C2

Permit Holder Department
400 LaSalle St.
Chicago, IL 60605
312-786-7449 – Phone
312-786-8140 – Fax
www.c2.org

CLEARING PARTICIPANT GUARANTEE

Name of Clearing Participant _____ OCC # _____ Acronym _____

Name of Permit Holder _____

In accordance with C2 Rule 3.10, Clearing Participant advises C2 that it guarantees and assumes financial responsibility for all transactions on C2 resulting from orders, bids, offers, and other messages that are transmitted through the above-listed acronym. The Clearing Participant guarantees and assumes financial responsibility for such transactions on C2 even if orders, bids, offers, or other messages transmitted to C2 through the foregoing acronym (i) were entered as a result of a failure in applicable security and/or credit controls, (ii) were entered by an unknown or unauthorized user, or (iii) exceeded Clearing Participant's credit parameters.

Name of Authorized Signatory of Clearing Participant _____ Title _____

Signature of Authorized Signatory of Clearing Participant _____ Date _____