

Exhibit L

Exhibit 1

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010103	B	\$290,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010105	S	\$293,102	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010110	B	\$295,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010112	S	\$301,860	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010118	B	\$295,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010122	S	\$295,912	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010129	B	\$161,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010131	S	\$163,094	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010205	B	\$290,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010207	S	\$285,606	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010226	B	\$295,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010228	S	\$293,302	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010405	B	\$280,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010405	B	\$312,500	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010417	B	\$278,972	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010418	S	\$325,815	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010418	B	\$325,815	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010420	S	\$327,745	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010423	S	\$285,672	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010425	B	\$298,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01	
20010425	B	\$325,353	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01	
20010430	S	\$303,360	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01	
20010501	B	\$250,000	AGAAX	AIM GLB AGG GR FD A	49	S/C/Wallerst.	M	0EC-07669	GILMORE & GILLESPIE	4/01??	
20010501	B	\$250,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	M	0EC-07669	GILMORE & GILLESPIE	4/01??	
		\$500,000									
20010503	S	\$330,815	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01	
20010503	S	\$248,243	AGAAX	AIM GLB AGG GR FD A	49	S/C/Wallerst.	M	0EC-07669	GILMORE & GILLESPIE	4/01??	
20010503	S	\$247,230	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	M	0EC-07669	GILMORE & GILLESPIE	4/01??	
		\$495,473									
20010504	B	\$1,250,000	AGOVX	AIM INTERM GVT FD A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??	
20010504	B	\$505,000	GJGRX	AIM JAPAN GR FD A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??	
20010508	B	\$328,043	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01	
20010509	S	\$492,642	GJGRX	AIM JAPAN GR FD A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??	
20010510	S	\$327,851	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01	
20010514	B	\$492,000	GJGRX	AIM JAPAN GR FD A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??	
20010516	B	\$219,621	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01	
20010516	B	\$327,154	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	
		\$546,775			1				2 accounts		
5/21/01		AIM said only 1 more exchange in 2001						M	05M-34706	GILMORE & GILLESPIE	
20010523	S	\$228,761	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01	
20010523	S	\$340,769	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	
		\$569,530							2 accounts		
20010523	S	\$505,266	GJGRX	AIM JAPAN GR FD A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??	

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010531	B	\$339,508	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	
20010531	B	\$285,500	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01	
		\$625,008			1				2 accounts		
20010612	B	\$310,103	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01	
20010613	S	\$339,914	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	
20010613	S	\$269,828	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01	
		\$609,742							2 accounts		
20010614	S	\$311,595	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01	
6/18/01		AIM blocked one account for 2001						M	0EC-07669	GILMORE & GILLESPIE	
		(PSI blocked it from AIM domestic funds on 6/20/01)									
20010620	B	\$224,112	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	
20010620	B	\$275,081	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01	
20010620	B	\$281,879	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01	
20010620	B	\$276,827	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01	
		\$1,057,899			1				4 accounts		
20010622	S	\$228,444	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	
20010622	S	\$279,447	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01	
20010622	S	\$281,221	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01	
		\$789,112							3 accounts		
20010628	B	\$227,352	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	
20010628	B	\$248,938	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01	
20010628	B	\$280,352	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01	
20010628	B	\$238,762	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	
		\$995,404			1				4 accounts		
20010629	S	\$1,259,560	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01	
20010705	S	\$223,193	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010705	S	\$246,355	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010705	S	\$277,443	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
20010705	S	\$234,394	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$981,386							4 accounts	
20010709	B	\$500,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20010712	B	\$332,253	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010712	B	\$200,000	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010712	B	\$271,415	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01
20010712	B	\$234,083	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$1,037,750			1				4 accounts	
20010716	S	\$329,554	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010716	S	\$198,375	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010716	S	\$267,481	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01
20010716	S	\$230,690	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$1,026,100							4 accounts	
20010716	S	\$495,009	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20010725	B	\$311,139	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010725	B	\$500,000	GJGRX	AIM JAPAN GR FD A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010730	S	\$482,900	GJGRX	AIM JAPAN GR FD A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010731	B	\$660,000	AIIEX	AIM INTL GR FD A	39	Silver primary	M	0EC-07684	WYATT ATWOOD	7/26/01
20010731	B	\$660,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$1,320,000								
20010801	B	\$244,500	AIIEX	AIM INTL GR FD A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010806	S	\$314,544	GJGRX	AIM JAPAN GR FD A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010808	S	\$240,607	AIIEX	AIM INTL GR FD A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010822	B	\$229,905	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010822	B	\$241,279	AIEX	AIM INTL GR FD A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01	
		\$471,184			2				2 accounts		
20010824	S	\$229,905	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01	
8/27/01		AIM said only 2 more exchanges in 2001						M	0EC-07684	WYATT ATWOOD	
20010828	S	\$238,869	AIEX	AIM INTL GR FD A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01	
20010921	B	\$522,000	AMIFX	AIM INCOME FD A	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01	
20010921	B	\$678,000	AGOVX	AIM. INTERM GVT FD A	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01	
		\$1,200,000									
20010924	B	\$249,000	ASIA	AIM ASIA PAC GR FD A	K4	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01	
20010924	B	\$481,556	AEDAX	AIM EUROPEAN GR FD A	49	S/C/Wallerst.	H	05M-34420	RIVERVIEW GROUP	3/26/01	
20010924	B	\$832,500	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01	
20010924	B	\$228,594	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	
20010924	B	\$316,807	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01	
20010924	B	\$240,238	AIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	
		\$2,348,694			3				4 accounts		
20010926	S	\$233,331	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	
20010926	S	\$323,373	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01	
20010926	S	\$245,217	AIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	
		\$801,921							3 accounts		
20010928	B	\$324,506	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01	
20010928	B	\$318,046	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01	
		\$642,551			1				2 accounts		
20011003	S	\$1,199,277	AIMXX	AIM CASH RESV SHS	E6	S/C/Dice	M	05M-35085	GAHN & McELROY	9/28/01	
20011008	S	\$333,015	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01	
20011010	B	\$243,030	AIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01	
20011010	B	\$253,347	AIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
		\$496,377			1				2 accounts		
20011017	S	\$246,026	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01	
20011017	S	\$256,470	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	
		\$502,497							2 accounts		
10/18/01		AIM said only 1 more exchange in 2001						M	05M-35049	GAHN & McELROY	
20011019	B	\$350,000	AGGAX	AIM GLB GR FD A	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01	
20011022	B	\$339,325	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01	
10/23/01		AIM blocked one account for 2001						H	05M-34420	RIVERVIEW GROUP	
20011025	B	\$243,040	AIIEX	AIM INTL GR FD A	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01	
20011029	S	\$244,707	AIIEX	AIM INTL GR FD A	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01	
20011029	S	\$344,012	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01	
20011029	S	\$353,354	AGGAX	AIM GLB GR FD A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01	
20011101	B	\$244,125	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	
20011101	B	\$497,000	AGGAX	AIM GLB GR FD A	K4	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01	
20011101	B	\$496,100	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01	
20011101	B	\$256,519	AIIEX	AIM INTL GR FD A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01	
		\$1,493,744			2				4 accounts		
20011101	B	\$993,500	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	05M-35152	MAZZARD GROUP	10/30/01	
20011108	S	\$247,663	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01	
20011108	S	\$260,237	AIIEX	AIM INTL GR FD A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01	
		\$507,900							2 accounts		
20011113	B	\$473,000	AGGAX	AIM GLB GR FD A	C2	Silver/Comito	H	05M-34426	RIVERVIEW #4	3/26/01	
11/14/01		AIM blocked two accounts for 2001						M	05M-35049	GAHN & McELROY	
							M	05M-35115	WYATT ATWOOD		

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20011114	S	\$1,737,800	AIMXX	AIM CASH RESV SHS	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01	
20011115	B	\$524,317	AGOVX	AIM INTERM GVT FD A	K4	S/C/others	H	05M-34939	HAIDAR JUPITER	7/25/01	
20011119	B	\$837,000	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01	
20011120	B	\$750,000	AGOVX	AIM INTERM GVT FD A	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01	
20011120	B	\$750,000	AGOVX	AIM INTERM GVT FD A	V2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01	
		\$1,500,000			1				2 accounts		
20011126	B	\$882,000	AGAAX	AIM GLB AGG GR FD A	K4	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01	
20011127	S	\$1,315,500	AIMXX	AIM CASH RESV SHS	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
20011129	B	\$2,000,000	AIIEX	AIM INTL GR FD A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01	
20011204	B	\$640,000	AIIEX	AIM INTL GR FD A	V2	Silver/Comito	M	05M-35198	GAHN & McELROY	11/13/01	
20011204	B	\$1,130,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20011204	B	\$1,200,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	HSK-00138	GAHN & McELROY	11/28/01	
20011204	B	\$990,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
		\$3,960,000			2				4 accounts		
12/5/01		AIM said only 2 more exchanges in 2001						H	05M-34422	RIVERVIEW #1	
20011206	B	\$1,473,000	AGOVX	AIM INTERM GVT FD A	78	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01	
20011206	B	\$1,716,000	AGOVX	AIM INTERM GVT FD A	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01	
20011210	B	\$557,000	AGOVX	AIM INTERM GVT FD A	78	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01	
20011210	B	\$923,000	AGOVX	AIM INTERM GVT FD A	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01	
20011214	B	\$1,050,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01	
20011214	B	\$950,000	AIIEX	AIM INTL GR FD A	E6	S/C/Diee	M	HSK-00140	MAZZARD GROUP	11/28/01	
20011214	B	\$1,250,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01	
		\$3,250,000			3				3 accounts		
20020102	B	\$523,400	AEDAX	AIM EUROPEAN GR FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01	

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20020102	B	\$513,000	AGGAX	AIM GLB GR FD A	K4	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	
20020102	B	\$496,000	AGAAX	AIM GLB AGG GR FD A	K4	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01	
20020102	B	\$784,600	AGAAX	AIM GLB AGG GR FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01	
20020102	B	\$647,800	AIIEX	AIM INTL GR FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01	
		\$2,964,800			2				4 accounts		
20020104	B	\$625,000	AIIEX	AIM INTL GR FD A	K4	S/C/others	H	05M-34686	JUPITER #1	4/30/01	
20020115	B	\$1,230,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01	
20020115	B	\$1,200,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01	
20020115	B	\$1,250,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01	
		\$3,680,000			1				3 accounts		
1/17/02		AIM said only 1 more exchange in 2002						M	HSK-00132	BISHOP	
1/22/02		AIM said only 2 more exchanges in 2002				C2		M	05M-35152	MAZZARD GROUP	
					49		M	05M-35199	MAZZARD GROUP		
					G9		M	AJX-17406	GILMORE & GILLESPIE		
					V2		M	HSK-00137	GILMORE & GILLESPIE		
					V2		M	HSK-00138	GAHN & McELROY		
					V2		M	HSK-00139	WYATT ATWOOD		
1/25/02		AIM said only 2 more exchanges in 2002				Y3		G	BLM-02098	GRANITE GROUP	
20020129	S	\$1,212,213	AIIEX	AIM INTL GR FD A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01	
1/31/02		AIM said only 2 more exchanges in 2002				50		H	05M-34904	RIVERVIEW #9	
					50		H	05M-34905	RIVERVIEW #10		
					K4		H	05M-34942	RIVERVIEW GROUP		
20020201	B	\$1,165,000	AGOVX	AIM INTERM GVT FD A	Y3	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??	
20020201	S	\$1,000,061	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01	
20020201	S	\$2,041,304	AIMXX	AIM CASH RESV SHS	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01	
		\$3,041,365							2 accounts		
20020204	S	\$2,041,304	AIMXX	AIM CASH RESV SHS	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01	

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
2/5/02		AIM said only 2 more exchanges in 2002			K4		H	05M-34943	RIVERVIEW GROUP	
					50		H	0EC-07679	RIVERVIEW GROUP	
20020208	B	\$1,790,000	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20020208	B	\$1,275,000	AIIEX	AIM INTL GR FD A	V2	Silver/Comito	M	05M-35384	GAHN & McELROY	1/22/02
20020208	B	\$1,320,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
		\$2,595,000			2				2 accounts	
20020211	S	\$1,029,814	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020211	S	\$1,098,548	AIMXX	AIM CASH RESV SHS	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20020211	S	\$1,212,198	AIMXX	AIM CASH RESV SHS	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20020211	S	\$991,082	AIMXX	AIM CASH RESV SHS	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20020211	S	\$1,295,324	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
		\$5,626,967							5 accounts	
20020211	B	\$1,250,000	AIIEX	AIM INTL GR FD A	E6	S/C/Diee	M	05M-35385	WYATT ATWOOD	1/22/02
20020211	B	\$1,200,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
		\$2,450,000			2				2 accounts	
20020211	S	\$2,678,426	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20020211	S	\$773,768	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020211	S	\$773,814	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$4,226,009							3 accounts	
20020212	B	\$1,250,000	AIIEX	AIM INTL GR FD A	V2	Silver/Comito	M	05M-35383	MAZZARD GROUP	1/22/02
20020212	S	\$1,098,547	AIMXX	AIM CASH RESV SHS	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20020212	S	\$652,982	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
		\$1,751,530							2 accounts	
20020214	B	\$1,005,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
2/19/02		AIM said only 2 more exchanges in 2002			50		H	05M-34939	H Aidar Jupiter	
20020220	S	\$1,000,000	AIMXX	AIM CASH RESV SHS	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20020220	B	\$1,500,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20020221	S	\$1,215,069	AIIEX	AIM INTL GR FD A	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020221	S	\$1,024,954	AIMXX	AIM CASH RESV SHS	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$2,240,023							2 accounts	
2/25/02		AIM said only 2 more exchanges in 2002			G9		M	AJX-17430	MAZZARD GROUP	
					G9		M	AJX-17431	GAHN & McELROY	
					G9		M	AJX-17432	WYATT ATWOOD	
20020225	B	\$1,210,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020225	B	\$1,150,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
		\$2,360,000			1				2 accounts	
20020225	S	\$1,180,590	AIMXX	AIM CASH RESV SHS	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020227	B	\$1,227,000	AGOVX	AIM INTERM GVT FD A	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
20020227	B	\$1,240,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020227	B	\$1,245,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
		\$2,485,000			1				2 accounts	
20020228	S	\$1,385,258	AIMXX	AIM CASH RESV SHS	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20020228	S	\$2,382,223	AIMXX	AIM CASH RESV SHS	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20020228	S	\$1,470,726	AIMXX	AIM CASH RESV SHS	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$5,238,207							3 accounts	
20020301	B	\$1,200,000	AIIEX	AIM INTL GR FD A	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020301	B	\$1,250,000	AIIEX	AIM INTL GR FD A	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020301	B	\$1,220,000	AIIEX	AIM INTL GR FD A	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020301	B	\$1,180,000	AIIEX	AIM INTL GR FD A	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$4,850,000			2				4 accounts	
20020304	S	\$1,107,218	AIMXX	AIM CASH RESV SHS	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
3/5/02		AIM said only 2 more exchanges in 2002			Y3		G	0EC-07665	GRANITE GROUP	
20020306	B	\$2,436,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20020307	S	\$1,429,525	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
20020307	B	\$2,000,000	AMHYX	AIM HI/YLD FD A	C2	Silver/Comito	M	05M-35411	RAVENS COURT	1/24/02

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
3/8/02		AIM blocked three accounts for 2002			C2		M	05M-35383	MAZZARD GROUP	
		(PSI blocked them from AIM domestic on 3/11/02)			C2		M	05M-35385	WYATT ATWOOD	
					G9		M	AJX-17473	GILMORE & GILLESPIE	
20020311	S	\$1,325,002	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	05M-35383	MAZZARD GROUP	1/22/02
20020311	S	\$1,355,827	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02
20020311	S	\$1,332,459	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01
20020311	S	\$1,300,398	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020311	S	\$1,354,581	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
20020311	S	\$1,289,595	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
		\$7,957,862							6 accounts	
3/13/02		AIM said only 2 more exchanges in 2002			V2		M	05M-35384	GAHN & McELROY	
20020315	B	\$1,002,000	AIIEX	AIM INTL GR FD A	MS	Silver "also"	M	0EC-07713	AURIC VENTURES	3/14/02
20020315	B	\$1,250,000	AIIEX	AIM INTL GR FD A	DC	Comito "also"	M	BLM-02220	AURIC VENTURES	3/14/02
20020315	B	\$1,280,000	AIIEX	AIM INTL GR FD A	DC	Comito "also"	M	HSK-00142	LINKAGE ASSOCIATES	3/14/02
20020315	B	\$1,250,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020315	B	\$1,260,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01572	MAZZARD GROUP	3/13/02
20020315	B	\$1,290,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01574	GAHN & McELROY	3/13/02
20020315	B	\$1,280,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01576	WYATT ATWOOD	3/13/02
		\$8,612,000			3				7 accounts	
20020327	B	\$1,265,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020327	B	\$1,275,000	AIIEX	AIM INTL GR FD A	C2	Silver/Comito	M	0EC-07713	AURIC VENTURES	3/14/02
20020327	B	\$1,280,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
20020327	B	\$1,300,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01573	MAZZARD GROUP	3/13/02
20020327	B	\$1,310,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01575	GAHN & McELROY	3/13/02
20020327	B	\$1,250,000	AIIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01577	WYATT ATWOOD	3/13/02
		\$7,680,000			2				6 accounts	
20020401	S	\$1,388,024	AIMXX	AIM CASH RESV SHS	C2	Silver/Comito	M	05M-35384	GAHN & McELROY	1/22/02
20020402	S	\$1,194,910	AIMXX	AIM CASH RESV SHS	Y3	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
4/3/02		AIM said only 2 more exchanges in 2002			MS		M	HSK-00141	AURIC VENTURES	
4/5/02		AIM said only 2 more exchanges in 2002			G9		M	AJX-17474	MAZZARD GROUP	
					G9		M	AJX-17475	GAHN & McELROY	
20020409	S	\$1,036,312	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
20020409	S	\$1,230,353	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020409	S	\$1,240,196	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	TMP-01572	MAZZARD GROUP	3/13/02
20020409	S	\$1,269,725	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	TMP-01574	GAHN & McELROY	3/13/02
20020409	S	\$1,259,882	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	TMP-01576	WYATT ATWOOD	3/13/02
		\$6,036,467			1				5 accounts	
20020410	S	\$1,589,257	AIMXX	AIM CASH RESV SHS	V2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20020412	B	\$520,000	AIEX	AIM INTL GR FD A	V2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20020412	B	\$1,030,000	AIEX	AIM INTL GR FD A	V2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20020412	B	\$520,000	AIEX	AIM INTL GR FD A	39	Silver primary	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020412	B	\$1,030,000	AIEX	AIM INTL GR FD A	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
		\$3,100,000			2				2 accounts	
4/12/02		AIM blocked ten FA#s (05M-49, 05M-50, 05M-C2, 05M-V2, AJX-14, AJX-41, AJX-78, AJX-G9, HSK-C2, TMP-G9)								
		(PSI blocked seven FA#s -- 05M-50, 05M-C2, 05M-E6, 05M-K4, 05M-V2, HSK-C2, TMP-G9 -- from AIM domestic funds on 4/15-4/19/02)								
20020415	S	\$1,276,826	AIMXX	AIM CASH RESV SHS	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020415	S	\$1,242,467	AIMXX	AIM CASH RESV SHS	DC	Comito "also"	M	BLM-02220	AURIC VENTURES	3/14/02
20020415	S	\$1,213,098	AIMXX	AIM CASH RESV SHS	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
20020415	S	\$1,279,168	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
20020415	S	\$1,299,155	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	TMP-01573	MAZZARD GROUP	3/13/02
20020415	S	\$1,315,380	AIEX	AIM INTL GR FD A	G9	S/C/Skriloff	M	TMP-01575	GAHN & McELROY	3/13/02
20020415	S	\$1,249,187	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	TMP-01577	WYATT ATWOOD	3/13/02
		\$8,875,282							7 accounts	
4/16/02		AIM blocked four accounts for 2002			DC		M	05M-35479	AURIC VENTURES	
		(PSI blocked them from AIM domestic on 4/26/02)			DC		M	0EC-07708	LINKAGE ASSOCIATES	
					MS		M	BLM-02208	LINKAGE ASSOCIATES	
					V2		P	0EC-96517	PECONIC OFFSHORE	

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		AIM said only 2 more exchanges in 2002			DC		M	HSK-00142	LINKAGE ASSOCIATES	
20020416	S	\$1,879,266	AIMXX	AIM CASH RESV SHS	K4	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20020416	S	\$1,200,082	AGOVX	AIM INTERM GVT FD A	K4	S/C/others	H	05M-34888	JUPITER #5	6/28/01
		\$3,079,348							2 accounts	
20020416	S	\$1,213,098	AIMXX	AIM CASH RESV SHS	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
20020416	S	\$2,489,967	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020416	S	\$2,435,131	AIMXX	AIM CASH RESV SHS	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
		\$6,138,196							3 accounts	
4/17/02		AIM said only 2 more exchanges in 2002			C2		M	0EC-07713	AURIC VENTURES	
20020417	S	\$2,461,249	AIIEX	AIM INTL GR FD A	V2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20020422	S	\$1,590,598	AIIEX	AIM INTL GR FD A	V2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20020426	S	\$1,254,261	AIMXX	AIM CASH RESV SHS	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020426	S	\$1,306,522	AIMXX	AIM CASH RESV SHS	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020426	S	\$1,275,165	AIMXX	AIM CASH RESV SHS	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020426	S	\$1,289,236	AIMXX	AIM CASH RESV SHS	DC	Comito "also"	M	HSK-00142	LINKAGE ASSOCIATES	3/14/02
		\$5,125,185							4 accounts	
20020508	S	\$300,000	AIMXX	AIM CASH RESV SHS	V2	Silver/Comito	M	05M-35411	RAVENS COURT	1/24/02
5/15/02		AIM lifted block on three AJX FA#s (14, 41, 78)								
20020625	S	\$600,000	AIMXX	AIM CASH RESV SHS	V2	Silver/Comito	M	05M-35411	RAVENS COURT	1/24/02
6/27/02		AIM reaffirmed 4/12/02 blocks on FA#s and blocked one more FA# (05M-E6)								
		(PSI blocked six FA#s -- 05M-25, 05M-39, 05M-61, AJX-78, HSK-25, HSK-39 -- from AIM domestic funds on 7/9/02)								
20020717	B	\$750,000	AMHYX	AIM HI/YLD FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020722	S	\$195,313	AMHYX	AIM HI/YLD FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020722	S	\$742,188	AMHYX	AIM HI/YLD FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
		\$937,500								

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020805	S	\$1,081,135	AIMXX	AIM CASH RESV SHS	V2	Silver/Comito	M	05M-35411	RAVENSCOURT	1/24/02
20020819	B	\$1,200,000	AGOVX	AIM INTERM GVT FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20020819	B	\$1,200,000	AGOVX	AIM INTERM GVT FD A	49	S/C/Wallerst.	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20020821	B	\$1,199,995	AGOVX	AIM INTERM GVT FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
9/16/02		AIM said only 2 more exchanges in 2002			default		P	0EC-96541	PECONIC OFFSHORE	
20020923	S	\$1,188,696	AIMXX	AIM CASH RESV SHS	49	S/C/Wallerst.	P	0EC-96541	PECONIC OFFSHORE	5/8/01
9/26/02		AIM said only 2 more exchanges in 2002			49		G	BLM-02077	GRANITE GROUP	
20020926	B	\$900,000	STBCY	AIM SH-T BD FD C	49	S/C/Wallerst.	M	0EC-96619	CASTLEMARK	3/13/02
20021007	B	\$495,000	AGOVX	AIM INTERM GVT FD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021008	B	\$600,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	H	05M-36614	HUDSON INVESTORS	9/23/02
20021009	S	\$1,216,668	AIMXX	AIM CASH RESV SHS	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20021015	S	\$488,139	AGOVX	AIM INTERM GVT FD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021015	B	\$488,139	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021015	B	\$743,000	AMHYX	AIM HI/YLD FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021016	S	\$642,931	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021022	S	\$489,705	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021025	B	\$658,000	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021025	B	\$649,995	AGGAX	AIM GLB GR FD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,307,995			2				2 accounts	
20021107	S	\$676,409	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02

SILVER: AIM Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021111	S	\$757,906	AMHYX	AIM HI/YLD FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021126	S	\$638,845	AIIEX	AIM INTL GR FD A	49	S/C/Wallerst.	H	05M-36614	HUDSON INVESTORS	9/23/02
20021210	B	\$695,000	AGAAX	AIM GLB AGG GR FD A	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021210	B	\$695,000	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021210	B	\$484,995	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,874,995			1				2 accounts	
20030102	B	\$1,300,000	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20030102	B	\$1,300,000	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$2,600,000			1				2 accounts	
20030106	B	\$758,000	AMHYX	AIM HI/YLD FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030108	S	\$700,666	AGAAX	AIM GLB AGG GR FD A	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030108	S	\$705,439	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030108	S	\$492,285	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,898,389							2 accounts	
20030108	S	\$1,293,953	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20030108	S	\$1,293,953	AIIEX	AIM INTL GR FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$2,587,907							2 accounts	
1/10/03		AIM blocked accounts and FA's			L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	
					L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	
					L8	Silver/Comito	M	05M-36425	PERIWINKLE	
					L8	Silver/Comito	M	05M-36426	TAIGA GROUP	
		(PSI blocked that FA# from AIM domestic funds on 1/13/03)								
20030123	S	\$772,302	AMHYX	AIM HI/YLD FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030129	S	\$837,955	AIECX	AIM INTL GR FD C	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02

Exhibit 2

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010103	B	\$1,000,000	ABUSX	ALLNC B BD US GV A	49	S/C/Wallerst.	G	BLM-02006	GRANITE GROUP	pre-1/01
20010103	B	\$290,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
		\$1,290,000			1				2 accounts	
20010104	B	\$800,000	ALTHX	ALLNC BER MUN NTL A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010105	S	\$299,397	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010105	B	\$2,950,000	ABUSX	ALLNC B BD US GV A	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010105	B	\$2,000,000	CBFAX	ALLNC B CORP BD A	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$4,950,000								
20010110	B	\$290,000	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010110	B	\$300,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$590,000			1				2 accounts	
20010111	B	\$500,000	ALTHX	ALLNC BER MUN NTL A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010112	S	\$292,526	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010112	S	\$307,362	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$599,888							2 accounts	
20010116	B	\$500,000	ABUSX	ALLNC B BD US GV A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010118	B	\$295,000	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010118	B	\$161,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$456,000			1				2 accounts	
20010119	S	\$373,626	AFDAX	ALLNC BER MNY MKT	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010122	S	\$295,461	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010122	S	\$159,515	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$454,976							2 accounts	
20010123	B	\$800,000	ABUSX	ALLNC B BD US GV A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010125	S	\$1,011,304	AHYAX	ALLNC B HI YLD FD A	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010129	B	\$195,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010129	B	\$289,994	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$484,994			1				2 accounts	
20010131	S	\$198,220	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010131	S	\$295,282	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$493,502							2 accounts	
20010205	B	\$290,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010207	S	\$285,028	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010226	B	\$295,000	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010226	B	\$298,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$593,000			1				2 accounts	
20010228	S	\$295,000	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010228	S	\$18,215	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010228	S	\$300,183	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$613,398							2 accounts	
20010308	S	\$309,841	AIPAX	ALLNC B INTL P GR A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010308	S	\$307,056	ALIFX	ALLNC INTL FD A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
		\$616,897								
20010309	S	\$377,329	AEAXX	ALLIANCE B EXG RES A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010313	B	\$295,000	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010315	S	\$294,207	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010319	B	\$290,000	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010321	S	\$291,064	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010323	B	\$245,000	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010328	S	\$253,347	ALIFX	ALLNC INTL FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010329	S	\$808,274	AEAXX	ALLIANCE B EXG RES A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010405	B	\$279,597	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010405	B	\$312,500	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010406	S	\$1,916,846	ASDAY	ACM ST MAT DOLL PT A	70	"unknown"	M	HSK-95037	STONE CASTLE	pre-1/01
20010417	B	\$279,056	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010420	S	\$324,562	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010423	S	\$284,832	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010425	B	\$298,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010425	B	\$323,774	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010426	S	\$1,028,208	AEAXX	ALLIANCE B EXG RES A	49	S/C/Wallerst.	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010430	S	\$306,330	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010502	B	\$225,000	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20010503	S	\$330,022	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010509	S	\$219,156	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20010516	B	\$298,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010522	S	\$304,252	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010529	B	\$1,005,000	ABUSX	ALLNC B BD US GV A	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010531	B	\$249,315	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010531	B	\$500,000	ANEAX	ALLNC BER NEW EURO A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010601	B	\$340,000	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010606	S	\$342,900	ANEAX	ALLNC BER NEW EURO A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010606	S	\$503,604	ANEAX	ALLNC BER NEW EURO A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010606	B	\$2,000,000	ABUSX	ALLNC B BD US GV A	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010612	B	\$235,000	ALIFX	ALLNC INTL FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010613	S	\$245,394	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010614	S	\$235,218	ALIFX	ALLNC INTL FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010620	B	\$330,839	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010622	S	\$335,552	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010628	B	\$328,746	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010628	B	\$284,433	ALIFX	ALLNC INTL FD A	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$613,179			1				2 accounts	
20010629	B	\$275,000	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
20010705	S	\$325,299	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010705	S	\$269,145	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
20010705	S	\$281,450	ALIFX	ALLNC INTL FD A	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$875,894							3 accounts	
20010712	B	\$225,273	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	Haidar JUPITER	3/26/01
20010712	B	\$323,668	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010712	B	\$270,331	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
		\$819,272			1				3 accounts	

