

# SEC NEWS DIGEST

Issue 99-191

October 4, 1999

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## COMMISSION ANNOUNCEMENTS

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### COMMISSIONER HUNT TO TESTIFY -- THURSDAY, OCTOBER 7 -- 10:00 A.M.

Commissioner Isaac C. Hunt, Jr. will testify before the House Subcommittee on Finance and Hazardous Materials on Thursday, October 7 concerning the Future of the Public Utility Holding Company Act of 1935. This hearing, which previously had been scheduled to take place on September 16 but was postponed, will begin at 10:00 a.m. in Room 2123 of the Rayburn House Office Building.

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## ENFORCEMENT PROCEEDINGS

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### SEC SUES THIRD SOUTH FLORIDA FOREIGN CURRENCY TRADING FIRM AND ITS PRESIDENT; ALLEGES INVESTORS DEFRAUDED

On September 30, 1999, the Commission filed a complaint and consent to permanent injunction in a fraud case involving a Miami company, Global Currency Management, Inc. (GCM) and its president, Anthony Baldwin (Baldwin). Without admitting or denying the SEC's allegations, GCM and Baldwin consented to the entry of a permanent injunction against future violations of the antifraud provisions of the federal securities laws.

In its complaint, the SEC alleges that Baldwin attracted investors to GCM's foreign currency trading program by representing in promotional material that, as GCM's trader, he had a record of consistently profitable returns, averaging, according to Baldwin, almost 5% monthly in his foreign currency trading. Investors were also provided monthly account statements which falsely depicted the GCM investment as safe and profitable. In fact, at the time of these representations, Baldwin had already lost in trading virtually all of the investors' money. The SEC also alleges that the defendants falsely described Baldwin's education, and falsely claimed that a well known financial publication had recognized Baldwin's purported trading success.

According to the SEC, GCM and Baldwin raised more than \$1 million from investors. In their settlement with the SEC, GCM and Baldwin agreed to pay, jointly and severally, disgorgement in the amount of

\$411,181, plus prejudgment interest. However, the SEC waived payment by the defendants of disgorgement and a civil penalty based upon the defendants' demonstrated inability to pay.

Another South Florida foreign currency company with which Baldwin was previously associated, International Capital Management, Inc. (ICM), was enjoined in an action for emergency relief brought by the SEC last September. In that action, the SEC alleged that ICM had defrauded investors of more than \$ 18 million. The SEC also sued another South Florida firm, Unique Financial Concepts, Inc., in connection with a similar foreign currency trading scheme.

The SEC acknowledges the valuable assistance of the Florida Office of the Comptroller in this investigation. [SEC v. Anthony Baldwin and Global Currency Management, Inc., Civil Action No. 99-2621-CIV-Moreno, S.D. Florida, Miami Division] (LR-16324)

#### **INSIDER TRADING CASE FILED AGAINST GLEN LEBLANC, WILLIAM REINKE AND TIMOTHY WILSON**

On September 30, 1999, the Commission filed a civil insider trading case in the Northern District of Texas [Dallas Division] against Glen Richard LeBlanc, William Scot Reinke and Timothy Man Wilson. Simultaneously with the filing of the complaint, all defendants consented to the entry of a Final Judgment on a neither admit nor deny basis, which imposes an injunction against future violations of the antifraud provisions of the federal securities laws and requires LeBlanc, Reinke and Wilson to disgorge \$5,625, \$9,062.50 and \$13,750, respectively, plus prejudgment interest thereon, representing the profits they made by trading in Fay's Inc. common stock while in possession of material non-public information. The agreed Final Judgment also orders LeBlanc, Reinke and Wilson to pay civil penalties of \$28,437.50, \$9,062.50, and \$13,750 respectively.

According to the complaint, LeBlanc misappropriated material, non-public information concerning J.C. Penney Company, Inc.'s negotiations with and planned acquisition of Fay's, Inc., a small Northeast regional drugstore chain, in June 1996. The complaint alleges that on or about June 10, 1996, LeBlanc, while in possession of this information, purchased Fay's common stock and tipped this information to Reinke and Wilson. The complaint alleges that Reinke and Wilson also purchased Fay's Inc. common stock between June 11 and July 9, 1996, based upon the information received from LeBlanc.

