

SEC NEWS DIGEST

Issue 99-162

August 23, 1999

COMMISSION ANNOUNCEMENTS

SEC TIGHTENS RULES GOVERNING PERSONAL TRADING BY MUTUAL FUND INSIDERS

The Commission today adopted amendments to the rule under the Investment Company Act that governs personal trading by mutual fund portfolio managers and other employees. The amendments tighten the rule by requiring (i) greater board oversight of personal trading practices, (ii) more complete reporting of securities trading by employees, and (iii) pre-clearance of employee purchases of securities sold in IPOs and private placement transactions -- two types of personal securities transactions that the Commission concluded raise the greatest risk of abuse. The amendments also require funds to disclose their policies on personal trading by their employees and their codes of ethics.

Paul Royce, Director of the SEC's Division of Investment Management, said, "These amendments will help ensure that the personal trading of mutual fund insiders does not compromise the interests of mutual fund shareholders. If we nonetheless discover abusive trading, it can be expected that the Commission will take enforcement action where necessary to protect investors."

The amendments to Rule 17j-1 require that a fund's board of directors, including a majority of independent directors on the board, approve the fund's code of ethics and the code of any investment adviser or principal underwriter of the fund. Additionally, the amendments require initial and annual personal holdings reports from certain fund personnel, as well as a review of those reports by compliance personnel. The amendments also require the fund or its investment adviser to review and pre-approve any personal investment by certain personnel in an initial public offering or limited offering. The rule, and amendments to required disclosure forms, also will make information about a fund's code of ethics available to the public.

For further information about the rule, contact C. Hunter Jones in the SEC's Division of Investment Management at 202.942.0690. (Press Rel. 99-102)

BROKER-DEALER CANCELLATIONS

The registrations of the following broker-dealers were cancelled by Commission order pursuant to Section 15(b)(5) of the Securities Exchange Act of 1934 on the dates noted below. The following broker-dealers either failed to comply with applicable requirements of the Securities Investor Protection Corp. (SIPC) or failed to be a member of a self-regulatory organization. Any customer of one of the following broker-dealers who has not received his or her cash or securities from the broker-dealer should immediately contact the National Association of Securities Dealers Inc. (NASD), at 1-800-289-9999 and ask to be referred to the appropriate local NASD office. Representatives of your local NASD office will be able to assist you with any questions you may have.

Persons with cash or securities in a securities account at one of the broker-dealers listed below may have a claim against the broker-dealer under the Securities Investor Protection Act of 1970 (SIPA). SIPC may act to protect customers for only 180 days from the date of the cancellation. For information regarding the nature of SIPC protection, please contact SIPC at 805 Fifteenth Street, N.W., Ste 800, Washington D.C., 202-371-8300.

<u>Broker-Dealer</u>	<u>Date of Cancellation</u>
Alexander Kale Securities Inc.	7/28/1999
Ambo General Partnership	7/28/1999
Ash Financial Corp.	7/28/1999
Ashfeld Group Inc.	7/28/1999
Atlas Trading LLC	7/28/1999
Bernstein, David Alan	7/28/1999
Blanck, Michael W.	7/28/1999
BRRS LLC	7/28/1999
Cameron, John K. Jr.	7/28/1999
Cassidy & Co., Inc.	7/28/1999
Chicago First Securities LLC	7/28/1999
CMSS Financial Services LLC	7/28/1999
Conan Securities LP	7/28/1999
Conary, Neil Whitney	7/28/1999
Daedalus Associates LP	7/28/1999
Dengler, Bernard M.	7/28/1999
Double R. Ltd. Partnership	7/28/1999
Dry, Paul & Co., Inc.	7/28/1999
Eubank, Arthur Andrew Jr.	7/28/1999
Fanning, James Andrew	7/28/1999
First Coast Securities Inc.	7/28/1999
Forey & Associates	7/28/1999
Fox Trading Ltd. Partnership	7/28/1999
Frazin, Michael Bruce	7/28/1999
Gershon, Norman David	7/28/1999
Goldman, Scott Alan	7/28/1999
Gray, Matthew L.	7/28/1999
Griffin, Farrel J.	7/28/1999

