

SEC NEWS DIGEST

Issue 99-224

November 22, 1999

COMMISSION ANNOUNCEMENTS

COMMISSIONER LAURA UNGER RELEASES ON-LINE TRADING REPORT

Securities and Exchange Commissioner Laura S. Unger today released a report summarizing the findings from a series of on-line investing roundtables conducted earlier this year. The 95-page report summarizes the significant comments of roundtable participants and makes recommendations for ongoing dialogue between regulators and industry and for regulatory strategies she believes the Commission should consider.

The findings and recommendations in the report focus on the issues of suitability, best execution, market data, systems capacity, investor education, on-line discussion forums, privacy, and portals. Commissioner Unger believes these are among the key on-line issues facing investors and the industry today.

Commissioner Unger said, "The Internet is rapidly making on-line trading ubiquitous. This report provides the Commission with a comprehensive examination of the critical issues to be addressed in the area of technology. I think it may still be premature for extensive rulemaking in this area, but the report will allow the Commission to focus upon and consider the most vital issues concerning on-line brokerage."

Report Recommendations:

1. Suitability: The report recommends that the Commission:
 - * obtain certain information from the industry on data mining products;
 - * alternatively, include as part of future examinations a review of the services firms provide to their customers from data mining information; and
 - * consider specific scenarios and analysis as guidance to the industry about on-line suitability obligations.

2. Best Execution: The report recommends that the Commission:
 - * encourage the industry to demonstrate the relative importance of speed and certainty of execution in today's market environment;
 - * consider requiring market centers to make certain best execution factors uniformly available;
 - * consider requiring broker-dealers to regularly provide customers with plain English information about: (a) the execution quality available on different market centers; (b) the broker-dealer's order handling practices; and (c) inducements for receiving order flow received by the broker-dealer; and
 - * evaluate the potential impact of new order routing technologies on brokers' best execution obligations, investors, and the markets.
3. Market Data: The report concludes that the Commission should encourage the broadest possible dissemination of real-time market data to investors, and that the Commission should evaluate whether the current pricing scheme accomplishes this. The report recommends that the Commission's upcoming market data concept release address the issues raised in this section.
4. Systems Capacity: The report concludes that the Commission should focus on ways to ensure more adequate systems capacity at all broker-dealers and also encourages the Commission to repropose the broker-dealer operational capability rule. The report recommends that the Commission consider requiring broker-dealers to:
 - * maintain and periodically test contingency plans;
 - * maintain records of significant systems outages;
 - * conduct regular systems testing and evaluation; and
 - * include plain English disclosure of the risks of systems delays or outages in new account documentation.
5. Investor Education: The report reviews the current status of investor education and recommends that:
 - * firms partner with the Commission in helping to educate investors; and
 - * the Commission study on line investor behavior to determine the best place and time to educate investors on the Internet.
6. On-line Discussion Forums: The report recommends that:

- * the Commission conduct or encourage researchers to conduct a study analyzing the effect of chat room discussions on company's stock prices; and
 - * broker-dealers operating on-line discussion forums consider adopting certain best practices to prevent investor confusion.
7. Privacy: The report recommends that the Commission:
- * evaluate on-line firms' information collection practices; and
 - * consider certain factors in conducting its statutorily required study on privacy.
8. Portals: Because the federal securities laws generally prohibit entities not registered as broker-dealers from receiving securities transaction-based compensation, the report recommends that the Commission consider whether alternative compensation arrangements are appropriate for entities not registered as broker-dealers.