On July 10, 1996, Fay's Inc. announced publicly that it was in merger negotiations with Penney's and its subsidiary, Thrift Drugs, Inc. Following this announcement, Fay's common stock price increased 20 percent from \$8.625 on July 9, 1996, to \$10.375 for the two trading days following the announcement. As a result of their trading prior to the July 10, 1996 announcement, the Commission's complaint alleges that LeBlanc, Reinke and Wilson profited in the amounts of \$5,625, \$9,062.50 and \$13,750, respectively, in violation of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. [SEC v. Glen Richard LeBlanc, et al., USDC, NDTX,

**JEFFREY SPIEGEL SETTLES INSIDER TRADING CASE**

The Commission today filed a complaint in the United States District Court for the Southern District of New York against Jeffrey Spiegel (Spiegel) of New York, New York. The complaint alleges that Spiegel engaged in insider trading in advance of public announcements concerning the securities of eight publicly traded companies. Spiegel also recommended the securities to certain family members and entered into a tipping arrangement with a friend and trading partner. According to the complaint, Spiegel received tips containing material nonpublic information concerning the securities of these eight companies from his ex-girlfriend, Tina Eichenholtz. Eichenholtz had herself received these tips from Jeffrey Streich, who had received them from Marisa Baridis, a former employee in the compliance departments of two Wall Street investment firms who had access to confidential information concerning the firms' clients. The complaint alleges that Spiegel, trading in the securities of four companies, realized illegal trading profits of \$66,281 based on the tips from Eichenholtz. Spiegel also received between \$25,000 and \$50,000 in cash in exchange for the tips he provided to his friend and trading partner. Of that amount, Spiegel gave Eichenholtz approximately \$11,000. Spiegel's tippees collectively traded in all eight of the securities and realized total profits of approximately \$917,925.

Spiegel consented, without admitting or denying the allegations in the Complaint, to the entry of a Final Judgment enjoining him from future violations of Section 10(b) and 14(e) of the Exchange Act and Rule 10b-5 and Rule 14e-3 thereunder, and requiring him to disgorge illegal trading profits of \$984,206.84, plus prejudgment interest. Based on his demonstrated inability to pay the full disgorgement amount, all but \$99,469 of his disgorgement amount was waived.

See LR-16227 (Aug. 2, 1999); LR-15741 (May 15, 1998); 34-40787 (Dec. 14, 1998); 34-40788 (Dec. 14, 1998); LR-15990 (Dec. 3, 1998); LR-16090 (Mar. 18, 1999); 34-41182 (Mar. 18, 1999); and 34-41220 (Mar. 29, 1999). [SEC v. Jeffrey Spiegel, 99 Civil Action No. 10204, SDNY] (LR-16326)

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**INVESTMENT COMPANY ACT RELEASES**

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**SELECT TEN PLUS FUND, LLC**

An order has been issued pursuant to Section 6(c) of the Investment Company Act to Select Ten Plus Fund, LLC (the Applicant) exempting the Applicant from the provisions of Section 12(d)(3) of the Act to the extent necessary to permit Applicant's portfolios to invest up to 10% of their total assets in securities of issuers that derive more than 15% of their gross revenues from securities related activities. (Rel. IC-24063 - September 30, 1999)

**THE OHIO NATIONAL LIFE INSURANCE COMPANY, ET AL.**

An order has been issued pursuant to Section 26(b) of the Investment Company Act to The Ohio National Life Insurance Company, Ohio National Variable Account A, Ohio National Life Assurance Corporation and Ohio National Variable Account R approving the substitution of shares of the Small Cap Growth Portfolio of Ohio National Fund, Inc. for shares of Montgomery Variable Series: Small Cap Opportunities Fund; and the substitution of shares of Lazard Retirement Emerging Markets Portfolio for shares of Montgomery Variable Series: Emerging Markets Fund. (Rel. IC-24064 - September 30, 1999)

**MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED**

A notice has been issued giving interested persons until October 25 to request a hearing on an application filed by Merrill Lynch, Pierce, Fenner & Smith Incorporated (Merrill Lynch) for an order under Section 12(d)(1)(J) of the Investment Company Act exempting Exchangeable Preferred Trusts for which Merrill Lynch will serve as a principal underwriter (Trusts) from Section 12(d)(1) of the Act; and under Section 6(c) of the Act exempting the Trusts from Section 14(a) of the Act. The requested order would permit other registered investment companies, and companies excepted from the definition of investment company under Section 3(c)(1) or (c)(7) of the Act, to own a greater percentage of the total outstanding voting stock of each Trust than that permitted by Section 12(d)(1) and exempt the Trusts from the initial net worth requirements of Section 14(a). Merrill Lynch also requests an order to amend a prior order. (Rel. IC-24065 - September 30, 1999)

