did you hike anywhere away from the campsites? If so, where \_\_\_\_\_

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What kind of food storage precautions did you take while in camp? \_\_\_\_\_

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What type of toilet system did you use? \_\_\_\_\_

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Which air taxi will you use and where is your destination? \_\_\_\_\_

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What kind of emergency signaling devices did you take on the trip? VHF radio \_\_\_\_\_  
Air-band radio \_\_\_\_\_, SSB radio \_\_\_\_\_, ELT \_\_\_\_\_, EPIRB \_\_\_\_\_, Signal mirror \_\_\_\_\_,  
Signal panels \_\_\_\_\_, Flares \_\_\_\_\_, Other \_\_\_\_\_

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Comments, Suggestions, Continuations (*Your input is important to river managers*):

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## Exhibit F

### 2005 Alsek River Take-out Date Assignments

Notes: The Glacier Bay NP&P allocated trips are shown **shaded and bold**.  
 “(A - #/#)” indicates this is may be an Alsek River put-in and the date indicates the approximate put-in date.  
 All Alsek River put-ins are subject to approval of the applicable Canadian land management agencies.

June 2005		July 2005		August 2005		September 2005	
1-Jun		1-Jul	Kean Expedition Rafting	1-Aug	<b>James Henry Riv. Journeys</b>	1-Sep	Kean Expedition Rafting
2-Jun		2-Jul		2-Aug		2-Sep	
3-Jun		3-Jul	Canadian River Expeditions	3-Aug		3-Sep	
4-Jun		4-Jul		4-Aug		4-Sep	<b>Colorado River &amp; Trail</b>
5-Jun		5-Jul		5-Aug	<b>Mt. Travel (A - 7/25)</b>	5-Sep	Champagne & Aishihik
6-Jun		6-Jul		6-Aug	Butterfield & Robinson R&O	6-Sep	<b>Chilkat Guides</b>
7-Jun		7-Jul	Nahanni River Adventures	7-Aug	<b>Alaska Discovery (A - 7/27)</b>	7-Sep	
8-Jun		8-Jul	<b>Alaska Discovery</b>	8-Aug	Champagne & Aishihik	8-Sep	
9-Jun		9-Jul		9-Aug	<b>Wilderness River Outfitters</b>	9-Sep	
10-Jun		10-Jul	<b>Mt. Travel (A - 6/29)</b>	10-Aug		10-Sep	
11-Jun		11-Jul	Tatshenshini Expediting	11-Aug	<b>Mt. Travel (A - 7/31)</b>	11-Sep	
12-Jun		12-Jul	<b>Chilkat Guides</b>	12-Aug		12-Sep	<b>Chilkat Guides</b>
13-Jun		13-Jul		13-Aug		13-Sep	Canadian River Expeditions
14-Jun	<b>Chilkat Guides (A - 6/3)</b>	14-Jul		14-Aug	Canadian River Expeditions	14-Sep	
15-Jun		15-Jul		15-Aug		15-Sep	
16-Jun		16-Jul		16-Aug		16-Sep	Kootenay (problem date)
17-Jun	Suskwa Adventures	17-Jul	Canadian River Expeditions	17-Aug		17-Sep	
18-Jun		18-Jul		18-Aug		18-Sep	
19-Jun	Canadian River Expeditions	19-Jul		19-Aug	<b>Mt. Travel</b>	19-Sep	
20-Jun	<b>Mt. Travel (A 6/9)</b>	20-Jul	<b>Mt. Travel</b>	20-Aug	Nahanni River Adventures	20-Sep	
21-Jun		21-Jul	Champagne & Aishihik	21-Aug	<b>Chilkat Guides</b>	21-Sep	
22-Jun	<b>Alaska Discovery</b>	22-Jul	<b>Colorado River &amp; Trail</b>	22-Aug	Canadian River Exp. (A - 8/12)	22-Sep	
23-Jun	Canadian River Exp (A - 6/13)	23-Jul		23-Aug		23-Sep	
24-Jun		24-Jul	<b>Wilderness River Outfitters</b>	24-Aug		24-Sep	
25-Jun		25-Jul	Butterfield & Robinson R&O	25-Aug	<b>James Henry Riv. Journeys</b>	25-Sep	
26-Jun		26-Jul	<b>Mt. Travel</b>	26-Aug		26-Sep	
27-Jun		27-Jul	Nahanni River Adv. (A - 7/16)	27-Aug		27-Sep	
28-Jun	<b>Chilkat Guides (A - 6/17)</b>	28-Jul		28-Aug	Canadian River Expeditions	28-Sep	
29-Jun	Tatshenshini Expediting	29-Jul		29-Aug		29-Sep	
30-Jun	<b>James Henry Riv. Journeys</b>	30-Jul		30-Aug		30-Sep	
		31-Jul	Canadian River Expeditions	31-Aug	<b>Chilkat Guides (A - 8/20)</b>		