

# SEC NEWS DIGEST

Issue 99-61

March 31, 1999

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## COMMISSION ANNOUNCEMENTS

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### MEDIA ADVISORY - SEC DIRECTOR OF ENFORCEMENT RICHARD WALKER TO SPEAK AT NATIONAL PRESS CLUB

**What:** Richard Walker, the Director of the Division of Enforcement at the Securities and Exchange Commission, will deliver a speech about the Commission's efforts to fight fraud in the securities markets. The speech will be given at a luncheon sponsored by Corporate Crime Reporter.

**When:** Monday, April 5, 1999; 12:30 p.m. - 2:00 p.m.

**Where:** National Press Club  
14th and F Streets, N.W.  
Washington, D.C.

**Contact:** Russell Mokhiber, (202) 737-1680  
(Press Rel. 99-34)

## COMMISSION MEETINGS

### CLOSED MEETING - TUESDAY, APRIL 6, 1999 - 10:00 A.M.

The subject matter of the closed meeting scheduled for Tuesday, April 6, 1999, at 10:00 a.m., will be: Institution and settlement of administrative proceedings of an enforcement nature; and Institution and settlement of injunctive actions.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

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## ENFORCEMENT PROCEEDINGS

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### INITIAL DECISION ISSUED IN HUDSON INVESTORS FUND, INC., ET AL.

Administrative Law Judge G. Marvin Bober has issued an initial decision in the matter of Hudson Investors Fund, Inc., Hudson

Advisers, Inc., Javed Anver Latef, and Larry Alan Stockett. The bases for Respondents' alleged violations of the federal securities laws were that, inter alia, Respondent Larry Alan Stockett (Stockett) entered into certain agreements with Respondents Hudson Investors Fund, Inc. (HIF), Hudson Advisers, Inc. (HAI), and Javed Anver Latef (Latef), collectively the Hudson Respondents; that the Hudson Respondents failed to disclose to investors the existence of these agreements and the nature of their relationship with Stockett; and that Stockett improperly influenced the Hudson Respondents' investment decisions.

Judge Bober concluded that the Hudson Respondents willfully violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Section 34(b) of the Investment Company Act because they failed to disclose certain material information to shareholders and investors, including: (i) the nature of Stockett's business relationship with the Hudson Respondents, specifically that Stockett held options to purchase HAI and Hudson Investment Management, Inc. (HIM), HIF's management company; (ii) Stockett's payment of "expenses" to HIM, to the direct benefit of HAI and HIF; and (iii) that HIF had invested in securities in companies from which it had received payments and in which Stockett held an interest. Further, HAI violated Section 204 of the Investment Advisers Act of and Rule 204-1(b) thereunder because it failed to disclose this material information in its public filings, including its Forms ADV or any amendments thereto. HAI and Latef also violated Section 207 of the Advisers Act because they filed a Form ADV-S, signed by Latef, which affirmatively misrepresented that there was no need to amend the company's Form ADV.

Judge Bober concluded, also, that Stockett aided and abetted these violations because: (i) he knew that the Hudson Respondents were violating the securities laws by not disclosing certain material information; and (ii) he knowingly and substantially assisted in the commission of the violations by concealing information from the Hudson Respondents and/or by contributing to misinformation disclosed to investors.

Judge Bober determined that it was in the public interest to issue a cease and desist order against all of the Respondents; to suspend Latef from association with any investment adviser and investment company for three months; to bar Stockett from association with any broker, dealer, municipal securities dealer, investment adviser, and investment company; and to assess a third tier civil penalty against Stockett in the amount of \$50,000. (Initial Decision No. 139; File No. 3-9374)

#### **ROBERT SAYEGH BARRED**

The Commission has barred Robert Sayegh from association with any broker, dealer, municipal securities dealer, investment adviser, investment company, or member of a national securities exchange or registered securities association. Sayegh had previously been the

subject of an injunction in a civil action brought by the Commission. The United States District Court for the Southern District of New York concluded that Sayegh violated Section 10(b) of the Exchange Act and Rule 10b-5 thereunder by manipulating the market for a security and permanently enjoined Sayegh from further violations of the antifraud provisions of the securities laws.

In this proceeding, based on the same conduct underlying the injunctive action, the Commission found that between October 1997 and March 1989 Sayegh participated in a scheme to manipulate the price of American Depository Receipts (ADR) for the securities of the Institute of Clinical Pharmacology (ICP). Sayegh's illegal activities included artificially supporting the price of ICP ADRs during the October 1987 stock market break; artificially dropping the price of ICP ADRs in early 1988 to dissuade a major shareholder from selling his ICP ADRs in the open market; refusing to execute customer sell orders; executing ICP ADR cross trades to avoid open market liquidations of ICP; and creating the false impression of market interest in ICP.

The Commission determined that the public interest required a collateral bar of Sayegh. Sayegh's seventeen-month market manipulation was egregious and demonstrated the type of conduct that "flows across" the securities industry. Further, Sayegh failed to accept responsibility for his actions, either in the district court, or before the Commission. The Commission concluded that Sayegh would be a threat to the integrity of any aspect of the securities industry. (Rel. 34-41226; File No. 3-9019)

#### **CEASE AND DESIST PROCEEDINGS SETTLED AGAINST THEODORE HEITZMAN**

The Commission today announced the entry of an Order by the Commission ordering Heitzman to cease and desist from committing or causing any violations and any future violations of the antifraud provisions of the federal securities laws, barring Heitzman from participation in penny stock offerings, and ordering Heitzman to pay \$3,677 in disgorgement, plus prejudgment interest.

In his Offer, Heitzman, without admitting or denying the findings, consents to the issuance of an Order by the Commission which finds that Heitzman offered to pay, and directly or indirectly paid, or caused to be paid, \$4,375 to a broker-dealer which was undisclosed compensation to the broker-dealer for having previously purchased 20,000 shares of World Wide Stone Corp. stock at approximately \$0.875 per share. (Rels. 33-7660; 34-41227; File No. 3-9155)

#### **CEASE AND DESIST PROCEEDINGS SETTLED AGAINST ROBERT MITCHELL AND GARY MITCHELL**

The Commission today announced the entry of an Order by the Commission ordering Robert Mitchell and Gary Mitchell to cease and desist from committing or causing any violations and any future violations of the antifraud provisions of the federal securities laws, barring Robert Mitchell and Gary Mitchell from participation in penny stock offerings, and ordering Robert Mitchell to pay \$1,100

in disgorgement, plus prejudgment interest.