**THE INFINITY MUTUAL FUNDS, INC., ET AL.**

A notice has been issued giving interested persons until October 22 to request a hearing on an application filed by The Infinity Mutual Funds, Inc., et al. for an order exempting applicants from Section 15(a) of the Investment Company Act. The order would permit the implementation, without prior shareholder approval, of an interim investment advisory agreement and interim subadvisory agreements (Interim Agreements) for a period of up to 150 days beginning on the later of the date of a change in control of First American National Bank (Adviser) or the date the requested order is issued, and continuing until the date the Interim Agreements are approved or disapproved by shareholders of the investment company (but in no event later than March 31, 2000) (Interim Period). The order would also permit the Adviser and subadvisers to receive all fees earned under the Interim Agreements during the Interim Period following shareholder approval. (Rel. IC-24068 - October 1)

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## CORRECTIONS AND ADDITIONS

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In a News Digest summary about David Houge which appeared on Friday, October 1, we failed to mention the date that the Commission instituted the administrative proceedings. That date is September 30, 1999.

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## REGISTRATION STATEMENTS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-F COMUNIDAD AUTONOMA DE ANDALUCIA, 150 EAST 58TH ST 30TH FL,  
C/O CONSULATE GENERAL OF SPAIN, NEW YORK, NY 10155 - 190,000,000  
(\$10,000,000) STRAIGHT BONDS. (FILE 333-10876 - SEP. 24) (BR. 99)
- F-1 JACADA LTD, 11 GALGALEI HAPLADA ST, PO BOX 12175, HERZLIYA 46722 ISRAEL,  
L3 00000 (972) 952-5900 - 5,175,000 (\$62,100,000) FOREIGN COMMON STOCK.  
(FILE 333-10882 - SEP. 24) (BR. 3 - NEW ISSUE)
- F-3 BIOMIRA INC, 2011-94TH STREET, EDMONTON ALBERTA CAN, A0 (403) 450-3761  
- 8,800,000 (\$36,409,103) FOREIGN COMMON STOCK. (FILE 333-10890 - SEP. 24)  
(BR. 1)
- S-8 LS CAPITAL CORP, 15915 KATY FREEWAY, STE 250, HOUSTON, TX 77094  
(713) 398-5588 - 5,000,000 (\$110,000) COMMON STOCK. (FILE 333-87825 -  
SEP. 27) (BR. 5)
- S-8 MONTGOMERY FINANCIAL CORP, 119 E MAIN ST, CRAWFORDSVILLE, IN 47933  
(317) 362-4710 - 118,677 (\$1,237,801.11) COMMON STOCK. (FILE 333-87827 -  
SEP. 27) (BR. 7)
- S-8 IMAGE GUIDED TECHNOLOGIES INC, 5710 B FLATIRON PARKWAY, BOULDER, CO  
80301 (303) 447-0248 - 338,689 (\$164,942.82) COMMON STOCK. (FILE  
333-87831 - SEP. 27) (BR. 9)
- S-8 SECURITY ASSOCIATES INTERNATIONAL INC, 2101 S ARLINGTON HEIGHTS RD,  
STE 100, ARLINGTON HEIGHTS, IL 60005 (847) 956-8650 - 1,825,000  
(\$4,334,375) COMMON STOCK. (FILE 333-87833 - SEP. 27) (BR. 8)
- S-8 SECURITY ASSOCIATES INTERNATIONAL INC, 2101 S ARLINGTON HEIGHTS RD,  
STE 100, ARLINGTON HEIGHTS, IL 60005 (847) 956-8650 - 350,000 (\$831,250)  
COMMON STOCK. (FILE 333-87835 - SEP. 27) (BR. 8)
- S-3 SAPIENT CORP, ONE MEMORIAL DR, CAMBRIDGE, MA 02142 (617) 621-0200 -  
118,601 (\$11,037,009) COMMON STOCK. (FILE 333-87837 - SEP. 27) (BR. 3)
- S-2 LVPS MICROFACILITY INC, 4695 MACARTHUR COURT, #530, NEWPORT BEACH, CA