[This is a report by Laura S. Unger. The Commission and other Commissioners have expressed no views regarding the analysis, findings, conclusions, or recommendations herein.] (Press Rel. 99-156)

ENFORCEMENT PROCEEDINGS

COMMISSION ISSUES ORDER SUSPENDING MICHAEL HOOPER FROM PRACTICING BEFORE IT AS AN ACCOUNTANT

The Commission announced that on November 19 it issued an Order Instituting Proceedings Pursuant to Rule 102(e) of the Commission's Rules of Practice, Making Findings, and Imposing Remedial Sanctions. The Order suspended Michael J. Hooper, an accountant in Spokane, Washington, from appearing or practicing before the Commission as an accountant, pursuant to Rule 102(e) of the Commission's Rules of Practice. Hooper consented to the entry of the Order, without admitting or denying the allegations in the Order. Hooper may reapply to practice before the Commission after five years.

The Commission's Order found that Hooper was enjoined from violations of the antifraud provisions of the federal securities laws by the United States District Court for the Western District of Washington in *Securities and Exchange Commission v. United Fire Technology, Inc., et al.*, C97-1151R, on August 31, 1999. In the underlying injunctive action, the Commission's complaint alleged that Hooper had made material misrepresentations and failed to disclose material facts in financial statements and in an audit report he prepared for United Fire Technology, Inc. (UFT). For instance, in the financial statements he prepared, Hooper failed to disclose certain related party transactions. Additionally, Hooper's

audit report falsely stated that the financial statements had been prepared in accordance with generally accepted accounting principles and that the audit had been conducted in accordance with generally accepted auditing standards. Hooper consented to the entry of the injunction without admitting or denying the allegations in the complaint. (Rel. 34-42157; AAE Rel. 1210; File No. 3-10104)

COMMISSION ISSUES ORDER INSTITUTING PUBLIC PROCEEDINGS AGAINST KENNETH STARNES

The Commission announced that on November 19 it issued an Order Instituting Public Administrative Proceedings pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 against Kenneth E. Starnes.

In the Order, the Division of Enforcement alleges that on August 31, 1999, the United States District Court for the Western District of Washington permanently enjoined Starnes from future violations of Section 17(a) of the Securities Act of 1933 and of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder in *Securities and Exchange Commission v. United Fire Technology, Inc., et al.*, C97-1151R. In the underlying injunctive action, the Commission alleged that Starnes, a registered representative, sold United Fire Technology, Inc. (UFT) common stock to his retail customers. Starnes failed to disclose to these customers that Starnes had received kickbacks from UFT or Beverlee Kamerling, the person who controlled UFT, to solicit and sell UFT common stock to investors.

A hearing will be held before an Administrative Law Judge to determine whether the Division of Enforcement's allegations against Starnes are true, and, if so, to determine what remedial sanctions, if any, are appropriate and in the public interest. (Rel. 34-42158; File No. 3-10105)

COURT ORDERS COMPLIANCE WITH SEC SUBPOENA

The Commission announced that on November 8 the Honorable David H. Coar entered an Order Requiring Compliance with a Subpoena Duces Tecum of the United States Securities and Exchange Commission requiring Respondent Mysore S. Sundara to comply fully with the Commission's subpoena duces tecum by producing documents on or before November 22, 1999 and appearing for testimony on or before November 29, 1999. Sundara, a resident of Schaumburg, Illinois, is the President of Global Planning, Inc., Estate and Tax Planning Associates International, and Global Business Services, Inc., all Illinois corporations with their headquarters in Hoffman Estates, Illinois. The Commission filed the subpoena enforcement action on November 1, 1999, pursuant to Section 22(b) of the Securities Act of 1933, Section 21(c) of the Securities Exchange Act of 1934, and Federal Rule of Civil Procedure 81(a)(3), based on Sundara's failure to produce documents and appear for testimony pursuant to a lawfully-issued Commission subpoena. [SEC v. Mysore S. Sundara, USDC, ND Ill. No. 99-C-7128] (LR-16365)

