## UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940 Rel. No. 2770 / August 22, 2008	
Admin. Proc. File No. 3-12822	
In the Matter of	-
AMAROQ ASSET MANAGEMENT, LLC and DWIGHT ANDREE SEAN O'NEAL JONES	

## NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Amaroq Asset Management, LLC or Dwight Andree Sean O'Neal Jones, and the Commission has not chosen to review the decision as to them on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice, 1/ that the initial decision of the administrative law judge 2/ has become the final decision of the Commission with respect to Amaroq Asset Management, LLC, and Dwight Andree Sean O'Neal Jones. The orders contained in that decision are hereby declared effective. The initial decision ordered Amaroq Asset Management, LLC, and Dwight Andree Sean O'Neal to cease and desist from committing or causing any violations or future violations of Section 204 of the Investment Advisers Act of 1940 and Advisers Act Rule 204-1; and further ordered that the registration of Amaroq Asset Management, LLC, as an investment adviser be revoked;

 <sup>1/ 17</sup> C.F.R. § 201.360(d).
 2/ Amaroq Asset Management, LLC and Dwight Andree Sean O'Neal Jones, Initial Decision Rel. No. 351 (July 15, 2008), \_\_\_\_ SEC Docket \_\_\_.

that Jones be barred from association with any investment adviser, with a right to apply for association after one year pursuant to Rule 193 of the Commission's Rules of Practice; and that Jones pay a civil penalty in the amount of \$15,000.

For the Commission by the Office of the General Counsel, pursuant to delegated authority.

Florence E. Harmon Acting Secretary