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010716	S	\$225,433	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20010716	S	\$322,716	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010716	S	\$269,536	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
		\$817,685							3 accounts	
20010725	B	\$232,060	ALIFX	ALLNC INTL FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010801	B	\$244,500	ANEAX	ALLNC BER NEW EURO A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010806	S	\$242,281	ALIFX	ALLNC INTL FD A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010808	S	\$239,214	ANEAX	ALLNC BER NEW EURO A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010822	B	\$318,244	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010822	B	\$241,279	ANEAX	ALLNC BER NEW EURO A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$559,523			2				2 accounts	
20010824	B	\$494,000	ANEAX	ALLNC BER NEW EURO A	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010828	S	\$314,815	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010828	S	\$240,791	ANEAX	ALLNC BER NEW EURO A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$555,606							2 accounts	
20010924	B	\$230,866	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010924	B	\$316,942	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010924	B	\$240,334	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$788,141			1				3 accounts	
20010926	S	\$239,417	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010926	S	\$324,772	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010926	S	\$249,235	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$813,424							3 accounts	
20010928	B	\$324,635	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010928	B	\$323,434	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$648,070			1				2 accounts	

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011008	S	\$334,945	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20011008	S	\$334,324	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$669,269							2 accounts	
20011010	B	\$328,486	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011010	B	\$253,347	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$581,833			1				2 accounts	
20011017	S	\$342,246	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011017	S	\$258,575	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$600,821							2 accounts	
20011022	B	\$339,325	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011022	B	\$333,546	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
		\$672,871			1				2 accounts	
20011025	B	\$197,026	ANEAX	ALLNC BER NEW EURO A	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011029	S	\$199,354	ANEAX	ALLNC BER NEW EURO A	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011029	S	\$347,700	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011029	S	\$341,690	ALIFX	ALLNC INTL FD A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
		\$689,390							2 accounts	
20011101	B	\$244,125	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20011101	B	\$256,519	ALIFX	ALLNC INTL FD A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20011101	B	\$257,819	ANEAX	ALLNC BER NEW EURO A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$758,463			2				3 accounts	
20011108	S	\$255,964	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20011108	S	\$266,995	ALIFX	ALLNC INTL FD A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20011108	S	\$270,322	ANEAX	ALLNC BER NEW EURO A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$793,280							3 accounts	
20011121	B	\$2,500,000	ABUSX	ALLNC B BD US GV A	V2	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20011121	B	\$2,500,000	ABUSX	ALLNC B BD US GV A	50	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$5,000,000			2				2 accounts	
20011126	B	\$253,327	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20011128	S	\$249,215	ANEAX	ALLNC BER NEW EURO A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20020102	B	\$260,953	ANEAX	ALLNC BER NEW EURO A	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20020103	B	\$2,000,000	ANAGX	ALLNC B AM GV IC A	50	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20020103	S	\$1,000,000	AEAXX	ALLIANCE B EXG RES A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20020103	S	\$2,000,000	AEAXX	ALLIANCE B EXG RES A	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$3,000,000							2 accounts	
20020107	S	\$261,133	ANEAX	ALLNC BER NEW EURO A	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20020123	B	\$317,966	ANEAX	ALLNC BER NEW EURO A	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20020128	S	\$315,039	ANEAX	ALLNC BER NEW EURO A	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20020315	B	\$500,000	ALIFX	ALLNC INTL FD A	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020405	B	\$400,000	ASDAY	ACM ST MAT DOLL PT A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020416	B	\$400,000	AGGIY	ACM GLB GRW TRENDS A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020430	B	\$330,000	AGGIY	ACM GLB GRW TRENDS A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020430	B	\$499,998	AEGAY	ACM EUROPN GR PT FDA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020430	B	\$499,965	AGGIY	ACM GLB GRW TRENDS A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$1,329,963			1				2 accounts	
20020513	B	\$250,000	AEGAY	ACM EUROPN GR PT FDA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$300,000	AITAY	ACM INTL TECHNOLOG A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$550,000								
20020522	B	\$200,000	ADVAY	ACM EMG MK GR PTF A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$200,000	AEUAY	ACM EURO TECH PTA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020522	B	\$500,000	AEGAY	ACM EUROPN GR PT FDA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$2,000,000	AGGIY	ACM GLB GRW TRENDS A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$2,000,000	AITAY	ACM INTL TECHNOLOG A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$4,900,000								
20020603	S	\$1,113,173	ASDAY	ACM ST MAT DOLL PT A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
6/11/02		ACM blocked one account			V2	Silver/Comito	M	0EC-96648	FOXTAIL	
		(PSI blocked it from Alliance offshore funds on 6/12/02)								
20020611	S	\$1,511,355	ASDAY	ACM ST MAT DOLL PT A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020611	S	\$495,198	ASDAY	ACM ST MAT DOLL PT A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020611	S	\$4,654,404	ASDAY	ACM ST MAT DOLL PT A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$6,660,958							2 accounts	
20020807	B	\$650,000	ASDAY	ACM ST MAT DOLL PT A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020822	B	\$299,995	CABNX	ALLIANCE BER BAL A	39	Silver primary	M	HSK-00135	KNIGHT	pre-1/01
20020822	B	\$200,000	CABNX	ALLIANCE BER BAL A	49	S/C/Wallerst.	M	HSK-00135	KNIGHT	pre-1/01
20020822	B	\$300,000	CABNX	ALLIANCE BER BAL A	49	S/C/Wallerst.	M	HSK-00135	KNIGHT	pre-1/01
20020822	B	\$2,000,000	ANAGX	ALLNC B AM GV IC A	49	S/C/Wallerst.	M	HSK-00135	KNIGHT	pre-1/01
20020822	B	\$2,999,995	ANAGX	ALLNC B AM GV IC A	49	S/C/Wallerst.	M	HSK-00135	KNIGHT	pre-1/01
		\$5,799,990			2				1 account	
20020823	B	\$650,000	ASDAY	ACM ST MAT DOLL PT A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020829	B	\$564,995	ABUSX	ALLNC B BD US GV A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20020829	B	\$548,995	ABUSX	ALLNC B BD US GV A	K4	S/C/others	H	05M-35587	JARKO INVESTMENT	3/28/02
		\$1,113,990			1				2 accounts	
20020910	B	\$69,995	CABNX	ALLIANCE BER BAL A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20020910	B	\$629,995	ANAGX	ALLNC B AM GV IC A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
		\$699,990								
20020917	S	\$1,269,482	AEGAY	ACM EUROPN GR PT FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020925	S	\$1,022,397	AEAXX	ALLIANCE B EXG RES A	L8	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??
20020925	B	\$498,995	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35187	JARKO INVESTMENT	11/7/01
20020925	B	\$499,995	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$998,990			1				2 accounts	
20020926	B	\$1,000,000	AEGAY	ACM EUROPN GR PT FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020926	B	\$199,995	CABNX	ALLIANCE BER BAL A	39	Silver primary	M	HSK-00135	KNIGHT	pre-1/01
20020926	B	\$1,999,995	ANAGX	ALLNC B AM GV IC A	49	S/C/Wallerst.	M	HSK-00135	KNIGHT	pre-1/01
		\$3,199,990			3				2 accounts	
20021009	S	\$980,121	AGBAY	ACM GLOBAL BD PTA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021015	B	\$250,000	AEGAY	ACM EUROPN GR PT FDA	49	S/C/Wallerst.	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20021106	B	\$623,500	AGHAY	ACM GLB HIGH YLD A	V2	Silver/Comito	H	BLM-95258	COLUMBIA INVESTORS	6/20/02
20021114	B	\$84,995	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20021114	B	\$53,995	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35587	JARKO INVESTMENT	3/28/02
		\$138,990			1				2 accounts	
20021125	S	\$2,755,424	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20021125	S	\$3,260,220	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35187	JARKO INVESTMENT	11/7/01
20021125	S	\$3,261,263	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01
20021125	S	\$613,642	ANAGX	ALLNC B AM GV IC A	K4	S/C/others	H	05M-35587	JARKO INVESTMENT	3/28/02
		\$9,890,549							4 accounts	
20021126	B	\$2,550,000	ASDAY	ACM ST MAT DOLL PT A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030107	S	\$632,963	AEUAY	ACM EURO TECH PTA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030107	S	\$657,926	AEGAY	ACM EUROPN GR PT FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030107	S	\$653,741	AGGIY	ACM GLB GRW TRENDS A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030107	S	\$661,075	AITAY	ACM INTL TECHNOLOG A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
		\$2,605,705								
20030305	B	\$400,000	AEUAY	ACM EURO TECH PTA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030305	B	\$850,000	AEGAY	ACM EUROPN GR PT FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030305	B	\$990,000	AGGIY	ACM GLB GRW TRENDS A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030305	B	\$990,000	AITAY	ACM INTL TECHNOLOG A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030305	B	\$499,995	CABNX	ALLIANCE BER BAL A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030305	B	\$4,499,995	ANAGX	ALLNC B AM GV IC A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
		\$8,229,990			1				2 accounts	
20030311	S	\$381,395	AEUAY	ACM EURO TECH PTA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030311	S	\$813,591	AEGAY	ACM EUROPN GR PT FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030311	S	\$955,000	AGGIY	ACM GLB GRW TRENDS A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030311	S	\$958,055	AITAY	ACM INTL TECHNOLOG A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
		\$3,108,042								
20030401	B	\$1,000,000	AREAX	ALLIANCE BER R/E I A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030402	B	\$100,000	CABNX	ALLIANCE BER BAL A	39	Silver primary	M	0EC-96619	CASTLEMARK	3/13/02
20030725	B	\$355,000	AEGAY	ACM EUROPN GR PT FDA	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20030725	B	\$495,000	AEGAY	ACM EUROPN GR PT FDA	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
		\$850,000								
20030905	S	\$1,033,833	AEAXX	ALLIANCE B EXG RES A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$112,036	CABNX	ALLIANCE BER BAL A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$2,691,430	AEAXX	ALLIANCE B EXG RES A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030905	S	\$649,314	CABNX	ALLIANCE BER BAL A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030905	S	\$2,724,612	ANAGX	ALLNC B AM GV IC A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030905	S	\$549,993	CABNX	ALLIANCE BER BAL A	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
20030905	S	\$5,378,564	ANAGX	ALLNC B AM GV IC A	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
		\$13,139,782							3 accounts	
20030908	S	\$68,370	AEGAY	ACM EUROPN GR PT FDA	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20030908	S	\$1,905,927	AEAXX	ALLIANCE B EXG RES A	C8	Silver "also"	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20030908	S	\$1,859,900	AEAXX	ALLIANCE B EXG RES A	C8	Silver "also"	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$3,765,827							2 accounts	
20030909	S	\$516,195	AEGAY	ACM EUROPN GR PT FDA	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20030909	S	\$291,470	ASDAY	ACM ST MAT DOLL PT A	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02

SILVER: Alliance (ACM) Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$807,665								
20030911	S	\$790,278	AGHAY	ACM GLB HIGH YLD A	V2	Silver/Comito	H	BLM-95258	COLUMBIA INVESTORS	6/20/02

Exhibit 3

SILVER: American Century Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010103	B	\$290,000	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010105	S	\$290,814	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010313	B	\$295,000	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010315	S	\$289,682	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010319	B	\$298,000	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010321	S	\$296,358	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010323	B	\$254,975	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010328	S	\$260,991	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010405	B	\$312,500	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010418	S	\$320,047	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010425	B	\$325,353	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010503	S	\$327,792	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010508	B	\$328,175	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010510	S	\$327,132	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010531	B	\$335,203	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010601	B	\$310,000	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010606	S	\$310,678	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010612	B	\$319,957	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01

SILVER: American Century Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010613	S	\$330,798	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010614	S	\$321,742	TWIEX	AM CNTRY INTL GR INV	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010620	B	\$227,985	TWEIX	AM CNTRY EQUITY INC	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010620	B	\$325,952	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010622	S	\$228,318	TWEIX	AM CNTRY EQUITY INC	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010622	S	\$328,159	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010628	B	\$327,995	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010705	S	\$323,886	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010712	B	\$244,978	TWIEX	AM CNTRY INTL GR INV	78	Silver/Comito	G	BLM-02080	GRANITE GROUP	pre-1/01
20010712	B	\$336,648	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010716	S	\$243,260	TWIEX	AM CNTRY INTL GR INV	78	Silver/Comito	G	BLM-02080	GRANITE GROUP	pre-1/01
20010716	S	\$334,288	TWIEX	AM CNTRY INTL GR INV	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010810	B	\$499,000	TWIEX	AM CNTRY INTL GR INV	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20010814	S	\$504,919	TWIEX	AM CNTRY INTL GR INV	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20010816	B	\$198,000	TWIEX	AM CNTRY INTL GR INV	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20010820	S	\$196,602	TWIEX	AM CNTRY INTL GR INV	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011101	B	\$197,070	TWIEX	AM CNTRY INTL GR INV	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011105	S	\$200,121	TWIEX	AM CNTRY INTL GR INV	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20020123	B	\$257,972	TWIEX	AM CNTRY INTL GR INV	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20020128	S	\$258,654	TWIEX	AM CNTRY INTL GR INV	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01

SILVER: American Century Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020315	B	\$200,000	AACIX	AM CEN ITL GR A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20020321	S	\$200,000	AACIX	AM CEN ITL GR A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20020430	B	\$1,000,000	TWIEX	AM CNTRY INTL GR INV	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01
20020514	B	\$1,000,000	TWIEX	AM CNTRY INTL GR INV	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
20020520	S	\$1,017,677	TWIEX	AM CNTRY INTL GR INV	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
6/26/02		AC blocked FA and two accounts			49	Jt 25&29	M	05M-35115	WYATT ATWOOD	
					V2	Jt 39&25	M	HSK-00139	WYATT ATWOOD	
		(PSI blocked FA#s and accounts from TWIEX on 7/9/02)								
20020627	B	\$1,000,000	TWIEX	AM CNTRY INTL GR INV	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020627	S	\$1,041,904	CPFXX	AM CEN CAP PRSV FD	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20020627	S	\$1,022,493	CPFXX	AM CEN CAP PRSV FD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
		\$2,064,397							2 accounts	
20020701	S	\$1,026,631	TWIEX	AM CNTRY INTL GR INV	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020807	B	\$1,500,000	TWIEX	AM CNTRY INTL GR INV	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020807	B	\$650,000	BIGRX	AMER CNTRY INCM&GRO	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020808	B	\$1,200,000	TWIEX	AM CNTRY INTL GR INV	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020813	S	\$1,540,602	TWIEX	AM CNTRY INTL GR INV	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020813	S	\$1,216,024	TWIEX	AM CNTRY INTL GR INV	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$2,756,625							2 accounts	
20020814	B	\$1,500,000	TWIEX	AM CNTRY INTL GR INV	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	S	\$1,530,837	TWIEX	AM CNTRY INTL GR INV	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	B	\$680,000	BIGRX	AMER CNTRY INCM&GRO	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02

SILVER: American Century Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
8/26/02		AC blocked Silver and Comito under all FA#s								
		(PSI blocked 17 05M FA#s (25, 29, 39, 49, 60, 90, 94, C4, C7, DC, K4, L8, MS, P2, R3, V2, X9), two 0EM FA#s (25, 39), and								
		two AJX FA#s (78, G9) from AC funds on 8/27/02)								
20020917	S	\$1,286,924	BIGRX	AMER CNTRY INCM&GRO	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02

Exhibit 4

SILVER: American Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010301	B	\$1,100,000	AIBAX	AMER FDS INTERM BD FD	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010309	B	\$500,000	AIBAX	AMER FDS INTERM BD FD	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010504	S	\$163,071	CTAXX	AMER FDS CASH MGT	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010504	S	\$1,550,315	AIBAX	AMER FDS INTERM BD FD	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
		\$1,713,386								
20010813	B	\$433,000	AMUSX	AMER FDS USG SEC A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010814	B	\$417,000	AIBAX	AMER FDS INTERM BD FD	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010820	B	\$253,000	CWGIX	AMER FDS CAP WRLD G/I	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20010820	B	\$247,000	AEPGX	AMER FDS EURO PAC GR	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20010820	B	\$248,000	ANWPX	AMER FDS NEW PERSP A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20010820	B	\$100,000	NEWFX	AMER FDS NEW WORLD A	25	Comito primary	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20010820	B	\$152,000	NEWFX	AMER FDS NEW WORLD A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$1,000,000			3				2 accounts	
20010822	B	\$372,864	AEPGX	AMER FDS EURO PAC GR	K4	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010830	B	\$247,000	AMUSX	AMER FDS USG SEC A	E6	S/C/Diee	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010904	B	\$314,000	AMUSX	AMER FDS USG SEC A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010904	B	\$254,000	AMUSX	AMER FDS USG SEC A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$568,000			2				2 accounts	
20010906	B	\$188,000	AMUSX	AMER FDS USG SEC A	E6	S/C/Diee	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010921	B	\$500,000	ABNDX	AMER FDS BOND FD	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011003	B	\$504,000	ANWPX	AMER FDS NEW PERSP A	E6	S/C/Diee	M	05M-34941	WYATT ATWOOD	7/26/01
20011003	B	\$496,000	NEWFX	AMER FDS NEW WORLD A	E6	S/C/Diee	M	05M-34941	WYATT ATWOOD	7/26/01
		\$1,000,000								

SILVER: American Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011101	B	\$493,250	CWGIX	AMER FDS CAP WRLD G/I	K4	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20011101	B	\$494,600	ANWPX	AMER FDS NEW PERSP A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
		\$987,850			2				2 accounts	
20011113	B	\$467,000	CWGIX	AMER FDS CAP WRLD G/I	49	S/C/Wallerst.	H	05M-34425	RIVERVIEW #3	3/26/01
20011113	B	\$493,500	ANWPX	AMER FDS NEW PERSP A	K4	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
		\$960,500			2				2 accounts	
20011115	B	\$547,000	AMUSX	AMER FDS USG SEC A	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20011116	B	\$456,000	AMUSX	AMER FDS USG SEC A	78	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20011119	B	\$350,000	CWGIX	AMER FDS CAP WRLD G/I	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011119	B	\$350,000	ANWPX	AMER FDS NEW PERSP A	36	JT 37 & 24	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011119	B	\$350,000	CWGIX	AMER FDS CAP WRLD G/I	V2	Silver/Comito	M	05M-35152	MAZZARD GROUP	10/30/01
20011119	B	\$350,000	NEWFX	AMER FDS NEW WORLD A	V2	Silver/Comito	M	05M-35152	MAZZARD GROUP	10/30/01
20011119	B	\$350,000	ANWPX	AMER FDS NEW PERSP A	39	Silver primary	M	05M-35198	GAHN & McELROY	11/13/01
20011119	B	\$350,000	NEWFX	AMER FDS NEW WORLD A	25	Comito primary	M	05M-35198	GAHN & McELROY	11/13/01
20011119	B	\$350,000	AEPGX	AMER FDS EURO PAC GR	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
20011119	B	\$350,000	ANWPX	AMER FDS NEW PERSP A	49	S/C/Wallerst.	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$2,800,000			6				4 accounts	
20011123	B	\$280,000	AEPGX	AMER FDS EURO PAC GR	39	Silver primary	M	05M-35199	MAZZARD GROUP	11/13/01
20011123	B	\$280,000	ANWPX	AMER FDS NEW PERSP A	25	Comito primary	M	05M-35199	MAZZARD GROUP	11/13/01
		\$560,000			2				1 account	
20011126	B	\$2,000,000	AHITX	AMER FDS HI INC TR	50	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20011203	S	\$1,324,723	AMUSX	AMER FDS USG SEC A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20011203	S	\$466,556	AMUSX	AMER FDS USG SEC A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20011203	S	\$487,970	AIBAX	AMER FDS INTERM BD FD	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
		\$2,279,249							3 accounts	
20011203	S	\$697,694	CTAXX	AMER FDS CASH MGT	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011203	S	\$552,969	CTAXX	AMER FDS CASH MGT	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011203	S	\$1,115,478	CTAXX	AMER FDS CASH MGT	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20011203	S	\$546,100	CTAXX	AMER FDS CASH MGT	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01

SILVER: American Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20011203	S	\$568,311	CTAXX	AMER FDS CASH MGT	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??	
20011203	S	\$696,892	CTAXX	AMER FDS CASH MGT	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01	
		\$4,177,444							6 accounts		
20011204	B	\$350,000	CWGIX	AMER FDS CAP WRLD G/I	39	Silver primary	M	05M-35085	GAHN & McELROY	9/28/01	
20011204	B	\$350,000	AEPGX	AMER FDS EURO PAC GR	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01	
		\$700,000			2				1 account		
20011205	S	\$1,083,788	CTAXX	AMER FDS CASH MGT	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01	
20011206	S	\$991,394	CTAXX	AMER FDS CASH MGT	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01	
20011207	S	\$509,112	AEPGX	AMER FDS EURO PAC GR	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01	
20011207	S	\$511,423	CWGIX	AMER FDS CAP WRLD G/I	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01	
		\$1,020,535							2 accounts		
20011207	S	\$358,683	CWGIX	AMER FDS CAP WRLD G/I	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01	
20011207	S	\$361,790	NEWFX	AMER FDS NEW WORLD A	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01	
20011207	S	\$361,157	ANWPX	AMER FDS NEW PERSP A	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01	
20011207	S	\$361,694	NEWFX	AMER FDS NEW WORLD A	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01	
20011207	S	\$284,048	AEPGX	AMER FDS EURO PAC GR	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01	
20011207	S	\$283,726	ANWPX	AMER FDS NEW PERSP A	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01	
		\$2,011,097							3 accounts		
20011226	B	\$1,100,000	CWGIX	AMER FDS CAP WRLD G/I	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01	
20011231	S	\$352,557	CWGIX	AMER FDS CAP WRLD G/I	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01	
20011231	S	\$352,557	CWGIX	AMER FDS CAP WRLD G/I	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01	
20011231	S	\$343,635	AEPGX	AMER FDS EURO PAC GR	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01	
		\$1,048,749									
1/9/02		AF blocked sixteen accounts and their FA's					Silver/Comito	G	BLM-02098	GRANITE GROUP	
		(PSI blocked accounts from AF funds on 1/14/02)					Silver/Comito	H	05M-34420	RIVERVIEW GROUP	
						Silver/Comito	H	05M-34422	RIVERVIEW #1		
						Silver/Comito	H	05M-34424	RIVERVIEW #2		
						Silver/Comito	H	05M-34425	RIVERVIEW #3		
						Silver/Comito	H	05M-34426	RIVERVIEW #4		

SILVER: American Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
						Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	
						Silver/Comito	M	05M-34706	GILMORE & GILLESPIE	
						Silver/Comito	M	05M-34941	WYATT ATWOOD	
						Silver/Comito	M	05M-35049	GAHN & McELROY	
						Silver/Comito	M	05M-35085	GAHN & McELROY	
						Silver/Comito	M	05M-35152	MAZZARD GROUP	
						Silver/Comito	M	05M-35198	GAHN & McELROY	
						Silver/Comito	M	05M-35199	MAZZARD GROUP	
						Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	
						Silver/Comito	M	0EC-07684	WYATT ATWOOD	
(PSI blocked sixteen 05M FA#s (25, 29, 49, 50, 60, 72, 78, 90, 94, C2, C7, E6, K4, R3, V2, Y3) & one AJX FA# (G9)										
from AF funds on 1/15/02)										
20020109	S	\$2,005,751	AMUSX	AMER FDS USG SEC A	50	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20020116	S	\$1,113,708	CWGIX	AMER FDS CAP WRLD G/I	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
20020315	B	\$1,005,000	CWGIX	AMER FDS CAP WRLD G/I	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020315	B	\$1,002,000	NEWFX	AMER FDS NEW WORLD A	MS	Silver "also"	M	0EC-07713	AURIC VENTURES	3/14/02
20020315	B	\$1,005,000	AEPGX	AMER FDS EURO PAC GR	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
20020315	B	\$1,002,000	ANWPX	AMER FDS NEW PERSP A	DC	Comito "also"	M	HSK-00142	LINKAGE ASSOCIATES	3/14/02
		\$4,014,000			2				4 accounts	
20020524	S	\$1,038,818	CTAXX	AMER FDS CASH MGT	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020524	S	\$1,046,594	CTAXX	AMER FDS CASH MGT	MS	Silver "also"	M	0EC-07713	AURIC VENTURES	3/14/02
20020524	S	\$1,004,752	CTAXX	AMER FDS CASH MGT	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
20020524	S	\$1,012,023	CTAXX	AMER FDS CASH MGT	DC	Comito "also"	M	HSK-00142	LINKAGE ASSOCIATES	3/14/02
		\$4,102,187							4 accounts	
20020528	S	\$500,000	CTAXX	AMER FDS CASH MGT	L8	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20020611	S	\$496,341	CTAXX	AMER FDS CASH MGT	L8	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01

Exhibit 5

SILVER: Credit Suisse Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010205	B	\$290,000	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010207	S	\$286,358	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010226	B	\$295,000	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010228	S	\$293,937	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010313	B	\$295,000	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010313	B	\$274,055	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$569,055			1				2 accounts	
20010315	S	\$291,435	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010315	S	\$279,810	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$571,245							2 accounts	
20010319	B	\$298,000	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010321	S	\$294,247	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010323	B	\$254,975	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010328	S	\$263,331	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010508	B	\$334,455	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010510	S	\$333,959	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010516	B	\$285,043	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010516	B	\$297,969	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$583,012			1				2 accounts	
20010516	B	\$329,754	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010522	S	\$290,611	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010522	S	\$310,433	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01

SILVER: Credit Suisse Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$601,044							2 accounts	
20010523	S	\$332,479	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010531	B	\$332,035	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010531	B	\$329,311	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
		\$661,347			1				2 accounts	
20010531	B	\$500,000	WPJGX	CREDIT S JAP EQ FD	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20010601	B	\$310,000	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010601	B	\$320,000	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
		\$630,000			1				2 accounts	
20010606	S	\$308,703	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010606	S	\$322,450	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
		\$631,153							2 accounts	
20010606	S	\$499,301	WPJGX	CREDIT S JAP EQ FD	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20010612	B	\$323,043	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010612	B	\$335,003	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$658,046			1				2 accounts	
20010613	S	\$325,526	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010614	S	\$320,209	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010614	S	\$335,525	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$655,734							2 accounts	
20010620	B	\$230,034	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010620	B	\$209,979	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$440,013			1				2 accounts	
20010620	B	\$336,507	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010622	S	\$240,784	WPJGX	CREDIT S JAP EQ FD	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010622	S	\$213,995	CUIEX	CRED SUISSE INTL EQ	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$454,778							2 accounts	

SILVER: Credit Suisse Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010622	S	\$342,942	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	
20010712	B	\$312,500	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01	
20010716	S	\$311,751	CUIEX	CRED SUISSE INTL EQ	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01	
20010810	B	\$500,000	WPJGX	CREDIT S JAP EQ FD	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??	
20010814	S	\$500,874	WPJGX	CREDIT S JAP EQ FD	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??	
20020430	B	\$300,000	WPEMX	CREDIT S EMG MKTS FD	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01	
20020430	B	\$500,000	WVCCX	CREDIT S GB PVCP FD	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01	
20020430	B	\$1,000,000	WPMFX	CREDIT SUISS ITL FCF	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01	
		\$1,800,000									
5/14/02		CS blocked one account						M	05M-35115	WYATT ATWOOD	
		(PSI blocked it from CS funds on 5/14/02)									
20020515	B	\$300,000	WPEMX	CREDIT S EMG MKTS FD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020515	B	\$500,000	WVCCX	CREDIT S GB PVCP FD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020515	B	\$200,000	WPMFX	CREDIT SUISS ITL FCF	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
		\$1,000,000									
5/16/02		CS blocked one FA# (05M-049) and all related FA#s									
		(PSI blocked eighteen 05M FA#s (29, 39, 49, 50, 60, 78, 90, 94, A5, C2, C7, DC, E6, K4, L8, R3, V2, Y3) & two AJX FA#s (78, G9)									
		from CS funds on 5/17/02)									
20020520	S	\$314,104	WPEMX	CREDIT S EMG MKTS FD	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
20020520	S	\$512,967	WVCCX	CREDIT S GB PVCP FD	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
20020520	S	\$1,011,330	WPMFX	CREDIT SUISS ITL FCF	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
20020520	S	\$300,726	WPEMX	CREDIT S EMG MKTS FD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020520	S	\$495,501	WVCCX	CREDIT S GB PVCP FD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020520	S	\$201,155	WPMFX	CREDIT SUISS ITL FCF	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
		\$2,835,783							2 accounts		

Exhibit 6

SILVER: Delaware Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010425	B	\$280,055	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010430	S	\$282,959	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010516	B	\$279,958	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010522	S	\$283,225	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010601	B	\$320,000	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010606	S	\$321,194	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010612	B	\$339,998	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010614	S	\$341,271	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010709	B	\$495,000	DEGIX	DELAWARE INTL EQ A	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010716	S	\$495,382	DEGIX	DELAWARE INTL EQ A	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010810	B	\$500,000	DEGIX	DELAWARE INTL EQ A	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20010814	S	\$509,324	DEGIX	DELAWARE INTL EQ A	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20011219	B	\$402,000	DEGIX	DELAWARE INTL EQ A	C2	Silver/Comito	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020102	B	\$534,200	DEGIX	DELAWARE INTL EQ A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20020102	B	\$532,400	DEGIX	DELAWARE INTL EQ A	K4	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
		\$1,066,600			2				2 accounts	
20020104	B	\$350,000	DEGIX	DELAWARE INTL EQ A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
20020104	B	\$315,000	DEGIX	DELAWARE INTL EQ A	E6	S/C/Diee	M	05M-35115	WYATT ATWOOD	10/16/01
20020104	B	\$340,000	DEGIX	DELAWARE INTL EQ A	V2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
		\$1,005,000			3				3 accounts	