In their Offer, Robert Mitchell and Gary Mitchell, without admitting or denying the findings, consent to the issuance of an Order by the Commission which finds that Robert Mitchell and Gary Mitchell offered to pay, and directly or indirectly paid, or caused to be paid, \$7,000 in undisclosed compensation to a broker-dealer for having previously purchased 100,000 shares of CRRA at approximately \$0.08 per share and 4,000 shares of FOXI at approximately \$2.25 per share. (Rels. 33-7661; 34-41228; File No. 3-9151)

#### **COMMISSION SUSTAINS NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. DISCIPLINARY ACTION AGAINST ELIEZER GURFEL**

The Commission has sustained National Association of Securities Dealers, Inc. (NASD) disciplinary action against Eliezer Gurfel. The NASD determined that Gurfel engaged in conduct inconsistent with just and equitable principles of trade when he forged or caused to be forged the signature of his firm's president on four commission checks and deposited them into his bank account. The NASD censured Gurfel and barred him from associating with any NASD member in any capacity.

The Commission rejected Gurfel's principal argument that the NASD lacked disciplinary jurisdiction over him. It held that, because the complaint against Gurfel was filed within two years of his last association with an NASD member firm, the complaint was timely, and jurisdiction was proper. The Commission concluded that the NASD's findings of a violation were supported by the firm's president's credible testimony that Gurfel admitted he forged the president's signature on the commission checks and converted the proceeds to his own use. (Rel. 34-41229; File No. 3-9651)

#### **MICROCAP COMPANY PRINCIPAL OFFICER AND DIRECTOR SETTLES FRAUD CASE**

The Commission today announced a settlement with the remaining defendant, Mujahid Bashir (Bashir), in a microcap fraud action filed in the United States District Court for the Southern District of New York on September 9, 1998. Without admitting or denying any of the allegations in the complaint except as to jurisdiction, Bashir, former principal officer and director of Visual Cybernetics Corp. (Visual Cybernetics), a microcap, start-up company, consented to a final judgment that enjoins him from violating the antifraud and other provisions of the federal securities laws, bars him from acting as an officer or director of a public company, and orders him to disgorge his ill-gotten gains, plus prejudgment interest thereon, but, based on his demonstrated inability to pay, waives such disgorgement and does not impose civil penalties.

The final judgment as to Bashir (a) permanently enjoins him from future violations of Sections 10(b) and 13(a) of the Exchange Act of 1934 (Exchange Act) and Exchange Act Rules 10b-5, 12b-20, 13a-1, and 13a-13; (b) bars him from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section

12 of the Exchange Act or that is required to file reports pursuant to Section 15(d) of the Exchange Act; and (c) orders him to disgorge his ill-gotten gains, plus prejudgment interest thereon, but, based on his demonstrated inability to pay, waives such disgorgement and does not impose civil penalties. For further information, see LR-15873; LR-15874; and LR-16017; AAE Rel. 1075. [SEC v. Joseph T. DiMauro, Stephen DiLorenzo, Mujahid Bashir, and Joel Pensley, No. 98 Civ. 6349, LAP, SDNY) (LR-16097)

**IN THE MATTER OF EUGENE YELVERTON, JR.**

The Commission announced today that it issued an Order Instituting Public Proceedings Pursuant to Section 8A of the Securities Act of 1933 and Sections 15(b), 19(h) and 21C of the Securities Exchange Act of 1934, Making Findings and Imposing Remedial Sanctions and Cease-And-Desist Order (Order) against Eugene J. Yelverton, Jr. (Yelverton). The Order bars Yelverton from association with any broker, dealer, municipal securities dealer, investment adviser or investment company, orders Yelverton to cease-and-desist from committing or causing any violations or future violations of the antifraud provisions of the Securities Act of 1933 or the Securities Exchange Act of 1934, and requires Yelverton to pay disgorgement. Yelverton consented to the Order without admitting or denying the violations.

In its order, the Commission found that from November 1987 through May 1996, Yelverton violated the antifraud provisions in connection with 74 issues of purportedly tax exempt urban renewal revenue notes, issued by 39 Mississippi counties, cities and towns. The notes were issued in reliance on exceptions to the anti-arbitrage provisions of the Internal Revenue Code which permit municipalities to issue securities and invest the proceeds at a higher rate, provided the funds, among other things, are reasonably expected to be used for qualifying municipal projects within a three year period. The bulk of the offering proceeds were invested at a higher yield than the notes sold in the offering. However, despite representations to the contrary in the offering materials, Yelverton knew or was reckless in not knowing that the issuers did not intend to utilize any proceeds from the offering, other than a premium of \$45,000 derived from the arbitrage profit, for capital projects. The lack of a reasonable expectation to utilize more than a small portion of the proceeds for capital projects created a substantial risk that the U.S. Internal Revenue Service would declare interest on the notes subject to the federal income tax. That substantial risk was not disclosed to investors or prospective investors. (Rels. 33-7662; 34-41232; File No. 3-9859)

**IN THE MATTER OF JOHN THORN, JR. AND THORN WELCH & CO., INC., FORMERLY KNOWN AS THORN, ALVIS, WELCH, INC.**

The Commission announced today that it issued an Order Making Findings and Imposing Remedial Sanctions and Cease-and-Desist Order and an Order Instituting Public Proceedings Pursuant to Section 8A of the Securities Act of 1933 and Sections 15(b), 19(h) and 21C of the Securities Exchange Act of 1934, Making Findings and Imposing

Remedial Sanctions and Cease-And-Desist Order (Order) against John E. Thorn, Jr. (Thorn) and Thorn, Welch & Co., Inc. (formerly Thorn, Alvis, Welch, Inc.) (TWC). The Order bars Thorn from association with any broker, dealer, municipal securities dealer, investment adviser or investment company, revokes the registration of TWC, orders Thorn and TWC to cease-and-desist from committing or causing any violations or future violations of the antifraud provisions of the Securities Act of 1933, the Securities Exchange Act of 1934 and the rules of the Municipal Securities Rulemaking Board and requires the Respondents to pay disgorgement. The Respondents consented to the Order without admitting or denying the violations. The Order both resolves proceedings pending against the respondents and institutes and resolves additional proceedings.