Broker-Dealer**Date of Cancellation**

Hemsley, Martin Francis	7/28/1999
HK Trading Ltd. Partnership	7/28/1999
Income Network Co.	7/28/1999
Innovative Capital Markets	7/28/1999
Internet Capital Markets Corp.	7/28/1999
Jones, Victor Dwain	7/28/1999
Kalmin, Fred Jonathan	7/28/1999
Khoury, Kenneth Elias	7/28/1999
Kibbe, Keith	7/28/1999
KP Options	7/28/1999
Krause, Timothy A.	7/28/1999
Kronos Investments Ltd.	7/28/1999
Lavender, Harold Wade Jr.	7/28/1999
Lee, Mark Jorgenson	7/28/1999
Lot LLC	7/28/1999
Lundy Associates LLC	7/28/1999
MacNamara, Timothy G.	7/28/1999
Marino, David Nicholas	7/28/1999
McLynn Trading LLC	7/28/1999
Murdock, Theodore Lance	7/28/1999
Murphy, Martin Eugene	7/28/1999
Newell, Donald A.	7/28/1999
North Cape Trading & Research Inc.	7/28/1999
O'Dowd, Kenneth P.	7/28/1999
Paolillo, Dominick Gerald	7/28/1999
Pavilion Securities Corp.	7/28/1999
Pellett Investments Inc.	7/28/1999
Qwon Investment Consultants Inc.	7/28/1999
Rockrimmon Securities LLC	7/28/1999
Rosenbloom, David	7/28/1999
Rowland Carmichael & Associates Inc.	7/28/1999
RST Capital Inc.	7/28/1999
Sahara Associates LLC	7/28/1999
Saint Andrews Investors LLC	7/28/1999
Sallerson, Samuel	7/28/1999
Salman Partners USA Inc.	7/28/1999
Sclafani, Alfredo	7/28/1999
Smith, Irvin N.	7/28/1999
Sorota, Richard Leon	7/28/1999
Stern, Jonathan	7/28/1999
Stotts, Lawrence	7/28/1999
Synergy Capital Inc.	7/28/1999
Taurus Management Corp.	7/28/1999
TBD Capital Markets Trust	7/28/1999
TGL Options & Co.	7/28/1999
Thomas, W. Corp.	7/28/1999
Warsh, Michael David	7/28/1999
Westpac Markets Inc.	7/28/1999
Young SA Trading LLC	7/28/1999

RULES AND RELATED MATTERS

GRANT OF EXEMPTIVE RELIEF FROM CERTAIN PROVISIONS OF THE TENDER OFFER REGULATIONS

The Commission granted an exemptive order from certain provisions of the Securities Exchange Act of 1934 (Exchange Act) to TABCORP Holdings Limited and TABCORP Investments Pty Ltd. with regard to a tender offer for all of the outstanding preferred ordinary shares and American Depositary Shares of Star City Holdings Limited. The order granted relief from certain withdrawal rights provisions of Section 14(d)(5) of the Exchange Act and Rules 14d-7 and 14d-10 thereunder, and confirmed that the offer could employ certain practices consistent with Australian law and practice. For further information contact Nicholas P. Panos at (202) 942-2920. (Rel. 34-41769)

ENFORCEMENT PROCEEDINGS

IN THE MATTER OF RONNIE NEIHART

On August 19, the Commission instituted public administrative proceedings pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 (Exchange Act) against Ronnie R. Neihart (Neihart) of Weldon, North Carolina to determine whether Neihart should be barred from participating in penny stock offerings.