CIVIL ACTION AGAINST SUNPOINT SECURITIES, INC., VAN LEWIS III AND MARY WILDER

The Commission announced that on November 19 it filed a civil lawsuit charging two corporate officers of Sunpoint Securities, Inc. (Sunpoint or firm), a self-clearing broker-dealer registered with the Commission, with systematically looting as much as \$25 million from a money market account which the firm maintained for its customers. The Commission's complaint alleges that from December 1997 through the present, Sunpoint illegally transferred money market funds belonging to its customers to the firm's clearing account for the firm's operating capital, to satisfy the firm's net capital requirements and for the personal benefit of the firm's president and chief executive officer. The diversion of customer funds resulted in the firm presently having available only approximately \$12 million in the customer money market account to cover about \$37 million in money market obligations to its customers. As a result of this shortfall, Sunpoint is grossly below its net capital and customer reserve requirements. The firm ceased business on November 18, 1999.

Until ceasing business, Sunpoint was a full service, self-clearing broker-dealer based in Longview, Texas, which has been registered with the Commission since 1989. The firm is a member of the National Association of Securities Dealers (NASD). Sunpoint has over 100 registered representatives throughout the country, many of whom operate out of one-person offices. The firm also has larger branch offices in Fort Worth and Tyler, Texas, Rochester and Yonkers, N.Y., Chantilly, Virginia, and Wyomissing, York and Pittsburgh, Pennsylvania. Sunpoint's common stock is traded on the OTC Bulletin Board under the symbol "SNPC".

According to the Commission's complaint, the scheme was devised, and/or participated in, by Van R. Lewis, III (Lewis) and Mary Ellen Wilder (Wilder).

Lewis, age 53, is the founder, CEO and majority shareholder of Sunpoint. Lewis has been in the securities industry since 1988 and is a registered principal. In June 1994, Lewis was sanctioned by the NASD for violating certain NASD rules which resulted in a net capital violation by Sunpoint. In September 1994, he was sanctioned by a state securities regulator for violations of the net capital rule and for engaging in dishonest or unethical practices.

Wilder, age 56, is the CFO and a minority shareholder of Sunpoint. Wilder has been in the securities industry since 1993 and is a registered principal. In August 1998, Wilder was sanctioned by the NASD for violating certain NASD rules which resulted in a net capital violation by Sunpoint.

Simultaneously with the filing of the Commission's lawsuit, the Securities Investor Protection Corporation, known as "SIPC," has filed an application with the Court to have a SIPC trustee appointed to liquidate Sunpoint for the benefit of the firm's customers and other creditors. The Commission has consented to allow SIPC to join

the lawsuit for this purpose. Sunpoint's board of directors, acting on behalf of the firm, has agreed to consent to the SIPC action and the appointment of a SIPC trustee.

In its lawsuit, filed today, the Commission has sought emergency orders: (1) temporarily restraining and enjoining Sunpoint, Lewis, and Wilder from continuing to violate the federal securities laws; (2) freezing the assets of Sunpoint, Lewis and Wilder; (2) requiring Lewis and Wilder to separately furnish an accounting, including an accounting of monies diverted from the money market account maintained by Sunpoint for its customers; (4) prohibiting the destruction of documents; and (5) authorizing expedited discovery. Sunpoint, without admitting or denying any wrongdoing, has agreed to an Order of Preliminary Injunction providing all of the emergency relief sought against it by the Commission.

In its complaint, the Commission alleges that Sunpoint, Lewis and Wilder violated and/or aided and abetted violations of the following provisions of the federal securities laws: (1) the Antifraud Provisions, at Section 17(a) of the Securities Act of 1933, and Sections 10(b) and 15(c)(1) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 10b-5 and 15c1-2 thereunder; (2) the Broker-Dealer Net Capital and Customer Reserve Provisions, Section 15(c)(3) of the Exchange Act and Rules 15c3-1 and 15c3-3 thereunder; and (3) the Broker-Dealer Reporting and Record-Keeping Provisions, Sections 17(a) and 17(e) of the Exchange Act and Rules 17a-3 and 17a-5 thereunder. In addition to the emergency relief described above, the Commission is seeking preliminary and permanent injunctions restraining future violations of the foregoing provisions of the federal securities laws; an order requiring the defendants to disgorge all wrongfully obtained profits plus prejudgement interest; and civil penalties. For further information about SIPC, you can access the SIPC web site at www.sipc.org. [SEC v. Sunpoint Securities, Inc., Van R. Lewis III and Mary Ellen Wilder, Case No. 6:99-CV-667, USDC, EDTX, Tyler Division] (LR-16366)

INVESTMENT COMPANY ACT RELEASES

OLDE ASSET MANAGEMENT, INC.