92660 (714) 372-2251 - 625,000 (\$5,000,000) COMMON STOCK. (FILE 333-87839 - SEP. 27) (BR. 5 - NEW ISSUE)

S-8 MEDJET INC, 1090 KING GEORGE POST RD, STE 301, EDISON, NJ 08837 (908) 738-3990 - 310,312 (\$423,524) COMMON STOCK. (FILE 333-87841 - SEP. 27) (BR. 9)

S-8 OAK INDUSTRIES INC, 1000 WINTER STREET, BAY COLONY CORP CENTER, WALTHAM, MA 02154 (617) 890-0400 - 200,500 (\$6,224,343.75) COMMON STOCK. (FILE 333-87843 - SEP. 27) (BR. 5)

N-2 BLACKROCK PENNSYLVANIA STRATEGIC MUNICIPAL TRUST, 345 PARK AVENUE, NEW YORK, NY 10154 (800) 227-7236 - 400 (\$10,000,000) PREFERRED STOCK. (FILE 333-87845 - SEP. 27) (BR. 22)

S-3 PP&L RESOURCES INC, TWO N NINTH ST, ALLENTOWN, PA 18101 (610) 774-5151 - 1,197,000,000 (\$1,197,000,000) STRAIGHT BONDS. (FILE 333-87847 - SEP. 27) (BR. 2)

S-8 STONE ENERGY CORP, 625 E KALISTE SALOOM RD, LAFAYETTE, LA 70508 (318) 237-0410 - 320,000 (\$13,265,345) COMMON STOCK. (FILE 333-87849 - SEP. 27) (BR. 4)

S-8 LEXMARK INTERNATIONAL GROUP INC, ONE LEXMARK CENTRE DR, LEXINGTON, KY 40550 (606) 232-2000 - 3,000,000 (\$249,468,900) COMMON STOCK. (FILE 333-87851 - SEP. 27) (BR. 3)

S-4 FRIEDE GOLDMAN INTERNATIONAL INC, 525 CAPITOL, STE 402, JACKSON, MS 39201 (601) 352-1107 - 31,829,861 (\$183,021,700.75) COMMON STOCK. (FILE 333-87853 - SEP. 27) (BR. 4)

S-8 RENT WAY INC, ONE RENTWAY PLACE, ERIE, PA 16505 (814) 455-5378 - 2,500,000 (\$55,877,500) COMMON STOCK. (FILE 333-87855 - SEP. 27) (BR. 6)

S-6 STAR TELECOMMUNICATIONS INC, 223 EAST DE LA GUERRA STREET, STE 202, SANTA BARBARA, CA 93101 (805) 899-1962 - 65,385 (\$367,790.62) COMMON STOCK. (FILE 333-87857 - SEP. 27) (BR. 7)

S-8 INTERNATIONAL BUSINESS MACHINES CORP, 1 NEW ORCHARD ROAD, ARMONK, NY 10504 (914) 499-1900 - 385,000 (\$1,586,200) COMMON STOCK. (FILE 333-87859 - SEP. 27) (BR. 3)

S-3 HOVNANIAN ENTERPRISES INC, 10 HWY 35, PO BOX 500, RED BANK, NJ 07701 (908) 747-7800 - 1,083,454 (\$9,277,074.87) COMMON STOCK. (FILE 333-87861 - SEP. 27) (BR. 6)

S-8 BRIGHTPOINT INC, 6402 CORPORATE DR, INDIANAPOLIS, IN 46278 (317) 297-6100 - 4,300,000 (\$24,725,000) COMMON STOCK. (FILE 333-87863 - SEP. 27) (BR. 5)

S-8 C-PHONE CORP, 6714 NETHERLANDS DRIVE, WILMINGTON, NC 28405 (910) 395-6100 - 375,000 (\$462,750) COMMON STOCK. (FILE 333-87865 - SEP. 27) (BR. 7)

S-4 INFOCURE CORP, 1765 THE EXCHANGE, STE 450, ATLANTA, GA 30339 (770) 968-0900 - 1,255,478 (\$171,422.20) COMMON STOCK. (FILE 333-87867 - SEP. 27) (BR. 9)