SILVER: Delaware Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020107	S	\$400,022	DEGIX	DELAWARE INTL EQ A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020410	B	\$475,000	DEGIX	DELAWARE INTL EQ A	L8	Silver/Comito	M	HSK-00141	AURIC VENTURES	2/19/02
20020410	B	\$480,000	DEGIX	DELAWARE INTL EQ A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$955,000			2				2 accounts	
20020514	B	\$400,000	DEGIX	DELAWARE INTL EQ A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020520	S	\$407,160	DEGIX	DELAWARE INTL EQ A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
5/21/02		Delaware blocked Silver								

Exhibit 7

SILVER: Dreyfus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010131	B	\$1,000,000	DSINX	DREYFUS P CORE BFDA	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010405	B	\$312,500	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010420	S	\$323,818	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010425	B	\$321,851	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010503	S	\$328,442	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010531	B	\$227,823	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20010531	B	\$335,203	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
		\$563,026			1				2 accounts	
20010612	B	\$496,000	FWWGX	DREYFUS FDRS WW GRFD	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010613	S	\$224,885	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34421	Haidar Jupiter	3/26/01
20010613	S	\$330,880	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010614	S	\$489,612	FWWGX	DREYFUS FDRS WW GRFD	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010620	B	\$326,082	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010620	B	\$281,879	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$607,961			1				2 accounts	
20010622	S	\$329,334	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010622	S	\$284,690	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$614,024							2 accounts	
20010628	B	\$327,995	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010705	S	\$323,813	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010709	B	\$490,000	FWWGX	DREYFUS FDRS WW GRFD	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01

SILVER: Dreyfus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010712	B	\$327,043	DIISX	DREYFUS INTL STK IF	78	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
20010712	B	\$336,783	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010716	S	\$326,763	DIISX	DREYFUS INTL STK IF	78	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
20010716	S	\$334,227	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010716	S	\$485,273	FWWGX	DREYFUS FDRS WW GRFD	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010725	B	\$311,639	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010801	B	\$246,000	FPSSX	DREYFUS FDRS PASPT F	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20010806	S	\$311,026	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010808	S	\$240,491	FPSSX	DREYFUS FDRS PASPT F	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20010809	B	\$298,030	FPSSX	DREYFUS FDRS PASPT F	78	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010816	B	\$298,000	FPSSX	DREYFUS FDRS PASPT F	Y3	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010816	B	\$248,000	DIISX	DREYFUS INTL STK IF	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$546,000			1				2 accounts	
20010820	S	\$292,720	FPSSX	DREYFUS FDRS PASPT F	Y3	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010820	S	\$244,233	DIISX	DREYFUS INTL STK IF	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$536,953							2 accounts	
20010822	B	\$239,525	FPSSX	DREYFUS FDRS PASPT F	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010828	S	\$240,235	FPSSX	DREYFUS FDRS PASPT F	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010924	B	\$230,335	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010924	B	\$239,878	FPSSX	DREYFUS FDRS PASPT F	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$470,213			2				2 accounts	
20010926	S	\$234,215	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010926	S	\$243,919	FPSSX	DREYFUS FDRS PASPT F	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01

SILVER: Dreyfus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$478,134							2 accounts	
20011003	B	\$600,000	PGROX	DREYFUS PR WW GR FDA	C2	Silver/Comito	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011010	B	\$236,230	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20011012	S	\$27,060	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20011017	S	\$243,807	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20011024	S	\$615,361	DWDAY	DREYFUS WW DLR MM A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011101	B	\$252,914	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011108	S	\$261,877	FPSSX	DREYFUS FDRS PASPT F	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20020115	B	\$500,000	DRGLX	DREYFUS PR INTL GFA	C2	Silver/Comito	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020117	B	\$480,000	DRGLX	DREYFUS PR INTL GFA	E6	S/C/Diee	M	05M-34941	WYATT ATWOOD	7/26/01
20020117	B	\$490,000	DRGLX	DREYFUS PR INTL GFA	V2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20020117	B	\$495,000	DRGLX	DREYFUS PR INTL GFA	C2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01
		\$1,465,000			3				3 accounts	
20020123	B	\$502,000	DRGLX	DREYFUS PR INTL GFA	V2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020123	B	\$495,000	DRGLX	DREYFUS PR INTL GFA	E6	S/C/Diee	M	05M-35152	MAZZARD GROUP	10/30/01
		\$997,000			2				2 accounts	
20020214	B	\$325,000	FOIAX	DREYFUS FDRS ITL EFA	V2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
20020227	S	\$313,236	DWDAY	DREYFUS WW DLR MM A	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
20020306	B	\$500,000	DRGLX	DREYFUS PR INTL GFA	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020306	B	\$490,000	DRGLX	DREYFUS PR INTL GFA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
		\$990,000			2				2 accounts	
20020326	B	\$352,500	DRGLX	DREYFUS PR INTL GFA	E6	S/C/Diee	M	HSK-00141	AURIC VENTURES	2/19/02

SILVER: Dreyfus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020326	B	\$147,500	DRGLX	DREYFUS PR INTL GFA	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$500,000			2				1 account	
20020404	B	\$400,000	DSINX	DREYFUS P CORE BFDA	V2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20020412	B	\$400,000	DIISX	DREYFUS INTL STK IF	V2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02
20020416	B	\$500,000	DSINX	DREYFUS P CORE BFDA	L8	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20020430	B	\$1,000,000	DGAGX	DREYFUS APPRECTN FD	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01
20020506	B	\$100,000	DSINX	DREYFUS P CORE BFDA	L8	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20020508	S	\$522,688	DWDAY	DREYFUS WW DLR MM A	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20020508	S	\$533,606	DWDAY	DREYFUS WW DLR MM A	L8	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20020508	S	\$1,014,050	DGAGX	DREYFUS APPRECTN FD	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20020508	S	\$416,612	DWDXX	DREYFUS WW DLR MM FD	V2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02
20020508	S	\$508,532	DWDAY	DREYFUS WW DLR MM A	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020508	S	\$498,361	DWDAY	DREYFUS WW DLR MM A	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020508	S	\$539,022	DWDAY	DREYFUS WW DLR MM A	V2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01
20020508	S	\$505,203	DWDAY	DREYFUS WW DLR MM A	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$4,538,075							8 accounts	
5/9/02		Dreyfus blocked five FA#s (05M-39, 05M-49, 05M-C2, 05M-V2, HSK-70)								
		(PSI blocked them from Dreyfus funds on 5/14/02)								
20020510	S	\$551,001	DWDAY	DREYFUS WW DLR MM A	V2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020510	S	\$542,770	DWDAY	DREYFUS WW DLR MM A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020510	S	\$539,729	DWDAY	DREYFUS WW DLR MM A	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
		\$1,633,499							3 accounts	
20020522	S	\$402,299	DWDAY	DREYFUS WW DLR MM A	V2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20020709	S	\$601,215	DWDAY	DREYFUS WW DLR MM A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20021216	B	\$549,995	DPLTX	DREYFUS PR LT HY FDA	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01

SILVER: Dreyfus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030820	B	\$375,000	DPLTX	DREYFUS PR LT HY FDA	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030908	S	\$625,602	DWDAY	DREYFUS WW DLR MM A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01

Exhibit 8

SILVER: Evergreen Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011227	B	\$502,000	EKGAX	EVERGREEN GLB OPP A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011227	B	\$498,000	EKGAX	EVERGREEN GLB OPP A	C2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
20011227	B	\$497,000	EKGAX	EVERGREEN GLB OPP A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011227	B	\$503,000	EKGAX	EVERGREEN GLB OPP A	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01
		\$2,000,000			3				4 accounts	
20021114	B	\$978,600	EKHAX	EVERGREEN HY BD A	V2	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021119	B	\$164,300	EKHAX	EVERGREEN HY BD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021121	B	\$52,300	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021127	B	\$549,995	EKHAX	EVERGREEN HY BD A	K4	S/C/others	H	05M-35187	JARKO INVESTMENT	11/7/01
20021127	B	\$504,995	EKHAX	EVERGREEN HY BD A	V2	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$1,054,990			2				2 accounts	
20021202	B	\$774,995	EKHAX	EVERGREEN HY BD A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20021216	B	\$549,995	EKHAX	EVERGREEN HY BD A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20021216	B	\$574,995	EKHAX	EVERGREEN HY BD A	K4	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$1,124,990			1				2 accounts	
20021217	B	\$774,995	EKHAX	EVERGREEN HY BD A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20021217	B	\$775,000	EKHAX	EVERGREEN HY BD A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
		\$1,549,995								
20030220	B	\$549,995	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
2/21/03		Evergreen blocked one account			L8		M	HSK-00132	BISHOP	
		(PSI blocked it from Evergreen funds on 2/24/03)								
20030325	B	\$144,995	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030325	B	\$244,995	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
		\$389,990			1				2 accounts	

SILVER: Evergreen Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
3/27/03		Evergreen blocked three accounts			L8		H	05M-35186	JARKO INVESTMENT	
		(PSI blocked them from Evergreen funds on 4/1/03)			L8		H	05M-35187	JARKO INVESTMENT	
					L8		H	05M-35188	JARKO INVESTMENT	
20030328	B	\$59,995	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030328	B	\$64,995	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
		\$124,990			1				2 accounts	
20030410	S	\$426,289	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030522	S	\$781,898	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030522	S	\$879,594	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030522	S	\$1,111,811	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$2,773,303							3 accounts	
5/23/03		Evergreen blocked one FA# (05M-0MS)								
6/20/03		Evergreen blocked one account			MS		H	0EC-96697	CANADIAN IMPERIAL	
20030623	S	\$1,428,070	EKHAX	EVERGREEN HY BD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030822	B	\$999,995	EKHAX	EVERGREEN HY BD A	V2	Silver/Comito	M	05M-36719	TRUMAN, LLC	??
20030908	S	\$1,015,192	EKHAX	EVERGREEN HY BD A	49	S/C/Wallerst.	M	05M-36719	TRUMAN, LLC	??
20030910	S	\$1,295,348	EKHAX	EVERGREEN HY BD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20020313	S	\$300,362	EMAXX	EVERGREEN MO MKT FDA	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20030411	S	\$981,541	EMAXX	EVERGREEN MO MKT FDA	C8	Silver "also"	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20030227	B	\$1,018,000	EFXAX	EVERGREEN SH/INTBD A	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20030411	S	\$19,537	EFXAX	EVERGREEN SH/INTBD A	C8	Silver "also"	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20010920	B	\$250,000	EUSAX	EVERGREEN USG FDA	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01

Exhibit 9

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010103	B	\$290,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01	
20010105	S	\$292,499	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01	
20010110	B	\$300,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01	
20010111	S	\$1,582,840	LUGXX	LIBERTY FED US GV A	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01	
20010112	S	\$302,620	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01	
20010118	B	\$161,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01	
20010122	S	\$160,846	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01	
20010129	B	\$380,018	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01	
20010131	S	\$385,170	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01	
20010205	B	\$290,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01	
20010207	S	\$287,334	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01	
2/15/01		Federated blocked two accounts						P	BLM-95239	PECONIC OFFSHORE	
							P	BLM-95245	PECONIC OFFSHORE		
20010221	S	\$1,827,607	LUGXX	LIBERTY FED US GV A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01	
20010226	B	\$298,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01	
20010228	B	\$300,730	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01	
20010228	S	\$298,140	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01	
20010301	B	\$900,000	COLHX	COLUMBIA HY OPP FD A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01	
3/14/01		Liberty blocked one account from two funds			70	COLHX	M	HSK-00132	BISHOP		

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
					70	LAIAX				
20010320	S	\$497,232	COLHX	COLUMBIA HY OPP FD A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010320	S	\$613,917	CMMXX	COLUMBIA MMKT A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
		\$1,111,149								
20010405	B	\$312,500	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010420	S	\$324,107	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010516	B	\$244,947	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010522	S	\$248,935	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010524	B	\$500,000	NJOAX	COLUMBIA NWPJAP OP A	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010529	S	\$499,172	NJOAX	COLUMBIA NWPJAP OP A	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010531	B	\$286,000	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
20010601	B	\$297,970	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010606	S	\$299,289	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010612	B	\$310,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010613	S	\$280,625	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
20010614	S	\$309,474	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010620	B	\$319,957	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010620	B	\$245,394	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010622	S	\$324,578	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010622	S	\$248,938	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010629	B	\$275,000	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
20010629	B	\$330,000	CUSGX	LIBERTY INT GOV FDA	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010629	B	\$316,500	CUSGX	LIBERTY INT GOV FDA	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$646,500			2				2 accounts	
20010702	B	\$420,000	CUSGX	LIBERTY INT GOV FDA	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010702	B	\$433,500	CUSGX	LIBERTY INT GOV FDA	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$853,500			2				2 accounts	
20010705	S	\$270,425	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
20010712	B	\$250,000	LAIAX	COLUMB ACORN INTL A	61	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010712	B	\$500,000	LAIAX	COLUMB ACORN INTL A	C2	Silver/Comito	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010712	B	\$200,000	LAFAX	COLUMB ACRN ITL SL A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010712	B	\$250,000	CNTAX	COLUMBI NRP TGR A	61	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010712	B	\$225,273	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
		\$1,425,273			3				2 accounts	
20010716	S	\$224,337	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
7/18/01		Liberty blocked one account from one fund			49		P	BLM-95245	PECONIC OFFSHORE	
20010720	B	\$760,000	CUSGX	LIBERTY INT GOV FDA	78	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
7/24/01		Liberty blocked one account from one fund			49		P	BLM-95245	PECONIC OFFSHORE	
		(PSI blocked it from Liberty funds on 7/24/01)								
20010725	B	\$488,000	CNTAX	COLUMBI NRP TGR A	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010730	S	\$494,184	CNTAX	COLUMBI NRP TGR A	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
8/2/01		Liberty blocked one account			C2		P	BLM-95239	PECONIC OFFSHORE	
		(PSI blocked it from Liberty funds on 8/2/01)								
8/3/01		Liberty blocked one account			C2		H	05M-34420	RIVERVIEW GROUP	
		(PSI blocked it from Liberty funds on 8/3/01)								

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010806	B	\$250,000	CUSGX	LIBERTY INT GOV FDA	78	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20010806	S	\$482,448	LAIAX	COLUMB ACORN INTL A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010806	S	\$706,711	CMMXX	COLUMBIA MMKT A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
		\$1,189,159								
20010806	S	\$376,542	LAIAX	COLUMB ACORN INTL A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010806	S	\$870,137	CMMXX	COLUMBIA MMKT A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010806	S	\$247,372	SRSAX	LIBERTY GR STK FDA	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$1,494,051								
8/13/01		Liberty blocked one account from one fund			78		G	BLM-02098	GRANITE GROUP	
8/14/01		Liberty blocked one account from one fund			78		G	BLM-02098	GRANITE GROUP	
8/15/01		Liberty blocked one account from one fund			78		G	BLM-02098	GRANITE GROUP	
		(PSI blocked it from Liberty funds on 8/15/01)								
20010815	S	\$1,025,988	CMMXX	COLUMBIA MMKT A	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20010822	B	\$241,744	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010824	B	\$487,000	FTITX	FEDERATED INTL EFDA	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010824	B	\$499,000	CNTAX	COLUMBI NRP TGR A	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
		\$986,000			1				2 accounts	
20010828	S	\$240,278	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010920	S	\$1,027,864	CMMXX	COLUMBIA MMKT A	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20010924	B	\$228,594	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20010924	B	\$315,909	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$544,503			1				2 accounts	
20010926	S	\$233,016	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20010926	S	\$322,020	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$555,036							2 accounts	

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010928	B	\$321,582	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011008	S	\$327,597	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011010	B	\$243,030	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011010	B	\$333,980	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20011010	B	\$334,041	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20011010	B	\$248,222	FTITX	FEDERATED INTL EFDA	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$1,159,273			2				4 accounts	
20011012	S	\$2,289,637	LUGXX	LIBERTY FED US GV A	49	S/C/Wallerst.	MVP	0EC-96241	MVP II LTD	pre-1/01
20011015	S	\$2,289,637	LUGXX	LIBERTY FED US GV A	C2	Silver/Comito	MVP	0EC-96241	MVP II LTD	pre-1/01
20011017	S	\$248,999	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011017	S	\$342,183	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20011017	S	\$342,246	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20011017	S	\$254,319	FTITX	FEDERATED INTL EFDA	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$1,187,746							4 accounts	
20011022	B	\$333,546	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011022	B	\$252,151	FTITX	FEDERATED INTL EFDA	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$585,697			2				2 accounts	
20011029	S	\$338,479	FTITX	FEDERATED INTL EFDA	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011029	S	\$255,880	FTITX	FEDERATED INTL EFDA	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$594,359							2 accounts	
20011101	B	\$257,819	FTITX	FEDERATED INTL EFDA	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20011108	S	\$266,061	FTITX	FEDERATED INTL EFDA	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20011205	B	\$585,000	LAIA	COLUMB ACORN INTL A	E6	S/C/Diee	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011205	B	\$290,000	CNTAX	COLUMBI NRP TGR A	E6	S/C/Diee	M	05M-34941	WYATT ATWOOD	7/26/01
20011205	B	\$290,000	LAIA	COLUMB ACORN INTL A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$1,165,000			1				3 accounts	
12/13/01		Liberty blocked three accounts			E6		M	05M-34566	GILMORE & GILLESPIE #1	
		(PSI blocked them from Lib. funds on 12/13/01)			E6		M	05M-34941	WYATT ATWOOD	
					E6		M	05M-35049	GAHN & McELROY	
20020103	S	\$586,020	CMMXX	COLUMBIA MMKT A	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020103	S	\$285,347	CMMXX	COLUMBIA MMKT A	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20020103	S	\$290,506	CMMXX	COLUMBIA MMKT A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
		\$1,161,873							3 accounts	
20020115	B	\$300,000	ISCAx	FEDERTED INTL SCOFDA	V2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020115	B	\$300,000	FTITX	FEDERATED INTL EFDA	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$600,000			2				2 accounts	
20020117	B	\$305,000	FTITX	FEDERATED INTL EFDA	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20020117	B	\$300,000	ISCAx	FEDERTED INTL SCOFDA	E6	S/C/Diee	M	HSK-00140	MAZZARD GROUP	11/28/01
		\$605,000			2				2 accounts	
20020123	B	\$300,000	FTITX	FEDERATED INTL EFDA	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20020123	B	\$300,000	FTITX	FEDERATED INTL EFDA	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$600,000			2				2 accounts	
20020129	S	\$298,796	ISCAx	FEDERTED INTL SCOFDA	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020129	S	\$299,159	FTITX	FEDERATED INTL EFDA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20020129	S	\$296,894	FTITX	FEDERATED INTL EFDA	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20020129	S	\$293,053	FTITX	FEDERATED INTL EFDA	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20020129	S	\$296,894	FTITX	FEDERATED INTL EFDA	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
20020129	S	\$302,948	ISCAx	FEDERTED INTL SCOFDA	V2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01
		\$1,787,744							6 accounts	
20020520	S	\$1,023,051	FTITX	FEDERATED INTL EFDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020523	B	\$240,000	FHIIX	FEDERATED HIGH IC A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20020523	B	\$750,000	FHIIX	FEDERATED HIGH IC A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
		\$990,000								

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20020617	B	\$630,000	FHIIX	FEDERATED HIGH IC A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01	
7/17/02		Federated blocked one account (PSI blocked it from Federated funds on 7/23/02)						M	HSK-00132	BISHOP	
20020731	B	\$744,000	COLHX	COLUMBIA HY OPP FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01	
20020807	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020807	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020807	B	\$900,000	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020807	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$1,200,000			1				3 accounts		
20020808	B	\$900,000	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020813	S	\$908,461	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020813	S	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020813	S	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020813	S	\$906,331	CNTAX	COLUMBI NRP TGR A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020813	S	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$2,114,791							3 accounts		
20020814	B	\$900,000	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020814	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020814	B	\$900,000	CNTAX	COLUMBI NRP TGR A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020814	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$2,000,000			2				2 accounts		
20020815	S	\$184,158	COLHX	COLUMBIA HY OPP FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01	
20020823	S	\$928,256	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020823	S	\$99,462	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020823	S	\$928,256	CNTAX	COLUMBI NRP TGR A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020823	S	\$99,462	NJOAX	COLUMBIA NWPJAP OP A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$2,055,435							2 accounts		

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020827	S	\$250,000	LUGXX	LIBERTY FED US GV A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020830	S	\$1,365,657	LUGXX	LIBERTY FED US GV A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020904	B	\$900,000	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020904	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020904	B	\$900,000	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$900,000	CNTAX	COLUMBI NRP TGR A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020904	B	\$100,000	NJOAX	COLUMBIA NWPJAP OP A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,000,000			2				3 accounts	
9/10/02		Liberty blocked three accounts			L8		M	05M-36425	PERIWINKLE	
		(PSI blocked them from CNTAX on 9/11/02)			L8		M	05M-36426	TAIGA GROUP	
					V2		M	HSK-00137	GILMORE & GILLESPIE	
20020912	S	\$912,766	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020912	S	\$101,301	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020912	S	\$912,766	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020912	S	\$101,301	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020912	S	\$912,766	CNTAX	COLUMBI NRP TGR A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020912	S	\$101,301	NJOAX	COLUMBIA NWPJAP OP A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,042,200							3 accounts	
20020919	S	\$740,317	COLHX	COLUMBIA HY OPP FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021017	B	\$675,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021017	B	\$675,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,350,000								
20021022	S	\$666,469	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021022	S	\$672,070	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,338,540								
20021025	B	\$658,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021025	B	\$650,000	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,308,000			2				2 accounts	
20021030	B	\$999,995	COLHX	COLUMBIA HY OPP FD A	V2	Silver/Comito	M	05M-35411	RAVENS COURT	1/24/02
20021107	S	\$678,634	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021107	S	\$661,747	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,340,381							2 accounts	
20021114	B	\$625,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021126	S	\$645,129	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021127	B	\$549,995	COLHX	COLUMBIA HY OPP FD A	K4	S/C/others	H	05M-35187	JARKO INVESTMENT	11/7/01
20021127	B	\$549,995	FHIIX	FEDERATED HIGH IC A	K4	S/C/others	H	05M-35187	JARKO INVESTMENT	11/7/01
20021127	B	\$509,995	FHIIX	FEDERATED HIGH IC A	V2	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
20021127	B	\$399,000	CNTAX	COLUMBI NRP TGR A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021127	B	\$650,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$2,658,984			4				4 accounts	
20021202	B	\$600,000	COLHX	COLUMBIA HY OPP FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021203	S	\$404,092	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021203	S	\$647,292	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,051,383			1				2 accounts	
20021209	S	\$601,493	COLHX	COLUMBIA HY OPP FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021210	B	\$645,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021210	B	\$475,000	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021210	B	\$525,000	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,645,000			2				2 accounts	
20021216	B	\$719,995	COLHX	COLUMBIA HY OPP FD A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20021216	B	\$719,995	FHIIX	FEDERATED HIGH IC A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20021216	B	\$509,995	FHIIX	FEDERATED HIGH IC A	V2	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021216	B	\$579,995	FHIIX	FEDERATED HIGH IC A	K4	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$2,529,979			2				3 accounts	
20030108	S	\$650,599	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030108	S	\$471,623	CNTAX	COLUMBI NRP TGR A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20030108	S	\$530,072	NJOAX	COLUMBIA NWPJAP OP A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,652,295							2 accounts	
20030123	B	\$950,000	FTITX	FEDERATED INTL EFDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20030123	B	\$205,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,155,000			2				2 accounts	
20030128	B	\$170,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20030130	S	\$927,261	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030130	S	\$371,337	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,298,598							2 accounts	
20030214	B	\$987,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030214	B	\$495,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,482,000			1				2 accounts	
20030218	B	\$499,995	CTBAX	COLUMBIA S/T BD FD A	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
20030220	B	\$764,000	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030225	S	\$968,790	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030228	S	\$491,347	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20030306	S	\$728,950	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030312	B	\$900,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030312	B	\$495,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,395,000			1				2 accounts	

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030314	S	\$935,892	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030317	B	\$900,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030324	S	\$912,845	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030324	S	\$526,092	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,438,937							2 accounts	
20030325	B	\$144,995	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030327	B	\$950,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030327	B	\$525,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,475,000			1				2 accounts	
20030328	B	\$144,995	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030328	B	\$59,995	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
		\$204,990			1				2 accounts	
20030402	B	\$950,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030402	B	\$525,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,475,000			1				2 accounts	
20030407	S	\$962,442	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030409	S	\$790,475	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030414	B	\$985,000	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030415	B	\$624,995	CHGAX	COLUMBIA H/Y FD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030425	S	\$994,959	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030425	S	\$539,733	FTITX	FEDERATED INTL EFDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,534,692							2 accounts	
20030430	B	\$599,995	CHGAX	COLUMBIA H/Y FD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20030507	B	\$103,000	COLHX	COLUMBIA HY OPP FD A	39	Silver primary	H	05M-35186	JARKO INVESTMENT	11/7/01	
20030529	B	\$269,995	FUSGX	FEDERATED FF USGSC A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02	
20030530	B	\$749,800	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01	
20030530	B	\$390,600	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02	
		\$1,140,400			1				2 accounts		
20030602	B	\$320,800	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02	
6/3/03		Federated blocked three accounts						H	05M-35186	JARKO INVESTMENT	
		(PSI blocked them from Federated funds on 6/4/03)						H	05M-35187	JARKO INVESTMENT	
							H	05M-35188	JARKO INVESTMENT		
20030611	B	\$775,000	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01	
20030623	S	\$783,908	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01	
20030724	S	\$1,078,391	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01	
20030724	S	\$1,197,175	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01	
20030724	S	\$1,166,565	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01	
		\$3,442,131							3 accounts		
20030820	B	\$425,000	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01	
8/27/03		Federated blocked one account						H	05M-35587	JARKO INVESTMENT	
		(PSI blocked it from Federated funds on 8/27/03)									
20030905	S	\$1,255,529	CHGAX	COLUMBIA H/Y FD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01	
20030905	S	\$519,788	CMMXX	COLUMBIA MMKT A	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01	
		\$1,775,317							2 accounts		
20030908	S	\$1,440,682	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01	
20030908	S	\$1,038,169	COLHX	COLUMBIA HY OPP FD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01	
20030908	S	\$1,003,515	FHIIX	FEDERATED HIGH IC A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02	
		\$3,482,366							3 accounts		

SILVER: Federated/Liberty Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030908	S	\$1,139,424	COLHX	COLUMBIA HY OPP FD A	49	S/C/Wallerst.	M	05M-35411	RAVENS COURT	1/24/02
9/11/03		Liberty blocked three accounts			V2		H	05M-35186	JARKO INVESTMENT	
		(PSI blocked them from Lib/Col funds on 9/12/03)			V2		H	05M-35187	JARKO INVESTMENT	
					V2		M	05M-35411	RAVENS COURT	

Exhibit 10

SILVER: Fidelity Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
1/11/01		Fidelity blocked one account for 90 days					M	HSK-00132	BISHOP	
20010117	S	\$923,063	FAOAX	FIDELITY AD OVS FDA	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010117	S	\$104,791	FSFAY	FIDELITY II S/F	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
		\$1,027,854								
20010425	B	\$321,980	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010503	S	\$327,776	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010508	B	\$328,548	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010510	S	\$326,556	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010516	B	\$333,362	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010523	S	\$336,233	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010524	B	\$500,000	FAOAX	FIDELITY AD OVS FDA	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010529	S	\$496,027	FAOAX	FIDELITY AD OVS FDA	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010531	B	\$331,903	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010613	S	\$324,169	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010620	B	\$324,114	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010622	S	\$327,340	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010628	B	\$340,959	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010628	B	\$284,433	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$625,391			1				2 accounts	
20010705	S	\$337,082	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01

SILVER: Fidelity Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010705	S	\$281,198	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$618,280							2 accounts	
20010712	B	\$270,331	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
20010712	B	\$277,792	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$548,123			1				2 accounts	
20010716	S	\$266,815	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
20010716	S	\$274,179	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$540,994							2 accounts	
20010725	B	\$677,103	FADIX	FID AD DIVSF ITL FDT	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010725	B	\$750,000	FAERX	FID AD OVERS PTF T	49	S/C/Wallerst.	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010725	B	\$750,000	FAERX	FID AD OVERS PTF T	61	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010725	B	\$600,000	FADIX	FID AD DIVSF ITL FDT	49	S/C/Wallerst.	H	05M-34939	H Aidar JUPITER	7/25/01
20010725	B	\$400,000	FIATX	FID AD INTL CAP AP T	50	S/C/others	H	05M-34939	H Aidar JUPITER	7/25/01
20010725	B	\$650,000	FAERX	FID AD OVERS PTF T	49	S/C/Wallerst.	H	05M-34939	H Aidar JUPITER	7/25/01
20010725	B	\$650,000	FAERX	FID AD OVERS PTF T	61	S/C/others	H	05M-34939	H Aidar JUPITER	7/25/01
20010725	B	\$231,967	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$4,709,070			3				3 accounts	
20010725	B	\$485,000	FAOAX	FIDELITY AD OVS FDA	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010730	S	\$491,508	FAOAX	FIDELITY AD OVS FDA	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010806	S	\$241,305	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010808	B	\$575,000	FAGVX	FID AD GVT INV T	78	Silver/Comito	G	05M-34958	GG, L.P.	8/2/01
20010809	B	\$625,000	FAGVX	FID AD GVT INV T	78	Silver/Comito	G	05M-34958	GG, L.P.	8/2/01
20010816	B	\$500,000	FAOAX	FIDELITY AD OVS FDA	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010821	S	\$493,754	FAOAX	FIDELITY AD OVS FDA	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010822	B	\$231,083	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01

