

# SEC NEWS DIGEST

Issue 99-196

October 12, 1999

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## ENFORCEMENT PROCEEDINGS

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### COMMISSION FILES ACTION AGAINST EDWARD COX AND COX SECURITIES, INC.

On September 30, the Commission announced the filing of an action against Edward R. Cox (Cox) and his company Cox Securities, Inc. (Cox Securities) for securities fraud in connection with a scheme to avoid trading losses. Cox was a floor broker on the Pacific Exchange where he executed securities trades for a broad institutional client base.

The complaint alleges that from at least November 1996 through March 12, 1998, Cox created over a thousand false trades in which he moved trading losses to other Exchange members. Ultimately, this scheme involved at least 31 different securities, victimized at least 34 different broker-dealers and 19 floor specialists and resulted in over \$1.5 million in losses. The Commission charged both defendants with violating the antifraud provisions of Section 17(a) of the Securities Act of 1933 and Sections 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder. The Commission also charged Cox Securities with violating, and Cox with aiding and abetting violations of, the broker-dealer books and records requirements of Section 17(a)(1) of the Exchange Act and Rule 17a-3 thereunder.

The Commission acknowledges the assistance of the Pacific Exchange in connection with this matter. [SEC v. Edward R. Cox and Cox Securities, Inc., Civil Action No. SA CV 99-1214 DOC, ANx, C.D. Cal.] (LR-16332)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the National Association of Securities Dealers (SR-NASD-99-21) relating to the creation of a dispute resolution subsidiary. (Rel. 34-41971)

The Commission approved a proposed rule change submitted by the Pacific Exchange (SR-PCX-98-29) to: (i) adopt new rules to accommodate the trading, whether by listing or pursuant to unlisted trading privileges, of Investment Company Units, including World Equity Benchmark Shares (WEBS); and (ii) trade WEBS on the Exchange pursuant to unlisted trading privileges. Publication of the order is expected in the Federal Register during the week of October 11. (Rel. 34-41983; International Series Rel. 1206)

The Commission approved a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-99-22) amending the NYSE's listing requirement for equity linked debt securities. Publication of the proposal is expected in the Federal Register during the week of October 11. (Rel. 34-41992)

#### **ACCELERATED APPROVAL OF PROPOSED RULE CHANGES**

The Commission granted accelerated approval to a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-99-37) to use the revised Forms U-4 and U-5. Publication of the proposal is expected in the Federal Register during the week of October 11. (Rel. 34-41984)

The Commission granted accelerated approval to a proposed rule change (SR-NASD-99-58) filed by the National Association of Securities Dealers to extend the Nasdaq International Service pilot program. Publication of the proposal is expected in the Federal Register during the week of October 11. (Rel. 34-41988)

#### **PROPOSED RULE CHANGE**

The American Stock Exchange filed a proposed rule change (SR-Amex-99-36) to permit a member firm seeking to facilitate its own public customer's order of 400 contracts or more to participate in the firm's proprietary account as the contra-side of that order to the extent of at least 25 % of the order, provided that no public customer order has priority over the facilitation order. Publication of the notice is expected in the Federal Register during the week of October 11. (Rel. 34-41985)

#### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES**

The National Association of Securities Dealers filed a notice of filing and immediate effectiveness of a proposed rule change (SR-NASD-99-44) regarding marketable limit orders. Publication of the proposal is expected in the Federal Register during the week of October 11. (Rel. 34-41990)

A proposed rule change (SR-Phlx-99-27) and Amendment Numbers 1 and 2 thereto filed by the Philadelphia Stock Exchange reflecting the view that in certain instances the voluntary delisting of options books will not be considered negatively by the allocation committee has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in

## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-1 ENEL SOCIETA PER AZIONI, VIALE REGINA MARGHERITA 137, (011) 39-06-85091, ROME 00198 ITALY, L6 00000 - \$100,000,000 FOREIGN COMMON STOCK. (FILE 333-10944 - OCT. 01) (BR. 2 - NEW ISSUE)

F-6 ENEL SOCIETA PER AZIONI/ADR/, 111 WALL STREET, NEW YORK, NY 10043 (212) 657-7527 - 1,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-10946 - OCT. 01) (BR 99 - NEW ISSUE)

F-9 AT&T CANADA INC, STE 600 BOW VALLEY SQUARE TWO, 205 5TH AVE SW, CALGARY ALBERTA, A0 (403) 262-2255 - 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 333-10948 - OCT 01) (BR. 7)

