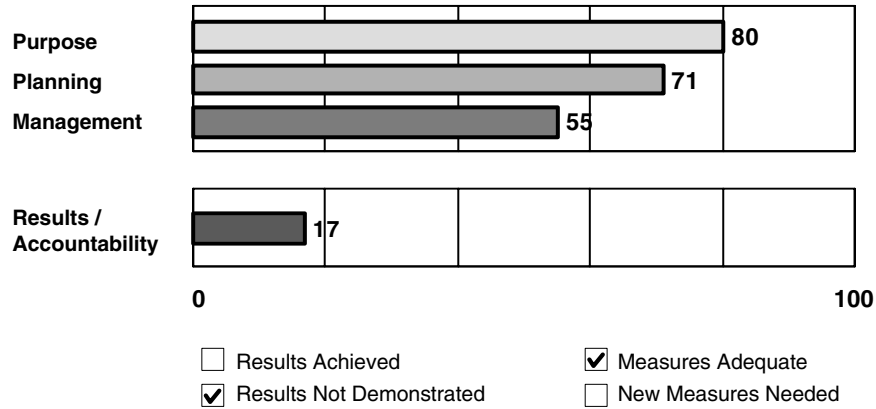


Program: TRIO Upward Bound

Agency: Department of Education

Bureau: Office of Postsecondary Education



Key Performance Measures

	Year	Target	Actual
Long-term and Annual Measure: College Enrollment rate of higher-risk low-income, first generation college students who are program participants	2000	34%	34%
	2003	35%	
	2005	36%	
	2007	37%	
Long-term Measure: College Enrollment rate of all program participants	2000	65%	65%
	2003	65%	
	2005	65%	
	2007	65%	

Rating: Ineffective

Program Type: Competitive Grants

Program Summary:

The purpose of TRIO Upward Bound (UB) is to increase the college enrollment rate of low-income high school students through intensive services designed to improve their academic performance and college preparation. The program makes grants to postsecondary institutions and other organizations who, in turn, provide such services as residential summer school, visits to colleges, and tutoring.

The PART assessment found:

1. A recent evaluation of UB determined this program has not been effective in increasing college preparation and enrollment of its program participants at large. However, there is evidence that UB has significant effects on increased college enrollment and program persistence for "high risk", low-income students ("high-risk" students include those with no expectation of completing a bachelor's degree). Unfortunately, the study's findings reveal that UB is inadequately targeted to these students. The Department of Education's new performance goals for this program include a measure of college enrollment rates for high-risk program participants. The strong evaluation evidence provides the basis for the "ineffective" rating.
2. The Department has only recently established specific goals and targets for this program. As a result, the program has only collected baseline data for the program and will not be able to measure progress on the UB goals until next year. Therefore, program performance is not yet known.
3. The program has implemented a plan to address Inspector General concerns of inadequate grantee monitoring and unclear reporting requirements. Like the TRIO Student Support Services program, UB does not attract a significant amount of first-time grantees due to statutory and regulatory constraints.
4. The program purpose is clear, but the program design does not adequately target the most high-need students.

In response to these findings, the Department will:

1. Complete an anticipated rulemaking process in the upcoming year to increase the proportion of high-risk students served by UB grantees.
2. Provide technical assistance to new applicants and current grantees on high risk participants.
3. Explore policies that would encourage more qualified first-time grantees to participate in the program.
4. Closely monitor new UB annual program goals and make better use of project performance report data to improve the program.

Program Funding Level (in millions of dollars)

<u>2002 Actual</u>	<u>2003 Estimate</u>	<u>2004 Estimate</u>
264	268	268