In its order, the Commission found, with respect to the pending proceeding, that the Respondents violated the antifraud provisions by selling seven offerings of purportedly tax exempt municipal bonds without disclosing a significant risk to the tax exempt status of interest on the bonds. The risk arose because the respondents and others caused offering proceeds to be used for issuance costs in excess of the two percent limit imposed by the Internal Revenue Code.

With respect to the additional proceeding being instituted, the Commission found that from November 1987 through May 1996, the Respondents violated the antifraud provisions in connection with 74 issues of purportedly tax exempt urban renewal revenue notes, issued by 39 Mississippi counties, cities and towns. The notes were issued in reliance on exceptions to the anti-arbitrage provisions of the Internal Revenue Code which permit municipalities to issue securities and invest the proceeds at a higher rate, provided the funds, among other things, are reasonably expected to be used for qualifying municipal projects within a three year period. The respondents knew or were reckless in not knowing that the issuers did not intend to utilize any proceeds from the offering, other than a premium of \$45,000 derived from the arbitrage profit, for capital projects. The lack of a reasonable expectation to utilize more than a small portion of the proceeds for capital projects created a substantial risk that the U.S. Internal Revenue Service would declare interest on the notes subject to the federal income tax. That substantial risk was not disclosed to investors or prospective investors. (Rels. 33-7663; 34-41233; File Nos. 3-8400 and 3-9860)

#### **CIVIL ACTION AGAINST VLADISLAV ZUBKIS, ET AL.**

The Commission announced that Judge John G. Koeltl of the United States District Court for the Southern District of New York entered a final judgment granting a permanent injunction against Stella Bella Corporation, U.S.A., now known as International Brands, Inc. (Stella Bella), on March 26, 1999. The judgment, to which Stella Bella consented, permanently enjoins and restrains Stella Bella from violating certain provisions of the federal securities laws. Stella Bella is enjoined from committing fraud in connection with the purchase or sale of securities in violation of Section 10(b) of the

Securities Exchange Act of 1934 and Rule 10b-5. Stella Bella is also enjoined from selling unregistered securities in violation of Sections 5(a) and 5(c) of the Securities Act of 1933.

The Commission filed its complaint on November 5, 1997. The Commission's complaint alleged that from 1994 through at least 1996

Stella Bella sold its common stock to over 1,100 investors throughout the United States. Stella Bella sold its common stock to investors using materially false and misleading information about its finances, projected sales and revenues, and operations. For example, Stella Bella issued press releases and promotional materials that predicted substantial growth in Stella Bella's sales, revenues, and profits when no factual basis to support such claims existed. Stella Bella also sold its common stock when there was no registration statement in effect.

Stella Bella consented to the entry of the final judgment without admitting or denying the allegations of the complaint. Stella Bella Corporation, U.S.A., now known as International Brands, Inc., is a publicly traded corporation (National Association of Securities Dealers Over-the-Counter Bulletin Board: INBR; formerly traded as SBAM). Based in San Diego, California, Stella Bella manufactures and sells at wholesale and retail gourmet coffee and other products.

Litigation is continuing against the remaining defendants, Vladislav Steven Zubkis, Z 3 Capital Corporation, and Mark Scialdone. (See, Litigation Release No. 15552, November 5, 1997 for more details.) [SEC v. Vladislav Steven Zubkis, et al., 97 Civ. 8086, JGK/KNF, USDC, SDNY] (LR-16099)

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## INVESTMENT COMPANY ACT RELEASES

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### BRANTLEY CAPITAL CORPORATION

A notice has been issued giving interested persons until April 26, 1999, to request a hearing on an application filed by Brantley Capital Corporation for an order pursuant to Section 61(a)(3)(B) of the Investment Company Act approving applicant's Disinterested Director Option Plan for certain of its non-employee directors and the automatic grant of stock options under the plan. (Rel. IC-23766 - March 30)

### THE AQUINAS FUNDS, INC., ET AL.

A notice has been issued giving interested persons until April 26, 1999, to request a hearing on an application filed by The Aquinas Funds, et al. exempting applicants from Section 15(a) and Rule 18f-2 under the Investment Company Act. The order would permit applicants to enter into and materially amend subadvisory agreements without shareholder approval. (Rel. IC-23767 - March 30)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-3 PROLOGIS TRUST, 14100 EAST 35TH PLACE, AURORA, CO 80011 (303) 375-9292  
- 22,354,957 (\$438,716,031 10) COMMON STOCK (FILE 333-74917 - MAR 24)  
(BR 8)
- S-3 MBNA CORP, 1100 N KING ST, C/O MBNA AMERICA BANK NA, WILMINGTON, DE  
19884 (800) 362-6255 - 1,735,000,000 (\$1,735,000,000) STRAIGHT BONDS  
(FILE 333-74919 - MAR 24) (BR 7)
- S-8 GLOBAL MEDIA CORP, 83 VICTORIA CRESCENT, UNIT 29, NANAIMO BC CANADA V9,  
A1 00000 (250) 716-9949 - 4,000,000 (\$16,250,000) COMMON STOCK (FILE  
333-74921 - MAR 24) (BR 9)
- S-8 BELDEN INC, 7701 FORSYTH BLVD STE 800, ST LOUIS, MO 63105 (314) 854-8000  
- 1,300,000 (\$22,018,750) COMMON STOCK (FILE 333-74923 - MAR 24)  
(BR 6)
- S-8 NEWELL RUBBERMAID INC, 29 E STEPHENSON ST, NEWELL CTR, FREEPORT, IL  
61032 (815) 235-4171 - 750,000 (\$35,074,500) COMMON STOCK. (FILE  
333-74925 - MAR 24) (BR 6)
- S-3 NEWELL RUBBERMAID INC, 29 E STEPHENSON ST, NEWELL CTR, FREEPORT, IL  
61032 (815) 235-4171 - 300,000 (\$14,029,800) COMMON STOCK. (FILE  
333-74927 - MAR 24) (BR 6)
- S-3 NEWELL RUBBERMAID INC, 29 E STEPHENSON ST, NEWELL CTR, FREEPORT, IL  
61032 (815) 235-4171 - 80,000 (\$3,741,280) COMMON STOCK (FILE 333-74929 -  
MAR 24) (BR 6)
- S-8 MININGCO COM INC, 220 E 42ND ST, 24TH FL, NEW YORK, NY 10017  
(212) 849-2000 - 3,349,885 (\$83,747,125) COMMON STOCK. (FILE 333-74931 -  
MAR. 24) (BR 3)
- S-8 HARTCOURT COMPANIES INC, 2049 CENTURY PARK EAST, SUITE 3760,  
LOS ANGELES, CA 90067 (310) 788-2634 - 1,100,000 (\$550,000) COMMON STOCK  
(FILE 333-74933 - MAR 24) (BR 4)
- S-8 TIREX CORP, 740 ST MAURICE STE 201, MONTREAL QUEBEC, A8 H4R1W  
(514) 878-0727 - 143,561 (\$21,893) COMMON STOCK (FILE 333-74935 -  
MAR 24) (BR 5)
- S-3 AMERICAN AIRLINES INC, 4333 AMON CARTER BLVD, FT WORTH, TX 76155  
(817) 963-1234 - 1,250,000,000 (\$1,250,000,000)  
EQUIPMENT TRUST CERTIFICATES. (FILE 333-74937 - MAR 24) (BR. 5)
- S-8 TIREX CORP, 740 ST MAURICE STE 201, MONTREAL QUEBEC, A8 H4R1W  
(514) 878-0727 - 181,363 (\$27,656) COMMON STOCK. (FILE 333-74939 -  
MAR 24) (BR 5)



