

SEC NEWS DIGEST

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COMMISSION ANNOUNCEMENTS

SEC PROPOSALS IMPLEMENTING BLUE RIBBON COMMITTEE'S RECOMMENDATIONS REGARDING AUDIT COMMITTEE EFFECTIVENESS

The Commission today proposed new rules to improve disclosure about the functioning of corporate audit committees and to enhance the reliability and credibility of financial statements of public companies. The proposed disclosures will help inform investors about the role audit committees play in overseeing the preparation of financial statements and underscore the importance of their participation in the financial reporting process. In addition, by requiring companies to have their auditors review interim financial statements, the proposals should facilitate early identification and resolution of significant accounting issues.

The proposals are part of the Commission's continuing efforts to improve the quality of financial reporting. In his September 1998 speech entitled "The Numbers Game," Chairman Arthur Levitt set forth an action plan to address certain abuses in financial reporting, better known as "earnings management." These proposals represent further progress on that plan.

The Commission's proposals are part of a broader effort by the securities exchanges and the accounting profession to improve the oversight of financial reporting by corporate boards. Proposals for action by each of the different groups were set forth in the "Report and Recommendations of the Blue Ribbon Committee on Improving the Effectiveness of Corporate Audit Committees." The Blue Ribbon Committee was a prestigious group of business, accounting, and securities professionals led by John Whitehead and Ira Millstein. Today's proposals coincide with proposed rule changes by the New York Stock Exchange, the American Stock Exchange, and the National Association of Securities Dealers. The proposed rule changes by the securities markets would:

- define "independence" more rigorously for audit committee members;
- require audit committees to include at least three members and be comprised solely of "independent" directors who are financially literate;
- require companies to adopt written charters for their audit

committees; and

-- require at least one member of the audit committee to have accounting or financial management expertise.

Last week, the AICPA's Auditing Standards Board proposed to require independent auditors to discuss with the audit committee the auditors' judgment about the quality, and not just the acceptability under Generally Accepted Accounting Principles, of the company's accounting principles as applied in its financial reporting.

The Commission proposed today to require, among other things, that:

-- companies' interim financial statements be reviewed by independent auditors before companies file their Forms 10-Q and 10-QSB with the Commission;

-- companies provide in their proxy statements a report from the audit committee that discloses whether the audit committee has reviewed and discussed certain matters with management and the auditors, and whether anything came to the attention of audit committee members that caused them to believe that the audited financial statements contain any materially misleading statements or omit any material information;

-- companies disclose in their proxy statements whether the audit committee has a written charter, and file a copy of their charter every three years; and

-- companies whose securities are listed on the NYSE or AMEX or are quoted on Nasdaq disclose certain information about any audit committee member who is not "independent" within their proposed definition. (All other companies must disclose, if they have an audit committee, whether the members are "independent" based on the definition proposed by the SROs.)

The public is requested to comment on the proposals within 45 days. For additional information, contact Meridith Mitchell at (202) 942-0900, or W. Scott Bayless or Robert Burns at (202) 942-4400. (Press Rel. 99-127)

ENFORCEMENT PROCEEDINGS

\$100,000 CIVIL PENALTY ISSUED AGAINST MICHAEL CORNBUM IN CITY OF TAMPA YIELD-BURNING CASE

The Commission announced that it has issued a cease-and-desist order against Michael A. Cornblum, a former registered representative of two brokerage firms, based on his conduct in a 1993 escrow restructuring executed by Kidder, Peabody & Co., Incorporated (Kidder) for the City of Tampa, Florida (City). The Order finds that, as a result of material misrepresentations of fact by Cornblum, Kidder and a Kidder employee, Kidder generated nearly \$3.5 million in wrongful profits through "yield burning," in this case, the purchase of an Escrow Reinvestment Agreement (Agreement) at

below its fair market value in order to reduce the yield to the City.

The Order finds that Cornblum willfully violated Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder and directs Cornblum to cease-and-desist from such violations. The Order imposes disgorgement of \$26,427 in illegal profits plus \$14,184.73 in prejudgment interest; a \$100,000 civil penalty; and bars Cornblum from association with any broker or dealer for three years. Cornblum consented to issuance of the Commission's Order without admitting or denying the Order's factual findings.

The Order finds that in late 1993 Cornblum, as a representative of the brokerage firm Kirschner Securities (Kirschner), acted as a co-agent with Kidder to solicit bids on behalf of the City for the right to enter into the Agreement. The purpose of the Agreement was to provide the City with additional investment income on the proceeds of certain previously-issued, tax-exempt advance refunding bonds, which the City held in an escrow. In addition to agreeing to solicit bids on the Agreement, Kidder submitted its own bid, which was declared the winning bid, and was awarded the Agreement in December 1993.

