

# SEC NEWS DIGEST

Issue 99-39

March 1, 1999

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## COMMISSION ANNOUNCEMENTS

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### LEVITT PRAISES INDEPENDENT FINANCIAL ADVISORS FOR ADOPTING VOLUNTARY PAY-TO-PLAY BAN

Chairman Levitt today praised a group of leading independent financial advisers for agreeing to a voluntary ban on pay-to-play practices in the municipal securities markets.

Chairman Levitt said, "I congratulate these individuals on their commitment to the integrity of the municipal securities market. Pay-to-play eats away at confidence in our markets, causing investors to ask of professionals who bring bonds to market: are they chosen because of their competence, or their connections? The more market professionals refuse to pay to play, the closer we are to ending this practice." (Press Rel. 99-25)

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## RULES AND RELATED MATTERS

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### REPROPOSED AMENDMENTS TO RULES 15c2-11 AND 17a-4

The Commission has repropoed for comment amendments to Rules 15c2-11 and 17a-4 under the Securities Exchange Act of 1934, regarding the publication of quotations in a quotation medium other than a national securities exchange or Nasdaq without specified information. For further information contact: Irene A. Halpin, Special Counsel, or Florence E. Harmon, Special Counsel at (202) 942-0772, Division of Market Regulation, Securities and Exchange Commission, Mail Stop 10-1, 450 Fifth Street, N.W., Washington, D.C. 20549. (Rel. 34-41110; File No. S7-5-99)

### EXEMPTION OF THE SECURITIES OF THE KINGDOM OF BELGIUM

The Commission has issued a release amending Rule 3a12-8 under the Securities Exchange Act of 1934. Rule 3a12-8 exempts certain designated foreign government securities for purposes of trading futures contracts on those securities. The amendment adds the debt securities of Belgium to the list of designated foreign government securities that are exempted by Rule 3a12-8. Publication of the rule amendment is expected in the Federal Register during the week of March 1. (Rel. 34-41116; International Series Rel. 1186)

## **SEMIANNUAL REGULATORY AGENDA**

The Commission has authorized the publication of its April 1999 Regulatory Flexibility Act Agenda. The agenda is a general announcement to the public intended to provide advance notice of rulemaking actions. The agenda, which will not be available to the public until its publication, has been submitted by the Commission to the Regulatory Information Service Center for inclusion in the Unified Agenda of Federal Regulations scheduled for publication in the Federal Register in April 1999. Public comments regarding the agenda and the individual agenda entries are due by June 1, 1999. (Rel. 33-7648, 34-41117, 35-26980, 39-2372, IC-23719, IA-1790, File No. S7-6-99)

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## **ENFORCEMENT PROCEEDINGS**

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### **PROCEEDINGS SETTLED AGAINST TKO INTERNATIONAL, INC. AND LEONARD TURANO**

The Commission announced the issuance of an Order Making Findings and Order to Cease and Desist against TKO International, Inc. (TKO) and its president and sole shareholder, Leonard A. Turano for violating Section 17(b) of the Securities Act of 1933 by promoting microcap companies through the use of the Internet without fully disclosing the amount of consideration received from those companies. TKO is a closely held Colorado corporation with its place of business in Englewood, Colorado. It provides public relations services for small public companies, including providing Internet services and distributing corporate profiles to potential investors. TKO and Turano consented to the entry of the Order without admitting or denying any violations. (Rel. 33-7650; File No. 3-9769)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **ROBERTSON STEPHENS INVESTMENT TRUST**

An order has been issued on an application filed by Robertson Stephens Investment Trust (Trust) declaring that, until June 1, 1999, John W. Glynn, Jr. and James K. Peterson, both trustees of the Trust, will not be deemed "interested persons" under Section 2(a)(19) of the Investment Company Act. (Rel. IC-23713 - February 25)

### **RYDEX VARIABLE TRUST, ET AL.**

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Rydex Variable Trust and PADCO Advisors II, Inc. (PADCO) from the provisions of Sections 9(a), 13(a), 15(a) and 15(b), and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to the

extent necessary to permit shares of Rydex and shares of any other investment company that is designed to fund insurance products and for which PADCO, or any of its affiliates, may serve as investment adviser, administrator, manager, principal underwriter or sponsor to be sold to and held by: (a) variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies and (b) qualified pension and retirement plans outside of the separate account context. (Rel. IC-23714 - February 25)