S-8 TIREX CORP, 740 ST MAURICE STE 201, MONTREAL QUEBEC, A8 H4R1W  
(514) 878-0727 - 782,414 (\$119,318) COMMON STOCK. (FILE 333-74941 -  
MAR 24) (BR. 5)

S-8 NETOPIA INC, 2470 MARINER SQUARE LOOP, ALAMEDA, CA 94501 (510) 814-5100  
- 1,273,087 (\$9,866,424 25) COMMON STOCK (FILE 333-74943 - MAR 24)  
(BR 3)

S-1 E LOAN INC, 5875 ARNOLD RD., SUITE 100, DUBLIN, CA 94568 (925) 241-2402  
- \$55,200,000 COMMON STOCK (FILE 333-74945 - MAR 24) (BR. 8 - NEW ISSUE)

S-3 FLORIDA PROGRESS CORP, ONE PROGRESS PLZ, SUITE 2600, ST PETERSBURG, FL  
33701 (813) 824-6400 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE  
333-74949 - MAR 24) (BR 2)

S-8 NORTHERN TRUST CORP, 50 S LASALLE ST, CHICAGO, IL 60675 (312) 630-6000  
- 1,076,310 (\$100,000,000) COMMON STOCK (FILE 333-74951 - MAR 24)  
(BR 7)

S-1 REALTY INFORMATION GROUP INC, 7475 WISCONSIN AVE , BETHESDA, MD 20814  
(301) 215-8300 - 3,737,500 (\$82,458,594) COMMON STOCK. (FILE 333-74953 -  
MAR 24) (BR 3)

S-8 UNION PLANTERS CORP, UNION PLANTERS ADMINSTRATIVE CENTER,  
7130 GOODLETT FARMS PARKWAY, MEMPHIS, TN 38018 (901) 580-6000 - 68,378  
(\$1,807,336 36) COMMON STOCK (FILE 333-74955 - MAR 24) (BR. 7)

S-4 HUBCO INC, 1000 MACARTHUR BLVD, MAHWAH, NJ 07430 (201) 236-2600 -  
964,787 (\$27,048,490) COMMON STOCK (FILE 333-74957 - MAR 24) (BR 7)

S-3 FIDELITY FEDERAL BANCORP, 700 S GREEN RIVER ROAD, SUITE 2000,  
EVANSVILLE, IN 47715 (812) 424-0921 - 524,611 (\$2,066,967) COMMON STOCK  
(FILE 333-74959 - MAR 24) (BR 7)

S-3 TRIBUNE CO, 435 N MICHIGAN AVE, CHICAGO, IL 60611 (312) 222-9100 -  
1,500,000,000 (\$1,500,000,000) STRAIGHT BONDS (FILE 333-74961 - MAR. 24)  
(BR 5)

S-8 MCCORMICK & CO INC, 18 LOVETON CIRCLE, P O BOX 6000, SPARKS, MD 21152  
(410) 771-7301 - 845,750 (\$24,579,609 38) COMMON STOCK (FILE 333-74963 -  
MAR 24) (BR 4)

S-4 UIL HOLDINGS CORP, 157 CHURCH ST, NEW HAVEN, CT 06510 (203) 499-2592 -  
15,001,292 (\$657,056,590) COMMON STOCK (FILE 333-74965 - MAR 24)  
(NEW ISSUE)

S-4 KELLWOOD CO, 600 KELLWOOD PKWY, P O BOX 14374, ST LOUIS, MO 63178  
(314) 576-3100 - 5,241,000 (\$51,881,000) COMMON STOCK (FILE 333-74967 -  
MAR 24) (BR 2)

S-4 LOUISIANA CASINO CRUISES INC, 1717 RIVER RD N, BATON ROUGE, LA 70802  
(225) 709-7777 - 55,000,000 (\$55,000,000) STRAIGHT BONDS (FILE 333-74971  
- MAR 24) (BR 5)

S-8 ORACLE CORP /DE/, 500 ORACLE PKWY, REDWOOD CITY, CA 94065 (415) 506-7000  
- 45,000,000 (\$1,198,125,000) COMMON STOCK (FILE 333-74973 - MAR 24)  
(BR 3)

S-8 ORACLE CORP /DE/, 500 ORACLE PKWY, REDWOOD CITY, CA 94065 (415) 506-7000  
- 75,000,000 (\$1,996,875,000) COMMON STOCK. (FILE 333-74977 - MAR 24)  
(BR 3)