The Order finds that Cornblum and Kidder rigged the bidding process to ensure that Kidder would be awarded the Agreement. In particular, the Order finds that Cornblum provided false information to one bidder causing that bidder to submit an artificially low bid. In addition, Cornblum solicited an artificially low bid from Municipal Government Investment Associates (MGIA), while failing to disclose to the City or its Special Tax Counsel Cornblum's majority ownership in MGIA or his position as president of MGIA. Cornblum also failed to disclose to the City or its Special Tax Counsel that MGIA and Kidder had a written agreement to split any profits generated from the Agreement. The Order further finds that Cornblum made material misrepresentations to the City's Special Tax Counsel regarding the bidding process and the value of the Agreement.

In related matters, the Commission previously announced that it had instituted and simultaneously settled cease-and-desist proceedings against Kidder relating to the same transaction involved in the Order discussed above. See Rel. 34-41224 (March 30, 1999). In addition, the Commission previously issued an order against a former Kidder representative based on his conduct in connection with the same transaction. See Rel. 34-41616 (July 13, 1999). In a related Department of Justice proceeding, Cornblum previously consented to be personally liable for a \$500,000 fine in connection with MGIA's role in the transaction. (Rel. 34-41976; File No. 3-9858)

COMMISSION SEEKS TO SUE TWO IN CONNECTION WITH FRAUDULENT TRANSFER OF SECURITIES ACCOUNTS

The Commission announced that on October 4 in the United States District Court for the Southern District of New York, it sought a final judgment against Marlon D. Tropeano and David Barroso, Jr. (collectively, Defendants), and Golf Emporium Corporation, V.M.C.

Holdings Inc., and Isabel Munoz, (collectively, Relief Defendants). The complaint seeks to enjoin Defendants from future violations of the antifraud provisions of the securities laws.

The complaint alleges that on three occasions, Marlon D. Tropeano -- assisted on one occasion by David Barroso, Jr. -- used forged documents to cause the unauthorized transfer of accounts containing thousands of dollars of securities from a registered broker dealer, at which Marlon D. Tropeano was a registered representative, to other broker dealers. The complaint also alleges that Marlon D. Tropeano then caused securities to be sold in those accounts without authorization, and diverted over \$115,000 of the proceeds of the sales to Relief Defendants. The complaint further alleges that Tropeano used forged documents to divert \$32,000 from another customer account, \$29,000 of which went to Relief Defendants.

In the complaint, the Commission also seeks disgorgement of all ill-gotten gains from Defendants and Relief Defendants as a result of Defendants' fraudulent scheme, plus pre-judgment interest, and civil penalties against Defendants. [SEC v. Marlon D. Tropeano, et al., 99 Civ. 10260, JSR, S.D.N.Y.] (LR-16327)

COMMISSION SEEKS EMERGENCY RELIEF IN CONNECTION WITH FRAUDULENT SALE OF COMMON STOCK TO ELDERLY INVESTORS

The Commission announced that on October 4 in the United States District Court for the Southern District of New York, it sought a temporary restraining order against Golf Emporium Corporation (Golf) and Frederick Tropeano (collectively, Defendants). The Complaint seeks to freeze Defendants' assets and temporarily restrain Defendants from violating the antifraud provisions of the securities laws.

The complaint alleges that Defendants made false and misleading statements to elderly investors in soliciting purchases of at least \$640,000 of Golf common stock in a purported private placement.

In the complaint, the Commission also seeks preliminary and permanent injunctive relief against Defendants from violating the antifraud provisions of the securities laws, an accounting from Defendants, disgorgement of their unjust enrichment plus prejudgment interest, as well as civil penalties from Defendants. [SEC v. Golf Emporium Corporation and Frederick Tropeano, 99 Civ. 10259, JSR, S.D.N.Y.] (LR-16328)

CONTEMPT CITATION SOUGHT AGAINST CAPITAL ACQUISITIONS, INC.

On October 4, the Commission filed a motion seeking a contempt citation against a defendant in a pending civil action for violating a federal court asset freeze. The motion alleges that defendant Clealon Mann violated the asset freeze order by attempting to sell stock owned by a company that he controls. The asset freeze is part of a preliminary injunction that the Commission obtained on January 13, 1998, freezing Mann's assets for anticipated disgorgement of

ill-gotten gains should the Commission ultimately prevail on the merits of its case against Mann. The motion seeks a finding that Mann violated the preliminary injunction, an order to show cause why Mann should not be held in civil or criminal contempt, and an order compelling Mann to file an accounting of his living expenses and transactions in a foreign trust.

