

# SEC NEWS DIGEST

Issue 99-147

August 2, 1999

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## COMMISSION ANNOUNCEMENTS

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### SEC UPDATES SECURITIES INDUSTRY Y2K READINESS INFORMATION ON WEB

The Commission has updated the searchable database on the SEC Website that gives investors instant access to the Y2K readiness reports that members of the securities industry were required to file with the SEC. The site now contains the most recent filings by certain broker-dealers, transfer agents, investment advisers, and mutual funds.

The database includes more than 27,000 reports that describe their:

- \* state of Y2K readiness;
- \* costs to address the Y2K problem;
- \* Y2K risks; and
- \* contingency plans.

The Commission views the Year 2000 problem as a serious issue that, if not addressed, could disrupt the proper functioning of many of the world's computer systems.

The Commission, therefore, has required investment advisers, mutual funds, broker-dealers and transfer agents, to report on their readiness for the Year 2000 computer problem. The Commission required reports to be filed twice, and the current, updated forms are now available on the Commission's Website. (Press Rel. 99-86)

### WAYNE CARLIN NAMED ASSOCIATE DIRECTOR OF THE COMMISSION'S NORTHEAST REGIONAL OFFICE

Chairman Levitt today announced the selection of Wayne M. Carlin as Associate Regional Director of the Commission's Northeast Regional Office. As Associate Regional Director, Mr. Carlin will serve as one of the senior officials in the Office, responsible for planning and directing the enforcement program of the region.

Carmen J. Lawrence, Director of the Commission's Northeast Regional Office, said, "We are fortunate to have a lawyer of Wayne's talents and abilities rejoin us. Wayne is an outstanding and ardent protector of investor rights. His return to the Commission provides

added muscle in helping us maintain the high level of integrity associated with our nation's securities markets." Richard H. Walker, the Commission's Director of Enforcement, added, "I am delighted that Wayne is rejoining our team. Having worked with him, I know firsthand what a valuable addition he will be in helping to lead the superb staff of the Northeast Regional Office."

Mr. Carlin returns to the Commission from Lazard Freres & Co. where he has served as Vice President, Head of Regulatory Affairs since May 1997. Prior to his employment with Lazard, Mr. Carlin was with the Northeast Regional Office for approximately four years where he was an Assistant Regional Director, responsible for supervising a number of important and complex cases. Before joining the Commission, Mr. Carlin was first an associate with the law firm of Wachtell, Lipton, Rosen & Katz and then was a partner in the litigation group at Testa, Hurwitz & Thibault.

Some of the matters for which Mr. Carlin had principal responsibility while at the Commission include cases arising from an FBI undercover operation that ensnared stock promoters who paid kickbacks to brokers to induce them to sell the stock to their customers; an egregious soft dollar case against Tandem Asset Management and several of its principals, which culminated in the first criminal prosecution of soft dollar abuses; and the Commission's action against Askin Capital Management, in which the Commission charged an investment adviser with defrauding its clients by disseminating false and misleading performance information related to investments in mortgage derivative securities.

Mr. Carlin earned his A.B. magna cum laude from Harvard College and his J.D. from Harvard Law School. (Press Rel. 99-87)

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## **RULES AND RELATED MATTERS**

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### **BROKER-DEALER REGISTRATION AND REPORTING - FORMS BD AND BDW**

The Commission is adopting amendments to Rules 15b3-1(c), 15b6-1, 15Ba2-2(e), 15Bc3-1, 15Ca2-1(c), and 15Cc1-1 under the Securities Exchange Act of 1934 to allow the Commission, by order, to conditionally exempt broker-dealers from the filing instructions contained in those rules and Forms BD and BDW. The Commission is also amending the Commission's Rules of Practice to delegate the authority to issue orders under all these rules to the Director of the Division of Market Regulation.