S-8 FPL GROUP INC, 700 UNIVERSE BLVD, JUNO BEACH, FL 33408 (561) 694-4000 - 6,000,000 (\$304,500,000) COMMON STOCK. (FILE 333-87869 - SEP. 27) (BR. 2)

S-3 ONTRACK DATA INTERNATIONAL INC, 6321 BURY DR, EDEN PRAIRIE, MN 55346 (612) 937-1107 - 215,345 (\$1,093,630) COMMON STOCK. (FILE 333-87871 - SEP. 27) (BR. 9)

S-8 ONTRACK DATA INTERNATIONAL INC, 6321 BURY DR, EDEN PRAIRIE, MN 55346 (612) 937-1107 - 400,000 (\$2,031,400) COMMON STOCK. (FILE 333-87873 - SEP. 27) (BR. 9)

S-8 THREE FIVE SYSTEMS INC, 1600 N DESERT DR, TEMPE, AR 85281 (602) 496-0035 - 250,000 (\$4,906,250) COMMON STOCK. (FILE 333-87875 - SEP. 27) (BR. 5)

- S-8 GUILFORD MILLS INC, 4925 WEST MARKET STREET, GREENSBORO, NC 27407  
(336) 316-4688 - 250,000 (\$2,265,625) COMMON STOCK. (FILE 333-87877 -  
SEP. 27) (BR. 2)
- S-8 POWER ONE INC, 740 CALLE PLANO, CAMARILLO, CA 93012 (805) 987-8741 -  
2,000,000 (\$50,750,000) COMMON STOCK. (FILE 333-87879 - SEP. 27) (BR. 5)
- S-3 ENTERBANK HOLDINGS INC, 150 NORTH MERAMEC, P O BOX 16020, CLAYTON, MO  
63105 (314) 725-5500 - 1,375,000 (\$11,000,000) PREFERRED STOCK. (FILE  
333-87881 - SEP. 27) (BR. 7)
- S-3 WISCONSIN POWER & LIGHT CO, 222 W WASHINGTON AVE, MADISON, WI 53703  
(608) 252-3311 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE  
333-87883 - SEP. 27) (BR. 2)
- S-1 MILLITECH CORP, 20 INDUSTRIAL DRIVE EAST, SOUTH DEERFIELD, MA 01373  
(413) 665-0089 - \$72,450,000 COMMON STOCK. (FILE 333-87885 - SEP. 27)
- S-3 WEBB INTERACTIVE SERVICES INC, 1800 GLENARM PLACE, STE 800, DENVER, CO  
80202 (303) 296-9200 - 1,129,568 (\$13,131,228) COMMON STOCK. (FILE  
333-87887 - SEP. 27) (BR. 3)
- F-1 CELANESE AG, INSUSTRIEPARK HOECHST BUILDING F-821 D-6,  
FRANKFURT AM MAIN GERMANY, FRANKFURT, (693) 051-4000 - \$35,970,000  
FOREIGN COMMON STOCK. (FILE 333-87889 - SEP. 27) (NEW ISSUE)
- S-8 NUEVO ENERGY CO, 1021 MAIN SUITE 2100, HOUSTON, TX 77002 (713) 652-0706  
- 1,000,000 (\$17,090,000) COMMON STOCK. (FILE 333-87899 - SEP. 28)  
(BR. 4)
- S-8 CFI PROSERVICES INC, 400 S W SIXTH AVE, SUITE 200, PORTLAND, OR 97204  
(503) 274-7280 - 453,500 (\$8,892,968.13) COMMON STOCK. (FILE 333-87901 -  
SEP. 28) (BR. 3)
- S-8 UNITED RENTALS INC /DE, FOUR GREENWICH OFFICE PARK, GREENWICH, CT 06830  
(203) 622-3131 - 1,214,814 (\$25,717,612) COMMON STOCK. (FILE 333-87903 -  
SEP. 28) (BR. 6)
- S-3 NEW PLAN EXCEL REALTY TRUST INC, 1120 AVENUE OF THE AMERICAS 12TH FL,  
NEW YORK, NY 10036 (212) 869-3000 - 664,316 (\$12,123,767) COMMON STOCK.  
(FILE 333-87905 - SEP. 28) (BR. 8)
- S-3 C COR NET CORP, 60 DECIBEL RD, STATE COLLEGE, PA 16801 (814) 238-2461 -  
2,875,000 (\$93,886,000) COMMON STOCK. (FILE 333-87909 - SEP. 28) (BR. 7)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ABIGAIL ADAMS NATIONAL BANCORP INC	DE				X							09/30/99	