#### **NEW YORK LIFE SEPARATE ACCOUNT Q**

An order has been issued under Section 8(f) of the Investment Company Act declaring that New York Life Separate Account Q has ceased to be an investment company. (Rel. IC-23715 - February 25)

#### **NEW YORK LIFE FUND, INC.**

An order has been issued under Section 8(f) of the Investment Company Act declaring that New York Life Fund, Inc. has ceased to be an investment company. (Rel. IC-23716 - February 25)

#### **NEW YORK LIFE SEPARATE ACCOUNT N**

An order has been issued under Section 8(f) of the Investment Company Act declaring that New York Life Separate Account N has ceased to be an investment company. (Rel. IC-23717 - February 25)

#### **WARBURG DILLON READ LLC**

A notice has been issued giving interested persons until March 22, 1999, to request a hearing on an application filed by Warburg Dillon Read LLC (Warburg) for an order under (i) Section 12(d)(1)(J) of the Investment Company Act exempting T-REX trusts for which Warburg will serve as a principal underwriter (Trusts) from Section 12(d)(1) of the Act, (ii) under Section 6(c) of the Act exempting the Trusts from Section 14(a) of the Act, and (iii) under Section 17(b) of the Act exempting Warburg and the Trusts from Section 17(a) of the Act. The requested order would (i) permit other registered investment companies, and companies excepted from the definition of investment company under Section 3(c)(1) or (c)(7) of the Act, to own a greater percentage of the total outstanding voting stock (Securities) of each Trust than that permitted by Section 12(d)(1), (ii) exempt the Trusts from the initial net worth requirements of Section 14(a), and (iii) permit the Trusts to purchase U.S. government securities from Warburg at the time of a Trust's initial issuance of Securities. (Rel. IC-23718 - February 25)

#### **GRADISON GROWTH TRUST, ET AL.**

An order has been issued on an application filed by Gradison Growth Trust, et al. for an exemption from Section 15(a) of the Investment Company Act. The order permits the implementation, without prior shareholder approval, of a new investment subadvisory agreement for a period beginning on the later of the date on which the sale of a

controlling interest of the subadviser is consummated or the date the order is issued and continuing through the date the subadvisory agreement is approved or disapproved by the shareholders of the investment company, but in no event later than March 22, 1999. (Rel. IC-23720 - February 26)

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## SELF-REGULATORY ORGANIZATIONS

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### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

The Commission issued a notice of filing of immediate effectiveness of a proposed rule change filed by the American Stock Exchange (SR-Amex-99-07) relating to an amendment to Amex Rule 901C regarding the listing and trading of generic narrow-based index options. Publication is expected in the Federal Register during the week of March 1. (Rel. 34-41091)

The Boston Stock Exchange filed a notice of filing and immediate effectiveness of a proposed rule change (SR-BSE-99-2). Publication of the proposal is expected in the Federal Register during the week of March 1. (Rel. 34-41108)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The Commission granted accelerated approval to a proposed rule change filed by the American Stock Exchange (SR-Amex-98-44) increasing to fifty, the maximum permissible number of equity and index option contracts in an order executable through AUTO-EX. Publication of the order is expected in the Federal Register during the week of March 1. (Rel. 34-41098)

The Commission issued an order granting accelerated approval of a proposed rule change and notice of filing and order granting accelerated approval of Amendment No. 1 to proposed rule change by the Chicago Board Options Exchange (SR-CBOE-99-05) regarding the listing of options on the Dow Jones E\*Commerce Index. Publication is expected in the Federal Register during the week of March 1. (Rel. 34-41112)

### PROPOSED RULE CHANGES

The American Stock Exchange filed a proposed rule change (SR-Amex-98-31) that would permit the Exchange to trade options on the Cure for Cancer Common Stock Index. Publication of the proposal is expected in the Federal Register during the week of March 1. (Rel. 34-41100)

The Depository Trust Company filed a proposed rule change (SR-DTC-99-02) under Section 19(b)(3)(A) of the Act. The proposed rule change, which became effective upon filing, provides participants with automated access to centralized tax information on securities

distributions. Publication of the proposal is expected in the Federal Register during the week of March 1. (Rel. 34-41105)

The Depository Trust Company filed a proposed rule change (SR-DTC-98-25) under Section 19(b)(3)(A) of the Exchange Act. The proposed rule change, which became effective upon filing, establishes DTC's fees and charges for various services provided. Publication of the proposal is expected in the Federal Register during the week of March 1.