The Commission also renamed the paper-based forms BD and BDW as Interim Forms BD and BDW, respectively, and extended their effectiveness indefinitely. Those Forms will only be used pursuant to a Commission order under the rules described above. While it appears at this time that the implementation of Web CRD will continue to go forward as planned, the amendments provide a

contingency plan in the event the full implementation of Web CRD is delayed for any reason. (Rel. 34-41672)

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## **ENFORCEMENT PROCEEDINGS**

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### **RICHARD BREWER AGREES TO SETTLEMENT IN EXCAL LITIGATION**

The Commission announced that on July 21, 1999, a permanent injunction was entered against Richard I. Brewer, one of the defendants in the Commission's financial fraud case against Excal Enterprises, Inc., formerly known as Assix International. The Commission's amended complaint alleged that Brewer falsified Excal's books and records by preparing and recording sham monthly invoices for Western Auto (an Excal customer) for February, March and April, 1991, and falsely recording the January 1991 advance payment of \$125,000 from Western Auto as revenue. This conduct allegedly concealed Excal's loss of revenue from a major contract with Western Auto, which was terminated as of December 1990.

Without admitting or denying the Commission's allegations, Brewer consented to entry of a final judgment that permanently enjoins him from violating Sections 10(b) of the Securities Exchange Act of 1934, and Rules 10b-5, 13b2-1 and 13b2-2 thereunder. The judgment does not impose civil penalties based on Brewer's demonstrated inability to pay.

The litigation is proceeding against remaining defendants R. Park Newton III (Excal's Chairman), Frederic Schadt and Excal.

In a related matter, the Commission on July 30, 1999, issued an administrative order pursuant to Rule 102(e) barring Richard Brewer from practicing before the Commission. The Commission's order, to which Brewer consented, was based on entry of the court's injunction against him. [SEC v. Excal Enterprises, Inc., et al., Civil Action No. 95-1583-CIV-T-23B, M.D. Fla.] (LR-16226, AAE Rel. 1146); Administrative Proceedings In the Matter of Richard I. Brewer, CPA - (Rel. 34-41676, AAE Rel. 1145, File No. 3-9943)

### **JENNIFER D'ANTONI SETTLES INSIDER TRADING CASE**

The Commission today filed a complaint in the United States District Court for the Southern District of New York against Jennifer D'Antoni. The complaint alleges that D'Antoni engaged in insider trading in advance of public announcements concerning the securities of six publicly traded companies. According to the complaint, during a four month period, D'Antoni, who was a registered representative at the time, received material nonpublic information concerning the securities of these companies from Jeffrey Streich, her ex-boyfriend. Streich had himself received the tips from Marisa Baridis, a former employee in the compliance departments of two Wall Street investment firms who had access to confidential information concerning the firms' clients. The complaint alleges

that D'Antoni realized trading profits of \$124,025 based on the tips from Streich, and that she recommended three of these securities to a co-worker who realized profits of \$6,250.

D'Antoni consented, without admitting or denying the allegations in the complaint, to the entry of a Final Judgment enjoining her from future violations of Section 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder, and requiring her to disgorge illegal trading profits of \$130,275, plus prejudgment interest. Based on her demonstrated inability to pay more, all but \$25,000 of the disgorgement amount was waived. As an additional part of the settlement, D'Antoni has consented to the entry of a Commission order barring her from association with any broker or dealer. For further information, see Lit. Rel. No. 15741 (May 15, 1998); Securities Exchange Act Rel. No. 40787 (Dec. 14, 1998); Securities Exchange Act Rel. No. 40788 (Dec. 14, 1998); Lit Rel. No. 15990 (Dec. 3, 1998); Lit Rel. No. 16090 (Mar. 18, 1999); Securities Exchange Act Rel. No. 41182 (Mar. 18, 1999); Securities Exchange Act Rel. No. 41220 (Mar. 29, 1999). [SEC v. Jennifer D'Antoni, 99 Civ. 6106, JSM, SDNY] (LR-16227)

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#### **INVESTMENT COMPANY ACT RELEASES**