F-1 ECTEL LTD, 43 HASIVIM STREET, (972-3) 926-6577, PETAH TIKVA 49130 ISRAEL, L3 00000 - 4,025,000 (\$56,350,000) FOREIGN COMMON STOCK. (FILE 333-10950 - OCT 01) (BR. 7 - NEW ISSUE)

F-3 KAFUS INDUSTRIES LTD, 1155 ROBSON ST, VANCOUVER BRITISH CO, A1 V6E 1 (916) 442-0400 - 8,808,663 (\$78,751,235 22) FOREIGN COMMON STOCK (FILE 333-10952 - OCT. 01) (BR. 6)

S-3 AK STEEL HOLDING CORP, 703 CURTIS ST, MIDDLETOWN, OH 45043 (513) 425-5000 - 500,000 (\$8,531,250) COMMON STOCK (FILE 333-88357 - OCT 04) (BR 6)

S-8 SYKES ENTERPRISES INC, 100 NORTH TAMPA ST, STE 3900, TAMPA, FL 33602 (813) 274-1000 - 1,000,000 (\$24,187,500) COMMON STOCK (FILE 333-88359 - OCT. 04) (BR. 3)

S-3 PREMIER RESEARCH WORLDWIDE LTD, 30 SOUTH 17TH STREET, PHILADELPHIA, PA 19103 (215) 972-0420 - 2,678,000 (\$14,809,340) COMMON STOCK. (FILE 333-88361 - OCT. 04) (BR. 1)

S-4 INFINITY BROADCASTING CORP /DE/, 40 WEST 57TH ST, NEW YORK, NY 10019 (212) 314-9200 - 260,640,203 (\$7,252,313,635) COMMON STOCK (FILE 333-88363 - OCT. 04) (BR. 7)

S-8 PROXIM INC /DE/, 295 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043 (415) 960-1630 - 1,000,000 (\$47,437,500) COMMON STOCK (FILE 333-88369 - OCT 04) (BR 3)

S-8 INSIGNIA FINANCIAL GROUP INC /DE/, 200 PARK AVENUE, NEW YORK, NY 10166 (864) 298-8000 - 105,686 (\$406,891.10) COMMON STOCK (FILE 333-88371 - OCT. 04) (BR. 8)

S-8 TEGAL CORP /DE/, 2201 S MCDOWELL BLVD, P O BOX 6020, PETALUMA, CA 94955  
(707) 763-5600 - 550,000 (\$1,621,500) COMMON STOCK (FILE 333-88373 -  
OCT. 04) (BR. 5)

S-8 ITXC CORP, 600 COLLEGE ROAD EAST, PRINCETON, NJ 08540 (609) 419-1500 -  
500,000 (\$11,925,000) COMMON STOCK. (FILE 333-88375 - OCT. 04) (BR. 7)

S-3 DEFINITION LTD, 1334 KILLIAN DR, LAKE PARK, FL 33403 (561) 840-0556 -  
1,631,250 (\$815,625) COMMON STOCK. (FILE 333-88377 - OCT 04) (BR. 5)

S-8 MASTERPIECE TECHNOLOGY GROUP INC, 455 WARD CORNER RD, STE 110, LOVELAND,  
OH 45140 (425) 643-0777 - 500,000 (\$1,500,000) COMMON STOCK. (FILE  
333-88381 - OCT. 04) (BR. 9)

S-8 NEOMAGIC CORP, 3260 JAY STREET, 3260 JAY STREET, SANTA CLARA, CA 95054  
(408) 8 -988- - 2,500,000 (\$19,500,000) COMMON STOCK. (FILE 333-88383 -  
OCT. 04) (BR. 5)

S-1 IRVINE SENSORS CORP/DE/, 3001 REDHILL AVE, COSTA MESA, CA 92626  
(714) 549-8211 - 8,270,731 (\$13,439,937.88) COMMON STOCK. (FILE 333-88385  
- OCT. 04) (BR. 1)

S-8 MATERIAL SCIENCES CORP, 2300 E PRATT BLVD, ELK GROVE VILLAGE, IL 60007  
(847) 439-8270 - 400,000 (\$4,246,421 53) COMMON STOCK (FILE 333-88387 -  
OCT. 04) (BR. 6)