SILVER: Fidelity Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010822	B	\$318,116	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$549,200			1				2 accounts	
20010828	S	\$230,274	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34421	Haidar Jupiter	3/26/01
20010828	S	\$317,003	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$547,277							2 accounts	
20010830	S	\$366,196	FEATY	FIDELITY VIII EM AFT	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010910	S	\$1,177,350	PDMMX	FID DAILY PRIME FD	78	Silver/Comito	G	05M-34958	GG, L.P.	8/2/01
20010924	B	\$316,815	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010924	B	\$500,000	FJPTY	FID AD JAPAN FD	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20010924	B	\$500,000	FAKAX	FID AD KOREA A	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20010924	B	\$500,000	FAKTX	FID AD KOREA T	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20010924	B	\$750,000	FADIX	FID AD DIVSF ITL FDT	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20010924	B	\$750,000	FIATX	FID AD INTL CAP AP T	C2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
20010924	B	\$750,000	FAERX	FID AD OVERS PTF T	C2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
		\$3,750,000			3				2 accounts	
20010926	S	\$1,890,732	PDMMX	FID DAILY PRIME FD	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010926	S	\$318,391	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010926	S	\$2,382,743	PDMMX	FID DAILY PRIME FD	50	S/C/others	H	05M-34939	Haidar Jupiter	7/25/01
		\$4,591,867							3 accounts	
20010928	B	\$236,863	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010928	B	\$323,305	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$560,168			1				2 accounts	
20011003	S	\$997,146	PDMMX	FID DAILY PRIME FD	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011003	S	\$2,306,934	PDMMX	FID DAILY PRIME FD	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
		\$3,304,081							2 accounts	
20011008	S	\$244,523	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011008	S	\$333,759	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$578,282							2 accounts	

SILVER: Fidelity Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011010	B	\$328,486	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011017	S	\$336,404	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011022	B	\$241,291	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20011022	B	\$339,425	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$580,716			1				2 accounts	
20011029	B	\$612,000	FAGVX	FID AD GVT INV T	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20011029	S	\$243,884	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20011029	S	\$343,072	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$586,955							2 accounts	
20011030	B	\$388,000	FAGVX	FID AD GVT INV T	78	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20011101	B	\$262,868	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20011108	S	\$273,125	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20011115	S	\$1,009,371	PDMMX	FID DAILY PRIME FD	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20011126	B	\$253,327	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20011128	S	\$246,240	FAOAX	FIDELITY AD OVS FDA	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20020404	B	\$400,000	FWUSY	FIDELITY A W US IBFA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020404	B	\$600,000	FWUSY	FIDELITY A W US IBFA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
		\$1,000,000								
20020513	B	\$200,000	FWEMY	FIDELITY ?? FD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$250,000	FWEAY	FIDELITY AD WLD EFA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$200,000	FWASY	FIDELITY AD WR ASSFA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$250,000	FWPAY	FIDELITY AD WRL PFA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$500,000	FWIAY	FIDELITY AD WRLD IFA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$300,000	FWHKY	FIDELITY AW HK&CFA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02

SILVER: Fidelity Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$1,700,000								
20020520	S	\$1,036,049	FAOAX	FIDELITY AD OVS FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020522	B	\$500,000	FWEMY	FIDELITY ?? FD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$500,000	FWEAY	FIDELITY AD WLD EFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$800,000	FWASY	FIDELITY AD WR ASSFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$750,000	FWPAY	FIDELITY AD WRL PFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$2,000,000	FWIAY	FIDELITY AD WRLD IFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$500,000	FWHKY	FIDELITY AW HK&CFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$5,050,000								
5/28/02		Fidelity blocked one FA# (0EC-0V2) for Silver and Comito								
		(PSI blocked eighteen 05M FA#s (25, 29, 39, 50, 60, 78, 90, 94, C2, C7, DC, E6, K4, L8, MS, R3, V2, Y3) and two AJX FA#s (78, G9) from Fidelity domestic and international funds on 5/30/02)								
20020529	S	\$489,599	FWEMY	FIDELITY ?? FD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020529	S	\$491,216	FWEAY	FIDELITY AD WLD EFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020529	S	\$781,320	FWASY	FIDELITY AD WR ASSFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020529	S	\$732,733	FWPAY	FIDELITY AD WRL PFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020529	S	\$1,978,769	FWIAY	FIDELITY AD WRLD IFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020529	S	\$485,979	FWHKY	FIDELITY AW HK&CFA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$4,959,614								
20020531	S	\$146,591	FWEMY	FIDELITY ?? FD	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020531	S	\$198,286	FWEAY	FIDELITY AD WLD EFA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020531	S	\$196,670	FWASY	FIDELITY AD WR ASSFA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020531	S	\$246,939	FWPAY	FIDELITY AD WRL PFA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020531	S	\$147,335	FWHKY	FIDELITY AW HK&CFA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
		\$935,821								
20020604	S	\$1,683,766	FWLCY	FIDELITY AW US CFA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
6/18/02		Fidelity blocked two 05M FA#s (05M-25, 05M-39) for Silver and Comito								
		(PSI blocked three more FA#s (05M-A5, 05M-P2, 05M-X9) from Fidelity funds on 7/8/02)								

SILVER: Fidelity Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020618	S	\$47,155	FWUSY	FIDELITY A W US IBFA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20021127	B	\$695,000	FAOAX	FIDELITY AD OVS FDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021127	B	\$695,000	FDVAX	FIDELITY VIII DIFD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
		\$1,390,000								
20021203	S	\$696,207	FAOAX	FIDELITY AD OVS FDA	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021203	S	\$696,831	FDVAX	FIDELITY VIII DIFD A	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
		\$1,393,037								
2/25/03		Fidelity blocked Silver and Comito in all combinations								
		(PSI blocked 28 05M FA#s (25, 29, 39, 49, 50, 60, 72, 78, 90, 94, C2, C4, C7, DC, E3, E4, E6, H4, J8, K4, L8, MS, P2, R3, V2, W7, X9, Y3)								
		and four AJX FA#s (09, 21, 78, G9) from Fidelity funds)								
20030428	B	\$274,500	FDVAX	FIDELITY VIII DIFD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02

Exhibit 11

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010103	S	\$27,936	TUSGY	FKLN TEMPL US GOV A	70	"unknown"	M	HSK-95037	STONE CASTLE	pre-1/01
20010124	S	\$2,004,990	FMFXX	FRANKLIN MONEY FUND	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010228	S	\$1,632,233	FMFXX	FRANKLIN MONEY FUND	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010228	S	\$955,484	TEMIX	FRANKLIN MUT EUR FD A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010228	S	\$1,805,607	TEMGX	TEMPLETN GLOBAL SM A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010228	S	\$2,368,522	TEMTX	TEMPLETON FOREIGN A	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
		\$6,761,847								
20010309	S	\$22,048	FMFXX	FRANKLIN MONEY FUND	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010501	B	\$250,000	TEDMX	TEMPLETON DEV MKTS A	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20010501	B	\$250,000	TEMTX	TEMPLETON FOREIGN A	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
		\$500,000								
20010503	S	\$250,725	TEDMX	TEMPLETON DEV MKTS A	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20010503	S	\$250,493	TEMTX	TEMPLETON FOREIGN A	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
		\$501,218								
20010524	B	\$500,000	FINEX	TEMPETON FRGN SM CO	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010529	S	\$501,704	FINEX	TEMPETON FRGN SM CO	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010606	B	\$700,000	FKUSX	FKLN CU FD USG SEC A	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010607	B	\$450,000	FRGVX	FRANKLN LT M/USG A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010608	B	\$350,000	FRGVX	FRANKLN LT M/USG A	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010620	B	\$435,000	TEMGX	TEMPLETN GLOBAL SM A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010620	B	\$450,000	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
		\$885,000								
20010629	B	\$275,000	FINEX	TEMPETON FRGN SM CO	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010629	B	\$275,000	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
		\$550,000			1				2 accounts	
20010705	S	\$273,047	FINEX	TEMPETON FRGN SM CO	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
20010705	S	\$271,103	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
		\$544,150							2 accounts	
20010712	B	\$310,000	TEMGX	TEMPLETN GLOBAL SM A	49	S/C/Wallerst.	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010712	B	\$268,451	FINEX	TEMPETON FRGN SM CO	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
20010712	B	\$269,070	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
20010712	B	\$312,500	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010712	B	\$312,500	TEPLX	TEMPLETON GROWTH A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
		\$1,472,521			2				5 accounts	
20010716	S	\$267,491	FINEX	TEMPETON FRGN SM CO	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
20010716	S	\$268,514	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
20010716	S	\$311,854	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010716	S	\$311,980	TEPLX	TEMPLETON GROWTH A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
		\$1,159,839							4 accounts	
20010723	S	\$299,488	TEDMX	TEMPLETON DEV MKTS A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010725	B	\$224,840	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20010725	B	\$311,019	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
		\$535,859			1				2 accounts	
20010806	S	\$230,238	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20010806	S	\$318,486	TEMTX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
		\$548,724							2 accounts	
20010810	B	\$500,000	FINEX	TEMPETON FRGN SM CO	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010814	S	\$498,914	FINEX	TEMPETON FRGN SM CO	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010816	B	\$500,000	FKPGX	TEMPLTN PAC GR FD	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010821	S	\$492,991	FKPGX	TEMPLTN PAC GR FD	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010822	B	\$319,856	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20010828	S	\$319,531	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20010917	S	\$1,206,123	FMFXX	FRANKLIN MONEY FUND	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010920	B	\$250,000	FKUSX	FKLN CU FD USG SEC A	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20010924	B	\$311,878	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010926	S	\$315,284	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010928	B	\$233,127	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20010928	B	\$243,468	TEMF	TEMPLETON FOREIGN A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010928	B	\$247,226	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$723,820			2				3 accounts	
20011008	S	\$237,490	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20011008	S	\$248,024	TEMF	TEMPLETON FOREIGN A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20011008	S	\$251,852	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$737,366							3 accounts	
20011010	B	\$326,814	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011010	B	\$248,222	TEMF	TEMPLETON FOREIGN A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$575,036			2				2 accounts	
20011017	S	\$333,870	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011017	S	\$253,581	TEMF	TEMPLETON FOREIGN A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$587,452							2 accounts	
20011022	B	\$247,512	TEMF	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011022	B	\$252,151	TEMF	TEMPLETON FOREIGN A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$499,663			2				2 accounts	

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011029	S	\$252,428	TEAFX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011029	S	\$257,159	TEAFX	TEMPLETON FOREIGN A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$509,586							2 accounts	
20011113	B	\$251,814	TEAFX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34421	HAIDAR JUPITER	3/26/01
20011120	S	\$256,034	TEAFX	TEMPLETON FOREIGN A	50	S/C/others	H	05M-34421	HAIDAR JUPITER	3/26/01
20011123	B	\$750,000	TEAFX	TEMPLETON FOREIGN A	V2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20011123	B	\$340,000	TEDIX	FRANKLIN MUT DISC CLA	V2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20011123	B	\$700,000	TEPLX	TEMPLETON GROWTH A	49	S/C/Wallerst.	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$1,790,000			2				3 accounts	
20011126	B	\$800,000	TEMWX	TEMPLETON FD INC WFA	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011204	B	\$800,000	TEPLX	TEMPLETON GROWTH A	V2	Silver/Comito	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011204	B	\$630,000	TEPLX	TEMPLETON GROWTH A	49	S/C/Wallerst.	M	05M-35085	GAHN & McELROY	9/28/01
20011204	B	\$800,000	TEMWX	TEMPLETON FD INC WFA	C2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01
20011204	B	\$850,000	TEAFX	TEMPLETON FOREIGN A	E6	S/C/Diee	M	05M-35152	MAZZARD GROUP	10/30/01
		\$3,080,000			4				4 accounts	
20011214	B	\$600,000	TEDIX	FRANKLIN MUT DISC CLA	V2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
20011214	B	\$800,000	TEMWX	TEMPLETON FD INC WFA	V2	Silver/Comito	M	05M-35198	GAHN & McELROY	11/13/01
20011214	B	\$800,000	TEMWX	TEMPLETON FD INC WFA	E6	S/C/Diee	M	05M-35199	MAZZARD GROUP	11/13/01
20011214	B	\$800,000	TEAFX	TEMPLETON FOREIGN A	E6	S/C/Diee	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20011214	B	\$800,000	TEPLX	TEMPLETON GROWTH A	V2	Silver/Comito	M	HSK-00138	GAHN & McELROY	11/28/01
20011214	B	\$800,000	TEAFX	TEMPLETON FOREIGN A	49	S/C/Wallerst.	M	HSK-00139	WYATT ATWOOD	11/28/01
		\$4,600,000			3				6 accounts	
20011226	B	\$400,000	TEAFX	TEMPLETON FOREIGN A	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
20020103	B	\$534,000	TEPLX	TEMPLETON GROWTH A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20020103	B	\$532,000	TEMWX	TEMPLETON FD INC WFA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
		\$1,066,000			1				2 accounts	
20020103	S	\$768,819	FMFXX	FRANKLIN MONEY FUND	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20020103	S	\$865,490	FMFXX	FRANKLIN MONEY FUND	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$1,634,309							2 accounts	
1/4/02		FT blocked two accounts					M	05M-35049	GAHN & McELROY	
		(PSI blocked them from FT funds on 1/10/02)					M	05M-35152	MAZZARD GROUP	
1/15/02		FT blocked one account					P	BLM-95239	PECONIC OFFSHORE	
		(PSI blocked it from FT funds on 1/17/02)								
20020123	B	\$802,000	TEAFX	TEMPLETON FOREIGN A	C2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01
20020123	B	\$798,000	TEPLX	TEMPLETON GROWTH A	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
		\$1,600,000			2				2 accounts	
1/28/02		FT blocked three accounts					M	AJX-17406	GILMORE & GILLESPIE	
		(PSI blocked them from FT funds on 2/4/02)					M	HSK-00137	GILMORE & GILLESPIE	
							M	HSK-00139	WYATT ATWOOD	
20020129	S	\$826,710	TEAFX	TEMPLETON FOREIGN A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020129	S	\$826,710	TEAFX	TEMPLETON FOREIGN A	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
		\$1,653,420							2 accounts	
20020130	B	\$800,000	TEAFX	TEMPLETON FOREIGN A	V2	Silver/Comito	M	05M-35384	GAHN & McELROY	1/22/02
20020130	B	\$800,000	TEMWX	TEMPLETON FD INC WFA	E6	S/C/Diee	M	05M-35385	WYATT ATWOOD	1/22/02
20020130	B	\$800,000	TEPLX	TEMPLETON GROWTH A	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
		\$2,400,000			3				3 accounts	
1/31/02		FT blocked five FA#s (05M-49, 05M-50, 05M-C2, HSK-C2, HSK-V2)								
20020131	S	\$414,355	FMFXX	FRANKLIN MONEY FUND	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
2/1/02		FT blocked eight accounts					H	05M-34420	RIVERVIEW GROUP	
						H	05M-34422	RIVERVIEW #1		
						M	05M-34706	GILMORE & GILLESPIE		
						M	05M-35085	GAHN & McELROY		
						M	0EC-07684	WYATT ATWOOD		
						M	HSK-00138	GAHN & McELROY		
						M	HSK-00140	MAZZARD GROUP		

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
							P	0EC-96558	PECONIC OFFSHORE	
20020201	S	\$811,340	FMFXX	FRANKLIN MONEY FUND	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020201	S	\$638,927	FMFXX	FRANKLIN MONEY FUND	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20020201	S	\$718,533	FMFXX	FRANKLIN MONEY FUND	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
20020201	S	\$812,897	FMFXX	FRANKLIN MONEY FUND	V2	Silver/Comito	M	HSK-00138	GAHN & McELROY	11/28/01
20020201	S	\$801,109	FMFXX	FRANKLIN MONEY FUND	V2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01
		\$3,782,806							5 accounts	
2/4/02		FT reaffirmed 1/31/02 blocks on FA#s								
20020204	S	\$528,232	FMFXX	FRANKLIN MONEY FUND	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20020204	S	\$534,282	FMFXX	FRANKLIN MONEY FUND	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
		\$1,062,514							2 accounts	
20020204	S	\$822,869	FMFXX	FRANKLIN MONEY FUND	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20020204	S	\$180,295	TEMGX	TEMPLETN GLOBAL SM A	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20020204	S	\$177,431	TEGOX	TEMPLETN GLOBL OPP A	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20020204	S	\$227,417	TEDMX	TEMPLETON DEV MKTS A	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20020204	S	\$347,343	TEMWX	TEMPLETON FD INC WFA	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
		\$932,485								
2/7/02		FT blocked one account								
							P	0EC-96580	PECONIC OFFSHORE	
20020211	S	\$247,482	FKRCX	FKLN G&P MTLs FDA	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020221	S	\$28,984	FMFXX	FRANKLIN MONEY FUND	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
2/25/02		FT blocked Silver and Comito								
		(PSI blocked four FA#s -- 05M-50, 05M-C2, HSK-C2, HSK-V2 -- from FT funds on 2/28/02)								
20020305	S	\$877,745	TEMWX	TEMPLETON FD INC WFA	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020305	S	\$859,667	TEMFx	TEMPLETON FOREIGN A	C2	Silver/Comito	M	05M-35384	GAHN & McELROY	1/22/02
20020305	S	\$844,099	TEMWX	TEMPLETON FD INC WFA	C2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02
20020305	S	\$852,115	TEPLX	TEMPLETON GROWTH A	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
20020305	S	\$369,007	TEPLX	TEMPLETON GROWTH A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$3,802,632							5 accounts	

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020306	B	\$780,000	TEMF	TEMPLETON FOREIGN A	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
20020306	B	\$790,000	TEMF	TEMPLETON FOREIGN A	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
20020306	B	\$800,000	TEPL	TEMPLETON GROWTH A	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
		\$2,370,000			1				3 accounts	
20020307	S	\$656,615	FMFXX	FRANKLIN MONEY FUND	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20020313	S	\$388,553	FMFXX	FRANKLIN MONEY FUND	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20020405	B	\$400,000	TUSGY	FKLN TEMPL US GOV A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
4/14/02		FT reaffirmed block on Silver and Comito (PSI blocked one FA# -- 05M-49 -- from FT funds on 4/16/02)								
4/15/02		FT blocked four accounts (PSI blocked them from FT funds on 4/16/02)								
							M	AJX-17431	GAHN & McELROY	
							M	AJX-17432	WYATT ATWOOD	
							M	AJX-17473	GILMORE & GILLESPIE	
							M	AJX-17475	GAHN & McELROY	
20020416	B	\$400,000	TGGAY	FRANKLN TEMP GLOB A	R3	S/C/others	M	0EC-96619	CASTLEMARK	3/13/02
20020416	B	\$400,000	TGGAY	FRANKLN TEMP GLOB A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
		\$800,000			2				1 account	
4/16/02		FT blocked three accounts (PSI blocked them from FT funds on 4/16/02)								
							M	05M-35115	WYATT ATWOOD	
							M	05M-35198	GAHN & McELROY	
							M	05M-35199	MAZZARD GROUP	
20020417	S	\$890,279	FMFXX	FRANKLIN MONEY FUND	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20020417	S	\$883,334	FMFXX	FRANKLIN MONEY FUND	L8	Silver/Comito	M	05M-35198	GAHN & McELROY	11/13/01
20020417	S	\$883,334	FMFXX	FRANKLIN MONEY FUND	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01
20020417	S	\$794,643	FMFXX	FRANKLIN MONEY FUND	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020417	S	\$849,281	FMFXX	FRANKLIN MONEY FUND	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
20020417	S	\$775,839	FMFXX	FRANKLIN MONEY FUND	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
20020417	S	\$793,791	FMFXX	FRANKLIN MONEY FUND	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
		\$5,870,501							7 accounts	

SILVER: Franklin Templeton Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020430	B	\$330,000	TUSGY	FKLN TEMPL US GOV A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020604	S	\$40,046	TEMPY	TEMP EUROFD EQTY	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020604	S	\$43,122	TEMAY	TEMPLETON EMG MKTS A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
		\$83,168								
20020611	S	\$18,411	TUSGY	FKLN TEMPL US GOV A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020611	S	\$545,429	TEMPY	TEMP EUROFD EQTY	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020611	S	\$538,161	TEMAY	TEMPLETON EMG MKTS A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
		\$1,102,001								
20021114	B	\$490,000	FREEX	FRANKLN R/E SEC FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021114	B	\$625,000	TGGAY	FRANKLN TEMP GLOB A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021114	B	\$625,000	TFEFY	TEMP ASIAN A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021114	B	\$625,000	TEMPY	TEMP EUROFD EQTY	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021114	B	\$625,000	TEMAY	TEMPLETON EMG MKTS A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
		\$2,990,000								
20021126	S	\$642,198	TGGAY	FRANKLN TEMP GLOB A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021126	S	\$647,489	TFEFY	TEMP ASIAN A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021126	S	\$635,356	TEMPY	TEMP EUROFD EQTY	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021126	S	\$636,395	TEMAY	TEMPLETON EMG MKTS A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
		\$2,561,437								
20021217	B	\$1,000,000	AGEFX	FKLN AGE HIG ICM CLA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20021226	B	\$250,000	TEAFX	TEMPLETON FOREIGN A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030107	S	\$255,981	TEAFX	TEMPLETON FOREIGN A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030108	S	\$494,288	FREEX	FRANKLN R/E SEC FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030117	S	\$1,023,952	AGEFX	FKLN AGE HIG ICM CLA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02

Exhibit 12

SILVER: Goldman Sachs Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010103	B	\$290,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010105	S	\$295,888	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010110	B	\$295,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010112	S	\$299,500	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010112	S	\$299,500	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010118	B	\$295,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010122	S	\$294,242	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010129	B	\$161,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010131	S	\$162,757	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010205	B	\$290,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010207	S	\$287,285	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010226	B	\$295,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010228	S	\$295,663	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010323	B	\$255,051	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010328	S	\$263,294	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010516	B	\$298,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010522	S	\$301,352	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010601	B	\$340,000	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01

SILVER: Goldman Sachs Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010606	S	\$340,790	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010620	B	\$247,066	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010622	S	\$250,826	GSIFX	GOLDMAN S INTL EFD	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010712	B	\$320,000	GSIFX	GOLDMAN S INTL EFD	78	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010712	B	\$500,000	GSIFX	GOLDMAN S INTL EFD	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20010716	S	\$319,801	GSIFX	GOLDMAN S INTL EFD	78	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010716	S	\$499,689	GSIFX	GOLDMAN S INTL EFD	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20010809	B	\$298,042	GSIFX	GOLDMAN S INTL EFD	78	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
20010810	B	\$500,000	GSIFX	GOLDMAN S INTL EFD	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010814	S	\$509,975	GSIFX	GOLDMAN S INTL EFD	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010816	B	\$210,000	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20010816	B	\$298,000	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
		\$508,000			1				2 accounts	
20010820	S	\$206,238	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20010820	S	\$292,662	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
		\$498,901							2 accounts	
20011101	B	\$204,950	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011105	S	\$208,159	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011129	B	\$234,955	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20011130	B	\$605,000	GSHAX	GOLDMAN S HIG YL IFA	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20011130	B	\$390,000	GSGIX	GOLDMAN SAC GB IFD A	C2	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
		\$995,000			2				1 account	

SILVER: Goldman Sachs Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011207	S	\$242,268	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
12/18/01		GS blocked one account			R3	S/C/Wallerst.	M	HSK-00132	BISHOP	
20020102	B	\$313,997	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20020107	S	\$316,920	GSIFX	GOLDMAN S INTL EFD	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20020109	S	\$498,233	GSIFX	GOLDMAN S INTL EFD	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20020109	S	\$495,563	GCIAX	GOLDMAN S/C INTL EFA	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
		\$993,796								
4/10/02		GS blocked Silver (as 05M-025, 05M-039)								
		(PSI blocked those FA#s from GS fnds on 4/11/02)								
20020807	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020808	B	\$400,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020813	S	\$406,319	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020813	S	\$1,243,513	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$1,649,832							2 accounts	
20020814	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020814	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$2,400,000			2				2 accounts	
20020823	S	\$1,243,857	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	S	\$1,243,857	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$2,487,714							2 accounts	
20020904	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020904	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,600,000			2				3 accounts	

SILVER: Goldman Sachs Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020912	S	\$1,209,685	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020912	S	\$1,209,685	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020912	S	\$1,209,685	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,629,056							3 accounts	
20020918	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020918	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020918	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,600,000			2				3 accounts	
20020924	S	\$1,133,557	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020924	S	\$1,133,557	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020924	S	\$1,133,557	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,400,671							3 accounts	
20020925	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020925	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020925	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,600,000			2				3 accounts	
20020927	S	\$1,244,562	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020927	S	\$1,244,562	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020927	S	\$1,244,562	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,733,687							3 accounts	
20021001	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021001	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021001	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,600,000			2				3 accounts	
20021003	S	\$1,208,421	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021003	S	\$1,208,421	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021003	S	\$1,208,421	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,625,263							3 accounts	
20021008	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02

SILVER: Goldman Sachs Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021008	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021008	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,600,000			2				3 accounts	
20021016	S	\$1,307,594	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021016	S	\$1,307,594	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021016	S	\$1,307,594	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,922,781							3 accounts	
20021021	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021021	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021021	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,600,000			2				3 accounts	
20021024	S	\$1,187,086	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021024	S	\$1,187,086	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021024	S	\$1,187,086	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,561,258							3 accounts	
20021025	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021025	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021025	B	\$1,200,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,600,000			2				3 accounts	
20021104	S	\$1,241,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021104	S	\$1,241,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021104	S	\$1,241,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,723,000							3 accounts	
20021106	B	\$950,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021106	B	\$950,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021106	B	\$950,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$2,850,000			2				3 accounts	
20021111	S	\$930,016	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021111	S	\$930,016	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02

SILVER: Goldman Sachs Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021111	S	\$930,016	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$2,790,049							3 accounts	
20021230	B	\$950,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021230	B	\$950,000	GSIFX	GOLDMAN S INTL EFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$1,900,000			1				2 accounts	
20030102	B	\$950,000	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20030108	S	\$948,436	GSIFX	GOLDMAN S INTL EFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20030225	B	\$375,000	GJPAY	GOLDMAN S JAP PTF A	L8	Silver/Comito	M	0EC-96733	STONE CASTLE	??
20030630	B	\$1,050,000	GSGOX	GOLDMAN SAC GS ICFD	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030701	B	\$250,000	GJPAY	GOLDMAN S JAP PTF A	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20030905	S	\$1,051,014	GSHAX	GOLDMAN S HIG YL IFA	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01

Exhibit 13

SILVER: Hartford Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010403	B	\$900,000	ITBAX	HARTFORD T/R BDF A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01	
20010418	B	\$325,000	HHYAY	HARTFORD H-Y FD	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01	
20010418	B	\$675,000	ITBAX	HARTFORD T/R BDF A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01	
		\$1,000,000									
20010419	B	\$550,000	ITBAX	HARTFORD T/R BDF A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01	
5/7/01		Hartford gave warning for two accounts						M	HSK-00135	KNIGHT	
							P	BLM-95245	PECONIC OFFSHORE		
6/7/01		Hartford blocked two accounts as of 7/7/01						M	HSK-00135	KNIGHT	
		(PSI blocked them from Hartford funds on 7/5/01)						P	BLM-95245	PECONIC OFFSHORE	
20010608	S	\$997,050	IHAXX	HARTFORD MNY MKT CLA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01	
20010706	S	\$1,594,850	IHAXX	HARTFORD MNY MKT CLA	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01	
20011003	B	\$300,000	HALAX	HARTFORD GBL LDR FDA	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01	
20011003	B	\$300,000	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01	
20011003	B	\$300,000	HALAX	HARTFORD GBL LDR FDA	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01	
20011003	B	\$300,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01	
20011003	B	\$300,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01	
		\$1,500,000			2				2 accounts		
10/23/01		Hartford gave warning for two accounts						M	05M-35049	GAHN & McELROY	
							M	05M-35085	GAHN & McELROY		
20011025	B	\$300,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01	
20011119	B	\$300,000	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	05M-35198	GAHN & McELROY	11/13/01	
20011119	B	\$300,000	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??	
20011119	B	\$300,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	0EC-07684	WYATT ATWOOD	7/26/01	
		\$900,000			2				3 accounts		