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#### **ANCHOR NATIONAL LIFE INSURANCE COMPANY, ET AL.**

An order has been issued on an application filed by Anchor National Life Insurance Company, Variable Annuity Account One of Anchor National Life Insurance Company, First SunAmerica Life Insurance Company, Variable Annuity Account One of First SunAmerica Life Insurance Company, Presidential Life Insurance Company, Presidential Life Insurance Company Variable Account One, Phoenix Home Life Mutual Insurance Company (Phoenix), Phoenix Home Life Variable Universal Life Account (Phoenix VUL Account), and Anchor Series Trust (Trust). The order amends a prior order granted June 9, 1999 (Release No. IC-23868, File No. 812-11450) (June 9 Order) to add Phoenix and the Phoenix VUL Account to the relief granted by the June 9 Order, with respect to certain variable universal life insurance contracts issued by Phoenix through the Phoenix VUL Account. The June 9 order approved the substitution of: (a) shares of the Government and Quality Bond Portfolio of the Trust for shares of the Fixed Income Portfolio of the Trust; and (b) shares of the Strategic Multi-Asset Portfolio of the Trust for shares of the Foreign Securities Portfolio of the Trust, each in connection with the variable annuity contracts offered by the original separate account applicants. (Rel. IC-23926 - July 29)

#### **NATIONS FUND TRUST, ET AL.**

A notice has been issued giving interested persons until August 19, 1999, to request a hearing on an application filed by Nations Fund Trust, et al. for an exemption from Section 17(a) of the Investment

Company Act. The order would permit certain series of Nations Institutional Reserves to acquire all of the assets and liabilities of certain series of Nations Fund Trust, Nations Fund, Inc., and Nations Fund Portfolios, Inc. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-23927 - July 30)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 NETIA HOLDINGS SA/ADR, BANK OF NEW YORK, 101 BARCLAY ST - 22ND FLR,  
NEW YORK, NY 10286 - 10,000,000 (\$500,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE 333-10618 - JUL 22)  
(NEW ISSUE)
- F-6 GENTING BERHAD/ADR/, 16 WALL ST, NEW YORK, NY 10015 (212) 250-6860 -  
4,200,000 (\$210,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-10620 - JUL 21) (BR 0)
- S-8 NEWS CORP LTD, 2 HOLT ST, SYDNEY NSW AUSTRALIA, C3 (212) 852-7000 -  
37,067,950 (\$296,699,052.50) FOREIGN COMMON STOCK. (FILE 333-10624 -  
JUL 23) (BR 5)
- S-8 SAPIENS INTERNATIONAL CORP N V, KAYA RICHARD J BEAUJON,  
WILLEMSTAD CURACAO NETHERLANDS, CURACAO NETHERLANDS, P8 (972) 893-8277 -  
3,500,000 (\$33,031,250) FOREIGN COMMON STOCK (FILE 333-10632 - JUL 22)  
(BR. 3)
- S-8 THOMAS INDUSTRIES INC, 4360 BROWNBORO ROAD, SUITE 300, LOUISVILLE, KY  
40207 (502) 893-4600 - 750,000 (\$16,546,875) COMMON STOCK (FILE  
333-83707 - JUL 26) (BR. 5)
- SB-2 RECOM MANAGED SYSTEMS INC DE/, 2412 PROFESSIONAL DR, ROSEVILLE, CA 95661  
(916) 774-0953 - 655,000 (\$2,925,000) COMMON STOCK (FILE 333-83711 -  
JUL 26) (BR. 9)
- S-3 SCIENCE DYNAMICS CORP, 1919 SPRINGDALE RD, CHERRY HILL, NJ 08003  
(609) 424-0068 - 2,000,000 (\$1,687,500) COMMON STOCK. (FILE 333-83713 -  
JUL. 26) (BR. 3)
- S-8 CSG SYSTEMS INTERNATIONAL INC, 7887 EAST BELLEVIEW AVE, SUITE 1000,  
ENGLEWOOD, CO 80111 (303) 796-2850 - 3,000,000 (\$84,187,500) COMMON STOCK  
(FILE 333-83715 - JUL 26) (BR. 3)
- S-8 BROWN SHOE CO INC/, 8300 MARYLAND AVE, P O BOX 29, ST LOUIS, MO 63105  
(314) 854-4000 - 900,000 (\$17,910,000) COMMON STOCK (FILE 333-83717 -  
JUL 26) (BR 2)
- S-8 SEI CORP, 1 FREEDOM VALLEY DRIVE, OAKS, PA 19456 (610) 676-1000 -  
64,000 (\$6,269,440) COMMON STOCK. (FILE 333-83721 - JUL. 26) (BR 7)