The Order Instituting Public Administrative Proceedings Pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 (Order) is based upon a permanent injunction which the Commission obtained against Neihart on January 20, 1999 in United States District Court for the Northern District of Georgia, SEC v. Ronnie R. Neihart and Synvion Corporation, Civil Action No. 1:98-cv-3341-WBH. The injunction permanently enjoined Neihart from further violations of the registration, antifraud and reporting provisions of the federal securities laws, barred Neihart from acting as an officer or director of any company that has a class of securities registered with the Commission pursuant to Section 12 of the Exchange Act or that is required to file periodic reports with the Commission pursuant to Section 15(d) of the Exchange Act, ordered Neihart to disgorge \$961,000 in ill-gotten gains, and ordered Neihart to pay civil penalties. The Court subsequently set the amount of civil penalties at \$110,000.

The Order alleges the complaint in the injunctive action alleged that Neihart, while acting as Synvion Corporation's president and CEO, made material misrepresentations and omissions in connection with the purchase or sale, and in the offer or sale of unregistered Synvion stock. The complaint further alleged that Neihart

misrepresented, among other things, (1) that Synvion would be quoted on NASDAQ at a price of up to \$64 per share, when, in fact, Synvion had never even filed an application to be quoted on NASDAQ with the National Association of Securities Dealers, Inc., (2) the commercial viability of ETA10 supercomputers worth \$20 million which Synvion allegedly owned, when, in fact, the supercomputers were worthless, (3) the existence of contracts with several large, publicly-traded corporations, including, NCR Corporation, AT&T Corp. and Lucent Technologies, Inc., when, in fact, these contracts did not exist, (4) the existence of a lucrative stock lease agreement with Prudential Securities, Inc., when, in fact, there was no such stock lease agreement; and (5) that a cease and desist order issued against Neihart on December 6, 1996 by the Securities Division of the State of North Carolina had been "cleared up", when, in fact, the cease and desist order was in full force and effect.

A hearing will be held before an administrative law judge to determine whether the staff's allegations are true, and if so, what sanctions, if any, are appropriate. (Rel. 34-41765; File No. 3-9982)

SEC STOPS \$17 MILLION ON-GOING PONZI SCHEME

The Commission announced that on August 19 the United States District Court for the Middle District of Florida entered a Temporary Restraining Order and Other Relief against defendants Sebastian International Enterprises, Inc. (SIE), Ferdinand Ben Sebastian, III and Jan L. Sebastian (collectively the Sebastians) halting the on-going sales of unregistered securities by SIE's network of marketing firms and sales agents. The Court also ordered a freeze of defendants' assets and of the assets of relief defendants S.I.E. Holdings, Inc., Sebastian International Entertainment, Inc., Grand Oasis, Inc. and 3dGolfer, Inc., and appointed a Receiver.

According to the SEC's complaint, the defendants violated the antifraud and securities registration provisions of the federal securities laws in connection with their fraudulent sale of approximately \$17.7 million in SIE notes to at least 416 investors nationwide. The complaint alleges that defendants misrepresented, among other things, that 100% of investor funds would be used to create and produce a children's television program, Real Life 101. In fact, unbeknownst to the investors, the defendants diverted a substantial portion of the investors' funds to finance the Sebastians' lavish lifestyle and used investors' funds in Ponzi scheme fashion, to pay interest on the notes and commissions to SIE's marketing firms and sales agents. [SEC v. Sebastian International Enterprises, Inc., Ferdinand Ben Sebastian, III, and Jan L. Sebastian, Case No. 99-1053-CIV-ORL-18-A, MD Fla.] (LR-16257)

INVESTMENT COMPANY ACT RELEASES

THE SHORT TERM BOND PORTFOLIO, ET AL.

An order has been issued on an application filed by The Short Term Bond Portfolio, et al. under Section 12(d)(1)(J) of the Investment Company Act for an exemption from Sections 12(d)(1)(A) and (B) of the Act, under Sections 6(c) and 17(b) of the Act for an exemption from Section 17(a) of the Act, and under Section 17(d) of the Act and Rule 17d-1 under the Act to permit certain joint transactions. The order permits certain registered open-end management investment companies to invest uninvested cash in affiliated money market funds. (Rel. IC-23955 - August 19)

CORE TRUST (DELAWARE), ET AL.