Mann allegedly violated the asset freeze by ordering sales of stock owned by Genie Total Products, Inc. Mann allegedly controls Genie Total Products, which is also a relief defendant in this case. Assets controlled by Mann and Genie Total Products are also subjects of an order of attachment obtained by the court-appointed receiver Robert Wing. Mann ordered the sales, worth more than \$77,000, through the account of a Bahamas trust that he allegedly controls at a registered broker-dealer.

The asset freeze allows Mann to use assets for his personal living expenses subject to an accounting before the Court. As part of its motion, the Commission asked the Court to order Mann to produce such an accounting, and in particular to account for all transactions handled through the Bahamas trust since the entry of the asset freeze.

For further information on this case, please see LR-16083 (March 9, 1999), LR-15868 (September 2, 1998), LR-15670 (March 13, 1998), and LR-15601 (December 23, 1997). [SEC v. Capital Acquisitions, Inc., et al., Civil Action No. 2:97-0977S, D. Utah] (LR-16329)

INVESTMENT COMPANY ACT RELEASES

THE TRAVELERS INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting The Travelers Insurance Company, the Travelers Life and Annuity Insurance Company, the Travelers Fund BD III for Variable Annuities (Fund BD III), the Travelers Fund BD IV for Variable Annuities (together with Fund BD III, Separate Accounts) and Tower Square Securities, Inc. (collectively, Applicants) from Sections 2(a)(32), 22(c) and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder to the extent necessary to permit the assessment of a contingent charge against contract owners who enroll in an optional principal protection feature and surrender or partially withdraw from the contract before the principal protection feature's expiration date. The relief also extends to any other member of the National Association of Securities Dealers, Inc. that may in the future serve as principal underwriter for contracts issued by the Separate Accounts. (Rel. IC-24069 - October 4)

LSA VARIABLE SERIES TRUST AND LSA ASSET MANAGEMENT LLC

An order has been issued on an application filed by LSA Variable Series Trust and LSA Asset Management LLC under Section 6(c) of the

Investment Company Act exempting applicants from Section 15(a) of the Act, Rule 18f-2 under the Act, and certain disclosure requirements under the Act. The order permits applicants to enter into and materially amend subadvisory agreements without shareholder approval and grants relief from certain disclosure requirements. (Rel. IC-24070 - October 4)

AMERITAS VARIABLE LIFE INSURANCE CORP., ET AL.

A notice has been issued giving interested persons until October 27 to request a hearing on an application filed by Ameritas Variable Life Insurance Corp., Ameritas Variable Life Insurance Corp. Separate Account VA-2, Ameritas Investment Corp., and Ameritas Variable Life Insurance Corp. Separate Account V (collectively Applicants). Applicants seek an order pursuant to Section 26(b) of the Investment Company Act approving the substitution of securities issued by nine newly created portfolios of Calvert Variable Series for shares of certain investment portfolios issued by Fidelity Variable Insurance Products Fund, Fidelity Variable Insurance Products II, Alger American Fund, MFS Variable Insurance Trust, and Morgan Stanley Dean Witter Universal Funds, Inc. Applicants also seek an order pursuant to Section 17(b) of the Act exempting them from Section 17(a) of the Act to the extent necessary to permit certain in-kind redemptions of portfolio securities in connection with the substitutions. (Rel. IC-24071 - October 4)

VISION GROUP OF FUNDS, INC. AND MANUFACTURERS AND TRADERS TRUST COMPANY

An order has been issued on an application filed by Vision Group of Funds, Inc. and Manufacturers and Traders Trust Company under Section 17(b) of the Investment Company Act exempting applicants from Section 17(a) of the Act. The order permits a series of a registered open-end management investment company to acquire all of the assets, subject to the liabilities, of two other series of the investment company. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-24072 - October 5)

SELF-REGULATORY ORGANIZATIONS

DELISTINGS

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration China Energy Resources Corporation, Common Stock, \$.01 par value. (Rel. 34-41978)

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration AutoBond Acceptance Corporation, Common Stock, no par value per share, and 15% Series A Cumulative Preferred Stock, no par value per share.

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-3 GETTY IMAGES INC, 2101 FOURTH AVENUE, FIFTH FL, SEATTLE, WA 98121
(206) 695-3400 - 5,750,000 (\$138,718,750) COMMON STOCK (FILE 333-88009 - SEP. 29) (BR. 8)

- S-3 WILD OATS MARKETS INC, 3375 MITCHELL LANE, BOULDER, CO 80301
(303) 440-5220 - 4,073,153 (\$158,079,067.90) COMMON STOCK. (FILE 333-88011 - SEP. 29) (BR. 2)

- S-8 ANHEUSER BUSCH COMPANIES INC, ONE BUSCH PL,
C/O OFFICE OF THE VP & SEC'Y, ST LOUIS, MO 63118 (314) 577-2000 - 150,000
(\$10,575,000) COMMON STOCK (FILE 333-88015 - SEP 29) (BR. 2)