ADELPHIA COMMUNICATIONS CORP	DE				X	X						09/30/99	
ADELPHIA COMMUNICATIONS CORP	DE				X	X						10/01/99	
ADELPHIA COMMUNICATIONS CORP	DE				X	X						10/01/99	
ADELPHIA COMMUNICATIONS CORP	DE				X	X						10/01/99	
AK STEEL HOLDING CORP	DE		X			X						09/30/99	
ALLFIRST FINANCIAL INC	DE					X						09/15/99	
AMARILLO BIOSCIENCES INC	TX				X	X						09/30/99	
APPLIED POWER INC	WI				X	X						09/24/99	
AURORA FOODS INC	DE				X	X						09/27/99	
AVAX TECHNOLOGIES INC	DE				X	X						09/30/99	
BALLYNAGEE ACQUISITION CORP	DE		X									09/20/99	
BIOENVISION INC	DE				X	X						09/30/99	
BROOKDALE LIVING COMMUNITIES INC	DE					X						07/02/98	AMEND
BROOKDALE LIVING COMMUNITIES INC	DE				X	X						09/08/99	
BROOKE GROUP LTD	DE				X	X						10/01/99	
CALLOWAYS NURSERY INC	TX		X			X						09/30/99	
CAPITAL ONE MASTER TRUST	VA				X	X						09/20/99	
CAREMARK RX INC	DE				X	X						09/29/99	
CATALYTICA INC	DE		X			X						09/30/99	
CENTRE CAPITAL CORP /NV/	NV					X						09/15/99	AMEND
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X	X						09/17/99	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X	X						09/20/99	
CIRCUIT RESEARCH LABS INC	AZ		X									10/01/99	
CITIZENS FIRST FINANCIAL CORP	DE				X							10/01/99	
CMGI INC	DE				X	X						09/23/99	
COLUMBIA CAPITAL CORP/TX/	DE		X			X						09/16/99	
COMPREHENSIVE CARE CORP	DE				X							10/01/99	
CONTINENTAL CHOICE CARE INC	NJ				X							09/21/99	
CONVERSION TECHNOLOGIES INTERNATION AL INC	DE				X	X						10/01/99	
CORPORATE EXPRESS INC	CO				X	X						09/24/99	
CORPORATE VISION INC /OK/	OK		X									09/30/99	
COUNTRYLAND WELLNESS RESORTS INC	DE		X									09/30/99	
CRITICAL PATH INC	CA					X						08/02/99	AMEND
CTC COMMUNICATIONS CORP	MA				X							09/30/99	
CYBER MERCHANTS EXCHANGE INC	CA				X							06/30/99	
DATA SYSTEMS NETWORK CORP	MI				X	X						09/15/99	
DAYTON HUDSON RECEIVABLES CORP	MN				X							10/01/99	
DIAMOND HOME SERVICES INC	DE				X	X						09/23/99	
DOT HILL SYSTEMS CORP	NY				X	X						08/02/99	AMEND
E LOAN INC	DE		X			X						10/01/99	
EDISON MISSION ENERGY	CA					X						07/19/99	AMEND
EOTT ENERGY PARTNERS LP	DE					X						10/01/99	
EPITOPE INC/OR/	OR				X	X						10/01/99	
E4L INC	DE				X	X						09/22/99	
FAVORITE BRANDS INTERNATIONAL INC	DE				X	X						09/25/99	
FIRST NATIONAL BANK OF ATLANTA					X	X						09/09/99	
FLEET BOSTON CORP	RI				X	X						10/01/99	
FLEMING COMPANIES INC /OK/	OK		X									09/28/99	
GATEWAYS TO SPACE INC	DE		X		X	X						04/02/99	
GATX CAPITAL CORP	DE				X	X						10/01/99	
GISH BIOMEDICAL INC	CA				X							09/24/99	
GREENPOINT CREDIT CORP	DE				X	X						09/29/99	
HALLIBURTON CO	DE				X	X						09/29/99	
HALLIBURTON CO	DE				X	X						09/30/99	
HARBINGER CORP	GA				X	X						09/30/99	
HOLOGIC INC	DE				X	X						09/29/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
IBIS TECHNOLOGY CORP	MA				X	X					09/29/99	