SILVER: Hartford Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011123	B	\$320,000	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
20011123	B	\$300,000	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	05M-35152	MAZZARD GROUP	10/30/01
		\$620,000			1				2 accounts	
20011126	B	\$340,000	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011129	B	\$300,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35115	WYATT ATWOOD	10/16/01
20011129	B	\$285,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35199	MAZZARD GROUP	11/13/01
		\$585,000			1				2 accounts	
20011204	B	\$290,000	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	HSK-00138	GAHN & McELROY	11/28/01
20011204	B	\$300,000	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
		\$590,000			1				2 accounts	
20011214	B	\$300,000	IHOAX	HARTFORD INTL OPP A	49	S/C/Wallerst.	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20011214	B	\$300,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
		\$600,000			2				2 accounts	
20011231	S	\$346,437	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011231	S	\$326,469	IHOAX	HARTFORD INTL OPP A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011231	S	\$330,319	IHOAX	HARTFORD INTL OPP A	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20011231	S	\$351,240	HALAX	HARTFORD GBL LDR FDA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011231	S	\$354,997	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011231	S	\$347,793	HALAX	HARTFORD GBL LDR FDA	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20011231	S	\$351,513	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20011231	S	\$316,663	IHOAX	HARTFORD INTL OPP A	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20011231	S	\$308,464	IHOAX	HARTFORD INTL OPP A	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
20011231	S	\$308,809	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20011231	S	\$299,654	IHOAX	HARTFORD INTL OPP A	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01
20011231	S	\$308,809	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20011231	S	\$310,021	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
20011231	S	\$294,716	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	HSK-00138	GAHN & McELROY	11/28/01
20011231	S	\$304,878	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
		\$4,860,782							13 accounts	
20020102	B	\$623,000	HALAX	HARTFORD GBL LDR FDA	K4	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01

SILVER: Hartford Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020102	B	\$403,000	IHOAX	HARTFORD INTL OPP A	K4	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$1,026,000								
20020107	S	\$311,021	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020115	B	\$300,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01
20020115	B	\$300,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020115	B	\$300,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
		\$900,000			1				3 accounts	
1/18/02		Hartford gave warning to Skriloff								
20020122	S	\$538,021	HALAX	HARTFORD GBL LDR FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
20020122	S	\$473,506	IHOAX	HARTFORD INTL OPP A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$1,011,527								
20020124	B	\$315,000	IHOAX	HARTFORD INTL OPP A	V2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
20020207	B	\$352,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
20020207	B	\$358,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020207	B	\$342,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
20020207	B	\$348,000	IHOAX	HARTFORD INTL OPP A	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
		\$1,400,000			1				4 accounts	
20020208	B	\$348,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35383	MAZZARD GROUP	1/22/02
20020208	B	\$352,000	IHOAX	HARTFORD INTL OPP A	E6	S/C/Diee	M	05M-35384	GAHN & McELROY	1/22/02
		\$700,000			1				2 accounts	
2/25/02		Hartford blocked Skriloff as of 3/25/02								
20020225	B	\$320,000	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02
20020301	B	\$330,000	IHOAX	HARTFORD INTL OPP A	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020301	B	\$310,000	IHOAX	HARTFORD INTL OPP A	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020301	B	\$350,000	IHOAX	HARTFORD INTL OPP A	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020301	B	\$320,000	IHOAX	HARTFORD INTL OPP A	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02

SILVER: Hartford Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$1,310,000			2				4 accounts	
20020311	S	\$340,819	IHOAX	HARTFORD INTL OPP A	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
3/27/02		Hartford blocked Skriloff (as AJX-0G9)								
		(PSI blocked AJX-0G9 from Hartford funds on 3/28/02)								
20020401	S	\$319,081	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01
20020401	S	\$321,331	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020401	S	\$321,331	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
20020401	S	\$393,531	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
20020401	S	\$393,873	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020401	S	\$376,269	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
20020401	S	\$382,871	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
		\$2,508,286							7 accounts	
20020402	S	\$339,386	IHAXX	HARTFORD MNY MKT CLA	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
20020501	S	\$339,481	IHAXX	HARTFORD MNY MKT CLA	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020501	S	\$318,906	IHAXX	HARTFORD MNY MKT CLA	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020501	S	\$360,056	IHAXX	HARTFORD MNY MKT CLA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020501	S	\$328,212	IHAXX	HARTFORD MNY MKT CLA	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$1,346,654							4 accounts	
20020502	S	\$339,482	IHAXX	HARTFORD MNY MKT CLA	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020502	S	\$318,907	IHAXX	HARTFORD MNY MKT CLA	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020502	S	\$360,056	IHAXX	HARTFORD MNY MKT CLA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020502	S	\$328,213	IHAXX	HARTFORD MNY MKT CLA	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$1,346,657							4 accounts	
6/7/02		Hartford blocked Silver and Comito (as 05M-49, 05M-E6, 05M-C2, 05M-V2, BLM-49, HSK-49, HSK-C2, HSK-V2)								
		(PSI blocked eight FA#s -- 05M-49, 05M-C2, 05M-E6, 05M-V2, BLM-49, HSK-49, HSK-C2, HSK-V2 -- from Hartford funds on 6/12/02)								
20021114	B	\$897,600	HAHAX	HARTFORD HI YLD FDA	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021115	B	\$324,600	HAHAX	HARTFORD HI YLD FDA	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02

SILVER: Hartford Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021119	B	\$164,300	HAHAX	HARTFORD HI YLD FDA	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021121	B	\$52,300	HAHAX	HARTFORD HI YLD FDA	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
11/22/02	Hartford blocked Silver and Comito (as 0EC-0L8)									
	(PSI blocked 0EC-0L8 from Hartford funds on 11/29/02)									
20021202	B	\$774,995	HAHAX	HARTFORD HI YLD FDA	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20030211	S	\$1,539,707	HAHAX	HARTFORD HI YLD FDA	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030905	S	\$856,884	HAHAX	HARTFORD HI YLD FDA	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01

Exhibit 14

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010102	S	\$461,283	NIGRX	ING INTL SMCPG FDA	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010102	S	\$498,194	NIVAX	ING INTL VAL FDA	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
		\$959,477								
20010110	B	\$1,000,000	LEXNX	ING GNMA INC FD A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010216	S	\$298,475	NIGRX	ING INTL SMCPG FDA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010216	S	\$297,633	NIVAX	ING INTL VAL FDA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010216	S	\$294,199	NAWGX	ING WRLDWIDE GRW A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
		\$890,307								
20010222	S	\$112,880	PLMAY	ING MMKT FD A	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010223	B	\$1,250,000	PGMAX	PILGRIM GOVT SECS FDA	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010319	B	\$198,040	NIVAX	ING INTL VAL FDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010321	S	\$198,466	NIVAX	ING INTL VAL FDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010404	B	\$450,000	LEXNX	ING GNMA INC FD A	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010404	B	\$450,000	NHYFX	ING HY OPP FD A	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
		\$900,000								
20010409	S	\$901,080	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	G	BLM-02098	GRANITE GROUP	pre-1/01
20010410	S	\$113,805	PLMAY	ING MMKT FD A	49	S/C/Wallerst.	G	BLM-02098	GRANITE GROUP	pre-1/01
20010416	S	\$499,214	NSGVX	ING GRW & VAL FD A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010416	S	\$255,573	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010416	S	\$495,550	NIVAX	ING INTL VAL FDA	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$1,250,337								
20010509	S	\$421,078	NIGRX	ING INTL SMCPG FDA	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010509	S	\$419,311	NIVAX	ING INTL VAL FDA	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010509	S	\$81,217	PLMAY	ING MMKT FD A	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$921,605								
20010516	B	\$500,000	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010516	B	\$500,000	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$1,000,000			2				1 account	
20010607	B	\$496,000	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010611	S	\$489,483	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010612	B	\$306,929	NIVAX	ING INTL VAL FDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010612	B	\$100,000	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
20010612	B	\$277,500	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$377,500								
20010612	S	\$34,302	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010614	S	\$306,929	NIVAX	ING INTL VAL FDA	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010614	S	\$277,500	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
20010620	B	\$315,000	NIVAX	ING INTL VAL FDA	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010620	B	\$235,598	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010622	S	\$318,875	NIVAX	ING INTL VAL FDA	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010622	S	\$238,496	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010628	B	\$334,422	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010629	B	\$275,000	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
20010705	S	\$330,085	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010705	S	\$268,532	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
		\$598,616							2 accounts	
20010709	B	\$489,453	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010712	B	\$324,957	NIVAX	ING INTL VAL FDA	78	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010712	B	\$325,756	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010712	B	\$269,130	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
		\$594,886			1				2 accounts	
20010716	S	\$324,241	NIVAX	ING INTL VAL FDA	78	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
20010716	S	\$325,039	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010716	S	\$268,538	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
		\$593,576							2 accounts	
20010716	S	\$488,375	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010716	S	\$1,004,591	PLMAY	ING MMKT FD A	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$1,492,966							2 accounts	
20010717	S	\$1,004,591	PLMAY	ING MMKT FD A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010725	B	\$222,028	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010725	B	\$311,532	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$533,560			1				2 accounts	
20010806	S	\$228,499	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010806	S	\$320,613	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$549,112							2 accounts	
20010816	B	\$245,000	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20010820	S	\$242,157	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20010822	B	\$313,558	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010828	S	\$314,238	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010924	B	\$239,614	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010926	S	\$241,043	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010928	B	\$233,220	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34421	Haidar JUPITER	3/26/01
20010928	B	\$244,382	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$477,602			2				2 accounts	
20011008	S	\$234,971	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34421	Haidar Jupiter	3/26/01
20011008	S	\$246,216	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$481,187							2 accounts	
20011010	B	\$248,147	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20011017	S	\$254,249	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20011022	B	\$247,512	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011022	B	\$679,762	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20011022	B	\$257,522	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$1,184,796			1				3 accounts	
20011029	S	\$253,400	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011029	S	\$695,934	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20011029	S	\$263,648	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$1,212,982							3 accounts	
20011101	B	\$194,980	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011105	S	\$198,282	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011106	B	\$390,000	NIVAX	ING INTL VAL FDA	25	Comito primary	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011106	B	\$390,000	NIGRX	ING INTL SMCPG FDA	V2	Silver/Comito	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011106	B	\$390,000	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
20011106	B	\$390,000	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	M	05M-35049	GAHN & McELROY	9/18/01
20011106	B	\$390,000	NIVAX	ING INTL VAL FDA	V2	Silver/Comito	M	05M-35085	GAHN & McELROY	9/28/01
20011106	B	\$390,000	NIGRX	ING INTL SMCPG FDA	E6	S/C/Diee	M	05M-35152	MAZZARD GROUP	10/30/01
20011106	B	\$390,000	NIVAX	ING INTL VAL FDA	39	Silver primary	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$2,730,000			6				7 accounts	
20011113	B	\$251,814	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34421	Haidar Jupiter	3/26/01
20011119	B	\$390,000	NIVAX	ING INTL VAL FDA	CS	Lombardi	M	05M-35199	MAZZARD GROUP	11/13/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011120	S	\$250,621	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34421	Haidar Jupiter	3/26/01
20011129	B	\$300,047	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011129	B	\$250,000	NIGRX	ING INTL SMCPG FDA	E6	S/C/Diee	M	05M-35115	WYATT ATWOOD	10/16/01
20011129	B	\$220,000	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$470,000			2				2 accounts	
20011204	B	\$484,000	NIGRX	ING INTL SMCPG FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20011204	B	\$471,000	NAWGX	ING WRLDWIDE GRW A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20011204	B	\$485,000	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20011204	B	\$470,000	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
		\$1,910,000			1				4 accounts	
20011205	B	\$444,100	NIGRX	ING INTL SMCPG FDA	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20011205	B	\$449,000	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20011205	B	\$425,600	NAWGX	ING WRLDWIDE GRW A	61	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20011205	B	\$456,800	NIGRX	ING INTL SMCPG FDA	K4	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011205	B	\$503,000	LEXNX	ING GNMA INC FD A	K4	S/C/others	H	05M-34939	Haidar Jupiter	7/25/01
20011205	B	\$469,700	NIGRX	ING INTL SMCPG FDA	V2	Silver/Comito	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$2,748,200			4				4 accounts	
20011207	S	\$311,799	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01
20011214	B	\$400,000	NIGRX	ING INTL SMCPG FDA	V2	Silver/Comito	M	05M-35198	GAHN & McELROY	11/13/01
20011214	B	\$350,000	NIVAX	ING INTL VAL FDA	E6	S/C/Diee	M	HSK-00138	GAHN & McELROY	11/28/01
20011214	B	\$350,000	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01
		\$1,100,000			3				3 accounts	
20011218	B	\$350,000	??	ING PILGRM ?? FD	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
20011218	B	\$350,000	??	ING PILGRM ?? FD	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
		\$700,000								
20011231	S	\$416,354	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011231	S	\$405,412	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20011231	S	\$406,905	NIGRX	ING INTL SMCPG FDA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011231	S	\$411,164	NIVAX	ING INTL VAL FDA	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20011231	S	\$406,905	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
20011231	S	\$419,620	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$2,466,360							6 accounts	
20020102	B	\$258,047	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20020103	S	\$406,905	IMMXX	ING CLASC MMKT FDA	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020103	S	\$404,978	IMMXX	ING CLASC MMKT FDA	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01
		\$811,883							2 accounts	
20020104	B	\$550,000	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20020104	S	\$406,905	IMMXX	ING CLASC MMKT FDA	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020104	S	\$404,978	IMMXX	ING CLASC MMKT FDA	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01
		\$811,883							2 accounts	
20020107	S	\$261,833	NIVAX	ING INTL VAL FDA	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20020107	S	\$268,424	NIGRX	ING INTL SMCPG FDA	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20020107	S	\$234,746	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$503,170							2 accounts	
20020117	S	\$716,520	??	ING PILGRIM MNY MKT A	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
20020118	S	\$412,562	NIGRX	ING INTL SMCPG FDA	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20020118	S	\$360,134	IMMXX	ING CLASC MMKT FDA	V2	Silver/Comito	M	HSK-00138	GAHN & McELROY	11/28/01
20020118	S	\$358,885	IMMXX	ING CLASC MMKT FDA	V2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01
		\$1,131,582							3 accounts	
20020124	B	\$395,000	NIVAX	ING INTL VAL FDA	V2	Silver/Comito	M	05M-35383	MAZZARD GROUP	1/22/02
20020124	B	\$395,000	NIGRX	ING INTL SMCPG FDA	E6	S/C/Diee	M	05M-35384	GAHN & McELROY	1/22/02
20020124	B	\$390,000	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02
20020124	B	\$390,000	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
		\$1,570,000			3				4 accounts	
20020130	B	\$335,000	NIGRX	ING INTL SMCPG FDA	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20020130	B	\$345,600	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020130	B	\$326,400	NAWXG	ING WRLDWDIE GRW A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$1,007,000			2				3 accounts	
20020131	S	\$1,267,740	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20020220	B	\$445,000	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020220	B	\$770,000	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$1,215,000			1				2 accounts	
20020221	S	\$502,915	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20020221	S	\$480,597	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20020221	S	\$500,243	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20020221	S	\$490,225	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20020221	S	\$455,529	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20020221	S	\$515,612	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34939	H Aidar JUPITER	7/25/01
20020221	S	\$476,784	LEXNX	ING GNMA INC FD A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$3,421,905							7 accounts	
20020222	B	\$495,000	LEXNX	ING GNMA INC FD A	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
20020222	B	\$506,700	NIGRX	ING INTL SMCPG FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20020222	B	\$523,600	NIVAX	ING INTL VAL FDA	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20020222	B	\$517,900	NAWXG	ING WRLDWDIE GRW A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$2,043,200			1				2 accounts	
20020225	B	\$438,700	NIGRX	ING INTL SMCPG FDA	K4	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20020225	B	\$432,500	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20020225	B	\$446,000	NAWXG	ING WRLDWDIE GRW A	K4	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$1,317,200								
20020225	B	\$310,000	??	ING PILGRM ?? FD	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
20020227	B	\$380,000	??	ING PILGRM ?? FD	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020227	S	\$783,069	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20020301	B	\$390,000	NIVAX	ING INTL VAL FDA	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020301	B	\$380,000	NIVAX	ING INTL VAL FDA	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$770,000			2				2 accounts	

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020306	B	\$400,000	NIVAX	ING INTL VAL FDA	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020306	B	\$390,000	NIGRX	ING INTL SMCPG FDA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
		\$790,000			2				2 accounts	
20020312	S	\$583,701	NIVAX	ING INTL VAL FDA	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20020315	B	\$400,000	NIVAX	ING INTL VAL FDA	MS	Silver "also"	M	0EC-07713	AURIC VENTURES	3/14/02
20020315	B	\$410,000	NIVAX	ING INTL VAL FDA	E6	S/C/Diee	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020315	B	\$420,000	NIGRX	ING INTL SMCPG FDA	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01
		\$1,230,000			3				3 accounts	
20020315	S	\$418,830	IMMXX	ING CLASC MMKT FDA	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
20020318	S	\$438,001	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	M	05M-35383	MAZZARD GROUP	1/22/02
20020318	S	\$424,223	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	M	05M-35384	GAHN & McELROY	1/22/02
20020318	S	\$432,456	NIVAX	ING INTL VAL FDA	C2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02
20020318	S	\$418,830	IMMXX	ING CLASC MMKT FDA	C2	Silver/Comito	M	05M-35386	GILMORE & GILLESPIE	1/22/02
		\$1,713,510							4 accounts	
20020322	S	\$466,855	NIVAX	ING INTL VAL FDA	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020326	B	\$415,000	NIGRX	ING INTL SMCPG FDA	C2	Silver/Comito	M	BLM-02220	AURIC VENTURES	3/14/02
20020326	B	\$425,000	NIGRX	ING INTL SMCPG FDA	E6	S/C/Diee	M	HSK-00139	WYATT ATWOOD	11/28/01
20020326	B	\$450,000	NIVAX	ING INTL VAL FDA	DC	Comito "also"	M	HSK-00142	LINKAGE ASSOCIATES	3/14/02
20020326	B	\$420,000	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
		\$1,710,000			4				4 accounts	
20020401	S	\$396,664	NIVAX	ING INTL VAL FDA	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
20020401	S	\$395,515	NIGRX	ING INTL SMCPG FDA	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
		\$792,179							2 accounts	
20020402	B	\$1,180,000	NHYFX	ING HY OPP FD A	Y3	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??
20020405	S	\$326,017	IMMXX	ING CLASC MMKT FDA	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
20020408	B	\$1,200,000	NIVAX	ING INTL VAL FDA	V2	Silver/Comito	M	05M-35566	LINKAGE ASSOCIATES	3/14/02

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020410	B	\$850,000	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020410	B	\$730,000	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020410	B	\$750,000	NIVAX	ING INTL VAL FDA	???	???	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
20020410	B	\$650,000	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01577	WYATT ATWOOD	3/13/02
		\$2,980,000			2+				4 accounts	
20020411	S	\$404,335	NIVAX	ING INTL VAL FDA	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020411	S	\$408,754	NIVAX	ING INTL VAL FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$813,089							2 accounts	
4/12/02		ING blocked nine FA#s (05M-50, 05M-61, 05M-C2, 05M-E6, 05M-K4, 0EC-V2, AJX-G9, BLM-MS, HSK-E6)								
20020412	B	\$700,000	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
20020412	B	\$450,000	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020412	B	\$530,000	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01572	MAZZARD GROUP	3/13/02
20020412	B	\$750,000	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01574	GAHN & McELROY	3/13/02
		\$2,430,000			2+				4 accounts	
20020412	S	\$340,469	LEXNX	ING GNMA INC FD A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20020415	S	\$350,558	LEXNX	ING GNMA INC FD A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20020415	S	\$1,624,080	LEXNX	ING GNMA INC FD A	K4	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$1,974,638							2 accounts	
20020415	S	\$404,255	IMMXX	ING CLASC MMKT FDA	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020415	S	\$1,201,810	NIVAX	ING INTL VAL FDA	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020415	S	\$394,350	NIVAX	ING INTL VAL FDA	MS	Silver "also"	M	0EC-07713	AURIC VENTURES	3/14/02
20020415	S	\$395,074	IMMXX	ING CLASC MMKT FDA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020415	S	\$427,396	NIGRX	ING INTL SMCPG FDA	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
20020415	S	\$419,267	NIGRX	ING INTL SMCPG FDA	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01
20020415	S	\$413,306	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
		\$3,655,459							7 accounts	
20020417	S	\$360,029	LEXNX	ING GNMA INC FD A	K4	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20020422	S	\$514,654	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34887	JUPITER #4	6/28/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020422	S	\$476,277	NIGRX	ING INTL SMCPG FDA	K4	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20020422	S	\$476,490	NIGRX	ING INTL SMCPG FDA	K4	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20020422	S	\$462,328	NIVAX	ING INTL VAL FDA	K4	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20020422	S	\$460,829	NAWGX	ING WRLDWIDE GRW A	K4	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$2,390,578							2 accounts	
20020422	S	\$420,728	NIGRX	ING INTL SMCPG FDA	DC	Comito "also"	M	BLM-02220	AURIC VENTURES	3/14/02
20020422	S	\$447,621	NIVAX	ING INTL VAL FDA	DC	Comito "also"	M	HSK-00142	LINKAGE ASSOCIATES	3/14/02
20020422	S	\$852,308	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020422	S	\$731,982	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020422	S	\$708,861	NIVAX	ING INTL VAL FDA	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
20020422	S	\$455,843	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020422	S	\$752,036	NIVAX	ING INTL VAL FDA	???	???	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
20020422	S	\$536,881	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01572	MAZZARD GROUP	3/13/02
20020422	S	\$759,738	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01574	GAHN & McELROY	3/13/02
20020422	S	\$655,854	NIGRX	ING INTL SMCPG FDA	???	???	M	TMP-01577	WYATT ATWOOD	3/13/02
		\$6,321,852							10 accounts	
5/28/02		ING blocked two FA#s (05M-06, 05M-L8) and all related FA#s (PSI blocked 39 FA#s from ING/Pilgrim funds on 5/29/02)								
5/30/02		ING blocked four FA#s (05M-29, 05M-49, 05M-60, 05M-94) and all related FA#s								
20020709	S	\$1,230,990	IMMXX	ING CLASC MMKT FDA	L8	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??
20020717	B	\$750,000	NHYFX	ING HY OPP FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020722	S	\$742,457	NHYFX	ING HY OPP FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020808	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020813	S	\$445,817	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020814	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	S	\$459,282	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020829	B	\$499,995	NHYFX	ING HY OPP FD A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20020829	B	\$499,995	NHYFX	ING HY OPP FD A	K4	S/C/others	H	05M-35587	JARKO INVESTMENT	3/28/02
		\$999,990			1				2 accounts	
20020904	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020904	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$900,000			1				2 accounts	
20020912	S	\$453,586	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020912	S	\$453,586	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$907,171							2 accounts	
20020918	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020924	S	\$429,027	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020925	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020925	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$900,000			1				2 accounts	
20020926	B	\$499,995	NHYFX	ING HY OPP FD A	K4	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01
20020927	S	\$450,760	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020927	S	\$450,760	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$901,520							2 accounts	
20021001	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021001	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021001	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$1,350,000			1				2 accounts	
20021003	S	\$445,105	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021003	S	\$445,105	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$890,209							2 accounts	
20021007	B	\$385,000	LEXNX	ING GNMA INC FD A	V2	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021008	B	\$450,000	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021008	B	\$450,000	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$900,000			1				2 accounts	
20021015	S	\$380,652	LEXNX	ING GNMA INC FD A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021015	B	\$380,652	NAWX	ING WRLDWIDE GRW A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021016	S	\$479,159	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021016	S	\$479,159	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$958,319							2 accounts	
20021021	B	\$450,000	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021021	B	\$450,000	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$900,000			1				2 accounts	
20021022	S	\$381,887	NAWX	ING WRLDWIDE GRW A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021024	S	\$441,014	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021024	S	\$441,014	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$882,029							2 accounts	
20021025	B	\$450,000	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021025	B	\$450,000	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$900,000			1				2 accounts	
20021030	B	\$65,000	LEXNX	ING GNMA INC FD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021030	B	\$650,000	LEXNX	ING GNMA INC FD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$715,000								
20021101	S	\$647,824	LEXNX	ING GNMA INC FD A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021101	B	\$647,824	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021104	S	\$463,107	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021104	S	\$463,107	NAWX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$926,214							2 accounts	

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021106	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021106	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$900,000			1				2 accounts	
11/7/02		ING blocked 05M-K4 and all related FA#s								
		(PSI blocked four FA#s -- 05M-25, 05M-27, 05M-91, 05M-K4 -- from ING/Pilgrim funds on 11/11/02)								
20021107	S	\$654,039	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021111	S	\$431,030	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021111	S	\$431,030	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$862,061							2 accounts	
20021114	B	\$535,000	NAWGX	ING WRLDWIDE GRW A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021115	B	\$500,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021115	B	\$450,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$950,000			1				2 accounts	
20021121	S	\$485,934	LEXNX	ING GNMA INC FD A	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20021121	S	\$488,735	LEXNX	ING GNMA INC FD A	K4	S/C/others	H	05M-35187	JARKO INVESTMENT	11/7/01
		\$974,669							2 accounts	
20021126	S	\$539,243	NAWGX	ING WRLDWIDE GRW A	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021126	S	\$500,788	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021126	S	\$450,709	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$951,497							2 accounts	
20021127	B	\$650,000	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021202	B	\$600,000	NHYFX	ING HY OPP FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021203	S	\$640,054	NAWGX	ING WRLDWIDE GRW A	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
12/9/02		ING blocked four FA#s (05M-25, 05M-39, 05M-49, 05M-L8) and all related FA#s								

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		(PSI blocked ten FA#s -- 05M-49, 05M-90, 05M-C4, 05M-C7, 05M-H4, 05M-MS, 05M-P2, 05M-R3, 05M-X9, AJX-78 --								
		from ING/Pilgrim funds on 12/13/02)								
20021209	S	\$595,447	NHYFX	ING HY OPP FD A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030207	S	\$503,660	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
3/7/03		ING lifted block on two FA#s (05M-L8, 05M-V2) because Silver promised no more timing								
20030312	B	\$1,299,995	LEXNX	ING GNMA INC FD A	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
20030312	B	\$1,999,995	IIBAX	ING INTERM BD FDA	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
20030312	B	\$3,300,000	IIBAX	ING INTERM BD FDA	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
		\$6,599,990								
20030320	B	\$1,749,995	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
20030527	B	\$1,999,995	IIBAX	ING INTERM BD FDA	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030529	B	\$324,995	IIBAX	ING INTERM BD FDA	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030529	B	\$199,995	IIBAX	ING INTERM BD FDA	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$524,990			1				2 accounts	
20030530	B	\$315,000	IHYAX	ING FDS HI YLD BD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030530	B	\$359,995	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030530	B	\$360,000	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030530	B	\$420,300	IHYAX	ING FDS HI YLD BD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030530	B	\$397,795	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
20030530	B	\$397,800	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$2,250,890			1				3 accounts	
20030602	B	\$587,600	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030602	B	\$403,600	IHYAX	ING FDS HI YLD BD A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$991,200			1				2 accounts	
20030611	B	\$775,000	IHYAX	ING FDS HI YLD BD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01

SILVER: ING/Pilgrim Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030623	S	\$777,700	IHYAX	ING FDS HI YLD BD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030905	S	\$1,955,419	NHYFX	ING HY OPP FD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030905	S	\$938,801	IHYAX	ING FDS HI YLD BD A	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
20030905	S	\$2,496,889	NHYFX	ING HY OPP FD A	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
		\$5,391,109							2 accounts	
20030908	S	\$1,013,407	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030908	S	\$1,039,896	IHYAX	ING FDS HI YLD BD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030908	S	\$1,021,119	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
20030908	S	\$1,854,245	NHYFX	ING HY OPP FD A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
		\$4,928,666							4 accounts	

Exhibit 15

SILVER: Janus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010712	B	\$312,500	JIGRX	JANUS ADV INTL GRFD	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01	
20010716	S	\$309,961	JIGRX	JANUS ADV INTL GRFD	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01	
20020430	B	\$1,000,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01	
20020430	B	\$2,000,000	JWGRX	JANUS ADV WWDE FD	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01	
		\$3,000,000									
5/10/02		Janus blocked one account						M	05M-35115	WYATT ATWOOD	
		(PSI blocked it from Janus funds on 5/17/02)									
20020510	S	\$965,861	JADGX	JANUS ADV GR&INC FD	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
20020510	S	\$1,956,644	JWGRX	JANUS ADV WWDE FD	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
		\$2,922,505									
20020514	B	\$1,000,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020514	B	\$2,000,000	JWGRX	JANUS ADV WWDE FD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
		\$3,000,000									
20020603	S	\$1,000,085	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020603	S	\$1,929,200	JWGRX	JANUS ADV WWDE FD	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
		\$2,929,285									
6/5/02		Janus blocked one account						M	HSK-00139	WYATT ATWOOD	
		(PSI blocked it from Janus funds on 6/12/02)									
20020627	B	\$1,000,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020627	B	\$2,000,000	JWGRX	JANUS ADV WWDE FD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$3,000,000									
20020701	S	\$1,015,080	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020701	S	\$2,003,979	JWGRX	JANUS ADV WWDE FD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$3,019,059									
20020807	B	\$650,000	JARTX	JANUS ADV CAP APP FD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02	

SILVER: Janus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020808	B	\$600,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020813	S	\$602,826	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020814	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020814	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020814	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020814	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020814	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,100,000			2				3 accounts	
20020823	S	\$930,516	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020823	S	\$1,238,911	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020823	S	\$930,516	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	S	\$1,238,911	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	B	\$670,000	JARTX	JANUS ADV CAP APP FD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020823	S	\$930,516	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,939,370							4 accounts	
20020904	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,000,000			2				2 accounts	
20020912	S	\$908,562	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020912	S	\$1,207,940	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020912	S	\$908,562	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$3,025,065							2 accounts	
20020917	S	\$661,424	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020918	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020918	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020918	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020918	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02

