

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Rel. No. 57493 / March 13, 2008

Admin. Proc. File No. 3-12559

In the Matter of

TRAUTMAN WASSERMAN &
COMPANY, INC. and SAMUEL M.
WASSERMAN

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Trautman Wasserman & Company, Inc. ("TWCO") or Samuel M. Wasserman and the Commission has not chosen to review the decision as to them on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice, 1/ that the initial decision of the administrative law judge 2/ has become the final decision of the Commission with respect to TWCO and Samuel M. Wasserman. The order contained in that decision is hereby declared effective. The initial decision revoked TWCO's broker-dealer registration and barred Samuel M. Wasserman from association with a broker or dealer or investment adviser in any supervisory capacity. The initial decision also ordered TWCO to disgorge the amount of its assets not exceeding \$9,040,000 and Wasserman to disgorge \$25,000, plus prejudgment interest from October 31, 2002 through the date the order was issued. The initial decision further ordered that TWCO pay a civil money penalty in the amount of \$500,000.

Payment of the disgorgement, prejudgment interest, and civil penalty shall be made 30 days following the day this initial decision becomes final. Payment shall be made by certified check, United States Postal money order, bank cashier's check, or bank money order, payable to

1/ 17 C.F.R. § 201.360(d).

2/ Trautman Wasserman & Co. Inc. and Samuel M. Wasserman, Initial Decision Rel. No. 340 (January 14, 2008), ___ SEC Docket ___.

the U.S. Securities and Exchange Commission. The payment, and a cover letter identifying Respondents and the proceeding designation, shall be delivered to the Comptroller, Securities Exchange Commission, Operations Center, 6432 General Green Way, Stop 0-3, Alexandria, Virginia 22312. A copy of the cover letter and instrument of payment shall be sent to the Commission's Division of Enforcement, directed to the attention of counsel of record.

For the Commission by the Office of the General Counsel, pursuant to delegated authority.

Nancy M. Morris
Secretary