IKON RECEIVABLES LLC	DE				X	X					10/01/99	
INDEPENDENT BANK CORP	MA				X	X					09/27/99	
INTELEFILM CORP	MN				X	X					10/01/99	
INTELLIQUEST INFORMATION GROUP INC	DE		X				X				09/15/99	
INTERMEDIA CAPITAL PARTNERS IV L P	CA				X						10/01/99	
IVC INDUSTRIES INC	DE				X						09/24/99	
JACOBS JAY INC	WA				X						09/13/99	
JANEX INTERNATIONAL INC	CO					X					10/01/99	
L P R CYBERTEK INC	CO	X									07/30/99	
LABONE INC/	MO				X						09/29/99	
LAHAINA ACQUISITIONS INC	CO						X				08/23/99	AMEND
LIFE USA HOLDING INC /MN/	MN		X								10/01/99	
MAGELLAN TECHNOLOGY INC	UT		X								09/17/99	
MEAD CORP	OH				X	X					09/30/99	
MELLON AUTO GRANTOR TRUST 1999-1	DE				X	X					09/15/99	
MESSAGEMEDIA INC	DE				X	X					08/20/99	AMEND
METROPOLIS REALTY TRUST INC	MD				X						10/01/99	
NEIMAN MARCUS GROUP INC	DE				X						09/30/99	
NEWSTAR MEDIA INC	CA				X						09/23/99	
NPS PHARMACEUTICALS INC	DE				X	X					10/01/99	
OCWEN FINANCIAL CORP	VA				X	X					10/01/99	
ONYX ACCEPTANCE OWNER TRUST 1999-C	DE				X						09/15/99	
OSHKOSH B GOSH INC	DE				X	X					10/01/99	
PACIFIC GAS & ELECTRIC CO	CA				X						10/01/99	
PACIFIC REAL ESTATE INVESTMENT TRUS T INC	CA		X								09/17/99	
PEGASUS AIRCRAFT PARTNERS L P	DE				X						09/22/99	
PG&E CORP	CA				X						10/01/99	
FINNACLE WEST CAPITAL CORP	AZ				X						09/29/99	
POPMAIL COM INC	MN		X		X						09/30/99	
PROFESSIONAL BENEFITS INSURANCE CO	TX					X					09/30/99	
PROVIDENT BANK	OH				X	X					10/01/99	
RACOM SYSTEMS INC	DE				X	X					07/21/99	AMEND
RADIOLOGIX INC					X	X					09/24/99	
REPUBLIC NEW YORK CORP	MD				X	X					10/01/99	
ROCKSHOX INC	DE			X		X					10/01/99	
SAGA SYSTEMS INC /DE/	DE				X	X					10/01/99	
SALOMON BROTHERS MRT SEC VII INC MR T PS THR CERT 1999-2	DE				X	X					09/27/99	
SECOM GENERAL CORP	DE		X								09/17/99	
SECURITY OF PENNSYLVANIA FINANCIAL CORP	DE				X	X					09/15/99	
SKY FINANCIAL GROUP INC	OH				X						10/01/99	
SPALDING HOLDINGS CORP	DE				X	X					10/01/99	
TEAM AMERICA CORPORATION	OH				X	X					10/01/99	
TERAYON COMMUNICATION SYSTEMS	DE		X			X					09/16/99	
TITAN MOTORCYCLE CO OF AMERICA INC	NV				X	X					09/17/99	
TOO INC	DE				X	X					08/23/99	
TRANSAMERICAN ENERGY CORP	DE				X	X					10/01/99	
TRANSAMERICAN REFINING CORP	TX				X	X					10/01/99	
U S PLASTIC LUMBER CORP	NV				X						09/20/99	
VERTEX INDUSTRIES INC	NJ	X									09/16/99	
VTEL CORP	DE				X	X					09/28/99	
WACHOVIA CREDIT CARD MASTER TRUST					X	X					09/09/99	
WESTWOOD ONE INC /DE/	DE		X		X	X					09/22/99	
WILLIAMS SONOMA INC	CA				X	X					09/22/99	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH				X	X					09/17/99	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH				X	X					09/17/99	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
WORLD OMNI DEALER FUNDING INC	FL						X					08/31/99	