SILVER: Janus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020918	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,100,000			2				3 accounts	
9/19/02		Janus blocked one account					M	0EC-96653	NOEMI	
20020924	S	\$855,762	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020924	S	\$1,137,528	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020924	S	\$855,762	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020924	S	\$1,137,528	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020924	S	\$855,762	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$4,842,342							3 accounts	
20020925	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020925	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020925	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020925	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020925	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,100,000							3 accounts	
20020926	B	\$1,000,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020927	S	\$918,974	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020927	S	\$1,213,630	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020927	S	\$918,974	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020927	S	\$1,213,630	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020927	S	\$918,974	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,184,183							3 accounts	
20021001	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021001	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021001	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021001	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021001	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,100,000			2				3 accounts	
20021003	S	\$899,491	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02

SILVER: Janus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021003	S	\$1,186,504	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021003	S	\$899,491	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021003	S	\$1,186,504	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021003	S	\$899,491	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,071,480							3 accounts	
20021008	B	\$800,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021008	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021008	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021008	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021008	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021008	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,100,000			2				3 accounts	
20021009	B	\$750,000	JADFX	JANUS ADV FLEX IFD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021015	B	\$469,123	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021016	S	\$840,352	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021016	S	\$945,396	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021016	S	\$1,267,614	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021016	S	\$945,396	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021016	S	\$1,267,614	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021016	S	\$945,396	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,371,416							3 accounts	
20021021	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021021	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021021	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021021	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021021	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,100,000			2				3 accounts	
20021022	S	\$471,211	JIGRX	JANUS ADV INTL GRFD	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021024	S	\$894,545	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02

SILVER: Janus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021024	S	\$1,190,769	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021024	S	\$894,545	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021024	S	\$1,190,769	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021024	S	\$894,545	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,065,175							3 accounts	
20021025	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021025	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021025	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021025	B	\$1,200,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021025	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,100,000			2				3 accounts	
20021030	B	\$650,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021104	S	\$926,940	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021104	S	\$1,222,384	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021104	S	\$926,940	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021104	S	\$1,222,384	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021104	S	\$926,940	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$5,225,588							3 accounts	
20021106	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021106	B	\$950,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021106	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021106	B	\$950,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021106	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$4,600,000			2				3 accounts	
20021107	S	\$667,704	JIGRX	JANUS ADV INTL GRFD	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021111	S	\$875,296	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021111	S	\$920,510	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021111	S	\$875,296	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021111	S	\$920,510	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20021111	S	\$875,296	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01

SILVER: Janus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
		\$4,466,908							3 accounts		
20021114	B	\$725,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02	
20021115	B	\$950,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20021115	B	\$950,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
		\$1,900,000									
20021126	S	\$736,879	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02	
20021126	S	\$955,132	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20021126	S	\$947,022	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
		\$1,902,154									
20021127	B	\$650,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02	
20021203	S	\$653,108	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02	
20021216	B	\$450,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02	
20021230	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20021230	B	\$950,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20021230	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20021230	B	\$950,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20021230	B	\$900,000	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$4,600,000			2				3 accounts		
20030108	S	\$443,536	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02	
20030108	S	\$892,659	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20030108	S	\$945,694	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20030108	S	\$892,659	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20030108	S	\$945,694	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20030108	S	\$892,659	JIGRX	JANUS ADV INTL GRFD	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$4,569,364							3 accounts		
1/10/03		Janus blocked two accounts						M	05M-36425	PERIWINKLE	
		(PSI blocked them from certain Janus int'l funds on 1/14/03)						M	05M-36426	TAIGA GROUP	

SILVER: Janus Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030123	B	\$215,230	JIGRX	JANUS ADV INTL GRFD	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20030123	B	\$775,000	JWGRX	JANUS ADV WWDE FD	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20030123	B	\$205,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20030123	B	\$205,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,400,230			2				2 accounts	
20030128	B	\$170,000	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20030128	B	\$170,000	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$340,000								
20030130	S	\$208,330	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030130	S	\$745,906	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20030130	S	\$368,428	JIGRX	JANUS ADV INTL GRFD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20030130	S	\$366,561	JWGRX	JANUS ADV WWDE FD	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,689,225							2 accounts	
1/31/03		Janus blocked one FA# (05M-0L8)								
		(PSI blocked it from certain Janus international funds on 1/31/03)								
20030214	S	\$753,635	JADFX	JANUS ADV FLEX IFD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02

Exhibit 16

SILVER: Lord Abbett Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011019	B	\$300,000	LAGEX	LORD ABB GBL EQ FDA	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20011019	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	49	S/C/Wallerst.	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$650,000			2				2 accounts	
20011101	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011101	B	\$375,000	LAIEX	LORD AB INTL OPP FDA	C2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
20011101	B	\$342,700	LAIEX	LORD AB INTL OPP FDA	C2	Silver/Comito	M	05M-35152	MAZZARD GROUP	10/30/01
		\$1,067,700			2				3 accounts	
20011119	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	C2	Silver/Comito	M	05M-35085	GAHN & McELROY	9/28/01
20011119	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	39	Silver primary	M	05M-35198	GAHN & McELROY	11/13/01
20011119	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	C2	Silver/Comito	M	05M-35198	GAHN & McELROY	11/13/01
		\$1,050,000			2				2 accounts	
20011126	B	\$2,000,000	LBNDX	LORD ABB BOND FD A	50	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20011126	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011128	S	\$361,728	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011128	S	\$391,001	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20011128	S	\$318,915	LACXX	LORD A USG/SP E MMKA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011128	S	\$357,322	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
20011128	S	\$371,206	LACXX	LORD A USG/SP E MMKA	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$1,800,172							5 accounts	
20011129	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	C2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01
20011129	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	E6	S/C/Diee	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$700,000								
20011130	S	\$361,728	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011130	S	\$391,001	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20011130	S	\$318,915	LACXX	LORD A USG/SP E MMKA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011130	S	\$347,417	LACXX	LORD A USG/SP E MMKA	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20011203	S	\$347,417	LACXX	LORD A USG/SP E MMKA	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20011130	S	\$357,322	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
20011203	S	\$357,322	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01

SILVER: Lord Abbett Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011130	S	\$347,417	LACXX	LORD A USG/SP E MMKA	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20011203	S	\$347,417	LACXX	LORD A USG/SP E MMKA	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20011130	S	\$371,206	LACXX	LORD A USG/SP E MMKA	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$3,547,162							7 accounts	
20011204	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	C2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
20011212	S	\$350,435	LACXX	LORD A USG/SP E MMKA	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011213	S	\$365,770	LACXX	LORD A USG/SP E MMKA	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20011213	S	\$365,770	LACXX	LORD A USG/SP E MMKA	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
20011213	S	\$357,778	LACXX	LORD A USG/SP E MMKA	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
		\$1,089,317							3 accounts	
20020116	S	\$254,817	LBNDX	LORD ABB BOND FD A	50	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20020116	S	\$2,013,058	LBNDX	LORD ABB BOND FD A	50	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
		\$2,267,875								
20020408	B	\$330,000	LAIEX	LORD AB INTL OPP FDA	V2	Silver/Comito	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020410	B	\$340,000	LAIEX	LORD AB INTL OPP FDA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020410	B	\$330,000	LAIEX	LORD AB INTL OPP FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020410	B	\$350,000	LAIEX	LORD AB INTL OPP FDA	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$1,020,000			2				3 accounts	
20020423	S	\$332,569	LACXX	LORD A USG/SP E MMKA	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020424	S	\$332,570	LACXX	LORD A USG/SP E MMKA	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020426	S	\$346,142	LACXX	LORD A USG/SP E MMKA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020426	S	\$335,961	LACXX	LORD A USG/SP E MMKA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020426	S	\$356,323	LACXX	LORD A USG/SP E MMKA	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$1,038,426							3 accounts	
20020910	B	\$699,995	LBNDX	LORD ABB BOND FD A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01

SILVER: Lord Abbett Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021114	B	\$876,900	LBNDX	LORD ABB BOND FD A	39	Silver primary	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021115	B	\$324,600	LBNDX	LORD ABB BOND FD A	V2	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021119	B	\$164,300	LBNDX	LORD ABB BOND FD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021121	B	\$52,300	LBNDX	LORD ABB BOND FD A	V2	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030220	B	\$764,000	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030321	B	\$1,249,995	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
20030409	S	\$778,897	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030415	B	\$269,995	LBNDX	LORD ABB BOND FD A	25	Comito primary	M	HSK-00132	BISHOP	pre-1/01
20030430	B	\$775,000	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030507	B	\$70,000	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
20030521	S	\$775,000	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030529	B	\$349,995	LAGVX	LORD A USG/GSP ENP A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030529	B	\$299,995	LAGVX	LORD A USG/GSP ENP A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$649,990			1				2 accounts	
20030530	B	\$454,300	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030530	B	\$391,800	LHYAX	LORD ABB HI YLD FDA	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030530	B	\$526,400	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
20030530	B	\$397,600	LHYAX	LORD ABB HI YLD FDA	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01
		\$1,770,100			1				2 accounts	
20030905	S	\$1,037,975	LACXX	LORD A USG/SP E MMKA	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030908	S	\$1,201,277	LHYAX	LORD ABB HI YLD FDA	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20030908	S	\$1,232,478	LHYAX	LORD ABB HI YLD FDA	L8	Silver/Comito	H	05M-35188	JARKO INVESTMENT	11/7/01

SILVER: Lord Abbett Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030908	S	\$1,397,073	LBNDX	LORD ABB BOND FD A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
		\$3,830,828							3 accounts	
20030910	S	\$1,603,917	LBNDX	LORD ABB BOND FD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02

Exhibit 17

SILVER: Morgan Stanley Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011015	B	\$1,015,000	MNBAY	MS US BD A USD	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20011018	B	\$715,000	MNBAY	MS US BD A USD	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
20011019	B	\$647,000	MNBAY	MS US BD A USD	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
20011022	B	\$638,000	MNBAY	MS US BD A USD	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
20011030	B	\$1,500,000	MNBAY	MS US BD A USD	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
20011030	B	\$850,000	MNBAY	MS US BD A USD	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020208	B	\$1,400,000	MGEAY	MS GLB VAL EQ A USD	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
20020208	B	\$470,000	MNEAY	MSDW SI WRLD EQ FDA	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
		\$1,870,000								
20020215	B	\$1,000,000	MNBAY	MS US BD A USD	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020409	B	\$350,000	MNBAY	MS US BD A USD	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020416	B	\$900,000	MEDAY	MORGAN S DW EM MDB A	R3	S/C/others	M	0EC-96619	CASTLEMARK	3/13/02
20020416	B	\$900,000	MEDAY	MORGAN S DW EM MDB A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
		\$1,800,000								
20020430	B	\$499,995	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020430	B	\$499,995	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020430	B	\$499,983	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020430	B	\$499,986	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$1,999,958								
20020513	B	\$200,000	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$250,000	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$300,000	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$500,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020513	B	\$500,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$1,750,000								

SILVER: Morgan Stanley Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020522	B	\$375,000	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$250,000	MSEAY	MORGAN S DW EM MEQ/A	L8	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$375,000	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$250,000	MSAAY	MS ASIAN EQ A USD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$500,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020522	B	\$500,000	MGEAY	MS GLB VAL EQ A USD	L8	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$2,250,000								
20020604	B	\$375,000	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020604	B	\$250,000	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020604	B	\$375,000	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020604	B	\$250,000	MSAAY	MS ASIAN EQ A USD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020604	B	\$500,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020604	B	\$500,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$2,250,000								
20020612	B	\$1,000,000	MSAAY	MS ASIAN EQ A USD	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020612	B	\$1,150,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
		\$2,150,000								
20020711	B	\$150,000	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020711	B	\$100,000	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020711	B	\$150,000	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020711	B	\$100,000	MSAAY	MS ASIAN EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020711	B	\$250,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020711	B	\$250,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$1,000,000								
20020715	B	\$500,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20020719	B	\$600,000	MNBAY	MS US BD A USD	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020724	B	\$200,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020807	B	\$650,000	MNBAY	MS US BD A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02

SILVER: Morgan Stanley Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020823	B	\$650,000	MNBAY	MS US BD A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020904	B	\$50,000	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020904	B	\$50,000	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020904	B	\$50,000	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020904	B	\$50,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020904	B	\$50,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20020904	B	\$50,000	MSJAY	MORGAN S D JAP VEQ A	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020904	B	\$50,000	MSEAY	MORGAN S DW EM MEQ/A	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020904	B	\$50,000	MSTAY	MORGAN S DW EUR EGA	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020904	B	\$50,000	MEVAY	MS EUR VAL EQ A USD	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020904	B	\$50,000	MGEAY	MS GLB VAL EQ A USD	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
		\$500,000			2				2 accounts	
20020917	S	\$1,307,468	MSTAY	MORGAN S DW EUR EGA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20020925	B	\$150,000	MSJAY	MORGAN S D JAP VEQ A	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020925	B	\$100,000	MSEAY	MORGAN S DW EM MEQ/A	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020925	B	\$150,000	MSTAY	MORGAN S DW EUR EGA	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020925	B	\$100,000	MSAAY	MS ASIAN EQ A USD	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020925	B	\$250,000	MEVAY	MS EUR VAL EQ A USD	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020925	B	\$250,000	MGEAY	MS GLB VAL EQ A USD	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
		\$1,000,000								
20020926	B	\$1,000,000	MSTAY	MORGAN S DW EUR EGA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021001	B	\$200,000	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021001	B	\$50,000	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021001	B	\$200,000	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021001	B	\$50,000	MSAAY	MS ASIAN EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021001	B	\$250,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021001	B	\$250,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021001	B	\$200,000	MSJAY	MORGAN S D JAP VEQ A	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02
20021001	B	\$50,000	MSEAY	MORGAN S DW EM MEQ/A	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02
20021001	B	\$200,000	MSTAY	MORGAN S DW EUR EGA	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02

SILVER: Morgan Stanley Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021001	B	\$50,000	MSAAY	MS ASIAN EQ A USD	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02
20021001	B	\$250,000	MEVAY	MS EUR VAL EQ A USD	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02
20021001	B	\$250,000	MGEAY	MS GLB VAL EQ A USD	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02
20021001	B	\$575,000	MSJAY	MORGAN S D JAP VEQ A	L8	Silver/Comito	M	0EC-96673	RHINEGOLD	9/20/02
20021001	B	\$895,000	MSTAY	MORGAN S DW EUR EGA	L8	Silver/Comito	M	0EC-96673	RHINEGOLD	9/20/02
20021001	B	\$350,000	MSAAY	MS ASIAN EQ A USD	L8	Silver/Comito	M	0EC-96673	RHINEGOLD	9/20/02
20021001	B	\$975,000	MEVAY	MS EUR VAL EQ A USD	L8	Silver/Comito	M	0EC-96673	RHINEGOLD	9/20/02
20021001	B	\$775,000	MGEAY	MS GLB VAL EQ A USD	L8	Silver/Comito	M	0EC-96673	RHINEGOLD	9/20/02
20021001	B	\$425,000	MNEAY	MSDW SI WRLD EQ FDA	L8	Silver/Comito	M	0EC-96673	RHINEGOLD	9/20/02
		\$5,995,000			3				3 accounts	
20021008	B	\$150,000	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021008	B	\$100,000	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021008	B	\$150,000	MSTAY	MORGAN S DW EUR EGA	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021008	B	\$100,000	MSAAY	MS ASIAN EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021008	B	\$250,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20021008	B	\$250,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$1,000,000								
20021009	S	\$1,007,144	MNBAY	MS US BD A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021015	B	\$450,000	MSJAY	MORGAN S D JAP VEQ A	49	S/C/Wallerst.	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20021015	B	\$450,000	MSAAY	MS ASIAN EQ A USD	49	S/C/Wallerst.	H	HSK-95115	CAYMAN PARTNERS	9/20/02
		\$900,000								
20021106	B	\$734,800	MUHAY	MORGAN S DW US HY A	V2	Silver/Comito	H	BLM-95258	COLUMBIA INVESTORS	6/20/02
20021114	B	\$1,000,000	MUHAY	MORGAN S DW US HY A	49	S/C/Wallerst.	M	0EC-96619	CASTLEMARK	3/13/02
20030113	S	\$2,516,400	MNBAY	MS US BD A USD	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
20030117	S	\$2,000,000	MSUAY	MSDW US DLR LIQ FDAX	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20030130	B	\$2,500,000	MOEAY	MORGAN S DW EURO BFA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030204	S	\$161,291	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01

SILVER: Morgan Stanley Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030204	S	\$44,336	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
		\$205,627								
20030214	B	\$545,000	MSAAY	MS ASIAN EQ A USD	L8	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20030214	B	\$915,000	MEVAY	MS EUR VAL EQ A USD	L8	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$1,460,000								
20030219	S	\$865,171	MSAAY	MS ASIAN EQ A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030219	S	\$1,681,314	MEVAY	MS EUR VAL EQ A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
		\$2,546,484								
20030221	B	\$1,100,000	MURAY	MS US PRTY A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030225	B	\$175,000	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20030312	B	\$290,000	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20030312	B	\$500,000	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$790,000								
20030313	B	\$1,000,000	MUHAY	MORGAN S DW US HY A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030313	B	\$1,000,000	MSJAY	MORGAN S D JAP VEQ A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030313	B	\$1,000,000	MSTAY	MORGAN S DW EUR EGA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030313	B	\$2,000,000	MGEAY	MS GLB VAL EQ A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
		\$5,000,000			2				2 accounts	
20030403	S	\$6,858,829	MSUAY	MSDW US DLR LIQ FDAX	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20030407	S	\$304,154	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20030407	S	\$551,939	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20030407	S	\$2,829,942	MSEAY	MORGAN S DW EM MEQ/A	L8	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
20030407	S	\$6,047,623	MGEAY	MS GLB VAL EQ A USD	L8	Silver/Comito	M	0EC-96648	FOXTAIL	5/8/02
		\$9,733,658							2 accounts	
20030409	S	\$1,612	MSUAY	MSDW US DLR LIQ FDAX	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20030701	S	\$250,000	MSUAY	MSDW US DLR LIQ FDAX	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02

SILVER: Morgan Stanley Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030724	S	\$677,595	MGEAY	MS GLB VAL EQ A USD	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20030725	B	\$1,070,000	MNBAY	MS US BD A USD	C8	Silver "also"	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20030829	S	\$3,154,597	MSTAY	MORGAN S DW EUR EGA	C8	Silver "also"	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20030829	S	\$82,044	MNBAY	MS US BD A USD	C8	Silver "also"	P	0EC-96580	PECONIC OFFSHORE	9/5/01
		\$3,236,641								
20030905	S	\$1,596,982	MSJAY	MORGAN S D JAP VEQ A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$1,776,849	MSEAY	MORGAN S DW EM MEQ/A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$1,012,590	MUHAY	MORGAN S DW US HY A	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$1,302,516	MSAAY	MS ASIAN EQ A USD	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$962,552	MLAAY	MS LATIN AMER A USD	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$1,065,751	MNEAY	MSDW SI WRLD EQ FDA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$1,799,442	MSTAY	MORGAN S DW EUR EGA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030905	S	\$3,408,582	MGEAY	MS GLB VAL EQ A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030905	S	\$1,073,981	MNBAY	MS US BD A USD	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030905	S	\$5,370,405	MSTAY	MORGAN S DW EUR EGA	L8	Silver/Comito	M	0EC-96673	RHINEGOLD	9/20/02
20030905	S	\$1,423,023	MSEAY	MORGAN S DW EM MEQ/A	L8	Silver/Comito	M	HSK-95037	STONE CASTLE	pre-1/01
20030905	S	\$918,377	MSTAY	MORGAN S DW EUR EGA	L8	Silver/Comito	M	HSK-95037	STONE CASTLE	pre-1/01
20030905	S	\$619,313	MLAAY	MS LATIN AMER A USD	L8	Silver/Comito	M	HSK-95037	STONE CASTLE	pre-1/01
20030905	S	\$1,891,418	MNEAY	MSDW SI WRLD EQ FDA	L8	Silver/Comito	M	HSK-95037	STONE CASTLE	pre-1/01
20030905	S	\$3,097	MSUAY	MSDW US DLR LIQ FDAX	L8	Silver/Comito	M	HSK-95037	STONE CASTLE	pre-1/01
		\$24,224,878							4 accounts	
20030909	S	\$528,265	MEVAY	MS EUR VAL EQ A USD	V2	Silver/Comito	H	HSK-95115	CAYMAN PARTNERS	9/20/02
20030911	S	\$888,937	MUHAY	MORGAN S DW US HY A	V2	Silver/Comito	H	BLM-95258	COLUMBIA INVESTORS	6/20/02

Exhibit 18

SILVER: Oppenheimer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010301	B	\$900,000	OUSGX	OPPENHEMR US GOVT TR	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010301	B	\$1,100,000	OUSGX	OPPENHEMR US GOVT TR	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
		\$2,000,000								
20010309	B	\$500,000	OUSGX	OPPENHEMR US GOVT TR	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010404	S	\$1,624,931	OMBXX	OPPEN MONEY MKT FDA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010416	S	\$1,640,228	OIGAX	OPPENHMR INTL GR FDA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010824	B	\$357,000	OPPHX	OPPENHMR HI YI FD A	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010827	B	\$349,000	OPPHX	OPPENHMR HI YI FD A	36	JT 37 & 24	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010827	B	\$349,000	OPPHX	OPPENHMR HI YI FD A	E6	S/C/Diee	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$698,000			2				1 account	
20010828	B	\$315,750	OPCHX	OPPENHEMR CHMPN IFA	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010829	B	\$263,000	OPCHX	OPPENHEMR CHMPN IFA	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010829	B	\$263,000	OPCHX	OPPENHEMR CHMPN IFA	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$526,000								
20010920	B	\$250,000	OPPHX	OPPENHMR HI YI FD A	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
10/31/01		Oppenheimer blocked two accounts			C2		P	0EC-96580	PECONIC OFFSHORE	
		(PSI blocked them from Opp. funds on 11/5/01)			49		P	BLM-95239	PECONIC OFFSHORE	
20011115	S	\$242,907	OMBXX	OPPEN MONEY MKT FDA	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20011115	S	\$1,298,394	OMBXX	OPPEN MONEY MKT FDA	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$1,541,302							2 accounts	
20011121	B	\$750,000	OUSGX	OPPENHEMR US GOVT TR	V2	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20011121	B	\$750,000	OUSGX	OPPENHEMR US GOVT TR	V2	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
20011121	B	\$750,000	OUSGX	OPPENHEMR US GOVT TR	61	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01
20011121	B	\$750,000	OUSGX	OPPENHEMR US GOVT TR	K4	S/C/others	H	05M-35188	JARKO INVESTMENT	11/7/01

SILVER: Oppenheimer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$3,000,000			3				2 accounts	
20011123	B	\$800,000	OPPAX	OPPENHEMR GBL FUND A	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011123	B	\$800,000	OPPAX	OPPENHEMR GBL FUND A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011123	B	\$800,000	OPPAX	OPPENHEMR GBL FUND A	E6	S/C/Diee	M	05M-35152	MAZZARD GROUP	10/30/01
		\$2,400,000			1				3 accounts	
20011129	B	\$800,000	OPPAX	OPPENHEMR GBL FUND A	49	S/C/Wallerst.	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20011129	B	\$810,000	OPPAX	OPPENHEMR GBL FUND A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$1,610,000			2				2 accounts	
20011207	S	\$824,664	OPPAX	OPPENHEMR GBL FUND A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011207	S	\$824,664	OPPAX	OPPENHEMR GBL FUND A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011207	S	\$824,664	OPPAX	OPPENHEMR GBL FUND A	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
20011207	S	\$819,073	OPPAX	OPPENHEMR GBL FUND A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20011207	S	\$829,311	OPPAX	OPPENHEMR GBL FUND A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$4,122,376							5 accounts	
20020211	B	\$750,000	OPCHX	OPPENHEMR CHMPN IFA	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20020211	B	\$750,000	OPPHX	OPPENHMR HI YI FD A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
		\$1,500,000								
3/5/02		Oppenheimer blocked two accounts			50		H	05M-35187	JARKO INVESTMENT	
		(PSI blocked them from Opp. funds on 3/11/02)			50		H	05M-35188	JARKO INVESTMENT	
20020507	S	\$1,498,408	OMBXX	OPPEN MONEY MKT FDA	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20020731	B	\$744,000	OPSIX	OPPENHEIMR STR ICF A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020815	S	\$204,959	OPSIX	OPPENHEIMR STR ICF A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020827	S	\$1,590,560	OUSGX	OPPENHEMR US GOVT TR	K4	S/C/others	H	05M-35186	JARKO INVESTMENT	11/7/01
20020827	S	\$1,578,267	OUSGX	OPPENHEMR US GOVT TR	K4	S/C/others	H	05M-35587	JARKO INVESTMENT	3/28/02
		\$3,168,827							2 accounts	
20020919	S	\$754,248	OPSIX	OPPENHEIMR STR ICF A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01

SILVER: Oppenheimer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021114	B	\$962,300	OPCHX	OPPENHEMR CHMPN IFA	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021114	B	\$974,600	OPPHX	OPPENHMR HI YI FD A	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
		\$1,936,900								
20021115	B	\$324,600	OPCHX	OPPENHEMR CHMPN IFA	V2	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021115	B	\$324,600	OPPHX	OPPENHMR HI YI FD A	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
		\$649,200								
20021119	B	\$164,300	OPCHX	OPPENHEMR CHMPN IFA	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021119	B	\$164,300	OPPHX	OPPENHMR HI YI FD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
		\$328,600								
20021121	B	\$52,300	OPCHX	OPPENHEMR CHMPN IFA	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021121	B	\$52,300	OPPHX	OPPENHMR HI YI FD A	12	Stabell	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20021121	B	\$52,300	OPPHX	OPPENHMR HI YI FD A	V2	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
		\$156,900								
20021202	B	\$600,000	OPSIX	OPPENHEIMR STR ICF A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021209	S	\$600,000	OPSIX	OPPENHEIMR STR ICF A	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021216	B	\$624,995	OPPHX	OPPENHMR HI YI FD A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
20021226	B	\$250,000	OIGAX	OPPENHMR INTL GR FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021227	B	\$250,000	OPPAX	OPPENHEMR GBL FUND A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030107	S	\$255,825	OPPAX	OPPENHEMR GBL FUND A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030219	S	\$223,944	OIGAX	OPPENHMR INTL GR FDA	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030220	B	\$764,000	OPSIX	OPPENHEIMR STR ICF A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030409	S	\$774,079	OPSIX	OPPENHEIMR STR ICF A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01

SILVER: Oppenheimer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030530	B	\$597,600	OPPHX	OPPENHMR HI YI FD A	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
20030611	B	\$775,000	OPSIX	OPPENHEIMR STR ICF A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030623	S	\$773,082	OPSIX	OPPENHEIMR STR ICF A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030908	S	\$1,341,059	OMBXX	OPPEN MONEY MKT FDA	L8	Silver/Comito	H	05M-35587	JARKO INVESTMENT	3/28/02
20030910	S	\$1,514,240	OPCHX	OPPENHEMR CHMPN IFA	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030910	S	\$2,021,467	OPPHX	OPPENHMR HI YI FD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
		\$3,535,707								

Exhibit 19

SILVER: Pioneer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010313	B	\$273,973	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010315	S	\$270,372	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010319	B	\$285,000	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010321	S	\$282,216	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010405	B	\$295,000	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010417	B	\$295,000	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010420	S	\$305,930	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010425	B	\$298,030	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010430	S	\$302,921	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010502	B	\$250,000	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010509	S	\$245,307	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010516	B	\$298,056	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010522	S	\$301,274	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010629	B	\$275,000	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
20010705	S	\$271,026	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
20010712	B	\$269,023	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
20010716	S	\$269,467	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34890	JUPITER #7	6/28/01
20010725	B	\$221,939	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01

SILVER: Pioneer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010806	S	\$231,403	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010810	B	\$488,000	PEURX	PIONEER EUROPE FUND	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010814	S	\$501,395	PEURX	PIONEER EUROPE FUND	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010816	B	\$265,000	PEURX	PIONEER EUROPE FUND	Y3	Silver/Comito	G	BLM-02080	GRANITE GROUP	pre-1/01
20010820	S	\$260,543	PEURX	PIONEER EUROPE FUND	Y3	Silver/Comito	G	BLM-02080	GRANITE GROUP	pre-1/01
20010822	B	\$241,841	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010824	B	\$500,000	PEURX	PIONEER EUROPE FUND	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010828	S	\$240,294	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010924	B	\$315,909	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010926	S	\$327,121	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010928	B	\$321,582	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011008	S	\$329,376	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20011010	B	\$236,230	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20011010	B	\$333,980	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$570,210			1				2 accounts	
20011017	S	\$238,777	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20011017	S	\$337,580	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
		\$576,357							2 accounts	
20011019	B	\$350,000	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	M	0EC-07684	WYATT ATWOOD	7/26/01