A notice has been issued giving interested persons until September 13, 1999, to request a hearing on an application filed by Core Trust (Delaware), et al. for an order pursuant to Section 17(d) of the Investment Company Act and Rule 17d-1 under the Act. The order would permit certain registered investment companies to pay fees based on a share of the revenue generated from securities lending transactions to an affiliated lending agent. (Rel. IC-23956 - August 19)

HOLDINGS COMPANY ACT RELEASES

AMERICAN ELECTRIC POWER CO., INC.

An order has been issued authorizing AEP Energy Services, Inc., an energy-related subsidiary of American Electric Power Company, Inc. (AEP), a registered holding company, and certain newly formed or to-be-formed nonutility subsidiaries of AEP to broker and market energy commodities at wholesale and retail in Canada. (Rel. 35-27062)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by The Government Securities Clearing Corporation (SR-GSCC-98-04). Under the rule change, GSCC will enter into a cross-margining arrangement initially with Commodity Clearing Corporation and thereafter with other futures clearing organizations. (Rel. 34-41766)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-99-11) under Section 19(b)(1) of the Exchange Act. The proposed rule change modifies NSCC's Year 2000 policies. The Commission has approved the proposed rule change on an accelerated basis. Publication of the proposal is expected in the Federal Register during the week of August 23. (Rel. 34-41768)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-1 AGILENT TECHNOLOGIES INC, 3000 HANOVER ST, MS 20 BQ, PALO ALTO, CA 94304
- \$100,000,000 COMMON STOCK. (FILE 333-85249 - AUG. 16) (BR. 36
- NEW ISSUE)

- S-3 DOUBLE EAGLE PETROLEUM & MINING CO, 777 OVERLAND TRAIL, PO BOX 766,
CASPER, WY 82602 (307) 237-9330 - 786,000 (\$1,408,657) COMMON STOCK.
(FILE 333-85257 - AUG. 16) (BR. 4)

- S-1 RATTLESNAKE HOLDING CO INC, TWO SOUTH MAIN ST, STE 130, SOUTH NORWALK,
CT 06852 (860) 276-8660 - 200,000,000 (\$24,000,000) COMMON STOCK. (FILE
333-85259 - AUG. 16) (BR. 9)

- S-8 V3 SEMICONDUCTOR INC, 250 CONSUMERS RD, SUITE 901, NORTH YORKONTARIO CA,
A6 (416) 497-8884 - 400,000 (\$2,752,000) COMMON STOCK (FILE 333-85261 -
AUG. 16) (BR. 9)

- S-4 ABBOTT LABORATORIES, 100 ABBOTT PARK ROAD, D-322 AP6D, ABBOTT PARK, IL
60064 (847) 937-6100 - 164,750,000 (\$6,857,718,750) COMMON STOCK. (FILE
333-85265 - AUG 16) (BR. 1)

- S-3 THQ INC, 5016 N PKWY CALABASAS, SUITE 100, CALABASAS, CA 91302
(818) 591-1310 - 464,979 (\$13,455,330) COMMON STOCK. (FILE 333-85269 -
AUG. 16) (BR. 3)

- S-8 TECHNITROL INC, 1210 NORTHBROOK DR STE 385, TREVOSSE, PA 19053
(215) 355-2900 - 500,000 (\$16,468,750) COMMON STOCK. (FILE 333-85271 -
AUG 16) (BR. 5)

- S-8 VIDEO CITY INC, 370 AMAPOLA AVENUE SUITE 208, TORRANCE, CA 90501
(310) 533-3900 - 6,114,000 (\$9,295,758) COMMON STOCK. (FILE 333-85273 -
AUG. 16) (BR. 5)

- S-8 NATIONAL BEVERAGE CORP, ONE NORTH UNIVERSITY DRIVE,
BUILDING A 4TH FLOOR, FORT LAUDERDALE, FL 33324 (305) 581-0922 - 160,000
(\$1,440,000) COMMON STOCK. (FILE 333-85275 - AUG. 16) (BR. 2)