- S-4 AMERICAN TISSUE INC, 135 ENGINEERS ROAD, HAUPPAUGE, NY 11788
(516) 435-9000 - 159,446,100 (\$159,446,100) STRAIGHT BONDS (FILE 333-88017 - SEP. 29) (BR 4 - NEW ISSUE)

- S-1 HEALTHCENTRAL COM, MARKETPLACE TOWER, 6001 SHELLMOUND STREET SUITE 800,
EMERYVILLE, CA 94608 (510) 250-2500 - \$86,250,000 COMMON STOCK. (FILE 333-88019 - SEP. 29) (BR. 8)

- S-4 WEBSTER FINANCIAL CORP, WEBSTER PLAZA, 145 BANK ST, WATERBURY, CT 06720
(203) 753-2921 - 8,054,374 (\$207,883,393) COMMON STOCK (FILE 333-88021 - SEP. 29) (BR 7)

- S-8 ADVANCED LUMITECH INC, AVENUE CARDINAL MERMILLOD 36, 1227 CAROUGE,
CAROUGE SWITZERLAND, V8 84106 (801) 485-7775 - 5,000,000 (\$3,668,788)
COMMON STOCK. (FILE 333-88023 - SEP. 29) (BR. 9)

- S-3 WASTE MANAGEMENT INC, 1001 FANNIN STREET, STE 4000, HOUSTON, TX 77002
(713) 512-6200 - 170,000 (\$6,818,666) COMMON STOCK. (FILE 333-88027 - SEP. 29) (BR. 6)

- S-8 PSINET INC, 510 HUNTMAR PARK DR, HERNDON, VA 20170 (703) 904-4100 -
1,620,000 (\$63,034,200) COMMON STOCK. (FILE 333-88029 - SEP. 29) (BR 3)

- SB-2 YAK COMMUNICATIONS USA INC, 55 TOWN CENTER 506, TORONTO ONTARIO, A6
(416) 296-7111 - 940,000 (\$940,000) COMMON STOCK. (FILE 333-88031 - SEP. 29) (BR. 37)

- S-8 U S INTERACTIVE INC/PA, 2012 RENAISSANCE BLVD., KING OF PRUSSIA, PA
19406 (610) 313-9700 - 5,792,039 (\$71,661,005 42) COMMON STOCK (FILE 333-88033 - SEP. 29) (BR. 8)

- S-4 PREMIER BANCSHARES INC /GA, 2180 ATLANTA PLAZA,
950 EAST PACES FERRY ROAD, ATLANTA, GA 30326 (404) 814-3090 - 2,900,160

(\$21,506,400) COMMON STOCK. (FILE 333-88035 - SEP. 29) (BR. 7)

S-8 BIOFARM INC, 403 SALISBURY HOUSE, 31 FINSBURY CIRCUS, LONDON EC2M 5QQ, X0 19468 (610) 495-8413 - 1,200,000 (\$2,400,000) COMMON STOCK. (FILE 333-88037 - SEP. 29) (BR. 6)

S-8 ENTRADE INC, 500 CENTRA AVE, NORTHFIELD, IL 60093 (847) 441-6650 - 4,417,603 (\$32,756,601) COMMON STOCK. (FILE 333-88039 - SEP. 29) (BR. 4)

S-8 S3 INC, 2841 MISSION COLLEGE BLVD, SANTA CLARA, CA 95054 (415) 588-8000 - 6,871,070 (\$63,557,397) COMMON STOCK. (FILE 333-88041 - SEP 29) (BR 3)

S-8 ASHFORD COM INC, 3355 W ALABAMA # 175, HOUSTON, TX 77098 (713) 369-1300 - 8,799,822 (\$114,397,686) COMMON STOCK. (FILE 333-88043 - SEP 29) (BR 2)

S-1 LINCOLN BENEFIT LIFE CO, P O BOX 80469, 206 S 13TH STREET SUITE 300, LINCOLN, NE 68501 (402) 479-4061 - \$25,000,000 VARIABLE ANNUITY ISSUES. (FILE 333-88045 - SEP. 29) (BR. 20)

S-8 YOBET COM INC, 1950 SAWTELLE BLVD, STE 180, LOS ANGELES, CA 90025 (310) 444-3300 - 3,542,096 (\$25,680,196) COMMON STOCK (FILE 333-88047 - SEP 29) (BR. 9)

S-8 KENNAMETAL INC, 1600 TECHNOLOGY WAY, P.O. BOX 231, LATROBE, PA 15650 (724) 539-5000 - 1,500,000 (\$39,000,000) COMMON STOCK. (FILE 333-88049 - SEP 29) (BR 5)