SILVER: Pioneer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20011022	B	\$339,425	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01	
20011025	B	\$187,978	PEURX	PIONEER EUROPE FUND	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01	
20011029	S	\$190,107	PEURX	PIONEER EUROPE FUND	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01	
20011029	S	\$346,133	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01	
10/30/01		Pioneer blocked one account						M	0EC-07684	WYATT ATWOOD	
		(PSI blocked it from Pioneer domestic funds on 10/30/01)									
20011101	B	\$252,914	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01	
20011101	B	\$262,868	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	
		\$515,782			1				2 accounts		
20011108	S	\$262,127	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01	
20011108	S	\$272,443	PEURX	PIONEER EUROPE FUND	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	
		\$534,570							2 accounts		
20011114	S	\$359,160	PMTXX	PIONEER CASH RESV FD	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01	
20011115	S	\$359,160	PMTXX	PIONEER CASH RESV FD	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01	
20011119	B	\$350,000	PEURX	PIONEER EUROPE FUND	C2	Silver/Comito	M	05M-35199	MAZZARD GROUP	11/13/01	
20011129	B	\$200,000	PEURX	PIONEER EUROPE FUND	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01	
20011129	B	\$390,000	PEURX	PIONEER EUROPE FUND	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01	
20011129	B	\$360,000	PEURX	PIONEER EUROPE FUND	V2	Silver/Comito	M	05M-35085	GAHN & McELROY	9/28/01	
		\$950,000			3				3 accounts		
11/30/01		Pioneer blocked three accounts						M	05M-34566	GILMORE & GILLESPIE #1	
		(PSI blocked them from Pioneer domestic funds on 12/20/01)						M	05M-34941	WYATT ATWOOD	
							M	05M-35085	GAHN & McELROY		
20020111	B	\$900,000	PUCAY	PIONEER US HY CBFDA	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01	
2/1/02		Pioneer blocked one account						M	HSK-95037	STONE CASTLE	

SILVER: Pioneer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		(PSI blocked it from Pioneer domestic funds on 2/4/02)								
20020201	S	\$868,568	PUCAY	PIONEER US HY CBFDA	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
20020201	S	\$868,568	PUCAY	PIONEER US HY CBFDA	R3	S/C/others	M	HSK-95037	STONE CASTLE	pre-1/01
		\$1,737,136								
20020731	B	\$744,000	TAHYX	PIONEER HIG YLD FDA	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020807	B	\$900,000	PEMFX	PIONEER EM MKTS FD A	L8	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020807	B	\$900,000	PEURX	PIONEER EUROPE FUND	L8	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$1,800,000								
20020808	B	\$100,000	PCUAY	PIONEER CORE EUR EFA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020812	B	\$100,000	PAMAY	PIONEER AMER FD CLA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020815	S	\$76,386	TAHYX	PIONEER HIG YLD FDA	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020829	B	\$300,000	PAMAY	PIONEER AMER FD CLA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020911	B	\$500,000	PUCAY	PIONEER US HY CBFDA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020912	B	\$500,000	PUCAY	PIONEER US HY CBFDA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20020919	S	\$712,682	TAHYX	PIONEER HIG YLD FDA	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021008	B	\$585,000	PEURX	PIONEER EUROPE FUND	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
20021015	B	\$259,982	PEURX	PIONEER EUROPE FUND	V2	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021015	B	\$1,000,000	TAHYX	PIONEER HIG YLD FDA	39	Silver primary	M	0EC-96619	CASTLEMARK	3/13/02
20021015	B	\$200,000	PCGRX	PIONEER MID CAP VFA	39	Silver primary	M	0EC-96619	CASTLEMARK	3/13/02
20021015	B	\$999,995	TAHYX	PIONEER HIG YLD FDA	39	Comito primary	M	HSK-00135	KNIGHT	pre-1/01
20021015	B	\$199,995	PCGRX	PIONEER MID CAP VFA	39	Silver primary	M	HSK-00135	KNIGHT	pre-1/01
		\$2,399,990			2				2 accounts	
20021016	S	\$647,169	PEURX	PIONEER EUROPE FUND	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02

SILVER: Pioneer Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021202	B	\$600,000	TAHYX	PIONEER HIG YLD FDA	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021209	S	\$588,403	TAHYX	PIONEER HIG YLD FDA	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030220	B	\$800,000	TAHYX	PIONEER HIG YLD FDA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030220	B	\$687,995	TAHYX	PIONEER HIG YLD FDA	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
		\$1,487,995			1				2 accounts	
20030530	B	\$699,800	TAHYX	PIONEER HIG YLD FDA	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030603	B	\$700,000	TAHYX	PIONEER HIG YLD FDA	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030905	S	\$2,081,856	TAHYX	PIONEER HIG YLD FDA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$253,892	PCGRX	PIONEER MID CAP VFA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$1,239,490	PUCAY	PIONEER US HY CBFDA	V2	Silver/Comito	M	0EC-96619	CASTLEMARK	3/13/02
20030905	S	\$1,981,937	TAHYX	PIONEER HIG YLD FDA	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
20030905	S	\$253,886	PCGRX	PIONEER MID CAP VFA	V2	Silver/Comito	M	HSK-00135	KNIGHT	pre-1/01
		\$5,811,062							2 accounts	
20030908	S	\$713,464	TAHYX	PIONEER HIG YLD FDA	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030910	S	\$711,182	TAHYX	PIONEER HIG YLD FDA	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02

Exhibit 20

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010202	B	\$950,000	PUHAX	PUTNAM HI-YLD TR A	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010313	B	\$750,000	PGSIX	PUTNAM US GOVT INC A	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010313	B	\$750,000	PBLGX	PUTNM LTD DUR GV IFA	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$1,500,000								
20010327	B	\$750,000	PUHAX	PUTNAM HI-YLD TR A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20010327	B	\$750,000	PTHAX	PUTNAM TF HI YL FD A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
		\$1,500,000								
20010402	S	\$2,908,180	PDDXX	PUTNAM MO MKT FD A	70	"unknown"	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20010425	B	\$327,417	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010502	B	\$225,000	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20010503	S	\$334,009	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010508	B	\$329,303	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010509	S	\$220,826	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	Haidar JUPITER	3/26/01
20010510	S	\$328,995	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010516	B	\$327,491	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010516	B	\$244,896	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
		\$572,388			1				2 accounts	
20010516	B	\$740,000	PGSIX	PUTNAM US GOVT INC A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20010518	B	\$300,000	PGSIX	PUTNAM US GOVT INC A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20010523	S	\$333,401	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010523	S	\$249,315	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
		\$582,716							2 accounts	

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010524	B	\$500,000	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010529	S	\$496,655	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010531	B	\$339,372	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010531	B	\$285,500	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01
		\$624,872			1				2 accounts	
20010607	B	\$498,000	PINOX	PUTNAM INTL NW OPP A	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010611	S	\$488,405	PINOX	PUTNAM INTL NW OPP A	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010612	B	\$500,000	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010612	S	\$47,977	PINOX	PUTNAM INTL NW OPP A	C2	Silver/Comito	P	0EC-96541	PECONIC OFFSHORE	5/8/01
20010613	S	\$333,100	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010613	S	\$280,223	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01
		\$613,323							2 accounts	
20010614	S	\$501,858	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010620	B	\$224,202	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20010620	B	\$281,992	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
20010620	B	\$276,827	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$783,021			1				3 accounts	
20010620	B	\$500,000	PINOX	PUTNAM INTL NW OPP A	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20010622	S	\$226,261	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20010622	S	\$279,370	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$505,630							2 accounts	
20010628	B	\$279,299	POVSX	PUTNAM INTL EQTY A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01
20010628	B	\$238,667	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$517,966			1				2 accounts	
20010629	B	\$275,000	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010629	B	\$240,000	POVSX	PUTNAM INTL EQTY A	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20010702	S	\$507,143	PINOX	PUTNAM INTL NW OPP A	C2	Silver/Comito	P	0EC-96531	PECONIC OFFSHORE	4/30/01
20010705	S	\$267,214	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
20010705	S	\$277,172	POVSX	PUTNAM INTL EQTY A	50	S/C/others	H	0EC-07677	JUPITER #3	5/24/01
20010705	S	\$234,518	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$778,904							3 accounts	
20010712	B	\$332,253	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010712	B	\$269,070	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
20010712	B	\$312,500	POVSX	PUTNAM INTL EQTY A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20010712	B	\$280,776	POVSX	PUTNAM INTL EQTY A	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$1,194,600			1				4 accounts	
20010716	S	\$330,857	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010716	S	\$267,940	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34889	JUPITER #6	6/28/01
20010716	S	\$312,046	POVSX	PUTNAM INTL EQTY A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20010716	S	\$280,369	POVSX	PUTNAM INTL EQTY A	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$1,191,212							4 accounts	
20010725	B	\$224,930	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20010725	B	\$310,894	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010725	B	\$925,000	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34939	H AidAR JUPITER	7/25/01
		\$1,460,824			1				3 accounts	
20010726	B	\$400,000	PGSIX	PUTNAM US GOVT INC A	78	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??
20010730	B	\$600,000	PGSIX	PUTNAM US GOVT INC A	78	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??
20010731	B	\$840,000	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
20010806	S	\$231,929	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20010806	S	\$320,568	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
		\$552,497							2 accounts	

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010810	B	\$494,000	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010814	S	\$500,754	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	P	0EC-96542	PECONIC OFFSHORE	5/8/01
20010815	B	\$434,000	PAGVX	PUTNAM AM GOV IC A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010815	B	\$366,000	PGSIX	PUTNAM US GOVT INC A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$800,000								
20010816	B	\$417,000	PAGVX	PUTNAM AM GOV IC A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010816	B	\$383,000	PGSIX	PUTNAM US GOVT INC A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010816	B	\$383,000	PGSIX	PUTNAM US GOVT INC A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
		\$1,183,000								
20010822	B	\$231,083	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20010822	B	\$319,527	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010822	B	\$319,527	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010822	B	\$319,856	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20010822	B	\$31,310	PINOX	PUTNAM INTL NW OPP A	49	S/C/Wallerst.	H	05M-34939	H Aidar Jupiter	7/25/01
		\$1,221,303			2				4 accounts	
20010824	B	\$494,000	PINOX	PUTNAM INTL NW OPP A	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010828	S	\$226,913	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01
20010828	S	\$313,760	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010828	S	\$314,083	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$854,757							3 accounts	
20010921	B	\$484,000	PAGVX	PUTNAM AM GOV IC A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20010921	B	\$516,000	PGSIX	PUTNAM US GOVT INC A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
		\$1,000,000								
20010924	B	\$311,753	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010926	S	\$320,807	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010928	B	\$233,750	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010928	B	\$234,000	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010928	B	\$179,500	PNVAX	PUTNAM ITL CP OPFD A	K4	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010928	B	\$300,000	PNVAX	PUTNAM ITL CP OPFD A	K4	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010928	B	\$236,769	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010928	B	\$243,370	PINOX	PUTNAM INTL NW OPP A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$1,427,389			2				4 accounts	
20011003	B	\$35,000	PINOX	PUTNAM INTL NW OPP A	K4	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20011003	B	\$23,500	PNVAX	PUTNAM ITL CP OPFD A	K4	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
		\$58,500			1				2 accounts	
20011008	S	\$241,538	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20011008	S	\$248,272	PINOX	PUTNAM INTL NW OPP A	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$489,810							2 accounts	
20011010	B	\$326,814	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011017	S	\$333,222	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011018	S	\$200,000	PDDXX	PUTNAM MO MKT FD A	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20011022	B	\$241,291	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20011022	B	\$253,950	PINOX	PUTNAM INTL NW OPP A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$495,241			2				2 accounts	
20011029	S	\$244,367	PINOX	PUTNAM INTL NW OPP A	50	S/C/others	H	05M-34421	H AidAR JUPITER	3/26/01
20011029	S	\$257,187	PINOX	PUTNAM INTL NW OPP A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$501,553							2 accounts	
20011120	S	\$271,947	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011120	S	\$1,225,150	POVSX	PUTNAM INTL EQTY A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011120	S	\$522,363	PEUGX	PUTNAM EURO EQ FD A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011120	S	\$573,617	PINOX	PUTNAM INTL NW OPP A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011120	S	\$954,357	POVSX	PUTNAM INTL EQTY A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$3,547,435							4 accounts	

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011204	S	\$1,734,722	PDDXX	PUTNAM MO MKT FD A	C2	Silver/Comito	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20011204	S	\$1,687,945	PDDXX	PUTNAM MO MKT FD A	C2	Silver/Comito	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$3,422,667							2 accounts	
20011206	S	\$1,045,884	PDDXX	PUTNAM MO MKT FD A	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
12/11/01		Putnam blocked one FA# (05M-050)								
20011214	S	\$1,813,812	PDDXX	PUTNAM MO MKT FD A	50	S/C/others	H	05M-34420	RIVERVIEW GROUP	3/26/01
20011214	S	\$569,239	PDDXX	PUTNAM MO MKT FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20011214	S	\$543,266	PDDXX	PUTNAM MO MKT FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
		\$2,926,317							3 accounts	
20011214	B	\$1,250,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
20020103	S	\$1,187,847	PBLGX	PUTNM LTD DUR GV IFA	50	S/C/others	H	05M-34939	H AidAR JUPITER	7/25/01
20020115	B	\$1,020,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01
20020115	B	\$1,010,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020115	B	\$1,000,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
		\$3,030,000			1				3 accounts	
20020208	B	\$845,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
20020208	B	\$842,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020208	B	\$852,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
20020208	B	\$840,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
		\$3,379,000			1				4 accounts	
20020211	B	\$1,050,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
20020211	B	\$1,075,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
		\$2,125,000			1				2 accounts	
20020301	B	\$980,000	POVSX	PUTNAM INTL EQTY A	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020301	B	\$1,010,000	POVSX	PUTNAM INTL EQTY A	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020301	B	\$920,000	POVSX	PUTNAM INTL EQTY A	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020301	B	\$1,020,000	POVSX	PUTNAM INTL EQTY A	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$3,930,000			2				4 accounts	

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020304	S	\$970,030	PDDXX	PUTNAM MO MKT FD A	Y3	Silver/Comito	G	0EC-07665	GRANITE GROUP	4/01??
20020311	S	\$1,027,759	PDDXX	PUTNAM MO MKT FD A	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
3/12/02		Putnam blocked three FA#s (05M, 0EC-0DC, BLM-0MS)								
		(PSI blocked them from Putnam domestic funds on 3/13/02)								
20020313	S	\$1,040,861	POVSX	PUTNAM INTL EQTY A	DC	Comito "also"	M	0EC-07708	LINKAGE ASSOCIATES	2/19/02
20020315	B	\$1,220,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020315	B	\$1,210,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
20020315	B	\$1,250,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01572	MAZZARD GROUP	3/13/02
20020315	B	\$1,210,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01573	MAZZARD GROUP	3/13/02
20020315	B	\$1,200,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01574	GAHN & McELROY	3/13/02
20020315	B	\$1,190,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01575	GAHN & McELROY	3/13/02
20020315	B	\$1,220,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01576	WYATT ATWOOD	3/13/02
20020315	B	\$1,230,000	POVSX	PUTNAM INTL GROWTH FD	G9	S/C/Skriloff	M	TMP-01577	WYATT ATWOOD	3/13/02
		\$9,730,000			1				8 accounts	
20020322	S	\$1,092,132	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17430	MAZZARD GROUP	12/27/01
20020328	S	\$1,428,481	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01
20020328	S	\$1,081,425	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17431	GAHN & McELROY	12/27/01
20020328	S	\$1,070,718	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17432	WYATT ATWOOD	12/27/01
20020328	S	\$2,079,539	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17473	GILMORE & GILLESPIE	1/23/02
20020328	S	\$914,255	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17474	MAZZARD GROUP	1/23/02
20020328	S	\$2,036,478	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17475	GAHN & McELROY	1/23/02
20020328	S	\$912,084	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	AJX-17476	WYATT ATWOOD	1/23/02
		\$9,522,980							7 accounts	
20020409	S	\$967,696	PDDXX	PUTNAM MO MKT FD A	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020409	S	\$1,070,745	PDDXX	PUTNAM MO MKT FD A	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
		\$2,038,441							2 accounts	
4/11/02		Putnam blocked thirteen accounts			Y3	Silver/Comito	G	0EC-07665	GRANITE GROUP	

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		(PSI blocked them from Putnam domestic funds on 4/15/02)			50	S/C/others	H	05M-34939	Haidar Jupiter	
					DC	Comito	M	05M-35479	AURIC VENTURES	
					DC	Comito	M	0EC-07708	LINKAGE ASSOCIATES	
					G9	Skriloff	M	AJX-17406	GILMORE & GILLESPIE	
					G9	Skriloff	M	AJX-17430	MAZZARD GROUP	
					G9	Skriloff	M	AJX-17431	GAHN & McELROY	
					G9	Skriloff	M	AJX-17432	WYATT ATWOOD	
					G9	Skriloff	M	AJX-17473	GILMORE & GILLESPIE	
					G9	Skriloff	M	AJX-17474	GILMORE & GILLESPIE	
					G9	Skriloff	M	AJX-17475	GAHN & McELROY	
					G9	Skriloff	M	AJX-17476	WYATT ATWOOD	
					MS	Sylva [??]	M	BLM-02208	LINKAGE ASSOCIATES	
					MS	Sylva [??]	M	HSK-00141	AURIC VENTURES	
					G9	Skriloff	M	TMP-01570	GILMORE & GILLESPIE	
					G9	Skriloff	M	TMP-01571	GILMORE & GILLESPIE	
					G9	Skriloff	M	TMP-01572	MAZZARD GROUP	
					G9	Skriloff	M	TMP-01573	MAZZARD GROUP	
					G9	Skriloff	M	TMP-01574	GAHN & McELROY	
					G9	Skriloff	M	TMP-01575	GAHN & McELROY	
					G9	Skriloff	M	TMP-01576	WYATT ATWOOD	
					G9	Skriloff	M	TMP-01577	WYATT ATWOOD	
20020411	S	\$1,202,838	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020411	S	\$1,192,978	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
20020411	S	\$1,232,416	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01572	MAZZARD GROUP	3/13/02
20020411	S	\$1,192,978	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01573	MAZZARD GROUP	3/13/02
20020411	S	\$1,177,863	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01574	GAHN & McELROY	3/13/02
20020411	S	\$1,168,047	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01575	GAHN & McELROY	3/13/02
20020411	S	\$1,197,494	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01576	WYATT ATWOOD	3/13/02
20020411	S	\$1,207,310	PDDXX	PUTNAM MO MKT FD A	G9	S/C/Skriloff	M	TMP-01577	WYATT ATWOOD	3/13/02
		\$9,571,925							8 accounts	
20020416	S	\$500,000	PDDXX	PUTNAM MO MKT FD A	L8	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20020506	S	\$624,868	PDDXX	PUTNAM MO MKT FD A	L8	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01

SILVER: Putnam Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20021226	B	\$250,000	POVSX	PUTNAM INTL EQTY A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20030107	S	\$256,090	POVSX	PUTNAM INTL EQTY A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02

Exhibit 21

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010103	B	\$175,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010105	S	\$179,113	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010110	B	\$290,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010112	S	\$292,941	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010118	B	\$295,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010122	S	\$294,403	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010129	B	\$320,006	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010131	S	\$324,685	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010313	B	\$295,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010313	B	\$290,000	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$585,000			1				2 accounts	
20010315	S	\$288,332	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010315	S	\$285,877	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
		\$574,210							2 accounts	
20010319	B	\$290,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010319	B	\$197,980	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$487,980			1				2 accounts	
20010321	S	\$290,970	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010321	S	\$198,529	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$489,499							2 accounts	
20010323	B	\$245,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010328	S	\$253,894	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010404	S	\$1,182,957	SMBAY	SCUDDER US BOND A1	70	"unknown"	M	HSK-95037	STONE CASTLE	pre-1/01
20010405	B	\$295,000	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010405	B	\$280,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$575,000			1				2 accounts	
20010405	B	\$312,500	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010417	B	\$295,000	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010417	B	\$278,972	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$573,972			1				2 accounts	
20010420	S	\$299,095	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010420	S	\$319,269	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010423	S	\$286,843	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010425	B	\$289,985	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010425	B	\$323,774	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010430	S	\$292,426	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010503	S	\$327,074	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010508	B	\$334,322	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010510	S	\$332,766	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010516	B	\$298,053	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010516	B	\$329,754	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010522	S	\$301,716	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010523	S	\$331,459	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010531	B	\$227,914	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34421	H Aidar Jupiter	3/26/01

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010531	B	\$331,903	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010531	B	\$329,179	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
		\$888,996			1				3 accounts	
20010601	B	\$297,970	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010606	S	\$298,772	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010612	B	\$289,968	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010612	B	\$235,000	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010613	S	\$223,429	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34421	H Aidar JUPITER	3/26/01
20010613	S	\$322,701	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
		\$546,130							2 accounts	
20010614	S	\$291,207	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010614	S	\$236,072	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
20010620	B	\$310,000	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010620	B	\$224,978	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$534,978			1				2 accounts	
20010620	B	\$330,839	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010620	B	\$336,507	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010620	B	\$281,992	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$949,338			1				3 accounts	
20010620	B	\$498,000	SCINX	SCUDDER INTERNL	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010622	S	\$312,400	SCINX	SCUDDER INTERNL	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010622	S	\$226,646	BTEQX	SCUDDER INTL EQ INVT	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
		\$539,045							2 accounts	
20010622	S	\$333,292	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010622	S	\$339,112	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010622	S	\$284,175	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07678	JUPITER #2	5/24/01
		\$956,578							3 accounts	
20010628	B	\$328,746	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010628	B	\$280,239	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$608,986			1				2 accounts	
20010629	B	\$275,000	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
20010702	S	\$505,951	SCINX	SCUDDER INTERNL	C2	Silver/Comito	P	0EC-96543	PECONIC OFFSHORE	5/8/01
20010705	S	\$326,441	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010705	S	\$269,032	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34887	JUPITER #4	6/28/01
20010705	S	\$279,354	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
		\$874,827							3 accounts	
20010712	B	\$320,000	BTEQX	SCUDDER INTL EQ INVT	78	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010712	B	\$323,798	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010712	B	\$268,451	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
20010712	B	\$234,083	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$826,331			1				3 accounts	
20010716	S	\$320,304	BTEQX	SCUDDER INTL EQ INVT	78	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010716	S	\$324,106	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010716	S	\$267,596	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34888	JUPITER #5	6/28/01
20010716	S	\$233,337	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$825,038							3 accounts	
20010725	B	\$311,139	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010725	B	\$311,408	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$622,546			1				2 accounts	
20010806	S	\$321,816	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34902	RIVERVIEW #7	7/9/01
20010806	S	\$319,098	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
		\$640,915							2 accounts	
20010809	B	\$309,958	BTEQX	SCUDDER INTL EQ INVT	78	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
20010816	B	\$310,000	BTEQX	SCUDDER INTL EQ INVT	Y3	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
20010816	B	\$500,000	SCINX	SCUDDER INTERNL	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010820	S	\$305,131	BTEQX	SCUDDER INTL EQ INVT	Y3	Silver/Comito	G	BLM-02078	GRANITE GROUP	pre-1/01
20010821	S	\$498,780	SCINX	SCUDDER INTERNL	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01
20010822	B	\$229,997	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010822	B	\$319,527	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010822	B	\$319,527	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010822	B	\$313,433	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
		\$1,182,483			1				4 accounts	
20010828	S	\$230,335	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34686	JUPITER #1	4/30/01
20010828	S	\$319,996	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34903	RIVERVIEW #8	7/9/01
20010828	S	\$309,393	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
		\$859,724							3 accounts	
20010924	B	\$316,807	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20010924	B	\$239,518	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010924	B	\$239,782	SCINX	SCUDDER INTERNL	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$796,107			2				3 accounts	
20010924	B	\$700,000	SGQAX	SCUD GLB FDA	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20010924	B	\$700,000	KNEAX	SCUDDER NEW EUR FD A	49	S/C/Wallerst.	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20010924	B	\$500,000	KGDX	SCUD GLB DISC FD A	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20010924	B	\$498,000	SUIAX	SCUD KEM INTL FDA	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20010924	B	\$495,000	SERAY	SCUDDER GRT EUR FD A	C2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
		\$2,893,000			2				2 accounts	
20010926	S	\$323,366	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20010926	S	\$245,795	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
20010926	S	\$244,746	SCINX	SCUDDER INTERNL	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
		\$813,907							3 accounts	
20010928	B	\$318,046	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010928	B	\$318,046	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20010928	B	\$244,284	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20010928	B	\$247,226	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$1,127,601			2			4 accounts		
20011002	B	\$222,000	SGQAX	SCUD GLB FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20011002	B	\$223,000	SUIAX	SCUD KEM INTL FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20011002	B	\$220,000	SGQAX	SCUD GLB FDA	61	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20011002	B	\$225,000	SUIAX	SCUD KEM INTL FDA	C2	Silver/Comito	H	05M-34424	RIVERVIEW #2	3/26/01
		\$890,000			3			2 accounts		
20011003	B	\$570,000	SGQAX	SCUD GLB FDA	49	S/C/Wallerst.	M	0EC-07684	WYATT ATWOOD	7/26/01
20011003	B	\$630,000	KNEAX	SCUDDER NEW EUR FD A	E6	S/C/Diee	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$1,200,000								
20011008	S	\$327,844	SCINX	SCUDDER INTERNL	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011008	S	\$325,785	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34905	RIVERVIEW #10	7/9/01
20011008	S	\$250,228	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20011008	S	\$254,842	SCINX	SCUDDER INTERNL	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$1,158,700						3 accounts		
20011010	B	\$334,041	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20011010	B	\$248,147	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$582,188			2			2 accounts		
20011017	S	\$336,605	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	05M-34904	RIVERVIEW #9	7/9/01
20011017	S	\$250,052	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34942	RIVERVIEW GROUP	7/26/01
		\$586,657						2 accounts		
20011022	B	\$253,950	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01
20011022	B	\$257,522	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01
		\$511,472			2			2 accounts		
20011024	B	\$416,000	KUSAX	SCUDDER US GOVT FD A	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20011025	B	\$246,960	BTEQX	SCUDDER INTL EQ INVT	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20011029	S	\$249,404	BTEQX	SCUDDER INTL EQ INVT	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01
20011029	B	\$584,000	KUSAX	SCUDDER US GOVT FD A	78	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
		\$833,404			2				2 accounts		
20011029	S	\$258,452	BTEQX	SCUDDER INTL EQ INVT	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01	
20011029	S	\$262,087	BTEQX	SCUDDER INTL EQ INVT	50	S/C/others	H	0EC-07680	RIVERVIEW GROUP	5/24/01	
		\$520,540							2 accounts		
20011101	B	\$542,375	KGDAX	SCUD GLB DISC FD A	E6	S/C/Diee	M	05M-35152	MAZZARD GROUP	10/30/01	
20011101	B	\$547,000	SGQAX	SCUD GLB FDA	36	JT 37 & 24	M	05M-35152	MAZZARD GROUP	10/30/01	
20011101	B	\$547,000	SGQAX	SCUD GLB FDA	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01	
20011101	B	\$539,250	SUIAX	SCUD KEM INTL FDA	E6	S/C/Diee	M	05M-35152	MAZZARD GROUP	10/30/01	
		\$2,175,625			3				1 account		
20011113	B	\$487,000	SGQAX	SCUD GLB FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01	
20011113	B	\$473,200	SUIAX	SCUD KEM INTL FDA	61	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	
		\$960,200			2				2 accounts		
20011120	S	\$585,825	KGDAX	SCUD GLB DISC FD A	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01	
20011120	S	\$559,583	SGQAX	SCUD GLB FDA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01	
20011120	S	\$555,029	SUIAX	SCUD KEM INTL FDA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01	
20011120	S	\$568,603	KGDAX	SCUD GLB DISC FD A	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01	
20011120	S	\$562,803	SGQAX	SCUD GLB FDA	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01	
20011120	S	\$549,733	SUIAX	SCUD KEM INTL FDA	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01	
		\$3,381,575							2 accounts		
11/26/01		Scudder blocked four accounts						G	BLM-02098	GRANITE GROUP	
		(PSI blocked them from certain Scudder int'l fnds on 11/27/01)						H	05M-34422	RIVERVIEW #1	
							H	05M-34425	RIVERVIEW #3		
							H	05M-34426	RIVERVIEW #4		
20011126	S	\$1,575,150	KMMXX	SCUDDER MMKT FD	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01	
20011127	S	\$1,305,633	KMMXX	SCUDDER MMKT FD	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01	
20011128	S	\$488,796	KMMXX	SCUDDER MMKT FD	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01	
20011128	S	\$472,999	KCAXX	SCUD CASH RESV FDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01	
20011128	S	\$489,057	KUSAX	SCUDDER US GOVT FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01	
20011128	S	\$473,649	SGQAX	SCUD GLB FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01	