A notice has been issued giving interested persons until December 10, 1999, to request a hearing on an application by OLDE Asset Management, Inc. (OLDE) for an order under Section 6(c) of the Investment Company Act for an exemption from Section 15(a) of the Act. The order would permit the implementation, without prior shareholder approval, of new investment advisory agreements (New Agreements) for a period of not more than 150 days beginning on the later of the date on which the acquisition by H&R Block, Inc. of OLDE is consummated or the date on which the requested order is issued and continuing through the date the New Agreements are approved or disapproved by the shareholders (but in no event later

than April 15, 2000) (Interim Period). The order would also permit payment of all fees earned under the New Agreements during the Interim Period following shareholder approval. (Rel. IC-24144 - November 18)

SUNAMERICA ASSET MANAGEMENT CORP., ET AL.

A notice has been issued giving interested persons until December 14, 1999, to request a hearing on an application filed by SunAmerica Asset Management Corp., et al., for an order granting an exemption from Section 17(a) of the Investment Company Act. The order would permit certain registered open-end management investment companies to settle claims that may arise under an insurance policy provided by an affiliated insurance company. (Rel. IC-24145 - November 19)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-4 NORTEL NETWORKS CORP, 8200 DIXIE ROAD, SUITE 100, BRAMPTON ONT CANA, A6 00000 (905) 863-1103 - 31,201,000 (\$2,447,328,437 50) FOREIGN COMMON STOCK. (FILE 333-11110 - NOV. 09) (BR. 7)
- F-6 NDS GROUP PLC /ADR/, ONE WALL STREET, 212-495-1727, NEW YORK, NY 10286 - 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-11116 - NOV. 09) (BR. 99 - NEW ISSUE)
- F-1 METALINK LTD, 24 HABARZEL STREET, TEL AVIV ISRAEL, (011) 972-3645 - 4,600,000 (\$46,000,000) FOREIGN COMMON STOCK. (FILE 333-11118 - NOV. 10) (BR. 5 - NEW ISSUE)
- S-8 PACIFIC INTERNET LTD, 89 SCIENCE PARK DR #04/09 12 RUTHERFORD, SINGAPORE SCIENCE PARK, SINGAPORE 118261, UO 00000 (065) 872-0322 - 1,761,890 (\$54,233,176.56) FOREIGN COMMON STOCK. (FILE 333-11122 - NOV. 12) (BR. 3)
- S-8 ORBOTECH LTD, ORBOT PARK, P O BOX 215, YAVINE ISRAEL 7065, L3 - 250,000 (\$21,562,500) FOREIGN COMMON STOCK. (FILE 333-11124 - NOV 12) (BR. 5)
- S-3 AFFILIATED COMPUTER SERVICES INC, 2828 N HASKELL AVE, PO BOX 219002, DALLAS, TX 75221 (214) 841-6111 - 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 333-90943 - NOV. 15) (BR 3)
- S-4 WELLS FARGO & CO/MN, 420 MONTGOMERY STREET, SIXTH & MARQUETTE, SAN FRANCISCO, CA 94163 (612) 667-1234 - 6,032,096 (\$258,414,993) COMMON STOCK. (FILE 333-90949 - NOV. 15) (BR. 7)

S-8 LSI LOGIC CORP, 1551 MCCARTHY BLVD, MS D 106, MILPITAS, CA 95035
(408) 433-8000 - 7,000,000 (\$403,812,600) COMMON STOCK. (FILE 333-90951 -
NOV. 15) (BR. 5)