S-8 NATIONAL MERCANTILE BANCORP, 1840 CENTURY PARK EAST, LOS ANGELES, CA  
90067 (310) 277-2265 - 65,000 (\$302,900) COMMON STOCK (FILE 333-83723 -  
JUL 26) (BR. 7)

S-8 THQ INC, 5016 N PKWY CALABASAS, SUITE 100, CALABASAS, CA 91302  
(818) 591-1310 - 130,000 (\$3,956,875) COMMON STOCK. (FILE 333-83725 -  
JUL. 26) (BR. 3)

S-8 PHILLIPS R H INC, 26836 COUNTY RD, SUITE 12A, ESPARTO, CA 95627  
(916) 662-3215 - 3,156 (\$473,400) COMMON STOCK (FILE 333-83727 - JUL 26)  
(BR. 2)

S-8 EXTREME NETWORKS INC, 10460 BANDLEY DRIVE, CUPERTINO, CA 95014  
(408) 342-0999 - 11,314,234 (\$401,080,455.60) COMMON STOCK (FILE  
333-83729 - JUL 26) (BR 3)

S-8 MATTHEWS INTERNATIONAL CORP, TWO NORTHSHORE CENTER, PITTSBURGH, PA 15212  
(412) 442-8200 - 3,000,000 (\$78,965,652) COMMON STOCK. (FILE 333-83731 -  
JUL. 26) (BR 6)

S-8 MERIDIAN RESOURCE CORP, 15995 N BARKERS LANDING STE 300, HOUSTON, TX  
77079 (713) 558-8080 - 4,140,932 (\$15,010,878) COMMON STOCK (FILE  
333-83735 - JUL 26) (BR. 4)

S-8 MERIDIAN RESOURCE CORP, 15995 N BARKERS LANDING STE 300, HOUSTON, TX  
77079 (713) 558-8080 - 2,000,000 (\$7,250,000) COMMON STOCK (FILE  
333-83737 - JUL 26) (BR. 4)

S-3 WEATHERFORD INTERNATIONAL INC /NEW/, 515 POST OAK BLVD, SUITE 600,  
HOUSTON, TX 77027 (713) 297-8400 - 105,000 (\$3,767,400) COMMON STOCK  
(FILE 333-83739 - JUL. 26) (BR 4)

S-3 CITICORP, 399 PARK AVE, NEW YORK, NY 10043 (212) 559-1000 -  
STRAIGHT BONDS (FILE 333-83741 - JUL 26) (BR 7)

S-4 IVI CHECKMATE CORP, 1003 MANSELL ROAD, ROSWELL, GA 30076 (770) 594-6000  
- 146,409 (\$266,890.03) COMMON STOCK. (FILE 333-83743 - JUL 26) (BR 3)

S-8 HEXCEL CORP /DE/, 281 TRESSER BOULEVARD, C/O TWO STAMFORD PLZ, STAMFORD,  
CT 06901 (203) 969-0666 - 700,000 (\$6,188,000) COMMON STOCK (FILE  
333-83745 - JUL 26) (BR 6)

S-8 HEXCEL CORP /DE/, 281 TRESSER BOULEVARD, C/O TWO STAMFORD PLZ, STAMFORD,  
CT 06901 (203) 969-0666 - 147,020 (\$1,299,657) COMMON STOCK (FILE  
333-83747 - JUL. 26) (BR 6)

S-3 CHASE MANHATTAN CORP /DE/, 270 PARK AVE, NEW YORK, NY 10017  
(212) 270-6000 - 3,000,000 (\$246,360,000) COMMON STOCK (FILE 333-83749 -  
JUL 26) (BR 7)

S-3 IVI CHECKMATE CORP, 1003 MANSELL ROAD, ROSWELL, GA 30076 (770) 594-6000  
- 307,407 (\$1,075,924.50) COMMON STOCK (FILE 333-83755 - JUL 26)  
(BR 3)

S-8 SPLITROCK SERVICES INC, 8665 NEW TRAILS DRIVE, SUITE 200, THE WOODLANDS,  
TX 77381 (281) 465-1200 - 10,598,897 (\$15,038,445) COMMON STOCK (FILE  
333-83757 - JUL 26) (BR 3)