S-1 IMPSAT CORP, ONE FINANCIAL PLAZA, FT LAUDERDALE, FL 33394 (541) 300-4007  
- \$150,000,000 COMMON STOCK. (FILE 333-88389 - OCT. 04) (BR 7)

S-8 CANANDAIGUA BRANDS INC, 300 WILLOWBROOK OFFICE PARK, FAIRPORT, NY 14450  
(716) 218-2169 - 4,000,000 (\$225,742,610) COMMON STOCK. (FILE 333-88391 -  
OCT. 04) (BR 2)

SB-1 STATE OF FRANKLIN BANCSHARES INC, 1907 NORTH ROAN STREET, JOHNSON CITY,  
TN 37604 (423) 926-3300 - 555,555 (\$7,500,000) COMMON STOCK (FILE  
333-88393 - OCT 04) (BR. 7)

F-1 CHARTERED SEMICONDUCTOR MANUFACTURING LTD,  
60 WOODLANDS INDUSTRIAL PARK D STREE 2, SINGAPORE, (653) 622-838 -  
287,500,000 (\$517,500,000) COMMON STOCK. (FILE 333-88397 - OCT 04)  
(BR. 36 - NEW ISSUE)

S-8 NETWORKS ASSOCIATES INC/, 3963 FREEDOM CIRCLE, SANTA CLARA, CA 95054  
(408) 346-3832 - 6,700,000 (\$129,377,000) COMMON STOCK. (FILE 333-88399 -  
OCT. 04) (BR 3)

S-3 BLUE WAVE SYSTEMS INC, 2410 LUNA RD, STE 132, CARROLLTON, TX 75006  
(214) 277-4600 - 2,200,002 (\$10,243,759) COMMON STOCK. (FILE 333-88401 -  
OCT. 04) (BR. 3)

S-8 JAVELIN SYSTEMS INC, 17891 CARTWRIGHT AVENUE, IRVINE, CA 92614  
(714) 223-5130 - 1,000,000 (\$10,478,328) COMMON STOCK (FILE 333-88403 -  
OCT 04) (BR. 9)

S-4 PHOTON DYNAMICS INC, 6325 SAN IGNACIO AVENUE, SAN JOSE, CA 95119  
(408) 226-9900 - 1,960,000 (\$39,317,600) COMMON STOCK. (FILE 333-88407 -  
OCT. 04) (BR. 9)

S-8 ELECTRO SCIENTIFIC INDUSTRIES INC, 13900 NW SCIENCE PARK DR, PORTLAND,  
OR 97229 (503) 641-4141 - 650,000 (\$34,003,125) COMMON STOCK. (FILE  
333-88411 - OCT. 04) (BR. 5)

S-8 HAUPPAUGE DIGITAL INC, 91 CABOT COURT, HAUPPAUGE, NY 11788  
(516) 434-1600 - 350,000 (\$8,159,375) COMMON STOCK. (FILE 333-88413 -  
OCT 04) (BR. 3)

S-3 INAMED CORP, 5540 EKWILL STREET, SANTA BARBARA, CA 93111 (805) 692-5400  
- 2,300,000 (\$67,850,000) COMMON STOCK. (FILE 333-88417 - OCT. 04)  
(BR. 5)

S-8 CASCADE NATURAL GAS CORP, 222 FAIRVIEW AVE N, SEATTLE, WA 98109  
(206) 624-3900 - 150,000 (\$2,695,500) COMMON STOCK. (FILE 333-88419 -

OCT. 04) (BR. 2)

S-8 APPLIED DIGITAL SOLUTIONS INC, 400 ROYAL PALM WAY, SUITE 410,  
PALM BEACH, FL 33480 (561) 366-4800 - 1,500,000 (\$2,812,500) COMMON STOCK.  
(FILE 333-88421 - OCT. 04) (BR. 7)

S-1 GENETRONICS BIOMEDICAL LTD, 11199 SORRENTO VALLEY RD, SAN DIEGO, CA  
92121 (619) 597-6006 - 4,142,611 (\$14,758,052) COMMON STOCK. (FILE  
333-88427 - OCT. 05) (BR. 5)

S-8 MOLECULAR BIOSYSTEMS INC, 10030 BARNES CANYON RD, SAN DIEGO, CA 92121  
(619) 812-7001 - 2,000,000 (\$3,180,000) COMMON STOCK. (FILE 333-88429 -  
OCT 05) (BR 1)