S-8 NATIONAL BEVERAGE CORP, ONE NORTH UNIVERSITY DRIVE,
BUILDING A 4TH FLOOR, FORT LAUDERDALE, FL 33324 (305) 581-0922 - 50,000
(\$450,000) COMMON STOCK. (FILE 333-85277 - AUG. 16) (BR. 2)

S-3 CASELLA WASTE SYSTEMS INC, 25 GREENS HILL LANE P O BOX 866, RUTLAND, VT
05701 (802) 775-0325 - 303,598 (\$7,438,151) COMMON STOCK. (FILE 333-85279
- AUG 16) (BR. 6)

SB-2 PENTASTAR COMMUNICATIONS INC, 1522 BLAKE STREET, DENVER, CO 80202
(303) 620-9090 - \$20,955,010 COMMON STOCK. (FILE 333-85281 - AUG. 16)
(NEW ISSUE)

S-3 MORGAN J P & CO INC, 60 WALL ST, NEW YORK, NY 10260 (212) 483-2323 -
6,000,000,000 (\$6,000,000,000) WARRANTS, OPTIONS OR RIGHTS. (FILE
333-85283 - AUG. 16) (BR. 7)

S-4 UST INC, 100 W PUTNAM AVE, GREENWICH, CT 06830 (203) 661-1100 -
200,000,000 (\$193,718,000) STRAIGHT BONDS. 40,000,000 (\$40,437,600)
FLOATING RATE NOTES. (FILE 333-85285 - AUG 16) (BR 4)

S-3 NHANCEMENT TECHNOLOGIES INC, 39420 LIBERTY ST, STE 250, FREMONT, CA
94538 (303) 271-0505 - 2,627,874 (\$4,597,084 13) COMMON STOCK. (FILE
333-85287 - AUG. 16) (BR 9)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 25,000 (\$1,188,250) COMMON STOCK. (FILE 333-85289 -
AUG 16) (BR 5)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 425,000 (\$20,200,250) COMMON STOCK (FILE 333-85291 -
AUG. 16) (BR. 5)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 50,000 (\$2,376,500) COMMON STOCK. (FILE 333-85293 -
AUG 16) (BR. 5)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 25,000 (\$1,188,250) COMMON STOCK (FILE 333-85295 -
AUG 16) (BR 5)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 10,000 (\$475,300) COMMON STOCK (FILE 333-85297 -
AUG. 16) (BR. 5)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 30,000 (\$1,425,900) COMMON STOCK (FILE 333-85299 -
AUG. 16) (BR. 5)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 50,000 (\$2,376,500) COMMON STOCK (FILE 333-85301 -
AUG. 16) (BR. 5)

S-8 BORG WARNER AUTOMOTIVE INC, 200 S MICHIGAN AVE, CHICAGO, IL 60604
(312) 322-8500 - 10,000 (\$475,300) COMMON STOCK. (FILE 333-85303 -
AUG. 16) (BR. 5)

S-8 NATIONAL WIRELESS HOLDINGS INC, 249 ROYAL PALM WY, SUITE 301,
PALM BEACH, FL 33480 (212) 582-1212 - 50,000 (\$425,000) COMMON STOCK.
(FILE 333-85307 - AUG. 16) (BR 3)

S-8 AMERICAN BANCSHARES INC \FL\, 4502 CORTEZ ROAD WEST, BRADENTON, FL 34210
(941) 727-3535 - 111,900 (\$1,023,521 80) COMMON STOCK. (FILE 333-85309 -
AUG. 16) (BR. 7)

S-3 INTELIDATA TECHNOLOGIES CORP, 11600 SUNRISE VALLEY DRIVE, SUITE 100,
RESTON, VA 20191 (703) 834-8320 - 6,270,000 (\$19,687,800) COMMON STOCK.
(FILE 333-85313 - AUG. 16) (BR. 3)

S-1 EXACTIS COM INC, 707 17TH STREET SUITE 2850, DENVER, CO 80202
(303) 675-2300 - \$57,500,000 COMMON STOCK. (FILE 333-85315 - AUG. 16)