S-1 HEALTHEXTRAS INC, 2275 RESEARCH BOULEVARD, 7TH FLOOR, ROCKVILLE, MD  
20850 (301) 548-2900 - \$104,000,000 COMMON STOCK (FILE 333-83761 -  
JUL. 26) (NEW ISSUE)

S-8 IVI CHECKMATE CORP, 1003 MANSELL ROAD, ROSWELL, GA 30076 (770) 594-6000  
- 33,326 (\$89,980.20) COMMON STOCK (FILE 333-83763 - JUL 26) (BR 3)

S-8 SUNTERRA CORP, 1781 PARK CENTER DRIVE, SUITE 650, ORLANDO, FL 32835  
(650) 312-7171 - 250,000 (\$3,437,500) COMMON STOCK. (FILE 333-83771 -  
JUL. 27) (BR 8)

S-8 SUNTERRA CORP, 1781 PARK CENTER DRIVE, SUITE 650, ORLANDO, FL 32835  
(650) 312-7171 - 1,000,000 (\$13,750,000) COMMON STOCK (FILE 333-83773 -  
JUL 27) (BR 8)

S-1 VERITAS SOFTWARE CORP /DE/, 1600 PLYMOUTH STREET, MOUNTAIN VIEW, CA  
 94043 (650) 335-8000 - 575,000,000 (\$575,000,000) STRAIGHT BONDS (FILE  
 333-83775 - JUL 27) (BR 3)