S-1 AIR PACKAGING TECHNOLOGIES INC, 25620 RYE CANYON ROAD, 661-294-2222,
VALENCIA, CA 91355 (661) 294-2222 - 144,800 (\$1,448,000) COMMON STOCK.
(FILE 333-90953 - NOV 15) (BR. 6)

S-3 PROFESSIONAL TRANSPORTATION GROUP LTD INC, 495 LOVERS LANE ROAD,
CALHOUN, GA 30701 (706) 629-8682 - 1,200,000 (\$975,000) COMMON STOCK.
(FILE 333-90955 - NOV 15) (BR 9)

S-8 HOME STAKE OIL & GAS CO, 15 EAST 5TH STREET STE 2800, TULSA, OK 74103
(918) 583-0178 - 451,736 (\$2,121,655) COMMON STOCK. (FILE 333-90957 -
NOV 15) (BR 4)

S-3 COINSTAR INC, 1800 114TH AVENUE SE, SUITE 200, BELLEVUE, WA 98004
(206) 644-6789 - 30,000 (\$261,563) COMMON STOCK. (FILE 333-90959 -
NOV. 15) (BR. 8)

S-3 QUANTA SERVICES INC, 1360 POST OAK BLVD, SUITE 2100, HOUSTON, TX 77056
(713) 350-6000 - 2,034,849 (\$63,080,314) COMMON STOCK. (FILE 333-90961 -
NOV 15) (BR. 6)

S-3 E TRADE GROUP INC, 4500 BOHANNON DRIVE, MENLO PARK, CA 94025
(650) 842-2500 - 4,600,595 (\$141,468,296) COMMON STOCK (FILE 333-90963 -
NOV. 15) (BR 7)

S-8 E PIPHANY INC, 2300 GENG ROAD, SUITE 200, PALO ALTO, CA 94303
(650) 496-2430 - 12,514,613 (\$576,953,519.90) COMMON STOCK (FILE
333-90965 - NOV 15) (BR. 8)

S-8 PRICE T ROWE ASSOCIATES INC /MD/, 100 EAST PRATT ST, BALTIMORE, MD 21202
(410) 345- - 400,000 (\$14,376,000) COMMON STOCK (FILE 333-90967 -
NOV 15) (BR. 7)

S-3 DATAKEY INC, 407 W TRAVELERS TRAIL, BURNSVILLE, MN 55337 (612) 890-6850
- 429,978 (\$712,151.06) COMMON STOCK. (FILE 333-90969 - NOV. 15) (BR. 5)

S-8 NETSCOUT SYSTEMS INC, 4 TECHNOLOGY PARK DR, WESTFORD, MA 01886
(978) 614-4000 - 4,500,000 (\$103,714,824) COMMON STOCK. (FILE 333-90971 -
NOV 15) (BR. 3)

N-2 OXBOW FUND LLC, C/O CJM PLANNING CORP, 223 WANAQUE AVE, POMPTON LAKES,
NJ 07410 (973) 831-8020 - 250,000 (\$25,000,000) COMMON STOCK. (FILE
333-90973 - NOV 15)

S-3 CHEVRON CORP, 575 MARKET STREET, SAN FRANCISCO, CA 94105 (415) 894-7700
- 2,000,000,000 (\$2,000,000,000) STRAIGHT BONDS (FILE 333-90977 -
NOV. 15) (BR. 4)

S-1 EXTENSITY INC, 2200 POWELL ST, STE 400, EMERYVILLE, CA 94608
(510) 594-5700 - \$57,500,000 COMMON STOCK. (FILE 333-90979 - NOV. 15)
(BR. 1)

S-8 ORTHOVITA INC, 45 GREAT VALLEY PKWY, VALVERN, PA 19355 (215) 640-1775 -
500,000 (\$3,065,000) COMMON STOCK. (FILE 333-90981 - NOV. 15) (BR. 5)