#### APPROVAL OF REQUESTS FOR EXTENSION OF REGISTRATION AS A CLEARING AGENCY

The International Securities Clearing Corporation filed a request for extension of its registration as a clearing agency (File No. 600-20) under Section 17A of the Act. The Commission has extended ISCC's temporary registration as a clearing agency until February 29, 2000. Publication of the notice and order is expected in the Federal Register during the week of March 1. (Rel. 34-41103; International Series Rel. 1185)

The Government Securities Clearing Corporation filed a request for extension of its registration as a clearing agency (File No. 600-23) under Section 17A of the Act. The Commission has extended GSCC's temporary registration as a clearing agency until August 31, 1999. Publication of the notice and order is expected in the Federal Register during the week of March 1. (Rel. 34-41104)

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#### SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-1 DUCATI MOTOR HOLDING SPA, VIA CAVALIERI DUCATI 3, 40132, BOLOGNA ITALY,  
L6 00000 - \$3,597,122 FOREIGN COMMON STOCK (FILE 333-10020 - FEB 16)  
(BR 5 - NEW ISSUE)

F-6 ALLIED ZURICH PLC /ADR/, BANK OF NEW YORK, ONE WALL ST, NEW YORK, NY  
10286 - 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK.  
(FILE 333-10022 - FEB 16) (BR 99)

F-6 DUCATI MOTOR HOLDING SPA /ADR/, ONE WALL STREET, NEW YORK, NY 10286 -  
25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK (FILE  
333-10026 - FEB 17) (BR 99)

S-8 UGLY DUCKLING CORP, 2525 E CAMELBACK ROAD, STE 500, PHOENIX, AZ 85016  
(602) 852-6600 - 800,000 (\$5,477,813) COMMON STOCK (FILE 333-72717 -  
FEB 22) (BR 7)

S-8 OCCIDENTAL PETROLEUM CORP /DE/, 10889 WILSHIRE BLVD, LOS ANGELES, CA  
90024 (310) 208-8800 - 2,000,000 (\$30,250,000) COMMON STOCK. (FILE  
333-72719 - FEB 22) (BR. 4)

S-8 OCCIDENTAL PETROLEUM CORP /DE/, 10889 WILSHIRE BLVD, LOS ANGELES, CA  
90024 (310) 208-8800 - 200,000 (\$3,025,000) COMMON STOCK. (FILE 333-72721  
- FEB 22) (BR 4)

S-8 URECOATS INDUSTRIES INC, 4100 NORTH POWERLINE ROAD, SUITE F-1,  
POMPANO BEACH, FL 33073 (954) 977-5428 - 8,000,000 (\$4,000,000)  
COMMON STOCK (FILE 333-72723 - FEB. 22) (BR 4)

S-8 CAPITAL TRUST INC, 605 THIRD AVE, NEW YORK, NY 10016 (212) 856-7000 -  
1,500,000 (\$8,062,500) COMMON STOCK. (FILE 333-72725 - FEB 22) (BR. 7)

S-4 TRUSTMARK CORP, 248 E CAPITOL ST, P O BOX 291, JACKSON, MS 39201  
(601) 354-5111 - 1,125,000 (\$4,590,000) COMMON STOCK. (FILE 333-72731 -  
FEB 22) (BR 7)

S-8 DEL WEBB CORP, 6001 NORTH 24TH STREET, PHOENIX, AZ 85016 (602) 808-8000  
- 75,000 (\$1,598,438) COMMON STOCK (FILE 333-72733 - FEB 22) (BR 6)

S-8 DEL WEBB CORP, 6001 NORTH 24TH STREET, PHOENIX, AZ 85016 (602) 808-8000  
- 1,000,000 (\$21,312,500) COMMON STOCK. (FILE 333-72735 - FEB 22)  
(BR 6)

S-8 POPE & TALBOT INC /DE/, 1500 SW FIRST AVE, PORTLAND, OR 97201  
(503) 228-9161 - 600,000 (\$4,368,000) COMMON STOCK (FILE 333-72737 -  
FEB 22) (BR 4)

N-2 MUNIHOLDINGS INSURED FUND II INC, C/O MERRILL LYNCH ASSET MANAGEMENT,  
PO BOX 9011, PRINCETON, NJ 08543 - 40 (\$1,000,000) PREFERRED STOCK. (FILE  
333-72739 - FEB 22) (BR 17)