S-8 AMERICAN BANCSHARES INC \FL\, 4502 CORTEZ ROAD WEST, BRADENTON, FL 34210
(941) 727-3535 - 250,000 (\$2,023,987.45) COMMON STOCK. (FILE 333-85317 -
AUG. 16) (BR. 7)

S-3 HUMAN GENOME SCIENCES INC, 9410 KEY WEST AVENUE, ROCKVILLE, MD 20850
(301) 309-8504 - 125,000,000 (\$125,000,000)
CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-85319 - AUG 16) (BR 1)

S-8 CYBEROPTICS CORP, 5900 GOLDEN HILLS DR, MINNEAPOLIS, MN 55416
(612) 542-5000 - 200,000 (\$3,306,250) COMMON STOCK (FILE 333-85321 -
AUG. 16) (BR. 5)

S-4 S3 INC, 2841 MISSION COLLEGE BLVD, SANTA CLARA, CA 95054 (415) 588-8000
- 18,980,000 (\$171,093,750) COMMON STOCK (FILE 333-85323 - AUG 16)
(BR. 3)

S-8 EXODUS COMMUNICATIONS INC, 2831 MISSION COLLEGE BLVD, SANTA CLARA, CA
95054 (408) 346-2200 - 102,178 (\$6,886,900) COMMON STOCK (FILE 333-85325
- AUG 16) (BR. 8)

S-3 ASYST TECHNOLOGIES INC /CA/, 48761 KATO ROAD, FREMONT, CA 94538
(510) 661-5000 - 1,106,596 (\$30,708,039) COMMON STOCK (FILE 333-85327 -
AUG. 16) (BR. 5)

S-8 COMPLETE WELLNESS CENTERS INC, 666 11TH ST N W SUITE 200, WASHINGTON, DC
20001 (202) 639-9700 - 950,000 (\$1,900,000) COMMON STOCK. (FILE 333-85329
- AUG. 16) (BR. 1)

S-4 GRAY COMMUNICATIONS SYSTEMS INC /GA/, 126 N WASHINGTON ST, ALBANY, GA
31701 (912) 888-9390 - 7,926,000 (\$25,526,399) COMMON STOCK (FILE
333-85331 - AUG. 16) (BR 7)

S-4 ENERGY EAST CORP, PO BOX 12904, SUITE 2006A 20TH FLOOR, ALBANY, NY 12212
(518) 434-3014 - \$118,578,879 COMMON STOCK. (FILE 333-85333 - AUG. 16)
(BR. 2)

S-8 MYSOFTWARE CO, 2197 EAST BAYSHORE RD, PALO ALTO, CA 94303 (415) 473-3600
- 1,158,776 (\$9,585,687) COMMON STOCK. (FILE 333-85335 - AUG. 16) (BR 3)

S-8 AMERICA ONLINE INC, 22000 AOL WAY, C/O LENNERT J LEADER CFO, DULLES, VA
20166 (703) 265-1000 - 25,000,000 (\$2,079,687,500) COMMON STOCK (FILE
333-85337 - AUG 16) (BR. 3)

S-3 IDEC PHARMACEUTICALS CORP / DE, 11011 TORREYANA ROAD, SAN DIEGO, CA
92121 (619) 550-8500 - 345,000,000 (\$275,258,250) COMMON STOCK. (FILE
333-85339 - AUG. 16) (BR 1)

S-3 NETSMART TECHNOLOGIES INC, 146 NASSAU AVE, ISLIP, NY 11751
(516) 968-2000 - 994,499 (\$3,997,886) COMMON STOCK (FILE 333-85341 -
AUG. 16) (BR 3)

S-8 AMERICA ONLINE INC, 22000 AOL WAY, C/O LENNERT J LEADER CFO, DULLES, VA
20166 (703) 265-1000 - 35,000 (\$2,911,562.50) COMMON STOCK. (FILE
333-85343 - AUG 16) (BR. 3)

S-8 AMERICA ONLINE INC, 22000 AOL WAY, C/O LENNERT J LEADER CFO, DULLES, VA
20166 (703) 265-1000 - 10,000 (\$831,875) COMMON STOCK (FILE 333-85345 -
AUG. 16) (BR. 3)

