

## **APPENDIX C**

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### **PROGRAM EVALUATION**

Program evaluation is a key element of the Department's strategic planning and implementation cycle. It is also a vital complement to performance measurement. Performance measurement provides information on whether a program is achieving its targets. Program evaluation tells not only what is happening in a program but why. It typically addresses a broader range of questions, is more sensitive to contextual factors, provides a richer, more complete picture of program performance (including impact), and offers greater insight about ways to improve.

All major DOJ components have internal inspection and review processes designed to foster improved operations and compliance with applicable rules and regulations. Grant-making organizations (OJP and COPS) also conduct extensive financial and programmatic monitoring of the grants they award. In addition, the Department's independent OIG performs audits and inspections and recommends program improvements. These studies, as well as those conducted by the Government Accountability Office (GAO), are important and useful sources of information for improving program operations and organizational effectiveness.

Although extremely useful, these various review and monitoring activities do not substitute for the conduct of formal, methodologically rigorous program evaluations that examine fundamental questions of program design, implementation and impact. Most of the formal program evaluations undertaken by the Department are focused on state and local assistance programs and are undertaken under the auspices of the OJP; however, several other components have evaluation programs.

The following table provides the current schedule of major program evaluations, organized by strategic goal. The Department's Annual Performance Plan will include any adjustments to this schedule for the performance year covered by the plan.

**SCHEDULE OF ONGOING AND PLANNED MAJOR  
PROGRAM EVALUATIONS**

**GOAL 1: PREVENT TERRORISM AND PROMOTE THE NATION'S SECURITY**

<b>Title</b>	<b>Focus/Issues to be Addressed</b>	<b>Methodology/ Type of Evaluation</b>	<b>Estimated Completion Date</b>
FBI - Counterintelligence Program/Field Divisions	Five program strategy elements and an overall site rating.	Program reviews of each FBI field division	Ongoing
FBI - Counterintelligence Program	Scope of study to be determined.	Inspection Division program evaluation according to PART criteria	FY 2007
FBI - International Operations	Scope of study to be determined. (Scope will cover both Goals 1 and 2.)	Inspection Division program evaluation according to PART criteria	FY 2007
Multi-agency - CT and Homeland Security Activities of Law Enforcement Agencies (LEAs) Abroad	Expansion of LEAs' missions and resources since 9/11 and the effects on U.S. embassies and their host governments; meeting LEA missions and goals; key accomplishments since 9/11; improvements for LEA operating environments overseas.	GAO review	April 2007
Multi-agency - Terrorist Watch List Screening	Criteria to ensure that sound decisions are made when names are added to or deleted from watch lists; accuracy of entries ensured; types of information needed to create an entry; factors and processes for deleting an entry.	GAO review	September 2006
Multi-agency - DOJ Procedures and Controls on Classified and Sensitive Information	Procedures to determine if information should be designated as classified and at which level, or restricted as law enforcement sensitive; internal controls to ensure compliance with laws, executive orders, and regulations.	GAO review	October 2006
Multi-agency - Homeland Security Networks and Information Sharing	Status of major civilian Federal networks used by DHS and DOJ; whether key state and local information sharing initiatives are being coordinated with Federal initiatives.	GAO review	Continued data collection

<b>GOAL 2: PREVENT CRIME, ENFORCE FEDERAL LAWS AND REPRESENT THE RIGHTS AND INTERESTS OF THE AMERICAN PEOPLE</b>			
<b>Title</b>	<b>Focus/Issues to be Addressed</b>	<b>Methodology/ Type of Evaluation</b>	<b>Estimated Completion Date</b>
ATF - Evaluation of Non-Lethal Weapons Programs	Soundness and maturity of the science and technology underlying non-lethal weapons concepts; research design and testing protocols; risks associated with development, deployment, and use of non-lethal weapons; safeguards to prevent unintentional transfer of non-lethal technologies.	GAO review	August 2006
ATF - Information Security Program for FY06	Information security; inventory audit of unclassified, classified, and national security major applications across the organizational components, including contractor systems operational and under development.	OIG Independent Evaluation (FISMA)	November 2006
ATF - Management of Seized Assets	Compliance with applicable Federal laws, regulations, and DOJ policies and procedures; adequacy of controls over accounting for, storing, and safeguarding seized assets; accounting for disposition of seized assets.	OIG Audit	September 2006
ATF - Martinsburg Facility	Quality of services provided by ATF's Martinsburg facility since key operations were relocated there from the Firearms Programs Division and the Firearms and Explosives Services Division.	OIG Evaluation	<i>Suggested by ATF</i>
ATF - Splitting Operations within Firearms Programs Division	Effectiveness of splitting operations within the Firearms Programs Division from Washington, DC, and Martinsburg, WV.	OIG Evaluation	<i>Suggested by ATF</i>
ATF - Information Sharing using Bomb and Arson Tracking System	Information sharing process relative to the Bomb and Arson Tracking System, an investigative tool used by ATF personnel and the bomb community.	OIG Evaluation	<i>Suggested by ATF</i>
ATF - National Firearms Registration and Transfer Record	ATF's effectiveness in maintaining accurate and reliable records of registrations and transfers of National Firearms Act weapons.	OIG Review	FY 2007/2008
CRM - Human Trafficking Crimes	Investigation and prosecution of human trafficking crimes.	GAO Evaluation	FY 2007
EOUST - New Bankruptcy Law	Effect of new bankruptcy law on debtors and the court system.	Study	FY 2007
EOUST - Debtor Financial Management Training Test Program	Effectiveness of debtor financial management courses required of all debtors under the BAPCPA.	Evaluation	FY 2008 / Q1