S-1 VERITAS SOFTWARE CORP /DE/, 1600 PLYMOUTH STREET, MOUNTAIN VIEW, CA  
 94043 (650) 335-8000 - 13,800,000 (\$793,500,000) COMMON STOCK. (FILE  
 333-83777 - JUL. 27) (BR 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACT MANUFACTURING INC	MA		X				X				07/29/99	
ADVANTA MORTGAGE LOAN TRUST 1998-2	NY					X	X				07/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4A	DE					X	X				07/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	DE					X	X				07/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4C	DE					X	X				07/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE					X	X				07/31/99	
AFTERMARKET TECHNOLOGY CORP	DE					X	X				07/29/99	
AGL RESOURCES INC	GA						X				07/29/99	
AIRGAS INC	DE					X	X				07/29/99	
AMF BOWLING INC	DE	X									07/29/99	
AMF BOWLING WORLDWIDE INC	DE	X									07/29/99	
APACHE CORP	DE						X				05/18/99	AMEND
ATRIUM COMPANIES INC	DE						X				05/17/99	AMEND
AUTOBYTEL COM INC	DE					X	X				07/29/99	
BA MASTER CREDIT CARD TRUST /							X				06/30/99	
BANC ONE ABS CORP	OH					X	X				07/15/99	
BANC ONE AUTO GRANTOR TRUST 1996-A	NY					X	X				07/15/99	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X	X				07/20/99	
BANC ONE CREDIT CARD MASTER TRUST	NY					X	X				07/15/99	
BANK ONE TEXAS NATIONAL ASSOCIATION						X	X				07/20/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X	X				07/30/99	
BELLSOUTH CORP	GA						X				07/30/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	DE						X				06/30/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	DE						X				06/30/99	
BOMBARDIER CREDIT RECEIVABLES CORP	DE						X				06/30/99	
BURNHAM PACIFIC PROPERTIES INC	MD					X	X				07/13/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CABOT INDUSTRIAL TRUST	MD				X	X					07/28/99	
CARDIOTECH INTERNATIONAL INC	MA		X			X					07/16/99	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								06/25/99	
CATERPILLAR FINANCIAL FUNDING CORP	NV		X								07/25/99	
CATERPILLAR FINANCIAL SERVICES CORP	DE		X								07/30/99	
CBS CORP	PA				X	X					07/28/99	
CHAMPION ENTERPRISES INC	MI				X	X					07/30/99	
CHASE CREDIT CARD MASTER TRUST	NY				X	X					07/15/99	
CHASE CREDIT CARD MASTER TRUST	NY				X	X					07/15/99	
CHASE CREDIT CARD MASTER TRUST	NY				X	X					07/15/99	
CHASE MANHATTAN AUTO GRANTOR TRUST	NY				X	X					07/15/99	
1996-B												
CHASE MANHATTAN AUTO OWNER TRUST	19 DE				X	X					07/15/99	
96-C												
CHASE MANHATTAN AUTO OWNER TRUST	19 DE				X	X					07/15/99	
97-A												
CHASE MANHATTAN AUTO OWNER TRUST	19 DE				X	X					07/15/99	
97-B												
CHASE MANHATTAN AUTO OWNER TRUST	19 DE				X	X					07/15/99	
98-A												
CHASE MANHATTAN AUTO OWNER TRUST	19 NY				X	X					07/15/99	
98-B												
CHASE MANHATTAN AUTO OWNER TRUST	19 NY				X	X					06/15/99	
98-C												
CHASE MANHATTAN BANK /NY/	NY				X	X					06/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X					07/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X					07/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X					07/15/99	
CHASE MANHATTAN BANK /NY/	NY				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					06/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN BANK USA	DE				X	X					07/15/99	
CHASE MANHATTAN CREDIT CARD MASTER	DE				X	X					07/15/99	
TRUST												
CHASE MANHATTAN GRANTOR TRUST	1995- NY				X	X					07/15/99	
B												
CHASE MANHATTAN GRANTOR TRUST	1996- NY				X	X					07/15/99	
A												
CHASE MANHATTAN HOME EQUITY LOAN TR	NY				X	X					06/15/99	
UST 1995-1												
CHASE MANHATTAN MARINE OWNER TRUST	NY				X	X					07/15/99	
1997-A												
CHASE MANHATTAN RV OWNER TRUST	1997 DE				X	X					07/15/99	
-A												
CINERGY CORP	DE		X			X					07/15/99	
CIT MARINE TRUST 1999-A	DE				X	X					07/15/99	
CIT RV TRUST 1999-A	DE				X	X					07/15/99	
COASTAL CORP	DE				X	X					07/28/99	
COMPUCREDIT CORP					X	X					07/30/99	
CONTINENTAL MATERIALS CORP	DE				X	X					06/07/99	
COOPER TIRE & RUBBER CO	DE				X						12/31/99	