S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94025 (415) 944-6000 -
131,686 (\$460,901) COMMON STOCK. (FILE 333-85349 - AUG. 17) (BR 3)

S-1 BSQUARE CORP /WA, 3633 136TH PLACE S E SUITE 100, 425-519-5900,
BELLEVUE, WA 98006 (425) 519-5900 - \$60,000,000 COMMON STOCK. (FILE
333-85351 - AUG. 17) (BR. 1)

S-3 ARCADIA FINANCIAL LTD, 7825 WASHINGTON AVE S, MINNEAPOLIS, MN 55439
(612) 942-9880 - 98,500,000 (\$98,500,000) STRAIGHT BONDS. (FILE 333-85353
- AUG. 17) (BR 7)

S-3 SENTO CORP, 808 EAST UTAH VALLEY DRIVE, P O BOX 1970, AMERICAN FORK, UT
84003 (801) 492-2000 - 3,310,932 (\$7,863,464) COMMON STOCK. (FILE
333-85355 - AUG. 17) (BR. 3)

S-8 RIGL CORP, 4840 EAST JASMINE STREET, SUITE 105, MESA, AZ 85020
 (602) 906-1924 - 1,000,000 (\$500,000) COMMON STOCK. (FILE 333-85357 -
 AUG. 17) (BR. 9)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AEARO CORP	DE					X						08/02/99	
AES CORPORATION	DE					X						08/20/99	
ALTEON INC /DE	DE					X						08/17/99	
AMERICAN GENERAL CORP /TX/	TX					X						08/19/99	
AMERICAN GENERAL FINANCE INC	IN					X						08/19/99	
AMERICAN REAL ESTATE INVESTMENT CORP	MD		X						X			08/06/99	
ANGELES PARTNERS XII	CA		X						X			06/18/99	
AUTHENTIC FITNESS CORP	DE					X	X					08/19/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X					08/20/99	
BEAR STEARNS COMMERCIAL MORTGAGE SECURITIES INC	DE		X									08/15/99	
BEAR STEARNS COMMERCIAL MORTGAGE SECURITIES INC	DE		X									08/15/99	
BION ENVIRONMENTAL TECHNOLOGIES INC	CO					X						07/23/99	
BLOUNT INTERNATIONAL INC	DE	X	X					X				08/19/99	
BONNEVILLE PACIFIC CORP	DE					X						08/18/99	
BOSTON LIFE SCIENCES INC /DE	DE					X	X					08/17/99	
BRADLEES INC	MA					X						08/20/99	
BTG INC /VA/	VA					X	X					07/28/99	AMEND
CALLAWAY GOLF CO /CA	CA					X	X					08/17/99	
CANTERBURY INFORMATION TECHNOLOGY INC	PA					X						08/13/99	
CHASE MORTGAGE FINANCE CORP	DE					X	X					08/18/99	
COLUMBIA LABORATORIES INC	DE					X	X					08/20/99	
CREDENCE SYSTEMS CORP	DE	X										08/20/99	
CRONOS GLOBAL INCOME FUND XIV L P	CA					X	X					08/13/99	
CRONOS GLOBAL INCOME FUND XV LP	CA					X	X					08/13/99	
CRONOS GLOBAL INCOME FUND XVI LP	CA					X	X					08/13/99	
CRONOS GROUP	N4					X						08/13/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
DERMA SCIENCES INC	PA				X	X					08/16/99	
DST SYSTEMS INC	DE				X						08/18/99	
ENTERCOM COMMUNICATIONS CORP	PA				X	X					08/18/99	
ENTROPIN INC	CO				X	X					08/20/99	
EQUITEX INC	DE				X		X				08/20/99	
FARADAY FINANCIAL INC	DE	X									07/31/99	
FIFTH THIRD BANK AUTO TRUST 1996-A	OH						X				08/20/99	
FIFTH THIRD BANK AUTO TRUST 1996-B	OH						X				08/20/99	
FIRST SUNRISE INC	DE	X									08/12/99	
FOAMEX INTERNATIONAL INC	DE				X	X					08/13/99	