S-3 NETWORK APPLIANCE INC, 2770 SA TOMAS EXPRESSWY, SANTA CLARA, CA 95051  
(415) 428-5100 - 2,875,000 (\$132,609,375) COMMON STOCK (FILE 333-74979 -  
MAR 24) (BR. 3)

S-3 BOISE CASCADE CORP, 1111 WEST JEFFERSON STREET, P O BOX 50, BOISE, ID  
83728 (208) 384-6161 (FILE 333-74981 - MAR 24) (BR 4)

S-4 ALLTEL CORP, ONE ALLIED DR, LITTLE ROCK, AR 72202 (501) 905-8000 -  
26,903,577 (\$1,401,227,969) COMMON STOCK (FILE 333-74983 - MAR 24)  
(BR 7)

S-8 UNICORP INC, 600 TRAVIS, SUITE 6500, HOUSTON, TX 77002 (713) 229-9100 - 185,000 (\$185,000) COMMON STOCK. (FILE 333-74985 - MAR 24) (BR. 4)

S-3 GOODRICH B F CO, 4020 KINROSS LAKES PKWY, RICHFIELD, OH 44286 (330) 659-7600 - 2,865,744 (\$98,509,950) COMMON STOCK. (FILE 333-74987 - MAR 24) (BR 5)

S-8 CERUS CORP, 2525 STANWELL DRIVE SUITE 300, CONCORD, CA 94520 (510) 603-9071 - 120,000 (\$2,078,104) COMMON STOCK (FILE 333-74991 - MAR 24) (BR 1)

SB-2 CITIZENS BANCSHARES OF SOUTHWEST FLORIDA INC, 3411 TAMIAML TRAIL NORTH SUITE 200, NAPLES, FL 34100 (941) 643-4646 - 1,200,000 (\$12,000,000) COMMON STOCK (FILE 333-74997 - MAR 24) (NEW ISSUE)

S-8 BANKERS TRUST CORP, 130 LIBERTY ST, NEW YORK, NY 10006 (212) 250-2500 - 50,000,000 (\$50,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-74999 - MAR 24) (BR. 7)

S-4 INTEGRATED DEVICE TECHNOLOGY INC, 2975 STENDER WAY, SANTA CLARA, CA 95054 (408) 727-6116 - 9,093,771 (\$31,009,759) COMMON STOCK (FILE 333-75001 - MAR 24) (BR 5)

S-8 BANKUNITED FINANCIAL CORP, 255 ALHAMBRA CIRCLE, CORAL GABLES, FL 33134 (305) 569-2000 - 2,472,335 (\$25,445,360) COMMON STOCK. (FILE 333-75003 - MAR 24) (BR 7)

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**RECENT 8K FILINGS**

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C. 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABGENIX INC	DE				X	X					03/26/99	
ABN AMRO MORTGAGE CORP	DE	X									03/02/99	AMEND
ABN AMRO MORTGAGE CORP	DE	X									03/02/99	AMEND
ABRAXAS PETROLEUM CORP	NV						X				03/29/99	AMEND
AMAZON COM INC	DE				X	X					03/28/99	
AMAZON COM-INC	DE				X	X					03/30/99	
AMERICAN ACCESS TECHNOLOGIES INC	FL				X						03/17/99	
AMERICAN BANK NOTE HOLOGRAPHICS INC	DE						X				03/26/99	
AMERICAN CUSTOM COMPONENTS INC		X									03/16/99	

NAME OF ISSUER	STATE		8K ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
AMERICAN INDUSTRIAL PROPERTIES REIT INC	TX									X		04/01/98	AMEND
AMERICAN SOUTHWEST FINANCIAL SECURI TIES CORP	AZ	X										03/29/99	
AQUAGENIX INC/DE	DE					X						03/29/99	
BA MASTER CREDIT CARD TRUST /								X				02/28/99	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE					X		X				02/25/99	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X		X				12/25/98	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X		X				01/25/99	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X		X				01/25/99	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X		X				02/25/99	
BALANCED CARE CORP	DE					X		X				03/29/99	
BANC ONE FINANCIAL SERVICES HOME EQ UITY LOAN TRUST 1999-1	DE					X		X				03/29/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X		X				02/25/99	
BANK PLUS CORP	DE					X						03/26/99	
BARNETT AUTO TRUST 1997-A	DE					X		X				03/15/99	
BARRISTER INFORMATION SYSTEMS CORP	DE							X				03/30/99	AMEND
BATTLE MOUNTAIN GOLD CO	NV					X		X				03/29/99	
BELLSOUTH CORP	GA					X						03/30/99	
BOATMENS AUTO TRUST 1995-A	DE					X		X				03/15/99	
BOATMENS AUTO TRUST 1996-A	DE					X		X				03/15/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				02/28/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				02/28/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X				02/28/99	
BOMBARDIER CREDIT RECEIVABLES CORP	DE							X				02/28/99	
BOSTON SCIENTIFIC CORP	DE	X						X				09/10/98	AMEND
CALIFORNIA WATER SERVICE GROUP	CA					X		X				03/23/99	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1						X						03/22/99	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1997-1	MD					X						03/22/99	
CATERPILLAR FINANCIAL FUNDING CORP	NV	X										12/31/98	
CELLULAR TECHNICAL SERVICES CO INC	DE					X		X				03/16/99	
CENTENNIAL TECHNOLOGIES INC	DE					X		X				03/16/99	
CENTURA BANKS INC	NC	X						X				03/26/99	
CHL MORTGAGE PASS THROUGH TRUST 199 8 18	DE					X		X				01/25/99	
CHL MORTGAGE PASS THROUGH TRUST 199 8 18	DE					X		X				02/25/99	
CHL MORTGAGE PASS THROUGH TRUST 199 8 20	DE					X		X				02/25/99	
CHS ELECTRONICS INC	FL					X		X				03/17/99	
CHS ELECTRONICS INC	FL					X		X				03/22/99	
CHUBB CORP	NJ					X						03/12/99	
CIT MARINE TRUST 1999-A	DE					X		X				03/15/99	
CITIGROUP INC	DE							X				03/26/99	
COAST HOTELS & CASINOS INC						X		X				03/23/99	
COAST RESORTS INC	NV					X		X				03/23/99	
COFFEE PEOPLE INC	DE	X						X				03/16/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
COGENERATION CORP OF AMERICA	DE						X				10/09/98	AMEND
COLTEC INDUSTRIES INC	PA				X	X					03/26/99	
CONCENTRA MANAGED CARE INC	DE				X	X					03/24/99	
COOPER LIFE SCIENCES INC	DE				X	X					03/23/99	
COOPERATIVE BANKSHARES INC	NC				X	X					03/25/99	
CORT BUSINESS SERVICES CORP	DE				X	X					03/25/99	
CROWN RESOURCES CORP	WA	X									03/26/99	
CTI GROUP HOLDINGS INC	DE				X		X				03/30/99	
CUNNINGHAM GRAPHICS INTERNATIONAL I NC	NJ						X				01/13/99	AMEND
CWABS INC ASSET BACKED CERTIFICATES SERIES 1998-1	DE				X	X					02/25/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1998-3	DE				X	X					02/25/99	
CWMBS INC	DE				X	X					02/25/99	
CWMBS INC ASSET SEC TRUST 1998-A7	DE				X	X					01/25/99	
CWMBS INC ASSET SEC TRUST 1998-A7	DE				X	X					02/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1998-19	DE				X	X					02/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1998-21	DE				X	X					02/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1998-22	DE				X	X					02/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1998-23	DE				X	X					02/25/99	
CWMBS INC MORT PASS THR CERT SER 19 98-15	DE				X	X					02/25/99	
CWMBS INC MORT PASS THR CERT SER 19 98-16	DE				X	X					02/25/99	
CWMBS INC MORT PASS THRO CERT SER 1 998-11	DE				X	X					02/25/99	
CWMBS INC MORT PASS THRO CERT SERIE S 1998-17	DE				X	X					02/25/99	
CWMBS INC MORT PASS THROUGH CERT SE RIES 1998-4	DE				X	X					01/25/99	
CWMBS INC MORT PASS THROUGH CERT SE RIES 1998-4	DE				X	X					02/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER T SERIES 1998 10	DE				X	X					02/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER T SERIES 1998 8	DE				X	X					01/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER T SERIES 1998 8	DE				X	X					02/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998 5	DE				X	X					01/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998 6	DE				X	X					01/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998 6	DE				X	X					02/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998-9	DE				X	X					01/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998-9	DE				X	X					02/25/99	
CWMBS INC MORTGAGE PASS THRU CERTIF ICATES SERIES 1998-A	DE				X	X					01/25/99	
CWMBS INC MORTGAGE PASS THRU CERTIF ICATES SERIES 1998-A	DE				X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SEC TRU ST 1998-A12	DE				X	X					01/25/99	