S-3 LEARN2 COM INC, 925 WESTCHESTER AVENUE, WHITE PLAINS, NY 10604
(914) 682-4300 - 1,135,709 (\$3,509,340 81) COMMON STOCK. (FILE 333-90983 -
NOV 15) (BR. 3)

S-3 SECURITY FINANCIAL CORP /OH/, 1 S MAIN ST, NILES, OH 44446
(330) 544-7400 - 50,000 (\$3,568,000) COMMON STOCK. (FILE 333-90985 -
NOV. 15) (BR. 7)

SB-2 ECHAPMAN COM INC, WORLD TRADE CENTER BALTIMORE,
401 EAST PRATT STREET SUITE 2800, BALTIMORE, MD 21202 (410) 625-9656 -
3,533,333 (\$56,533,328) COMMON STOCK. (FILE 333-90987 - NOV. 15)
(NEW ISSUE)

S-3 SEACOAST FINANCIAL SERVICES CORP, 791 PURCHASE ST, NEW BEDFORD, MA 02740
(508) 984-6000 - 500,000 (\$5,343,750) COMMON STOCK. (FILE 333-90989 -
NOV. 15) (BR. 7)

S-8 BORON LEPORE & ASSOCIATES INC, 17-17 ROUTE 208 NORTH, FAIR LAWN, NJ
07410 - 100,000 (\$662,500) COMMON STOCK. (FILE 333-90991 - NOV 15)
(BR. 8)

S-1 CFI PROSERVICES INC, 400 S W SIXTH AVE, SUITE 200, PORTLAND, OR 97204
(503) 274-7280 - 1,249,356 (\$15,199,644 38) COMMON STOCK (FILE 333-90993
- NOV 15) (BR 3)