N-2 VAN KAMPEN SENIOR FLOATING RATE FUND, ONE PARKVIEW PLAZA,  
VAN KAMPEN INVESTMENTS INC, OAKBROOK TERRACE, IL 60181 (630) 684-6774 -  
100,000,000 (\$1,009,000,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE  
333-72745 - FEB 22) (BR 16)

S-8 BANC CORP, 17 NORTH 20TH STREET, BIRMINGHAM, AL 35203 (205) 326-2265 -  
1,082,396 (\$11,300,214) COMMON STOCK. (FILE 333-72747 - FEB 22) (BR. 7)

S-3 AMERICAN CLASSIC VOYAGES CO, TWO N RIVERSIDE PLZ, 2ND FLOOR, CHICAGO, IL  
60606 (312) 258-1890 - 3,450,000 (\$84,093,750) COMMON STOCK (FILE  
333-72749 - FEB 22) (BR. 5)

S-8 LAM RESEARCH CORP, 4650 CUSHING PKWY, FREMONT, CA 94538 (510) 659-0200  
- 3,000,000 (\$104,910,000) COMMON STOCK. (FILE 333-72751 - FEB 22)  
(BR 5)

S-3 PARAMETRIC TECHNOLOGY CORP, 128 TECHNOLOGY DR, WALTHAM, MA 02154  
(781) 398-5000 - 2,028,484 (\$28,525,556) COMMON STOCK (FILE 333-72759 -  
FEB. 22) (BR 3)

S-8 NEWPORT NEWS SHIPBUILDING INC, 4101 WASHINGTON AVE, LEGAL DEPT,  
NEWPORT NEWS, VA 23607 (757) 380-2000 - 150,000 (\$4,162,500) COMMON STOCK  
(FILE 333-72763 - FEB 22) (BR 6)

S-8 NEWPORT NEWS SHIPBUILDING INC, 4101 WASHINGTON AVE, LEGAL DEPT,  
NEWPORT NEWS, VA 23607 (757) 380-2000 - 3,200,000 (\$88,800,000)  
COMMON STOCK (FILE 333-72765 - FEB. 22) (BR 6)

S-8 MANNATECH INC, 600 SOUTH ROYAL LANE, SUITE 200, COPPELL, TX 75019  
(972) 471-7400 - 336,435 (\$8,663,201) COMMON STOCK. (FILE 333-72767 -  
FEB 22) (BR. 1)

S-1 CAIS INTERNET INC, 1255 22ND STREET N W, FOURTH FLOOR, WASHINGTON, DC  
20037 (202) 715-1300 - \$75,000,000 COMMON STOCK (FILE 333-72769 -  
FEB 22) (NEW ISSUE)

S-8 DCI TELECOMMUNICATIONS INC, 611 ACCESS RD, STRATFORD, CT 06615  
(203) 380-0910 - 17,500 (\$30,702) COMMON STOCK. (FILE 333-72773 - FEB 23)  
(BR. 7)

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**RECENT 8K FILINGS**

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Form 8-K is used by companies to file current reports on the following events.