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
		\$1,924,502							4 accounts		
11/29/01		Scudder blocked one account						H	05M-34426	RIVERVIEW #4	
		(PSI blocked it from SGQAX on 12/3/01)									
20011129	B	\$284,954	SCINX	SCUDDER INTERNL	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01	
20011130	B	\$490,000	FLTSX	FLAG INV TREAS FD	C2	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01	
20011204	B	\$512,000	DBAIX	SCUDDER INTL EQ FD A	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01	
20011207	S	\$294,247	SCINX	SCUDDER INTERNL	Y3	Silver/Comito	G	05M-34894	GRANITE #5	7/3/01	
20011217	S	\$1,003,676	KEGXX	SCUDDER GV & AGY MNY	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01	
20011217	S	\$13,988	KMMXX	SCUDDER MMKT FD	Y3	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01	
		\$1,017,664									
20020115	B	\$605,000	SMBBY	SCUDDER US BOND A2	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01	
20020116	B	\$397,000	SMBBY	SCUDDER US BOND A2	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01	
20020123	B	\$264,028	BTEQX	SCUDDER INTL EQ INVT	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01	
20020128	S	\$262,446	BTEQX	SCUDDER INTL EQ INVT	Y3	Silver/Comito	G	BLM-02079	GRANITE GROUP	pre-1/01	
3/12/02		Scudder blocked one account				C2	Comito/Rowe	P	0EC-96580	PECONIC OFFSHORE	
20020319	S	\$1,030,800	SMBBY	SCUDDER US BOND A2	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01	
3/25/02		Scudder blocked one FA# (0EC-0C2)									
20020430	B	\$1,500,000	BTEQX	SCUDDER INTL EQ INVT	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01	
20020514	B	\$1,500,000	BTEQX	SCUDDER INTL EQ INVT	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020520	S	\$1,020,472	BTEQX	SCUDDER INTL EQ INVT	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020627	B	\$1,500,000	BTEQX	SCUDDER INTL EQ INVT	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020701	S	\$1,546,745	BTEQX	SCUDDER INTL EQ INVT	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020717	B	\$499,995	FLINX	FLAG INV SH-INT FD	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
7/25/02		DAM blocked two FA#s (05M-049, 05M-V2)								
		(PSI blocked them from two Deutsche int'l funds on 7/25/02)								
20020725	S	\$1,607,647	BPYXX	SCUDDER MM FD INVT	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
20020725	S	\$1,579,674	BPYXX	SCUDDER MM FD INVT	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01
		\$3,187,320							2 accounts	
20020807	B	\$1,200,000	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020807	B	\$900,000	KGDX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$2,100,000			1				2 accounts	
20020808	B	\$1,000,000	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020813	S	\$1,236,613	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020813	S	\$904,357	KGDX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020813	S	\$1,009,146	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$3,150,116							2 accounts	
20020814	B	\$1,500,000	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020814	B	\$900,000	KGDX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020814	B	\$1,500,000	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020814	B	\$900,000	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020814	B	\$1,200,000	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$6,000,000			1				2 accounts	
20020823	S	\$1,519,231	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020823	S	\$936,302	KGDX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	S	\$1,519,231	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020823	S	\$914,609	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020823	S	\$1,219,274	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$6,108,647							2 accounts	
20020826	S	\$506,221	FLINX	FLAG INV SH-INT FD	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20020904	B	\$900,000	KGDAX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020904	B	\$900,000	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020904	B	\$900,000	KGDAX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$1,500,000	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$900,000	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020904	B	\$1,000,000	SGJPY	SCUD GLB EX JAP FDA2	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
20020904	B	\$1,000,000	SGEUY	SCUD GLB GTR EFD A2	39	Silver primary	M	0EC-96648	FOXTAIL	5/8/02
		\$7,100,000			2				3 accounts	
20020912	S	\$901,913	KGDAX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020912	S	\$914,516	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020912	S	\$901,913	KGDAX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020912	S	\$1,509,041	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020912	S	\$914,516	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$5,141,899							2 accounts	
20020918	B	\$1,500,000	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020918	B	\$900,000	KGDAX	SCUD GLB DISC FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020918	B	\$1,500,000	SGQAX	SCUD GLB FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
20020918	B	\$900,000	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$4,800,000			1				2 accounts	
9/19/02		PSI blocked one FA# (05M-C2) from Scudder offshore funds on 9/19/02								
20021008	B	\$800,000	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
20021015	B	\$372,367	SUIAX	SCUD KEM INTL FDA	V2	Silver/Comito	H	05M-36611	WESSEX CAPITAL	9/23/02
20021016	S	\$855,076	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02
10/17/02		Scudder blocked one account			L8		M	0EC-96653	NOEMI	

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		(PSI blocked it from BTEQX on 10/22/02)								
20021022	S	\$371,873	SUIAX	SCUD KEM INTL FDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
10/24/02		Scudder blocked one account			39		M	0EC-96648	FOXTAIL	
		Scudder blocked two FA#s (05M-39, 0EC-0C2)								
		(PSI blocked the FA#s from Scudder offshore funds on 10/25/02)								
20021024	S	\$1,014,909	SGJPY	SCUD GLB EX JAP FDA2	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02
20021024	S	\$1,007,216	SGEUY	SCUD GLB GTR EFD A2	49	S/C/Wallerst.	M	0EC-96648	FOXTAIL	5/8/02
		\$2,022,125								
20021025	B	\$658,000	SUIAX	SCUD KEM INTL FDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021025	B	\$650,000	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
		\$1,308,000			2				2 accounts	
20021115	B	\$900,000	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021115	B	\$950,000	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$1,850,000			1				2 accounts	
20021120	B	\$540,000	SGQAX	SCUD GLB FDA	49	S/C/Wallerst.	H	05M-36611	WESSEX CAPITAL	9/23/02
20021126	S	\$893,233	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20021126	S	\$942,857	BTEQX	SCUDDER INTL EQ INVT	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02
		\$1,836,090							2 accounts	
11/27/02		Scudder blocked two accounts			L8		M	05M-36425	PERIWINKLE	
					L8		M	05M-36426	TAIGA GROUP	
		(PSI blocked accounts and FA# from BTEQX on 11/29/02)								
12/11/02		Scudder blocked one account			R3		M	HSK-00132	BISHOP	
		(PSI blocked it from KMMXX on 12/16/02)								
20030108	S	\$984,700	KMMXX	SCUDDER MMKT FD	R3	S/C/others	M	HSK-00132	BISHOP	pre-1/01
20030410	B	\$965,000	SGJPY	SCUD GLB EX JAP FDA2	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02

SILVER: Scudder/Kemper/Deutsche Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030410	B	\$945,000	SGEUY	SCUD GLB GTR EFD A2	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$1,910,000								
20030428	B	\$274,500	SUIAX	SCUD KEM INTL FDA	L8	Silver/Comito	H	05M-36614	HUDSON INVESTORS	9/23/02
5/21/03		Scudder blocked one account			V2		M	0EC-96632	BRABANT	
		(PSI blocked account and FA# from Scudder offshore funds on 5/30/03)								
20030602	S	\$1,088,001	SGJPY	SCUD GLB EX JAP FDA2	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
20030602	S	\$1,035,205	SGEUY	SCUD GLB GTR EFD A2	V2	Silver/Comito	M	0EC-96632	BRABANT	4/15/02
		\$2,123,206								
20030905	S	\$9,744	DBAIX	SCUDDER INTL EQ FD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01

Exhibit 22

SILVER: Seligman Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010105	B	\$1,000,000	SUSGX	SELIGMN HI IC US GOV	49	S/C/Wallerst.	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20010508	B	\$328,548	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010510	S	\$327,752	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010516	B	\$333,362	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010523	S	\$334,174	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010531	B	\$332,035	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010613	S	\$327,864	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010618	S	\$1,074,775	SCMXX	SELIGMAN CASH MGT FD	49	S/C/Wallerst.	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
20010620	B	\$324,114	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010620	B	\$500,000	SHIFX	SELIGMN INTL GR FDA	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010622	S	\$328,651	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010628	B	\$341,095	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010702	S	\$504,812	SHIFX	SELIGMN INTL GR FDA	C2	Silver/Comito	P	0EC-96517	PECONIC OFFSHORE	4/01??
20010705	S	\$336,591	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	05M-34426	RIVERVIEW #4	3/26/01
20010712	B	\$277,903	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
20010716	S	\$276,901	SHIFX	SELIGMN INTL GR FDA	50	S/C/others	H	0EC-07679	RIVERVIEW GROUP	5/24/01
20010809	B	\$284,970	SHIFX	SELIGMN INTL GR FDA	78	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20010816	B	\$285,000	SHIFX	SELIGMN INTL GR FDA	Y3	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01

SILVER: Seligman Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010820	S	\$281,668	SHIFX	SELIGMN INTL GR FDA	Y3	Silver/Comito	G	BLM-02077	GRANITE GROUP	pre-1/01
20011019	B	\$200,000	SHGOX	SELIGMAN GLB GR FDA	E6	S/C/Diee	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011019	B	\$200,000	SHGTX	SELIGMAN GBL TEC FDA	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011019	B	\$200,000	SHGAX	SELIGMN GBL SMLR CFA	C2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01
		\$600,000			2				3 accounts	
20011119	B	\$200,000	SHGAX	SELIGMN GBL SMLR CFA	C2	Silver/Comito	M	05M-35085	GAHN & McELROY	9/28/01
20011119	B	\$200,000	SHGTX	SELIGMAN GBL TEC FDA	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20011119	B	\$200,000	SHGAX	SELIGMN GBL SMLR CFA	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01
		\$600,000			3				3 accounts	
20011204	B	\$190,000	SHGAX	SELIGMN GBL SMLR CFA	E6	S/C/Diee	M	05M-34941	WYATT ATWOOD	7/26/01
20011205	S	\$215,897	SCMXX	SELIGMAN CASH MGT FD	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011205	S	\$230,744	SCMXX	SELIGMAN CASH MGT FD	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011205	S	\$213,579	SCMXX	SELIGMAN CASH MGT FD	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01
		\$660,220							3 accounts	
20020104	S	\$205,348	SCMXX	SELIGMAN CASH MGT FD	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20020111	S	\$197,063	SCMXX	SELIGMAN CASH MGT FD	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20020116	S	\$205,890	SCMXX	SELIGMAN CASH MGT FD	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20020717	B	\$750,000	SHYBX	SELIGMAN HI IC YL BD	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020722	S	\$745,324	SHYBX	SELIGMAN HI IC YL BD	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20020808	B	\$900,000	SHGAX	SELIGMN GBL SMLR CFA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020808	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02
20020808	B	\$900,000	SHGAX	SELIGMN GBL SMLR CFA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
20020808	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01
		\$2,200,000			2				2 accounts	
20020813	S	\$888,831	SHGAX	SELIGMN GBL SMLR CFA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02

SILVER: Seligman Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20020813	S	\$200,931	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020813	S	\$888,831	SHGAX	SELIGMN GBL SMLR CFA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020813	S	\$200,931	SHIFX	SELIGMN INTL GR FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$2,179,526							2 accounts		
20020814	B	\$900,000	SHGAX	SELIGMN GBL SMLR CFA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020814	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020814	B	\$900,000	SHGAX	SELIGMN GBL SMLR CFA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020814	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$2,200,000			2				2 accounts		
20020823	S	\$203,468	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020823	S	\$203,468	SHIFX	SELIGMN INTL GR FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$406,936							2 accounts		
20020904	B	\$900,000	SHGAX	SELIGMN GBL SMLR CFA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020904	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020904	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020904	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$1,500,000			2				3 accounts		
20020912	S	\$891,545	SHGAX	SELIGMN GBL SMLR CFA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020912	S	\$201,912	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020912	S	\$201,912	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020912	S	\$201,912	SHIFX	SELIGMN INTL GR FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$1,497,280							3 accounts		
20020918	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020918	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020918	B	\$200,000	SHIFX	SELIGMN INTL GR FDA	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
		\$600,000			2				3 accounts		
20020925	B	\$900,000	SHGAX	SELIGMN GBL SMLR CFA	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
9/26/02		Seligman blocked three accounts						M	05M-36425	PERIWINKLE	
		(PSI blocked them from Seligman funds on 9/30/02)						M	05M-36426	TAIGA GROUP	

SILVER: Seligman Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
							M	HSK-00137	GILMORE & GILLESPIE	
		Seligman blocked Silver & Comito and eight FA#s (05M-25, 05M-39, 05M-49, 05M-C2, 05M-E6, 05M-L8, 0EC-49, HSK-V2)								
		(PSI blocked the FA#s from Seligman funds on 9/30/02)								
20021202	B	\$600,000	SHYBX	SELIGMAN HI IC YL BD	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20021209	S	\$594,361	SHYBX	SELIGMAN HI IC YL BD	R3	S/C/others	M	HSK-95038	RICHARD LEWIS INC	pre-1/01

Exhibit 23

SILVER: Smith Barney Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010125	B	\$1,000,000	SBNYX	SMITH BAR MUN NY PTA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010308	S	\$1,048,048	SBMAX	SMITH B MDCP BLD FDA	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010308	S	\$117,005	SMCAY	SMITH BAR MO CSH PTA	70	"unknown"	M	HSK-00132	BISHOP	pre-1/01
20010308	S	\$43,991	SBMAX	SMITH B MDCP BLD FDA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20010308	S	\$907,973	SBMAX	SMITH B MDCP BLD FDA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
		\$2,117,016							2 accounts	
20010308	S	\$755,574	SASMX	SALOMON B SM CAP GRA	49	S/C/Wallerst.	MVP	0EC-96241	MVP II LTD	pre-1/01
20010308	S	\$755,200	SCCAX	SALOMON BR CAP FDA	49	S/C/Wallerst.	MVP	0EC-96241	MVP II LTD	pre-1/01
		\$1,510,774								
20010309	S	\$86,986	SMCAY	SMITH BAR MO CSH PTA	70	"unknown"	M	HSK-00135	KNIGHT	pre-1/01
20011016	B	\$400,000	SAGVY	SMITH B GOVT SECS A	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20011219	B	\$502,000	SBIEX	SMITH B WF ITL EQ A	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011219	B	\$507,000	SBIEX	SMITH B WF ITL EQ A	V2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$1,009,000			2				2 accounts	
20020103	S	\$424,668	SHRAX	SMITH BAR S/AGG EF A	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20020104	B	\$510,000	SBIEX	SMITH B WF ITL EQ A	E6	S/C/Diee	M	05M-34941	WYATT ATWOOD	7/26/01
20020104	B	\$495,000	SBIEX	SMITH B WF ITL EQ A	E6	S/C/Diee	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$1,005,000			1				2 accounts	
20020108	S	\$509,941	SBIEX	SMITH B WF ITL EQ A	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20020109	S	\$509,430	SBIEX	SMITH B WF ITL EQ A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20020109	B	\$250,000	SUSAX	SALOMON B SH/IT USGA	E6	S/C/Diee	P	BLM-95239	PECONIC OFFSHORE	pre-1/01
20020109	B	\$250,000	SUSAX	SALOMON B SH/IT USGA	E6	S/C/Diee	P	BLM-95245	PECONIC OFFSHORE	pre-1/01
		\$500,000			1				2 accounts	
20020118	S	\$488,462	SBIEX	SMITH B WF ITL EQ A	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01
20020118	S	\$474,096	SBIEX	SMITH B WF ITL EQ A	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01

SILVER: Smith Barney Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
		\$962,558							2 accounts	
20020213	S	\$244,028	SACXX	SALOMON B CSH MGT A	C2	Silver/Comito	P	0EC-96558	PECONIC OFFSHORE	7/9/01
20020213	S	\$244,028	SACXX	SALOMON B CSH MGT A	C2	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
		\$488,055							2 accounts	
20020301	B	\$440,000	SBIEX	SMITH B WF ITL EQ A	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020306	B	\$490,000	SBIEX	SMITH B WF ITL EQ A	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020313	S	\$456,797	SBIEX	SMITH B WF ITL EQ A	DC	Comito "also"	M	05M-35479	AURIC VENTURES	2/19/02
20020408	B	\$300,000	SBIEX	SMITH B WF ITL EQ A	V2	Silver/Comito	M	HSK-00141	AURIC VENTURES	2/19/02
20020409	S	\$497,046	SMCAY	SMITH BAR MO CSH PTA	MS	Silver "also"	M	BLM-02208	LINKAGE ASSOCIATES	2/19/02
20020410	B	\$225,000	SBIEX	SMITH B WF ITL EQ A	L8	Silver/Comito	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020410	B	\$350,000	SBIEX	SMITH B WF ITL EQ A	G9	S/C/Skriloff	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
		\$575,000			2				2 accounts	
20020412	B	\$200,000	SBIEX	SMITH B WF ITL EQ A	G9	S/C/Skriloff	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020501	S	\$224,059	SMCAY	SMITH BAR MO CSH PTA	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20020508	S	\$201,128	SMCAY	SMITH BAR MO CSH PTA	G9	S/C/Skriloff	M	TMP-01570	GILMORE & GILLESPIE	3/13/02
20020508	S	\$349,408	SMCAY	SMITH BAR MO CSH PTA	G9	S/C/Skriloff	M	TMP-01571	GILMORE & GILLESPIE	3/13/02
		\$550,536							2 accounts	
20020509	S	\$294,996	SBIEX	SMITH B WF ITL EQ A	MS	Silver "also"	M	HSK-00141	AURIC VENTURES	2/19/02
20030220	B	\$749,995	SAHYX	SALOMON B H YLD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030325	B	\$780,000	SAHYX	SALOMON B H YLD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030415	B	\$249,995	SAHYX	SALOMON B H YLD A	25	Comito primary	M	HSK-00132	BISHOP	pre-1/01

SILVER: Smith Barney Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20030506	B	\$700,000	SUSCX	SALOMON B SH/I USGII	L8	Silver/Comito	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20030529	B	\$3,990,000	SHYCX	SALOMN B HI YL BFDII	L8	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20030529	B	\$229,995	SUSAX	SALOMON B SH/IT USGA	39	Silver primary	H	05M-35186	JARKO INVESTMENT	11/7/01
20030529	B	\$262,995	SUSAX	SALOMON B SH/IT USGA	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
		\$492,990			2				2 accounts	
20030530	B	\$770,000	SAHYX	SALOMON B H YLD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030530	B	\$724,500	SAHYX	SALOMON B H YLD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
		\$1,494,500			1				2 accounts	
20030603	B	\$600,000	SAHYX	SALOMON B H YLD A	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030630	B	\$1,050,000	SBCGX	SMITH B FD USG PTA	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
20030724	S	\$752,615	SCCX	SALOMON B C MGMT C	C8	Silver "also"	P	0EC-96580	PECONIC OFFSHORE	9/5/01
20030813	S	\$504,444	SCCX	SALOMON B C MGMT C	L8	Silver/Comito	G	BLM-02098	GRANITE GROUP	pre-1/01
20030905	S	\$1,096,231	SAHYX	SALOMON B H YLD A	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030905	S	\$1,053,235	SHIAX	SMITH BAR HIG ICFD A	L8	Silver/Comito	M	HSK-95038	RICHARD LEWIS INC	pre-1/01
		\$2,149,466							2 accounts	
20030908	S	\$1,003,454	SAHYX	SALOMON B H YLD A	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030908	S	\$989,925	SAHYX	SALOMON B H YLD A	L8	Silver/Comito	H	05M-35187	JARKO INVESTMENT	11/7/01
		\$1,993,379							2 accounts	
20030910	S	\$1,487,734	SAHYX	SALOMON B H YLD A	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02

Exhibit 24

SILVER: State Street Research Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20011019	B	\$400,000	SSIEX	STATE STR RS ITL/E A	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
20011101	B	\$395,000	SSIEX	STATE STR RS ITL/E A	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
20011119	B	\$400,000	SSIEX	STATE STR RS ITL/E A	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011119	B	\$400,000	SSIEX	STATE STR RS ITL/E A	49	S/C/Wallerst.	M	05M-35085	GAHN & McELROY	9/28/01
20011119	B	\$400,000	SSIEX	STATE STR RS ITL/E A	25	Comito primary	M	05M-35198	GAHN & McELROY	11/13/01
20011119	B	\$400,000	SSIEX	STATE STR RS ITL/E A	39	Silver primary	M	05M-35199	MAZZARD GROUP	11/13/01
		\$1,600,000			3				4 accounts	
20011123	B	\$400,000	SSIEX	STATE STR RS ITL/E A	V2	Silver/Comito	M	05M-35049	GAHN & McELROY	9/18/01
20011129	B	\$400,000	SSIEX	STATE STR RS ITL/E A	C2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01
20011204	B	\$400,000	SSIEX	STATE STR RS ITL/E A	25	Comito primary	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01
20011204	B	\$380,000	SSIEX	STATE STR RS ITL/E A	V2	Silver/Comito	M	05M-34941	WYATT ATWOOD	7/26/01
20011204	B	\$400,000	SSIEX	STATE STR RS ITL/E A	39	Silver primary	M	0EC-07684	WYATT ATWOOD	7/26/01
		\$1,180,000			3				3 accounts	
12/7/01		SSR blocked seven accounts			49		M	05M-34706	GILMORE & GILLESPIE	
		(PSI blocked them from SSIEX on 12/20/01)			E6		M	05M-35049	GAHN & McELROY	
					49		M	05M-35085	GAHN & McELROY	
					49		M	05M-35152	MAZZARD GROUP	
					25		M	05M-35198	GAHN & McELROY	
					39		M	05M-35199	MAZZARD GROUP	
					C2		M	0EC-07669	GILMORE & GILLESPIE	
20011210	S	\$406,948	SSRXX	STATE STR R MM FD E	49	S/C/Wallerst.	M	05M-34706	GILMORE & GILLESPIE	5/7/01
20011210	S	\$403,654	SSRXX	STATE STR R MM FD E	E6	S/C/Diee	M	05M-35049	GAHN & McELROY	9/18/01
20011210	S	\$406,948	SSRXX	STATE STR R MM FD E	E6	S/C/Diee	M	05M-35085	GAHN & McELROY	9/28/01
20011210	S	\$414,126	SSRXX	STATE STR R MM FD E	49	S/C/Wallerst.	M	05M-35152	MAZZARD GROUP	10/30/01
20011210	S	\$406,948	SSRXX	STATE STR R MM FD E	E6	S/C/Diee	M	05M-35198	GAHN & McELROY	11/13/01
20011210	S	\$406,948	SSRXX	STATE STR R MM FD E	49	S/C/Wallerst.	M	05M-35199	MAZZARD GROUP	11/13/01
20011210	S	\$433,296	SSRXX	STATE STR R MM FD E	C2	Silver/Comito	M	0EC-07669	GILMORE & GILLESPIE	4/01??
		\$2,878,868							7 accounts	

SILVER: State Street Research Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
12/14/01		SSR blocked three accounts						M	05M-34566	GILMORE & GILLESPIE #1	
		(PSI blocked them from SSR funds on 12/19/01)						M	05M-34941	WYATT ATWOOD	
							M	0EC-07684	WYATT ATWOOD		
20011214	B	\$400,000	SSIEX	STATE STR RS ITL/E A	E6	S/C/Diee	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20011214	B	\$400,000	SSIEX	STATE STR RS ITL/E A	49	S/C/Wallerst.	M	HSK-00138	GAHN & McELROY	11/28/01	
20011214	B	\$400,000	SSIEX	STATE STR RS ITL/E A	49	S/C/Wallerst.	M	HSK-00139	WYATT ATWOOD	11/28/01	
20011214	B	\$400,000	SSIEX	STATE STR RS ITL/E A	49	S/C/Wallerst.	M	HSK-00140	MAZZARD GROUP	11/28/01	
		\$1,600,000			2				4 accounts		
20011226	B	\$400,000	SSIEX	STATE STR RS ITL/E A	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01	
1/2/02		SSR blocked four accounts						M	HSK-00137	GILMORE & GILLESPIE	
		(PSI blocked them from SSR funds on 1/4/02)						M	HSK-00138	GAHN & McELROY	
							M	HSK-00139	WYATT ATWOOD		
							M	HSK-00140	MAZZARD GROUP		
20020103	S	\$395,073	SSRXX	STATE STR R MM FD E	C2	Silver/Comito	M	05M-34566	GILMORE & GILLESPIE #1	4/30/01	
20020103	S	\$375,319	SSRXX	STATE STR R MM FD E	49	S/C/Wallerst.	M	05M-34941	WYATT ATWOOD	7/26/01	
20020103	S	\$395,073	SSRXX	STATE STR R MM FD E	C2	Silver/Comito	M	0EC-07684	WYATT ATWOOD	7/26/01	
20020103	S	\$413,963	SSRXX	STATE STR R MM FD E	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020103	S	\$413,963	SSRXX	STATE STR R MM FD E	V2	Silver/Comito	M	HSK-00138	GAHN & McELROY	11/28/01	
20020103	S	\$413,963	SSRXX	STATE STR R MM FD E	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020103	S	\$413,963	SSRXX	STATE STR R MM FD E	V2	Silver/Comito	M	HSK-00140	MAZZARD GROUP	11/28/01	
		\$2,821,317							7 accounts		
20020107	S	\$409,397	SSRXX	STATE STR R MM FD E	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
1/8/02		SSR blocked one account						M	AJX-17406	GILMORE & GILLESPIE	
		(PSI blocked it from SSR funds on 1/9/02)									
20020114	S	\$422,086	SSRXX	STATE STR R MM FD E	G9	S/C/Skriloff	M	AJX-17406	GILMORE & GILLESPIE	12/??/01	
20020408	B	\$380,000	SSIEX	STATE STR RS ITL/E A	V2	Silver/Comito	M	05M-35566	LINKAGE ASSOCIATES	3/14/02	

SILVER: State Street Research Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20020412	S	\$38,345	SSIEX	STATE STR RS ITL/E A	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20020415	S	\$378,850	SSIEX	STATE STR RS ITL/E A	MS	Silver "also"	M	05M-35566	LINKAGE ASSOCIATES	3/14/02
20030305	B	\$549,995	SSHAX	STATE STR R HIG IFA	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030325	B	\$780,000	SSHAX	STATE STR R HIG IFA	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030530	B	\$847,600	SSHAX	STATE STR R HIG IFA	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030603	B	\$460,000	SSHAX	STATE STR R HIG IFA	L8	Silver/Comito	H	0EC-96697	CANADIAN IMPERIAL	11/??/02
20030702	B	\$299,995	SSGIX	STATE STR R GOV IFA	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030905	S	\$879,372	SSHAX	STATE STR R HIG IFA	L8	Silver/Comito	M	HSK-00132	BISHOP	pre-1/01
20030908	S	\$878,882	SSHAX	STATE STR R HIG IFA	L8	Silver/Comito	H	05M-35186	JARKO INVESTMENT	11/7/01
20030910	S	\$1,320,243	SSHAX	STATE STR R HIG IFA	MS	Silver "also"	H	0EC-96697	CANADIAN IMPERIAL	11/??/02

Exhibit 25

SILVER: Strong Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened
20010313	B	\$290,000	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010315	S	\$287,790	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02079	GRANITE GROUP	pre-1/01
20010319	B	\$197,980	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010321	S	\$194,520	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010405	B	\$295,000	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010420	S	\$308,136	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02077	GRANITE GROUP	pre-1/01
20010425	B	\$295,016	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010425	B	\$327,417	STISX	STRONG INTL FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010430	S	\$302,467	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02078	GRANITE GROUP	pre-1/01
20010503	S	\$334,768	STISX	STRONG INTL FD A	50	S/C/others	H	05M-34422	RIVERVIEW #1	3/26/01
20010508	B	\$329,303	STISX	STRONG INTL FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010510	S	\$330,513	STISX	STRONG INTL FD A	50	S/C/others	H	05M-34424	RIVERVIEW #2	3/26/01
20010516	B	\$327,491	STISX	STRONG INTL FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010523	S	\$325,090	STISX	STRONG INTL FD A	50	S/C/others	H	05M-34425	RIVERVIEW #3	3/26/01
20010601	B	\$298,060	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010606	S	\$300,315	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010620	B	\$226,977	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01
20010622	S	\$228,970	STISX	STRONG INTL FD A	49	S/C/Wallerst.	G	BLM-02080	GRANITE GROUP	pre-1/01

SILVER: Strong Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20010628	B	\$489,000	STISX	STRONG INTL FD A	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01	
20010702	S	\$495,732	STISX	STRONG INTL FD A	C2	Silver/Comito	P	0EC-96536	PECONIC OFFSHORE	5/8/01	
20010801	B	\$246,000	STISX	STRONG INTL FD A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01	
20010808	S	\$242,843	STISX	STRONG INTL FD A	K4	S/C/others	H	05M-34943	RIVERVIEW GROUP	7/26/01	
20020412	B	\$500,000	STISX	STRONG INTL FD A	V2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02	
20020430	B	\$500,000	STISX	STRONG INTL FD A	V2	Silver/Comito	M	05M-35115	WYATT ATWOOD	10/16/01	
20020501	S	\$507,880	SMUAX	STRONG ULTRA SHT MUF	V2	Silver/Comito	M	05M-35385	WYATT ATWOOD	1/22/02	
5/6/02		Strong blocked one account (PSI blocked it from STISX on 5/8/02)						M	05M-35385	WYATT ATWOOD	
20020515	S	\$507,295	SMUAX	STRONG ULTRA SHT MUF	49	S/C/Wallerst.	M	05M-35115	WYATT ATWOOD	10/16/01	
20020515	B	\$500,000	STISX	STRONG INTL FD A	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020524	S	\$498,346	STISX	STRONG INTL FD A	V2	Silver/Comito	M	HSK-00139	WYATT ATWOOD	11/28/01	
20020627	B	\$500,000	STISX	STRONG INTL FD A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020701	S	\$513,064	STISX	STRONG INTL FD A	V2	Silver/Comito	M	HSK-00137	GILMORE & GILLESPIE	11/28/01	
20020808	B	\$100,000	STISX	STRONG INTL FD A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020813	S	\$100,783	STISX	STRONG INTL FD A	L8	Silver/Comito	M	05M-36425	PERIWINKLE	7/3/02	
20020814	B	\$400,000	STISX	STRONG INTL FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020823	S	\$410,430	STISX	STRONG INTL FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
20020904	B	\$400,000	STISX	STRONG INTL FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	

SILVER: Strong Funds

Date	B/S	Amount	Symbol	Fund	FA #	FA Name	Client	Acct #	Acct Name	Opened	
20020912	S	\$402,139	STISX	STRONG INTL FD A	L8	Silver/Comito	M	05M-36426	TAIGA GROUP	7/3/02	
9/13/02		Strong blocked one account						M	05M-36426	TAIGA GROUP	
		(PSI blocked it from STISX on 9/18/02)									
20020926	B	\$1,000,000	STISX	STRONG INTL FD A	L8	Silver/Comito	M	0EC-96653	NOEMI	7/3/02	