COSMETIC CENTER INC	DE				X	X					07/28/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CSX TRADE RECEIVABLES CORP	DE				X	X					07/26/99	
CUBIST PHARMACEUTICALS INC	DE				X	X					07/21/99	
CWMBS INC	DE				X	X					06/25/99	
DIGITRAN SYSTEMS INC /DE	DE			X							07/02/99	
DYNEGY INC	DE				X	X					07/27/99	
E SYNC NETWORKS INC	CT				X	X					07/28/99	
EAGLEMARK INC	NV				X	X					07/31/99	
EG&G INC	MA				X	X					07/19/99	
ELAMEX SA DE CV					X						07/30/99	
EN POINTE TECHNOLOGIES INC	DE				X						07/29/99	
EQCC HOME EQUITY LOAN TRUST 1999-1	DE				X	X					06/15/99	
EQCC HOME EQUITY LOAN TRUST 1999-2	DE				X	X					06/25/99	
EQCC RECEIVABLES CORP	DE				X	X					07/30/99	
FAR EAST VENTURES INC		X									05/21/99	
FIRST LIBERTY FINANCIAL CORP	GA				X	X					04/01/99	
FIRST NATIONAL BANKSHARES CORP	WV	X									07/30/99	
FIRST OMNI BANK CREDIT CARD MASTER TRUST							X				06/30/99	
FOREST OIL CORP	NY				X						01/22/99	AMEND
FOREST OIL CORP	NY				X	X					07/29/99	
GREATER ATLANTIC FINANCIAL CORP	DE				X						07/28/99	
GREEN TREE FINANCIAL CORP	MN				X	X					07/29/99	
HOME PROPERTIES OF NEW YORK INC	MD	X				X					07/15/99	
IKON OFFICE SOLUTIONS INC	OH				X	X					07/28/99	
INDIANA ENERGY INC	IN				X	X					06/30/99	
INDIANA GAS CO INC	IN				X	X					06/30/99	
INTERMEDIA COMMUNICATIONS INC	DE				X	X					07/30/99	
IOS CAPITAL INC	DE				X	X					07/28/99	
I2 TECHNOLOGIES INC	DE	X				X					07/15/99	
KIRBY CORP	NV				X	X					07/28/99	
K2 INC	DE				X	X					07/22/99	
LIFE MEDICAL TECHNOLOGIES INC /UT/	UT	X									02/08/99	
LJL BIOSYSTEMS INC	DE				X	X					07/28/99	
MACERICH CO	MD	X									07/30/99	AMEND
MATRIX BANCORP INC	CO				X						07/30/99	
MEDICAL ACTION INDUSTRIES INC	DE				X	X					07/21/99	
MERRILL LYNCH INV/FIRST FRAN MOR LN AS BK CER SR 1998-FF3	DE				X	X					07/20/99	
MERRILL LYNCH MORT INVEST INC MRT L N AS BCKD CRT SR 1999 CB1	DE				X	X					07/26/99	
NETWORK CONNECTION INC	GA					X					05/18/99	AMEND
NORTEL NETWORKS CORP					X						07/29/99	
NTL INC/NY/	DE				X	X					07/26/99	
ONYX ACCEPTANCE OWNER TRUST 1999-A	DE				X						06/15/99	
ONYX ACCEPTANCE OWNER TRUST 1999-A	DE				X						07/15/99	AMEND
ONYX ACCEPTANCE OWNER TRUST 1999-B	DE				X						07/15/99	
PACIFIC CAPITAL BANCORP /CA/	CA	X									12/31/99	
PC SERVICE SOURCE INC	DE				X	X					07/30/99	
PEEKSKILL FINANCIAL CORP	DE				X	X					07/27/99	
PETROLEUM HELICOPTERS INC	DE	X									07/16/99	
PHARMACIA & UPJOHN INC	DE				X						07/30/99	
PHILIPS INTERNATIONAL REALTY CORP	MD	X			X	X					07/15/99	
PP&L TRANSITION BOND CO INC	PA				X						07/23/99	
PP&L TRANSITION BOND CO INC	PA				X						07/29/99	
PSI INDUSTRIES INC	FL		X			X					07/29/99	
SAFETY KLEEN CORP/	DE				X						07/30/99	
SALOMON BROTHERS MRT SEC VII INC MR T PS THR CERT 1999-2	DE				X	X					07/26/99	
SITE TECHNOLOGIES INC	CA				X						06/25/99	
SOLECTRON CORP	DE				X	X					07/28/99	
SOURCINGLINK NET INC	DE				X	X					07/20/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SOUTHWEST GAS CORP	CA					X					07/19/99	
SOVEREIGN CREDIT FINANCE I INC	TX	X					X				07/30/99	
SUMMIT TECHNOLOGY INC	MA					X					07/26/99	
SWIFT ENERGY CO	TX					X	X				07/29/99	
TAB PRODUCTS CO	DE						X				06/01/99	AMEND
TADEO HOLDINGS INC	DE					X	X				07/30/99	
TCI MUSIC INC	DE		X				X				07/15/99	
TELE COMMUNICATIONS INC /CO/	DE		X				X				07/15/99	
TELESCAN INC	DE					X	X				07/30/99	
TESSERACT GROUP INC	MN					X					07/23/99	
TEXOIL INC /NV/	NV		X				X				07/01/99	
THINKING TOOLS INC	DE					X					07/16/99	AMEND
TIMBERLAND CO	DE					X	X				07/28/99	
TOUCAN GOLD CORP	DE		X			X	X				06/09/99	
TRANSIT GROUP INC	FL		X								07/19/99	
UNOCAL CORP	DE					X					07/27/99	
USA TALKS COM INC	NV		X				X				07/16/99	
UTG COMMUNICATIONS INTERNATIONAL IN C	DE					X	X				07/19/99	
VIANET TECHNOLOGIES INC	NV						X				07/15/99	AMEND
WARRANTTECH CORP	DE		X								07/15/99	
WEBSTER CITY FEDERAL BANCORP			X								07/30/99	
WILLAMETTE VALLEY VINEYARDS INC	OR		X								07/29/99	
ZEGARELLI GROUP INTERNATIONAL INC	CA					X	X				07/28/99	