S-2 CAS MEDICAL SYSTEMS INC, 21 BUSINESS PARK DR, BRANFORD, CT 06405  
(203) 488-6056 - 100,000 (\$41,000) COMMON STOCK. (FILE 333-88431 -  
OCT 04) (BR 5)

S-4 CAMDEN NATIONAL CORP, TWO ELM ST, CAMDEN, ME 04843 (207) 236-8821 -  
1,800,000 (\$22,419,392) COMMON STOCK. (FILE 333-88433 - OCT 05) (BR 7)

S-8 ASK JEEVES INC, 918 PARKER STREET, SUITE 12A, BERKELEY, CA 94710  
(510) 649-8685 - 2,426,236 (\$49,448,248 84) COMMON STOCK (FILE 333-88435  
- OCT 05) (BR. 8)

S-1 CAMINUS CORP, 747 THIRD AVE, NEW YORK, NY 10017 (212) 888-3600 -  
\$72,680,000 COMMON STOCK. (FILE 333-88437 - OCT. 05) (NEW ISSUE)

F-6 CNOOC LTD, BANK OF CHINA TOWER, 65TH FLOOR 1 GARDEN RD, HONG KONG, K3  
00000 - 115,000,000 (\$5,750,000) DEPOSITARY RECEIPTS FOR COMMON STOCK  
(FILE 333-10954 - OCT. 04) (BR. 4)

F-3 CHINA TELECOM HONG KONG LTD, 48 WALL STREET, C/O BANK OF NEW YORK,  
NEW YORK, NY 10286 - \$1,900,000,000 FOREIGN COMMON STOCK (FILE 333-10956  
- OCT 04) (BR. 7)

F-3 CHINA TELECOM HONG KONG LTD, 48 WALL STREET, C/O BANK OF NEW YORK,  
NEW YORK, NY 10286 - \$500,000,000 STRAIGHT BONDS (FILE 333-10958 -  
OCT. 04) (BR. 7)

S-B REPUBLIC OF THE PHILIPPINES, 556 FIFTH AVE,  
PHILIPPINE CONSULATE GENERAL, NEW YORK, NY 10036 - \$2,000,000,000  
WARRANTS, OPTIONS OR RIGHTS. (FILE 333-10960 - OCT 04)

S-8 BCE INC, 1000 RUE DE LA GAUCHETIERE OUEST, BUREAU 4100,  
MONTREAL QUEBEC CANA, A8 (514) 397-7000 - 1,000,000 (\$48,031,250)  
FOREIGN COMMON STOCK. (FILE 333-10962 - OCT. 04) (BR 7)

F-3 TELEWEST COMMUNICATIONS PLC /NEW/, GENESIS BUSINESS PARK,  
ALBERT DR WOKING, SURREY GU21 5RK ENGL, X0 (148) 375-0900 - 195,209,474  
(\$681,281,064.26) FOREIGN COMMON STOCK. (FILE 333-10964 - OCT 04)  
(BR. 7)

F-3 ID BIOMEDICAL CORP, 1177 W HASTINGS ST #707, VANCOUVER BC CANADA, A1 -  
29,165 (\$60,954.85) FOREIGN COMMON STOCK. (FILE 333-10966 - OCT 04)  
(BR. 1)

F-6 NATIONAL GRID GROUP PLC \ADR\, 48 WALL ST, C/O BANK OF NEW YORK,  
NEW YORK, NY 10286 (212) 495-1727 - 15,000,000 (\$750,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-10968 - OCT. 04) (BR 99)

S-1 T/R SYSTEMS INC, 1300 OAKBROOK DR, NORCROSS, GA 30093 (770) 448-9008 -  
\$41,400,000 COMMON STOCK. (FILE 333-88439 - OCT. 05) (BR. 3 - NEW ISSUE)

S-4 DOW CHEMICAL CO /DE/, 2030 DOW CENTER, MIDLAND, MI 48674 (517) 636-1000  
- 80,500,000 (\$8,104,740,000) COMMON STOCK. (FILE 333-88443 - OCT 05)  
(BR. 2)

S-8 TALK COM, 12020 SUNRISE VALLEY DRIVE, RESTON, VA 22091 (215) 862-1500 -  
1,025,000 (\$10,280,313) COMMON STOCK. (FILE 333-88451 - OCT 05) (BR 7)

S-8 OMNI RAIL PRODUCTS INC, 975 SE SANDY BLVD, PORTLAND, OR 97214  
(503) 230-8034 - 1,000,000 (\$1,179,455.39) COMMON STOCK. (FILE 333-88453 -