S-3 UNITEDGLOBALCOM INC, 4643 S ULSTER ST, STE 1300, DENVER, CO 80237
(303) 770-4001 - \$1,200,000,000 STRAIGHT BONDS (FILE 333-90997 - NOV. 16)
(BR. 7)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCESS ANYTIME BANCORP INC	DE		X						X		11/05/99	
ADVANCED REMOTE COMMUNICATION SOLUT IONS	CA		X								11/19/99	
AFC CABLE SYSTEMS INC	DE				X		X				11/18/99	
AGL RESOURCES INC	GA				X		X				11/18/99	
AGROCAN CORP	DE				X		X				11/18/99	
ALLIANCE PHARMACEUTICAL CORP	NY		X				X				11/04/99	
AMERICAN HOME PRODUCTS CORP	DE				X		X				11/18/99	
AMERICAN LOCKER GROUP INC	DE				X		X				11/18/99	
AMFM OPERATING INC	DE				X		X				11/19/99	
AMRESCO RESIDENTIAL SECURITIES CORP MORT LOAN TRUST 1999-1	DE		X				X				11/16/99	
ANALOG DEVICES INC	MA				X		X				10/14/99	AMEND
APPLIED VOICE RECOGNITION INC /DE/	DE				X		X				08/19/99	
ARCH COMMUNICATIONS GROUP INC /DE/	DE				X						11/07/99	
ARMORED STORAGE INCOME INVESTORS LT D PARTNERSHIP	AZ		X								11/05/99	AMEND
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X		X				11/19/99	
BAR HARBOR BANKSHARES	ME		X								11/18/99	
BARGO ENERGY CO	TX						X				09/08/99	AMEND
BEST BUY CO INC	MN				X		X				11/08/99	
BOSTON LIFE SCIENCES INC /DE	DE				X		X				11/09/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CADMUS COMMUNICATIONS CORP/NEW	VA				X	X					11/18/99	
CAMERA PLATFORMS INTERNATIONAL INC	DE	X									12/31/99	
CATERPILLAR INC	DE				X						11/19/99	
CELL TECH INTERNATIONAL INC	DE	X	X		X	X					11/17/99	
CHASE MORTGAGE FINANCE CORP	DE				X	X					11/18/99	
CHROMATICS COLOR SCIENCES INTERNATI ONAL INC	NY						X				06/07/99	AMEND
CHROMATICS COLOR SCIENCES INTERNATI ONAL INC	NY						X				11/03/99	AMEND
CLEAR CHANNEL COMMUNICATIONS INC	TX				X	X					11/18/99	
COMMERCIAL INTERTECH CORP	OH				X	X					11/18/99	
COMMERCIAL MORTGAGE ACCEPTANCE CORP SERIES 1999-C1 TRUST	NY				X	X					11/15/99	
COMPX INTERNATIONAL INC	DE				X	X					11/19/99	
CONDOR TECHNOLOGY SOLUTIONS INC	DE				X	X					11/15/99	
CRIIMI MAE INC	MD		X								11/19/99	
CYBERCASH INC	DE				X	X					11/19/99	
DAIN RAUSCHER CORP	DE				X	X					11/18/99	
DATUM INC	DE				X	X					11/17/99	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE				X	X					10/31/99	
DEUTSCHE RECREATIONAL ASSET FUNDING CORP	NV				X	X					10/31/99	
DREW INDUSTRIES INCORPORATED	DE				X	X					10/21/99	
DSP COMMUNICATIONS INC	DE	X									11/18/99	
ELECTRONIC CLEARING HOUSE INC	NV	X									11/10/99	
ESCALON MEDICAL CORP	CA				X	X					11/17/99	
EUPHONIX INC \CA\	CA				X						11/19/99	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-A	UT	X									10/25/99	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-B	UT	X									10/25/99	
FIRST SECURITY AUTO GRANTOR TRUST 1 998-A	UT	X									10/23/99	
FIRST SECURITY AUTO OWNER TRUST 199 8-1	DE	X									10/23/99	
FIRST SECURITY AUTO OWNER TRUST 199 9 1	UT	X									10/25/99	
FIRST SECURITY AUTO OWNER TRUST 199 9-2	UT	X									10/25/99	
FIRST SECURITY CORP /UT/	DE				X						11/19/99	
FIRST SIERRA RECEIVABLES II INC	DE	X				X					11/01/99	
FIRST SIERRA RECEIVABLES III INC	DE	X				X					11/01/99	
FLEET BOSTON CORP	RI				X	X					11/19/99	
FREMONT FUNDING INC	DE					X					11/15/99	
FRONTIER OIL CORP /NEW/	WY				X	X					11/12/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ				X	X					11/19/99	
GEORGIA GULF CORP /DE/	DE	X			X	X					11/12/99	
GLIATECH INC	DE				X	X					11/17/99	