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		1	2	3	4	5	6	7	8	9			
CWMBS INC RESIDENTIAL ASSET SEC TRU ST 1998-A12	DE					X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A11	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A11	DE					X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A13	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A13	DE					X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A14	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A14	DE					X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A15	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A15	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A15	DE					X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A2	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A2	DE					X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A3	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A3	DE					X	X					02/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A8	DE					X	X					11/25/98	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A8	DE					X	X					01/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A8	DE					X	X					02/25/99	
CWMBS INC SERIES 1998 7	DE					X	X					01/25/99	
CWMBS INC SERIES 1998 7	DE					X	X					02/25/99	
CWMBS INC SERIES 1998-A10	DE					X	X					01/25/99	
CWMBS INC SERIES 1998-A10	DE					X	X					02/25/99	
CWMBS INC SERIES 1998-A10	DE					X	X					02/25/99	
C2 INC	WI		X					X				03/12/99	
DATAMARINE INTERNATIONAL INC	MA					X						03/26/99	
DAYTON HUDSON RECEIVABLES CORP	MN					X						03/26/99	
DEUTSCHE MORTGAGE & ASSET RECEIVING CORP	DE		X					X				03/16/99	
DLJ COMMERCIAL MORT COMM MORT PASS THR CER SER 1999-CG1	DE		X					X				03/19/99	
DLJ MORTGAGE ACCEPTANCE CORP	DE					X	X					03/30/99	
DOMINION RESOURCES INC /VA/	VA					X	X					03/25/99	
DRYPERS CORP	DE					X	X					02/12/99	
DURA AUTOMOTIVE SYSTEMS INC	DE		X					X				03/15/99	
EATON CORP	OH		X									03/29/99	
ECB BANCORP INC	NC					X	X					03/25/99	
EG&G INC	MA							X				12/16/98	AMEND
EL PASO TENNESSEE PIPELINE CO	DE					X						03/29/99	AMEND
ELTRAX SYSTEMS INC	MN		X					X				03/25/99	
EQUITY ONE ABS INC	DE					X	X					03/25/99	
FARM FAMILY HOLDINGS INC	DE					X						03/26/99	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X				03/12/99	
FINOVA GROUP INC	DE					X	X					03/22/99	
FIRST NATIONAL BANK OF ATLANTA						X	X					02/28/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FIRST OMNI BANK CREDIT CARD MASTER TRUST									X		02/28/99	
FIRST SENTINEL BANCORP INC	DE		X					X			12/18/98	
FIRST UNION REAL ESTATE EQUITY & MO RTGAGE INVESTMENTS	OH				X	X					03/29/99	
FIRST USA CREDIT CARD MASTER TRUST	DE				X	X					03/10/99	
FIRSTWORLD COMMUNICATIONS INC	DE				X						03/02/99	
FISERV INC	DE				X	X					03/25/99	
FOSSIL INC	DE				X						03/30/99	
FRANKFORT FIRST BANCORP INC	DE				X	X					03/24/99	
GOLDEN EAGLE INTERNATIONAL INC	CO							X			03/29/99	
HANCOCK JOHN REALTY INCOME FUND LTD PARTNERSHIP	MA		X					X			03/17/99	
HANCOCK JOHN REALTY INCOME FUND LTD PARTNERSHIP	MA		X					X			03/18/99	
HARMON INDUSTRIES INC	MO		X					X			03/12/99	
HARTCOURT COMPANIES INC	UT	X									02/01/99	
HEARTLAND WIRELESS COMMUNICATIONS INC	DE			X				X			03/15/99	
HECHINGER CO	DE				X	X					03/18/99	
HEILIG MEYERS CO	VA				X	X					03/29/99	
HEXCEL CORP /DE/	DE				X	X					03/29/99	
HOLLINGER INTERNATIONAL INC	DE							X			01/22/99	
HOME EQUITY LOAN ASSET BACKED TRUST SERIES SPMD 1998 A	DE				X	X					02/25/99	
HSBC AMERICAS INC	DE	X									03/29/99	
HUBCO INC	NJ				X	X					03/26/99	
HUFFY CORP	OH		X								03/16/99	
HUFFY CORP	OH		X								03/16/99	
IGEN INTERNATIONAL INC /DE	CA				X	X					03/22/99	
IMAGE GUIDED TECHNOLOGIES INC					X						03/12/99	
IMPERIAL BANCORP	CA				X	X					03/29/99	
INDIANTOWN COGENERATION FUNDING CORP	DE				X						03/29/98	
INDIANTOWN COGENERATION LP	DE				X						03/29/98	
INDYMAC ABS INC	DE							X			03/30/99	
INDYMAC MANUFACTURED HOUSING CON PA SS THR CERT SERIES 1998-1	DE				X	X					01/25/99	
INDYMAC MANUFACTURED HOUSING CON PA SS THR CERT SERIES 1998-2	DE				X	X					01/25/99	
INDYMAC RAST 1998-A5	DE				X	X					02/25/99	
INTEGRATED SECURITY SYSTEMS INC	DE				X						03/23/99	
INTERIM SERVICES INC	DE		X					X			03/24/99	
IRIDIUM CAPITAL CORP	DE				X	X					03/26/99	
IRIDIUM FACILITIES CORP	DE				X	X					03/26/99	
IRIDIUM IP LLC	DE				X	X					03/26/99	
IRIDIUM LLC	DE				X	X					03/26/99	
IRIDIUM OPERATING LLC	DE				X	X					03/26/99	
IRIDIUM ROAMING LLC	DE				X	X					03/26/99	
IRIDIUM WORLD COMMUNICATIONS LTD					X	X					03/26/99	
ISLE OF CAPRI CASINOS INC	DE				X	X					03/15/99	
JMC GROUP INC	DE		X								03/25/99	
JUNO LIGHTING INC	DE				X	X					03/26/99	
KEY ENERGY SERVICES INC	MD							X			09/15/98	AMEND
KEYSTONE FINANCIAL INC	PA				X						03/29/99	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	X					02/22/99	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	X					02/24/99	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	X					03/17/99	
LIBERTY GROUP OPERATING INC	DE		X					X			03/29/99	AMEND
LIBERTY GROUP OPERATING INC	DE		X					X			04/02/99	AMEND