S-3 SUPERGEN INC, TWO ANNABLE LANE STE 220, SAN RAMON, CA 94583 (510) 327-0200 - 2,014,036 (\$43,176,904) COMMON STOCK. (FILE 333-88051 - SEP 29) (BR. 1)

S-8 CYBERGOLD INC, C/O CYBERGOLD INC, 2921 ADELINE STREET, BERKELEY, CA 94703 (510) 845-5000 - 3,395,168 (\$30,556,512) COMMON STOCK. (FILE 333-88053 - SEP. 29) (BR 2)

S-4 HUNTSMAN ICI HOLDINGS LLC, 500 HUNTSMAN WAY, C/O HUNTSMAN CORPORATION, SALT LAKE CITY, UT 84108 (801) 584-5700 - 945,048,000 (\$250,190,969) STRAIGHT BONDS (FILE 333-88057 - SEP 29) (BR. 2 - NEW ISSUE)

S-8 ECHELON INTERNATIONAL CORP, 450 CARILLON PARKWAY, SUITE 200, ST PETERSBURG, FL 33716 (813) 824-6531 - 75,000 (\$1,830,750) COMMON STOCK. (FILE 333-88059 - SEP 29) (BR. 8)

S-8 CENTENARY INTERNATIONAL CORP, 692 MADISON AVENUE, THIRD FLOOR, NEW YORK, NY 10021 (212) 644-2113 - 3,300,000 (\$2,887,500) COMMON STOCK. (FILE 333-88061 - SEP 29) (BR. 9)

S-3 KEYCORP /NEW/, 127 PUBLIC SQ, CLEVELAND, OH 44114 (216) 689-6300 - 532,183 (\$16,278,712.25) COMMON STOCK. (FILE 333-88063 - SEP. 29) (BR 7)

S-1 CALLNOW COM INC, 50 BROAD ST SUITE 401, NEW YORK, NY 10004 (212) 686-2000 - 5,287,297 (\$42,274,376) COMMON STOCK. 800,000 (\$3,840,040) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-88065 - SEP 29) (BR 8)

S-8 FPL GROUP INC, 700 UNIVERSE BLVD, JUNO BEACH, FL 33408 (561) 694-4000 - 22,000,000 (\$22,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-88067 - SEP 29) (BR. 2)

S-2 SUN LIFE ASSURANCE CO OF CANADA US, SC 1335 ONE SUN LIFE EXECUTIVE PARK, WELLESLEY HILLS, MA 02181 (781) 446-1182 - \$100,000,000 VARIABLE ANNUITY ISSUES (FILE 333-88069 - SEP. 29) (BR. 20)

S-8 DIME BANCORP INC, 589 5TH AVE, NEW YORK, NY 10017 (212) 326-6170 - 300,000 (\$4,978,140) COMMON STOCK (FILE 333-88071 - SEP. 29) (BR. 7)

SB-2 VISION BANCSHARES INC, PO BOX 1248, GULF SHORES, AL 36547 (334) 967-4212 - 1,000,000 (\$10,000,000) COMMON STOCK. (FILE 333-88073 - SEP. 29) (BR 9 - NEW ISSUE)

S-8 LOISLAW COM INC, 105 NORTH 28TH STREET, VAN BUREN, AK 72956
 (501) 471-5581 - 1,536,878 (\$21,096,292) COMMON STOCK. (FILE 333-88075 -
 SEP. 29) (BR. 3)

S-8 ACE COMM CORP, 704 QUINCE ORCHARD RD, GAITHERBURG, MD 20878
 (301) 258-9850 - 1,000,000 (\$4,187,500) COMMON STOCK. (FILE 333-88077 -
 SEP. 29) (BR. 7)

S-8 ACE COMM CORP, 704 QUINCE ORCHARD RD, GAITHERBURG, MD 20878
 (301) 258-9850 - 240,000 (\$1,005,000) COMMON STOCK. (FILE 333-88079 -
 SEP. 29) (BR. 7)

S-1 EXTREME NETWORKS INC, 10460 BANDLEY DRIVE, CUPERTINO, CA 95014
 (408) 342-0999 - 7,475,000 (\$490,546,875) COMMON STOCK. (FILE 333-88081 -
 SEP. 29) (BR. 3)