GOLD BANC CORP INC	KS				X	X					11/19/99	
GT INTERACTIVE SOFTWARE CORP	DE				X	X					11/15/99	
HARRIS CORP /DE/	DE	X				X					11/05/99	
HAWTHORNE FINANCIAL CORP	CA				X	X					11/18/99	
HEADLANDS HOME EQUITY LN TR SE 1999 -1 CL A-1 AN CL A-2 NOTES					X	X					10/15/99	
HEADLANDS HOME EQUITY LN TR SE 1999 -1 CL A-1 AN CL A-2 NOTES					X	X					11/15/99	
HEADLANDS HOME EQUITY LOAN TR 1998- 2 REV HOME EQ LN AS BK NO	DE				X	X					10/15/99	
HEADLANDS HOME EQUITY LOAN TR 1998- 2 REV HOME EQ LN AS BK NO	DE				X	X					11/15/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HEALTH CARE PROPERTY INVESTORS INC	MD						X				11/04/99	AMEND
HIGH PLAINS CORP	KS				X						11/16/99	
HIGH PLAINS CORP	KS				X						11/17/99	
HOPPED BANCORP INC	DE				X	X					11/17/99	
HOUSEHOLD CREDIT CARD MASTER TRUST I	DE						X				11/15/99	
IBW FINANCIAL CORP	DC			X		X					11/15/99	
INAMED CORP	DE			X	X						11/16/99	
INNOVATIVE DEVICES INC	MA				X	X					11/08/99	
LATTICE SEMICONDUCTOR CORP	DE							X			11/09/99	
LCA VISION INC	DE	X									11/19/99	
LIGAND PHARMACEUTICALS INC	DE				X						11/19/99	
LITTLE SWITZERLAND INC/DE	DE				X	X					11/08/99	
LUCENT TECHNOLOGIES INC	DE				X						11/19/99	
MACE SECURITY INTERNATIONAL INC	DE		X			X					09/09/99	AMEND
MAS ACQUISITION IX CORP			X								11/05/99	
MAXXAM GROUP HOLDINGS INC					X						11/19/99	
MAXXAM INC	DE				X						11/19/99	
MEDIMMUNE INC /DE	DE				X						11/19/99	
MERRILL LYNCH MORT INVEST INC MORT PASS THR CERT SER 1999 C1	DE		X			X					11/04/99	
MID AMERICA APARTMENT COMMUNITIES I NC	TN				X						11/19/99	
MIDCOAST ENERGY RESOURCES INC	NV	X									11/03/99	
NELX INC	KS					X					11/18/99	
NETOPTIX CORP	DE				X						11/18/99	
NORTHEAST PENNSYLVANIA FINANCIAL CO RP	DE				X	X					11/17/99	
OCCIDENTAL PETROLEUM CORP /DE/	DE				X						11/18/99	
OHIO CASUALTY CORP	OH				X						11/05/99	
PACIFICARE HEALTH SYSTEMS INC /DE/	DE				X	X					10/28/99	
PHILADELPHIA SUBURBAN CORP	PA				X						11/19/99	
PRI AUTOMATION INC	MA				X						11/18/99	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE				X	X					09/28/99	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE	X				X					11/01/99	
QUIDEL CORP /DE/	DE				X						11/12/99	
R&G FINANCIAL CORP	PR				X	X					09/14/99	
REPUBLIC BANCSHARES INC	FL				X	X					11/19/99	
RF MONOLITHICS INC /DE/	DE				X	X					11/12/99	
RIVERSIDE GROUP INC/FL	FL				X						10/21/99	AMEND
ROMAC INTERNATIONAL INC	FL				X						11/12/99	
SCB COMPUTER TECHNOLOGY INC	TN				X	X					11/10/99	
SCOTIA PACIFIC CO LLC	DE				X						11/19/99	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL				X	X					11/18/99	
SHURGARD STORAGE CENTERS INC	WA	X									11/19/99	
SHURGARD STORAGE CENTERS INC	WA	X									11/19/99	
SIZZLER INTERNATIONAL INC	DE				X	X					11/17/99	
SMITH CHARLES E RESIDENTIAL REALTY INC	MD					X					07/02/99	AMEND
SMITH CHARLES E RESIDENTIAL REALTY LP	MD		X			X					09/08/99	AMEND
SONIC AUTOMOTIVE INC	DE				X	X					11/04/99	
SONIC AUTOMOTIVE INC	DE				X	X					11/04/99	
SONOCO PRODUCTS CO	SC				X	X					11/17/99	
SOUTHERN UNION CO	DE				X						11/19/99	
STEWART ENTERPRISES INC	LA				X						11/17/99	
TAL WIRELESS NETWORKS INC	DE			X		X					10/31/99	
TIBCO SOFTWARE INC	DE		X			X					11/04/99	

NAME OF ISSUER	STATE	BK ITEM NO.								DATE	COMMENT		
	CODE	1	2	3	4	5	6	7	8			9	
VENATOR GROUP INC	NY					X	X					11/18/99	
VERTICALNET INC	PA					X						11/16/99	
ZEROS & ONES INC	NV	X				X	X	X				11/05/99	