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EOUST - IRS Standards	Use of IRS expense standards in the means test screening process; Impact of standards on debtors and bankruptcy courts.	Study	FY 2007 / Q2
EOUST - Household Goods	Effect of new definition of "household goods" for purpose of lien avoidance.	Study	FY 2007 / Q2
EOUST - Bankruptcy Fraud, Abuse, and Errors	Literature and practices pertinent to bankruptcy fraud, abuse, and errors; fraud measurement and detection practices in other federal agencies; promising approaches for targeting, preventing, and responding to fraud, abuse, and errors in the bankruptcy system.	Evaluation	FY 2007 / Q2
EOUST- Regional and Field Offices	Results, performance, and operations of the 95 field offices; [with Trustees] analysis of issues, trends, progress, and deficiencies; peer review program (re-instituting in FY 2007).	Evaluation	FY 2008 / Q1
FBI - Public Corruption/Civil Rights	Scope of study to be determined.	Inspection Division program evaluation according to PART criteria	FY 2007
FBI - Administration	Scope of study to be determined. (Scope will cover both Goals 1 and 2.)	Inspection Division program evaluation according to PART criteria	FY 2007
OJP/NIJ - DNA Grants	Contributions to case outcomes derived from the DNA grants programs.	Assessment	FY 2007
OJP/NIJ - DNA Evidence	Demonstration program targeting the collection of DNA evidence for certain property crimes.	Evaluation	FY 2007
OJP/CCDO - Weed and Seed	Justice Research and Statistics Association (JRSA) analysis of homicide data patterns and local site strategies; potential grantee level performance measures and available data sources.	Evaluation	FY 2007
OVW - Rural Domestic Violence and Child Victimization Grant Program Special Initiative: FBCO Rural Pilot Program	Structure for funding first-time, grass-roots victim services; capacity of subgrantees to competitively apply for other sources of crime victim services funding; three content components: domestic violence services, capacity building, and role of faith.	Evaluation	TBD <i>(upon completion of pilot project, anticipated September 2007)</i>

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Multi-agency - Coordination of DOJ Task Forces	Coordination of investigations; policies and processes for the creation, location, and overlap of investigations; definition of roles and missions of the task forces and teams.	OIG Review	February 2007 <i>(draft report)</i>
Multi-agency - Technical Evaluation of Taser Technology	Technical and operational studies conducted by manufacturers and governments to evaluate safety, physiological effects, and operational effectiveness of tasers and results of these assessments; collection of data on the effects of the use of this technology and its quality; federal regulations and guidance governing production, use, and sale of tasers; studies to test operational risk, effectiveness, and safety of flight crew taser use in commercial aircraft; existing data supporting purported claims made by various manufacturers of taser devices.	GAO Review	March 2007 <i>(draft report)</i>
Multi-agency - Marking and Publicizing of Foreign Assistance	U.S. agencies' publication of U.S. assistance abroad; strategies for publicizing assistance and measuring results; types of guidance agencies have developed for publicizing U.S. assistance; challenges to increasing the publicizing of U.S. assistance.	GAO Review	FY 2007/2008

<b>GOAL 3: ENSURE THE FAIR AND EFFICIENT ADMINISTRATION OF JUSTICE</b>			
<b>Title</b>	<b>Focus/Issues to be Addressed</b>	<b>Methodology/ Type of Evaluation</b>	<b>Estimated Completion Date</b>
BOP - Cost Comparison of Prisons	Comparative costs of housing inmates in federally-owned prisons versus state and local prisons/jails obtained IGAs and privately-managed facilities.	GAO Audit	FY 2007
EOIR - PART	Fair and expeditious adjudication of immigration cases at court level and at administrative appeals level.	OMB Assessment	FY 2006 (initial) FY 2007 (final)
EOIR - Challenges Facing the Asylum System	Policies and procedures for processing asylum applications; key factors affecting how EOIR processes and decides asylum cases; challenges in addressing fraud; effect of changes in the appeals process on rate of appeals and outcomes of asylum cases.	GAO Review	FY 2007 / FY 2008
USPC	Relationship between high risk behavior (violent crime, sex offenses, gang activities and guns) and substance abuse.	Evaluation	FY 2008