FYI INC	DE				X	X					08/13/99	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE	X									07/15/99	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE	X									08/15/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
GREEN TREE FINANCIAL CORP	DE				X	X					08/16/99	
HARRAHS ENTERTAINMENT INC	DE				X	X					08/19/99	
IEA INCOME FUND IX L P	CA				X	X					08/13/99	
IEA INCOME FUND VI	CA				X	X					08/13/99	
IEA INCOME FUND VII	CA				X	X					08/13/99	
IEA INCOME FUND VIII	CA				X	X					08/13/99	
IEA INCOME FUND X LP	CA				X	X					08/13/99	
IEA INCOME FUND XI LP	CA				X	X					08/13/99	
IEA INCOME FUND XII LP	CA				X	X					08/13/99	
INFORMATION HOLDINGS INC	DE		X			X					08/12/99	
INTERCEPT GROUP INC	GA		X			X					08/06/99	
IOMEGA CORP	DE				X	X					08/20/99	
JMB INCOME PROPERTIES LTD XI	IL		X			X					08/06/99	
KENDLE INTERNATIONAL INC	OH				X	X					08/13/99	
KROGER CO	OH				X	X					08/20/99	
LAIDLAW GLOBAL CORP	DE				X	X	X				08/19/99	
LAMAR ADVERTISING CO/NEW	DE				X						07/20/99	
LATTICE SEMICONDUCTOR CORP	DE		X			X					06/15/99	AMEND
MADISON BANCSHARES GROUP LTD	PA				X	X					08/17/99	
MARKEL CORP	VA				X						08/15/99	
MCLEODUSA INC	DE				X						09/13/99	
MEDICAL SCIENCE SYSTEMS INC	TX				X	X					08/20/99	
MELLON AUTO GRANTOR TRUST 1999-1	DE				X	X					08/16/99	
MERCANTILE CREDIT CARD MASTER TRUST	NY				X	X					08/10/99	
MERIT SECURITIES CORP	VA				X	X					08/18/99	
MERRILL LYNCH MORT INVEST INC MOR P AS THR CER SE 1998-C3	DE				X	X					08/16/99	
METAMOR WORLDWIDE INC	DE		X			X					08/06/99	
MORTGAGE CAPITAL FUNDING INC	DE	X									08/15/99	
NATIONAL STEEL CORP	DE				X	X					08/20/99	
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE	X									07/15/99	
NORTHEAST DIGITAL NETWORKS INC	DE				X						08/20/99	
NORWEST ASSET SECURITIES CORP	DE				X	X					08/20/99	
OMEGA ENVIRONMENTAL INC	DE		X		X	X					08/18/99	
PHELPS DODGE CORP	NY				X	X					08/20/99	
POLYMER SOLUTIONS INC	NV				X						07/05/99	
POSITRON CORP	TX				X						08/18/99	
SFORZA ENTERPRISES INC	FL		X			X					07/05/99	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SKYNET HOLDINGS INC	DE								X		06/17/99	AMEND
STAN LEE MEDIA INC	CO			X					X		08/16/99	
STATER BROS HOLDINGS INC	DE		X						X		08/06/99	
STERICYCLE INC	DE				X		X				08/13/99	
SUNBURST ACQUISITIONS IV INC	CO	X									08/04/99	AMEND
SYMONS INTERNATIONAL GROUP INC	IN					X					03/31/99	
TECHE HOLDING CO	LA					X	X				08/19/99	
TERRA NOVA BERMUDA HOLDING LTD									X		08/15/99	
TERRACE FOOD GROUP INC	DE			X			X				08/13/99	
U S WIRELESS DATA INC	CO				X		X				08/05/99	AMEND
VERITAS SOFTWARE CORP /DE/	DE					X	X				08/17/99	
VERTICALNET INC	PA		X					X			08/10/99	
WARNACO GROUP INC /DE/	DE					X	X				08/19/99	
WESTERN PROPERTIES TRUST	CA							X			08/19/99	