OCT. 05) (BR. 9)

S-8 COMMUNITY BANCORP INC, 130 WEST FALLBROOK STREET, FALLBROOK, CA 92028  
(760) 723-6002 - 21,800 (\$172,356) COMMON STOCK. (FILE 333-88455 -  
OCT. 05) (BR. 9)

S-8 COMMUNITY BANCORP INC, 130 WEST FALLBROOK STREET, FALLBROOK, CA 92028  
(760) 723-6002 - 665,000 (\$5,257,656) COMMON STOCK (FILE 333-88457 -  
OCT. 05) (BR. 9)

S-4 GELTEX PHARMACEUTICALS INC, 303 BEAR HILL RD, WALTHAM, MA 02154  
(781) -29-0-58 - 1,198,606 (\$9,167,895.32) COMMON STOCK. (FILE 333-88459 -  
OCT. 05) (BR. 1)

S-8 EBANK COM INC, 2410 PACES FERRY ROAD, SUITE 400, ATLANTA, GA 30339  
(770) 956-4034 - 230,000 (\$2,386,250) COMMON STOCK. (FILE 333-88461 -  
OCT 05) (BR. 7)

S-8 SEAVIEW UNDERWATER RESEARCH INC, 4229 GULF BLVD, SUITE 460,  
ST PEACH BEACH, FL 33706 (727) 866-1819 - 250,000 (\$250) COMMON STOCK.  
(FILE 333-88471 - OCT. 05) (BR. 9)

S-8 COMMUNITY BANCORP INC, 130 WEST FALLBROOK STREET, FALLBROOK, CA 92028  
(760) 723-6002 - 100,000 (\$790,625) COMMON STOCK. (FILE 333-88473 -  
OCT. 05) (BR. 9)

S-8 TOREADOR ROYALTY CORP, 4809 COLE AVENUE SUITE 108, DALLAS, TX 75205  
(214) 369-0080 - 295,000 (\$1,079,700) COMMON STOCK. (FILE 333-88475 -  
OCT. 05) (BR. 4)

S-8 ZIONS BANCORPORATION /UT/, ONE SOUTH MAIN STREET, SUITE 1380,  
SALT LAKE CITY, UT 84111 (801) 524-4787 - 1,500,000 (\$79,781,250)  
COMMON STOCK (FILE 333-88477 - OCT 05) (BR. 7)

S-3 PNC BANK CORP, ONE PNC PLAZA, 249 FIFTH AVE, PITTSBURGH, PA 15265  
(412) 762-1553 - 1,500,000,000 (\$1,500,000,000) COMMON STOCK. (FILE  
333-88479 - OCT. 05) (BR. 7)

S-3 EQUITY OFFICE PROPERTIES TRUST, TWO NORTH RIVERSIDE PLZ, SUITE 2200,  
CHICAGO, IL 60606 (312) 466-3300 - 1,012,623 (\$23,573,863) COMMON STOCK.  
(FILE 333-88481 - OCT. 05) (BR. 8)

S-4 ALLFIRST FINANCIAL INC, FIRST MARYLAND BLDG, 25 S CHARLES ST, BALTIMORE,  
MD 21201 (410) 244-4000 (FILE 333-88485 - OCT. 05) (BR. 7)

S-4 FTM MEDIA INC, 6991 EAST CAMELBACK ROAD, #D103, SCOTTSDALE,, AZ 85251  
(480) 425-0099 - 2,153,032 (\$3,509,442) COMMON STOCK. (FILE 333-88487 -  
OCT 05) (BR. 9)

S-3 U S TRUST CORP /NY, 114 WEST 47TH ST, NEW YORK, NY 10036 (212) 852-1000  
- 161,485 (\$12,520,133 91) COMMON STOCK. (FILE 333-88489 - OCT 05)  
(BR 7)

S-1 HOMECOM COMMUNICATIONS INC, 3535 PIEDMONT RD, ATLANTA, GA 30305  
(404) 237-4646 - 1,777,812 (\$6,889,021) COMMON STOCK (FILE 333-88491 -  
OCT 05) (BR 3)

S-1 VIISAGE TECHNOLOGY INC, VIISAGE TECHNOLOGY INC, 30 PORTER ROAD,  
LITTLETON, MA 01460 (617) 952-2200 - 1,846,972 (\$3,693,944) COMMON STOCK.  
(FILE 333-88497 - OCT. 05) (BR. 3)