SB-2 GUIDELocator COM INC, 10710 ESTELLE CIRCLE, MONTGOMERY, TX 77356
 (409) 597-7500 - 1,574,000 (\$1,574,000) COMMON STOCK. (FILE 333-88083 -
 SEP. 29)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C. 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES FINANCIAL CORP/DE	DE				X						09/27/99	
ABN AMRO MORTGAGE CORP SERIES 1999-6	DE	X								X	09/24/99	
ADFORCE INC	DE				X	X					10/01/99	
ADVANCED GAMING TECHNOLOGY INC	WY				X						06/29/99	AMEND
AEROVOX INC	DE				X						09/28/99	
AFTERMARKET TECHNOLOGY CORP	DE	X					X				10/01/99	
ALLIANCE BANCORP OF NEW ENGLAND INC	DE				X	X					10/04/99	
ALYN CORP	DE				X	X					10/01/99	
AMFM INC	DE				X	X					10/02/99	
ANGEION CORP/MN	MN				X	X					09/22/99	
ANKER COAL GROUP INC	DE				X	X					10/04/99	
APPLE SUITES INC	VA	X					X				09/20/99	
APPLIED DIGITAL SOLUTIONS INC	MO				X	X					05/25/99	AMEND
ASSET SECURITIZATION CORP COM MOR P S THR CERT SER 1999-C2					X	X					10/04/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ASSET SECURITIZATION CORP COM MOR P S THR CERT SER 1999-C2						X	X				10/05/99	
AUTOBYTEL COM INC	DE					X					10/04/99	
AVANIR PHARMACEUTICALS	CA					X	X				09/16/99	
AVERT INC	CO					X	X				10/01/99	
AVERY COMMUNICATIONS INC	DE		X				X				09/30/99	
BELL MICROPRODUCTS INC	CA						X				07/21/99	AMEND
BIG CITY BAGELS INC	NY		X				X				09/30/99	
BLOCK MORTGAGE FINANCE ASSET BACKED CERTIFICATES SER 1999 1	NY					X	X				09/27/99	
BOEING CAPITAL CORP	DE	X									10/04/99	
BROOKTROUT TECHNOLOGY INC	MA					X	X				09/24/99	
CAPSTAR BROADCASTING PARTNERS INC	DE					X	X				10/02/99	
CAPSTAR COMMUNICATIONS INC	DE					X	X				10/02/99	
CAPSTAR RADIO BROADCASTING PARTNERS INC	DE					X	X				10/02/99	
CASE RECEIVABLES II INC	DE					X	X				09/15/99	
CASINOVATIONS INC	NV					X	X				09/22/99	
CELLEX BIOSCIENCES INC	MN			X			X				09/29/99	
CELLPOINT INC	NV					X	X				10/04/99	
CENDANT CORP	DE					X	X				10/05/99	
CENTER STAR GOLD MINES INC	NV	X									09/20/98	
CHANCELLOR MEDIA CORP OF LOS ANGELE S	DE					X	X				10/02/99	
CHASE MANHATTAN BANK USA CHASE CRED IT CARD OWNER TR 1999-3	DE					X	X				09/29/99	
CHASE MORTGAGE FINANCE CORP	DE		X				X				09/28/99	
CHATWINS GROUP INC	DE		X			X	X				10/01/99	
CLARK/BARDES HOLDINGS INC	DE						X				09/01/99	AMEND
CLEAR CHANNEL COMMUNICATIONS INC	TX					X	X				10/02/99	
COHO ENERGY INC	TX		X				X				08/23/99	
COLUMBIA BANCORP	MD					X					09/28/99	
COMMERCIAL FEDERAL CORP	NE					X	X				09/09/99	
COMMODORE APPLIED TECHNOLOGIES INC	DE					X	X				10/04/99	
CORECOMM LTD						X	X				10/01/99	
COTELLIGENT INC	DE						X				09/24/99	
COX COMMUNICATIONS INC /DE/	DE						X				09/28/99	
CRITICARE SYSTEMS INC /DE/	DE					X	X				10/05/99	
DAISYTEK INTERNATIONAL CORPORATION /DE/	DE					X	X				09/23/99	
DATA GENERAL CORP	DE	X									12/31/99	
DCI TELECOMMUNICATIONS INC	CO			X			X				10/05/99	
DEVON ENERGY CORP	DE					X					09/30/99	AMEND
DISTINCTIVE DEVICES INC	NY	X									08/10/99	AMEND
DTE ENERGY CO	MI					X	X				10/04/99	
EAGLE PACIFIC INDUSTRIES INC/MN	MN		X				X				09/20/99	
EGLOBE INC	DE		X				X				09/20/99	
ENTERPRISE PRODUCTS PARTNERS L P	DE		X				X				10/04/99	
EQUITY RESIDENTIAL PROPERTIES TRUST	MD					X	X				10/01/99	
ERP OPERATING LTD PARTNERSHIP	IL					X	X				10/01/99	
ETRAVNET COM INC	NY		X				X				09/17/99	
F&M BANCORP	MD					X	X				07/15/99	
