

ADMINISTRATIVE PROCEEDING
FILE NO. 3-11084

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
March 25, 2004

SECURITIES & EXCHANGE COMMISSION
MAILED FOR SERVICE

MAR 25 2004

FIRST CLASS

In the Matter of :
: NOTICE CONCERNING
HARRISON SECURITIES, INC., : EXHIBITS
FREDERICK C. BLUMER, :
and NEBRISSA SONG :
:
:
:

The hearing in this matter was completed on March 10, 2004.

The following exhibits were offered by the Division of Enforcement (Division) and accepted into evidence: Division Exhibits 1 through 162, including Division Exhibits 13A and 23A. The Division did not offer any exhibits that were not accepted into evidence. All of the Division's admitted exhibits are in the custody of the Office of the Secretary.


The following exhibits were offered by Respondent Nebrissa Song (Song) and accepted into evidence: Respondent's Exhibits 46 and 47. Song did not offer any exhibits that were not accepted into evidence. Both of Song's admitted exhibits are in the custody of the Office of the Secretary.

The following exhibits were offered by Respondents Harrison Securities, Inc. (Harrison), and Frederick C. Blumer (Blumer): Respondents' Exhibits 1 through 14, 18, 20, 22 through 24, 27, 28, 30 through 43, 45, and 48 through 50. Harrison and Blumer did not offer any exhibits that were not accepted into evidence. With the exception of Respondents' Exhibits 48 through 50, all of Harrison's and Blumer's admitted exhibits are in the custody of the Office of the Secretary. Respondents' Exhibits 48 through 50 must be submitted to the Office of the Secretary promptly.

Respondents Harrison and Blumer elected not to offer the following exhibits that were listed on their revised list of proposed exhibits, dated January 13, 2004: proposed exhibits 12A, 15, 16, 17, 19, 21, 25, 26, 29, and 44. Those proposed exhibits are not part of the record, and will not be considered in rendering an initial decision.

The parties should review this Notice and report any discrepancies on or before April 9, 2004. If a party believes that any of its proposed exhibits were not offered through inadvertence, that party should consult with opposing counsel and then file an appropriate motion on or before April 9, 2004.

After April 9, 2004, I will finalize the exhibit list and submit it to the Office of the Secretary for certification. See Rule 351 of the Commission's Rules of Practice.



James T. Kelly
Administrative Law Judge