S-1 D E FREY GROUP INC, 1700 LINCOLN STREET SUITE 2200, DENVER, CO 80203  
(303) 863-4040 - 2,300,000 (\$16,100,000) COMMON STOCK 200,000  
(\$1,680,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-88499 - OCT. 05)

S-8 WAL MART STORES INC, 702 SOUTHWEST 8TH ST, BENTONVILLE, AR 72716  
(501) 273-4000 - 1,138,516 (\$51,162,062.75) COMMON STOCK (FILE 333-88501  
- OCT. 05) (BR. 2)

S-8 CHICAGO TITLE CORP, 171 NORTH CLARK ST, 6FC, CHICAGO, IL 60601  
(888) 431-4288 - 500,000 (\$19,828,125) COMMON STOCK. (FILE 333-88505 -  
OCT. 06) (BR. 1)

S-1 ABOUT COM INC, 220 E 42ND ST, 24TH FL, NEW YORK, NY 10017 (212) 849-2000  
 - 4,025,000 (\$226,406,250) COMMON STOCK. (FILE 333-88507 - OCT. 06)  
 (BR. 3)

S-3 TEXTRON FINANCIAL CORP, 40 WESTMINSTER ST, PROVIDENCE, RI 02901  
 (401) 621-4200 - 3,000,000,000 (\$3,000,000,000) STRAIGHT BONDS. (FILE  
 333-88509 - OCT. 06)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCESS PHARMACEUTICALS INC	DE		X								09/27/99	AMEND
ADVANTA CONDUIT RECEIVABLES INC	NV		X			X					10/08/99	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1999 B	NV		X			X					10/08/99	
AEI REAL ESTATE FUND 85-A LTD PARTN ERSHIP	MN		X			X					09/28/99	
ALLMERICA FINANCIAL CORP	DE					X	X				08/02/99	
AMERICAN COMMUNICATIONS ENTERPRISES INC	NV					X	X				08/16/99	
AMERICAN HOME PRODUCTS CORP	DE					X	X				10/07/99	
AMSURG CORP	TN		X			X					09/24/99	
AMSURG CORP	TN		X			X					09/30/99	
ANNAPOLIS NATIONAL BANCORP INC	MD					X	X				10/08/99	
ASSET BACKED FUNDING CORP	DE					X	X				10/08/99	
ATLANTIC CITY BOARDWALK ASSOCIATES LP	NJ			X							10/05/99	
BCAM INTERNATIONAL INC	NY	X	X			X					09/23/99	
BOSTON CHICKEN INC	DE					X					10/07/99	
CBS CORP	PA					X					10/08/99	
CD WAREHOUSE INC	DE					X	X				10/05/99	
CDBEAT COM INC	DE	X				X					09/23/99	
CELERITY SYSTEMS INC	DE					X	X				09/30/99	
CENTURA BANKS INC	NC		X								10/08/99	
CHAMPION HOME EQUITY LOAN TRUST 199 9-1	DE					X	X				09/30/99	
CHASE CREDIT CARD MASTER TRUST	NY					X					10/01/99	
CHASE MANHATTAN BANK /NY/	NY					X					10/01/99	
CHEVRON CORP	DE						X				10/08/99	
CITY CAPITAL HOME LOAN TRUST 1999-1	NY						X				09/27/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CLASSIFIED ONLINE COM	NV	X									07/16/99	AMEND
CONSO INTERNATIONAL CORP	SC				X	X					10/05/99	
COOPER CAMERON CORP	DE	X				X					10/01/99	
CROSSMANN COMMUNITIES INC	IN				X	X					10/08/99	
CYBER DIGITAL INC	NY				X	X					09/30/99	
CYPOST CORP			X								09/23/99	
DATAMETRICS CORP	DE				X	X					09/30/99	
DBT ONLINE INC	PA	X				X					09/23/99	
DEAN WITTER REALTY INCOME PARTNERSH IP III LP	DE	X									09/30/99	
DUPONT E I DE NEMOURS & CO	DE				X						10/08/99	
EDISON BROTHERS STORES INC	DE				X	X					10/07/99	
ESENJAY EXPLORATION INC	OK	X				X					09/23/99	
FOCUS ENHANCEMENTS INC	DE				X	X					10/04/99	
GE CAPITAL MORTGAGE FUNDING CORP	NJ	X				X					09/23/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ	X				X					09/23/99	