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
LIBERTY GROUP PUBLISHING INC	DE		X					X			04/02/99	AMEND
MADERA INTERNATIONAL INC	NV			X							03/26/99	
MARKETSPAN CORP	NY				X	X					03/30/99	
MASTER GRAPHICS INC	TN		X				X				03/15/99	
MAXIMUS INC	VA				X	X					03/29/99	
MAYTAG CORP	DE			X							02/16/99	
MB FINANCIAL INC	DE		X				X				02/12/99	AMEND
MECHANICAL TECHNOLOGY INC	NY		X								03/29/99	
MID AMERICAN ENERGY HOLDINGS CO /NE W/	IA		X				X				03/12/99	
MIDWAY GAMES INC					X	X					03/30/99	
MOBILEMEDIA COMMUNICATIONS INC	DE				X	X					03/26/99	
MOBILEMEDIA CORP	DE				X	X					03/26/99	
MONEY STORE HOME EQUITY LOAN TRUST 1998-A	NJ				X	X					03/15/99	
MONEY STORE HOME IMPROVEMENT LOAN B ACKED CERT SERIES 1998-I					X						03/15/99	
MONEY STORE INVESTMENT CORP	NJ				X	X					03/25/99	
MONEY STORE OF NEW YORK INC	NY				X	X					03/25/99	
MONEY STORE RESIDENTIAL TRUST 1998- I	NJ				X						03/15/99	
MONEY STORE SBA ADJUSTABLE RATE CER TIFICATES 1998-1	NJ				X						03/15/99	
MONEY STORE TRUST 1998 C					X	X					03/15/99	
MOORE CORPORATION LTD						X					03/25/99	
MORGAN STANLEY CAPITAL I INC	DE		X								03/02/99	
MORGAN STANLEY CAPITAL I INC	DE		X								03/02/99	
MORGAN STANLEY CAPITAL I INC	DE		X								03/02/99	
MORGAN STANLEY CAPITAL I INC	DE		X								03/02/99	
MORGAN STANLEY CAPITAL I INC	DE		X								03/02/99	
MORGAN STANLEY CAPITAL I INC	DE		X								03/02/99	
MORGAN STANLEY CAPITAL I INC	DE		X								03/02/99	
MORGAN STANLEY CAPITAL I INC	DE		X				X				03/03/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-1	DE				X	X					02/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-13	DE				X	X					01/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-13	DE				X	X					02/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-13	DE				X	X					02/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-14	DE				X	X					02/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-2	DE				X	X					02/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-2	DE				X	X					02/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-3	DE				X	X					01/25/99	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-3	DE				X	X					02/25/99	
NATIONSBANK AUTO OWNER TRUST 1996-A	DE				X	X					03/15/99	
NATIONSBANK NA	NC				X	X					03/15/99	
NATIONSBANK OF DELAWARE NA	DE				X	X					03/15/99	
NATIONSCREDIT GRANTOR TRUST 1996-1	DE				X	X					03/15/99	
NATIONSCREDIT GRANTOR TRUST 1997-1	DE				X	X					03/15/99	
NATIONSCREDIT GRANTOR TRUST 1997-2	DE				X	X					03/15/99	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE				X	X					03/15/99	
NAVISTAR FINANCIAL SECURITIES CORP	DE				X	X					03/25/99	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
NETSMART TECHNOLOGIES INC	DE					X						12/31/99	
NEUROMEDICAL SYSTEMS INC	DE			X								03/26/99	
NORRELL CORP	GA				X	X						03/24/99	
NORTHERN STATES POWER CO /MN/	MN				X	X						03/26/99	
NORWEST ASSET SECURITIES CORP	DE				X	X						03/05/99	
NU KOTE HOLDING INC /DE/	DE			X	X	X						03/29/99	
OLSTEN CORP	DE				X	X						04/04/99	
OPEN TEXT CORP								X				12/29/98	AMEND
OPTIKA INC	DE				X							03/30/99	
OVN INTERNATIONAL HOLDING CORP	NV			X		X						02/10/99	
PACIFICAMERICA HOME EQ LN AB NOTES SERIES 1998-2F CLASS A-F					X	X						12/28/98	
PACIFICAMERICA HOME EQ LN AB NOTES SERIES 1998-2V CLASS A-V					X	X						07/27/98	
PACIFICAMERICA HOME EQ LN AB NOTES SERIES 1998-2V CLASS A-V					X	X						08/25/98	
PACIFICAMERICA HOME EQ LN AB NOTES SERIES 1998-2V CLASS A-V					X	X						12/28/98	
PACIFICAMERICA HOME EQ LN AB NOTES SERIES 1998-2V CLASS A-V					X	X						02/25/99	
PARAGON AUTO RECEIVABLES CORP	DE				X	X						03/30/99	
PAUL HARRIS STORES INC	IN		X									03/29/99	
PENNZOIL QUAKER STATE CO	DE				X	X						03/30/99	
PEOPLES BANKCORP INC	NY			X								01/01/99	
PLUM CREEK TIMBER CO L P	DE				X	X						03/29/99	
POTOMAC ENERGY CORP	OK				X	X						03/19/99	
PRECISION SYSTEMS INC	DE	X										03/30/99	
PROCEPT INC	DE		X					X				03/17/99	
PROLOGIS TRUST	MD				X	X						03/30/99	
PSB BANCORP INC	PA				X							03/19/99	
PUBLIC SERVICE CO OF NEW MEXICO	NM		X									03/30/99	
QUINTILES TRANSNATIONAL CORP	NC		X			X						03/29/99	
RANCON REALTY FUND V	CA		X			X						03/29/99	AMEND
RAVEN MOON INTERNATIONAL INC	FL		X			X						12/31/98	
REGENT COMMUNICATIONS INC	DE	X	X	X	X	X						06/15/98	AMEND
RENAISSANCE GOLF PRODUCTS INC	DE				X							03/29/99	AMEND
REPUBLIC LEASING INC /WA/	WA			X		X						03/11/99	AMEND
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X						03/30/99	
RESIDENTIAL ASSET SECURITIZATION TR UST 1998-A9	DE				X	X						01/25/99	
RESIDENTIAL ASSET SECURITIZATION TR UST 1998-A9	DE				X	X						02/25/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE				X	X						03/29/99	
RESIDENTIAL MORTGAGE LOAN TRUST 199 8 1 CERTIFICATES	DE				X	X						11/25/98	
REVENGE MARINE INC	NV		X			X						03/14/99	
RIGHTCHOICE MANAGED CARE INC	MO				X							03/24/99	
RIGL CORP	NV		X									03/31/99	
SELLER & MASTER SERVICER RESIDENTIA L ASS SEC TR 1998-A4	DE				X	X						02/25/99	
SELLER & MASTER SERVICER RESIDENTIA L ASS SEC TR 1998-A6	DE				X	X						01/25/99	
SELLER & MASTER SERVICER RESIDENTIA L ASS SEC TR 1998-A6	DE				X	X						02/25/99	
SERVICE MERCHANDISE CO INC	TN			X		X						03/30/99	
SOUTHERN MISSOURI BANCORP INC	DE	X										03/26/99	
STOCKER & YALE INC	MA		X			X						03/18/99	
SUGEN INC	DE				X							03/24/99	
SUPERCONDUCTOR TECHNOLOGIES INC	DE				X							02/26/99	



NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
SYNCRONYS SOFTCORP	NV		X									02/28/99	
SYNCRONYS SOFTCORP	NV	X										03/31/99	
SYSTEMSOFT CORP	DE		X				X					03/23/99	
TEAM COMMUNICATION GROUP INC	CA					X	X					01/30/99	
TELECOMMUNICATIONS GROWTH & INCOME FUND L P	VA		X									01/19/99	
THERMATRIX INC	DE							X				01/13/99	AMEND
THINK NEW IDEAS INC	DE	X	X	X	X	X	X	X	X			03/10/98	
TMP INLAND EMPIRE II LTD	CA	X										12/31/98	
TMP INLAND EMPIRE IV LTD	CA	X										12/31/98	
TMP INLAND EMPIRE V LTD	CA	X										12/31/98	
TMP INLAND EMPIRE VII LTD	CA	X										12/31/98	
TREGA BIOSCIENCES INC	DE		X					X				03/16/99	
U SHIP INC	UT								X			01/13/99	AMEND
UNIDIGITAL INC	DE		X									11/30/98	AMEND
UNITED DOMINION REALTY TRUST INC	VA					X	X					03/22/99	
UNIVEC INC	DE					X	X					03/18/99	
UNIVERSAL SECURITY INSTRUMENTS INC	MD	X										03/29/99	
US AIRWAYS GROUP INC	DE					X	X					03/30/99	
US AIRWAYS INC	DE					X	X					03/30/99	
VANGUARD AIRLINES INC \DE\	DE					X	X					03/30/99	
VASTAR RESOURCES INC	DE					X						03/29/99	
VERSAILLES CAPITAL CORP /CO	CO			X			X					03/29/99	
VIRGINIA CAPITAL BANCSHARES INC					X		X					03/23/99	
VIRGINIA ELECTRIC & POWER CO	VA					X	X					03/25/99	
VISTA INFORMATION SOLUTIONS INC	DE						X					12/31/98	AMEND
VORNADO OPERATING CO	DE		X					X				03/12/99	
WALL STREET FINANCIAL CORP /DE/	DE							X				03/24/99	
WHG BANCSHARES CORP	MD					X	X					03/26/99	
ZARING NATIONAL CORP	OH		X					X				03/15/99	
ZENITH NATIONAL INSURANCE CORP	DE					X						03/26/99	
3DFX INTERACTIVE INC	CA								X			03/29/99	