

ALJ

ADMINISTRATIVE PROCEEDING
FILE NO. 3-11012

SECURITIES & EXCHANGE COMMISSION
MAILED FOR SERVICE

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
January 25, 2005

JAN 26 2005


FIRST CLASS

In the Matter of :
: ORDER
:
JAMES F. GLAZA, d/b/a FALCON :
FINANCIAL SERVICES, INC. :
:
:

The Securities and Exchange Commission remanded this matter on September 30, 2004, with an Order Remanding Proceeding to Administrative Law Judge. The hearing is scheduled to commence on February 1, 2005, in Denver, Colorado. Under consideration is Respondent's Supplemental Motion To Allow Telephonic Testimony (Motion) of three additional witnesses. In support of his Motion, Respondent states, inter alia, that the three witnesses do not live in Colorado, that the direct and cross examinations of these witnesses should take no more than two hours each, and that the Division of Enforcement (Division) does not object to the appearance of two of these witnesses by telephone. The Division does object to the appearance of Michael Stanfield, by telephone or otherwise, asserting that he has not been timely endorsed as an expert.

Based on the above, the Motion is hereby GRANTED, in part. Respondent's witnesses, Eric Guth and Chris Komarzek will be permitted to testify telephonically. A ruling on the Division's objection to the testimony of witness Michael Stanfield will be made at the January 26, 2005, prehearing conference.

SO ORDERED.


Robert G. Mahony
Administrative Law Judge