GRAND CENTRAL SILVER MINES INC	UT				X						10/06/99	AMEND
HAROLDS STORES INC	OK			X							10/08/99	AMEND
HMG COURTLAND PROPERTIES INC	DE				X	X					10/08/99	
HONEYWELL INC	DE				X	X					09/23/99	
INTEK OFFICE SOLUTIONS INC	DE	X				X					06/01/98	
INTEGRATED TECHNOLOGY USA INC	DE				X						10/08/99	
INTERLEUKIN GENETICS INC	TX				X	X					10/08/99	
INTERNATIONAL AIRLINE SUPPORT GROUP INC	DE	X									10/01/99	
INTERNATIONAL SPEEDWAY CORP	FL				X	X					10/08/99	
INTRUST FINANCIAL CORP /	KS	X				X					10/13/99	
ISRAMCO INC	DE				X						10/07/99	
JRECK SUBS GROUP INC	CO	X				X					09/29/99	
K SWISS INC	DE				X	X					10/08/99	
K TEL INTERNATIONAL INC	MN				X	X					10/07/99	
KOALA CORP /CO/	CO	X				X					09/24/99	
LEGATO SYSTEMS INC	DE	X				X					10/11/99	AMEND
MACKIE DESIGNS INC	WA				X						10/05/99	
MAXXIM MEDICAL INC	TX				X						10/04/99	
MEDICAL ADVISORY SYSTEMS INC	DE				X	X					09/13/99	AMEND
METAL MANAGEMENT INC	DE				X	X					09/24/99	
MIDAMERICAN ENERGY HOLDINGS CO /NEW /	IA				X	X					10/08/99	
NEW YORK STATE ELECTRIC & GAS CORP	NY				X						10/08/99	
NEXMED INC	NV				X	X					09/30/99	
NORTHERN STAR FINANCIAL INC	MN				X	X					09/30/99	
NOVUS HOME EQUITY LOAN TRUST ASSET BACKED NOTES SER 1999-1	NY					X					09/27/99	
OAK HILL FINANCIAL INC	OH				X	X					10/06/99	
ONESOURCE INFORMATION SERVICES INC	DE	X				X					10/01/99	
PENN VIRGINIA CORP	VA	X									09/30/99	
PHELPS DODGE CORP	NY				X	X					10/08/99	
PHILIP SERVICES CORP			X								10/05/99	AMEND
PROFESSIONAL BANCORP INC	PA				X	X					10/08/99	
RESORT AT SUMMERLIN INC	NV				X	X					10/04/99	
RESORT AT SUMMERLIN L P	NV				X	X					10/04/99	
RMED INTERNATIONAL INC	CO				X						10/08/99	
RUSSELL-STANLEY HOLDINGS INC	DE	X									10/06/99	
SABRATEK CORP	DE				X						10/08/99	
SAGA SYSTEMS INC /DE/	DE				X						10/08/99	
SBARRO INC	NY	X									06/17/99	
SECURE COMPUTING CORP	DE				X	X					10/08/99	
SMITH MIDLAND CORP	DE				X						10/05/99	
SONEX RESEARCH INC	MD	X									10/08/99	



NAME OF ISSUER	STATE	8K ITEM NO.								DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8			9
SOUTHERN UNION CO	DE				X						10/08/99	
STRUCTURED ASSET SEC CORP MORT PAS THR CERT SER 1999-BC1	DE								X		09/27/99	
STRUCTURED ASSET SEC CORP MORT PASS THR CER SE 1999-BC2	DE								X		09/27/99	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 1999-SPI	DE								X		09/27/99	
TAKE TWO INTERACTIVE SOFTWARE INC	DE	X		X	X						09/29/99	
TEREX CORP	DE	X									07/27/99	AMEND
TRITON ENERGY LTD	E9			X							09/29/99	
U S TRUCKING INC	CO							X			04/14/99	AMEND
UNITED CAPITAL CORP /DE/	DE			X				X			10/04/99	
UNITED SHIPPING & TECHNOLOGY INC	UT		X					X			09/24/99	
VISUAL NETWORKS INC	DE		X					X			10/08/99	
VIVID TECHNOLOGIES INC	MA			X							10/04/99	
WAL MART STORES INC	DE			X							07/31/99	AMEND
WAM NET INC	MN							X			10/08/99	
WENDYS INTERNATIONAL INC	OH							X			08/11/99	