F&M NATIONAL CORP	VA					X					09/24/99	
FAVORITE BRANDS INTERNATIONAL INC	DE					X					09/28/99	
FECHTOR DETWILER MITCHELL & CO	DE		X				X				08/30/99	
FIBERNET TELECOM GROUP INC\	NV						X	X			09/28/99	
FIRST SIERRA RECEIVABLES II INC	DE		X				X				09/29/99	
FIRST SIERRA RECEIVABLES III INC	DE		X				X				09/29/99	
FIRSTAR CORP /NEW/	WI		X				X				10/05/99	
FLOWERS INDUSTRIES INC /GA	GA					X	X				09/29/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FOODMAKER INC /DE/	DE				X						10/04/99	
FUISZ TECHNOLOGIES LTD	DE				X	X					10/01/99	
GANNETT CO INC /DE/	DE					X					10/05/99	
GATX CORP	NY				X						09/21/99	
GEORGIA PACIFIC CORP	GA				X	X					10/04/99	
GERON CORPORATION	DE				X	X					09/30/99	
HAPPY KIDS INC	NY				X	X					10/04/99	
HAPPY KIDS INC	NY				X	X					10/04/99	AMEND
HARCOURT GENERAL INC	DE				X	X					09/29/99	
HAWAIIAN AIRLINES INC/HI	HI			X							09/28/99	
HELLER FINANCIAL INC	DE				X	X					10/04/99	
HERFF JONES INC	IN				X						09/29/99	
HOME PROPERTIES OF NEW YORK INC	MD		X		X	X					02/18/99	
HOMESEEKERS COM INC	NV		X			X					09/22/99	
HONDA AUTO LEASE TRUST 1999A	DE				X	X					08/31/99	
HORIZON OFFSHORE INC	DE				X	X					09/13/99	
HUDSON UNITED BANCORP	NJ				X	X					09/15/99	
INFONAUTICS INC	PA				X						07/08/99	AMEND
INFOSPACE COM INC	DE				X	X					09/27/99	
INHALE THERAPEUTIC SYSTEMS INC	DE				X	X					09/29/99	
INHALE THERAPEUTIC SYSTEMS INC	DE		X								10/04/99	
INSILCO CORP/DE/	DE					X					07/20/99	AMEND
INSILCO HOLDING CO	DE					X					07/20/99	AMEND
INTEGRATED SYSTEMS INC	CA					X					07/21/99	AMEND
INTERGOLD CORP	NV				X	X					10/04/99	
INTERNET STOCK MARKET RESOURCES INC	DE				X	X					10/04/99	
J JILL GROUP INC	DE				X	X					09/20/99	
JDS UNIPHASE CORP /CA/	DE				X	X					10/04/99	
KELLOGG CO	DE				X						10/01/99	
KROLL O GARA CO	OH				X	X					09/23/99	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	X					09/01/99	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	X					09/22/99	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	X					09/30/99	
LAROCHE INDUSTRIES INC	DE				X						10/04/99	
LCA VISION INC	DE		X								10/04/99	
LINDATECH INC	DE				X	X					09/27/99	
LOCKHEED MARTIN CORP	MD		X								09/30/99	
MACDERMID INC	CT				X						09/27/99	AMEND
MCM CAPITAL GROUP INC	DE				X	X					09/01/99	
MDI ENTERTAINMENT INC	DE				X	X					09/21/99	
MECHANICAL TECHNOLOGY INC	NY		X								10/04/99	
MELLON BANK CORP	PA				X						09/24/99	
MELLON RESIDENTIAL FUNDING COR MOR PAS THR TR SER 1999-TBC3	DE				X	X					09/23/99	
METRO GLOBAL MEDIA INC	DE		X			X					09/29/99	
METROPOLITAN FINANCIAL CORP /OH/	OH				X						10/05/99	
METROTRANS CORP	GA			X							10/05/99	
MULTIMEDIA GAMES INC	TX				X		X				09/22/99	AMEND
NAPRO BIOTHERAPEUTICS INC	DE				X						07/23/99	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE				X	X					09/15/99	
NAVISTAR FINANCIAL SECURITIES CORP	DE				X	X					09/25/99	
NEW ENGLAND ELECTRIC SYSTEM	MA				X	X					10/04/99	
NORTHERN EMPIRE BANCSHARES	CA				X						09/30/99	
NTL COMMUNICATIONS CORP	DE		X			X					09/20/99	
NTL INC/NY/	DE		X			X					09/20/99	
OAK HILL FINANCIAL INC	OH		X		X	X					10/01/99	
OPEN PLAN SYSTEMS INC	VA		X								09/15/99	AMEND
ORTHODONTIX INC	FL				X						09/28/99	
OSTEOTECH INC	DE				X						09/27/99	
OXBORO MEDICAL INTERNATIONAL INC	MN				X	X					09/30/99	

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		1	2	3	4	5	6	7	8	9			
PAINWEBBER EQUITY PARTNERS ONE LTD PARTNERSHIP	VA		X				X					09/24/99	
PANTRY INC	DE						X					07/22/99	AMEND
PDG ENVIRONMENTAL INC	DE			X			X					04/10/99	
PEGASUS AIRCRAFT PARTNERS II L P	DE				X							09/22/99	
PENTAIR INC	MN				X	X						09/29/99	
PERIPHONICS CORP	DE				X	X						09/23/99	
PETROMINERALS CORP	DE	X										09/20/99	
PICK COMMUNICATIONS CORP	NV				X	X						09/17/99	
PLEXUS CORP	WI				X	X						07/23/99	AMEND
PLUM CREEK TIMBER CO INC	DE				X	X						10/05/99	
POLICY MANAGEMENT SYSTEMS CORP	SC				X	X						10/04/99	
PROTECTION ONE ALARM MONITORING INC	DE				X							10/05/99	
PROTECTION ONE INC	DE				X							10/05/99	
PXRE CORP	DE				X	X						10/05/99	
QLT PHOTO THERAPEUTICS INC	AL				X	X						09/27/99	
QRS CORP	DE		X				X					07/23/99	AMEND
QUINTILES TRANSNATIONAL CORP	NC				X							10/04/99	
RAILAMERICA INC /DE	DE	X										07/26/99	AMEND
RALCORP HOLDINGS INC /MO	MO				X	X						10/05/99	
REPUBLIC BANCSHARES INC	FL				X	X						10/04/99	
RYANS FAMILY STEAKHOUSES INC	SC		X									09/29/99	
SABRE HOLDING CORP	DE				X	X						10/04/99	
SAFE TECHNOLOGIES INTERNATIONAL INC	DE				X							10/05/99	
SAXON ASSET SECURITIES TR 1999-3 MT LN AST BK CER SER 1999-3	VA				X	X						09/27/99	
SCOTTS COMPANY	OH				X	X						10/01/99	
SEDONA CORP	PA		X									09/19/99	
SKYMALL INC	NV		X									09/20/99	
SL INDUSTRIES INC	NJ						X					09/24/99	
STAFF BUILDERS INC /DE/	DE		X				X					09/17/99	
STATE STREET CORP	MA		X									10/01/99	
STRUCTURED PRODUCTS CORP	DE					X						10/01/99	
SUNGARD DATA SYSTEMS INC	DE				X	X						10/04/99	
SUPERGEN INC	CA				X	X						09/20/99	
TENNECO INC /DE	DE				X							10/04/99	
TENNECO INC /DE	DE				X							10/04/99	AMEND
TERAYON COMMUNICATION SYSTEMS	DE						X					09/16/99	AMEND
TEXAS UTILITIES CO /TX/	TX				X							10/01/99	
TITANIUM METALS CORP	DE				X	X						06/24/99	
TLC LASER CENTER INC	AG				X	X						10/04/99	
TODHUNTER INTERNATIONAL INC	DE				X	X						09/28/99	
TOTAL ENTERTAINMENT RESTAURANT CORP	DE			X			X					10/05/99	
TOTAL SYSTEM SERVICES INC	GA				X	X						10/04/99	
TRANSIT GROUP INC	FL						X					10/04/99	AMEND
TRANSMEDIA NETWORK INC /DE/	DE						X					06/30/99	AMEND
TWIN FACES EAST ENTERTAINMENT CORP	NV				X							07/28/99	
TXU ELECTRIC CO	TX				X							10/01/99	
UCU CAPITAL TRUST I	DE				X	X						10/05/99	
UNION BANKSHARES CORP	VA			X								09/27/99	
UNITED LEISURE CORP	DE				X							10/05/99	
URBAN SHOPPING CENTERS INC	MD				X	X						10/01/99	
US FOODSERVICE/MD/	DE				X	X						10/04/99	
UTILICORP UNITED INC	DE				X	X						10/05/99	
VENCOR INC	DE				X	X						10/01/99	
VESTA INSURANCE GROUP INC	DE				X	X						09/30/99	
WASHINGTON MUTUAL INC	WA				X							10/01/99	
WASHINGTON REAL ESTATE INVESTMENT T RUST	MD		X				X					09/20/99	
WAVO CORP	IN				X	X						10/04/99	

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WELLS REAL ESTATE FUND XII LP	GA		X					X			10/20/99	
WELLS REAL ESTATE INVESTMENT TRUST INC	MD		X					X			10/20/99	
WESTERN DIGITAL CORP	DE						X				09/30/99	
WESTVACO CORP	DE		X		X	X					10/05/99	
WILLIS LEASE FINANCE CORP	DE				X						09/24/99	
WORLD ACCESS INC /NEW/	DE							X			06/30/99	AMEND
WORTHINGTON FOODS INC /OH/	OH	X						X			09/30/99	