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAON INC	NV		X								02/26/99	
ABN AMRO MORTGAGE CORP	DE		X								02/26/99	
ABRAXAS PETROLEUM CORP	NV		X				X				02/26/99	AMEND
AFG RECEIVABLES CORP	CA					X					02/16/99	
AFG RECEIVABLES CORP	CA					X					02/16/99	
AFG RECEIVABLES CORP	CA					X					02/16/99	
AFG RECEIVABLES CORP	CA					X					02/16/99	
AFG RECEIVABLES CORP	CA					X					02/16/99	
AMERICAN ELECTROMEDICS CORP	DE							X			05/05/98	AMEND
AMERICAN RESIDENTIAL EAGLE INC	DE					X		X			01/25/99	
AMERICAN RICE INC	TX			X							01/31/99	
AMERICAN SOUTHWEST FINANCIAL SECURI TIES CORP	AZ		X								02/26/99	
AMF BOWLING WORLDWIDE INC	DE					X		X			02/26/99	
AMPCO PITTSBURGH CORP	PA			X							02/25/99	
APTARGROUP INC	DE			X				X			02/17/99	
ASSET BACKED SEC CORP HOME EQUITY L OAN TR 1998-LB1	DE		X								01/25/99	
AUTODESK INC	DE					X		X			02/24/99	
BANCWEST CORP/HI	DE					X		X			02/25/99	
BANK OF NEW ENGLAND CORP	MA							X			01/31/99	
BCAM INTERNATIONAL INC	NY					X					02/26/99	
BENTLEY PHARMACEUTICALS INC	FL		X					X			02/26/99	
BERRY PETROLEUM CO	DE							X			02/12/99	
BIRMINGHAM UTILITIES INC	CT					X					02/25/99	
BLUE RHINO CORP	DE					X		X			02/19/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT							X			01/31/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT								X		01/31/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT								X		01/31/99	
BOMBARDIER CAPITAL MORTGAGE SECURIT IZATION CORP	VT								X		01/31/99	
BOMBARDIER CREDIT RECEIVABLES CORP	DE								X		01/31/99	
BOOLE & BABBAGE INC	DE				X						01/04/99	AMEND
BOSTON CHICKEN INC	DE				X	X					02/24/99	
BRUNSWICK CORP	DE				X	X					02/10/99	
CADMUS COMMUNICATIONS CORP/NEW	VA								X		02/26/99	
CAM DESIGNS INC	DE				X		X				02/19/99	
CAPCO AMERICA SEC CORP COMM MORT PA SS THROU CERT SER 1998-D7	DE				X		X				02/17/99	
CAPITAL AUTOMOTIVE REIT	MD					X					02/26/99	
CAPITAL MEDIA GROUP LTD	NV				X		X				02/18/99	
CARCO AUTO LOAN MASTER TRUST	NY				X	X					02/26/99	
CAROLINA POWER & LIGHT CO	NC						X				02/26/99	
CENTURY TELEPHONE ENTERPRISES INC	LA				X						01/26/99	
CENTURY TELEPHONE ENTERPRISES INC	LA				X						01/28/99	
CEPHALON INC	DE				X	X					02/25/99	
CHATTEM INC	TN		X				X				12/21/98	AMEND
CIRRUS LOGIC INC	CA					X					02/17/99	
CMI INDUSTRIES INC	DE				X	X					02/25/99	
COMMUNITY WEST BANCSHARES / CONNECT INC	CA						X				02/26/99	AMEND
CONNECTION INC	DE				X	X					02/26/99	
CONTISECURITIES ASSET FUNDING CORP	DE					X					02/24/99	
CORRECTIONS SERVICES INC	FL		X								02/08/99	
CWABS INC	DE					X	X				02/26/99	
CWMBS INC	DE						X				02/26/99	
DYNEX CAPITAL INC	VA		X								02/25/99	
EG&G INC	MA						X				12/16/98	AMEND
EQUITY MARKETING INC	DE					X					02/24/99	
FACTUAL DATA CORP	CO						X				12/16/98	AMEND
FIRST MERCHANTS ACCEPTANCE CORP	DE						X				02/26/99	
FLORIDA EAST COAST INDUSTRIES INC	FL	X									02/26/99	
FRONTIERVISION CAPITAL CORP	DE					X					02/22/99	
FRONTIERVISION HOLDINGS CAPITAL COR P	DE					X					02/22/99	
FRONTIERVISION HOLDINGS LP	DE					X					02/22/99	
FRONTIERVISION OPERATING PARTNERS L P	DE					X					02/22/99	
FVNB CORP	TX						X				02/16/99	AMEND
GARDEN FRESH RESTAURANT CORP /DE/ GLENOIT ASSET CORP	DE				X						03/31/99	
GLENOIT CORP	DE		X				X				02/12/99	
GOLDEN BOOKS FAMILY ENTERTAINMENT I NC	DE			X			X				02/26/99	
GREEN TREE FINANCIAL CORP	MN						X				02/24/99	
GREENWICH CAP ACCEPT INC SEQU MORT TR 3 MORT LN AS BCK CERT	DE					X	X				01/25/99	
GREIF BROTHERS CORP	DE				X						02/26/99	
GRIP TECHNOLOGIES INC	CA					X					02/19/99	
GS FINANCIAL PRODUCTS US LP						X	X				02/25/99	
GS MORTGAGE SEC CORP II COMM MORT P A THRO CERT SER 1997-GL1	DE	X									02/26/99	
HEADLANDS MORTGAGE SECURITIES INC	DE				X	X					01/15/99	
HEADLANDS MORTGAGE SECURITIES INC	DE				X	X					01/25/99	
HEADLANDS MORTGAGE SECURITIES INC	DE				X	X					02/15/99	
HEADLANDS MORTGAGE SECURITIES INC	DE				X	X					02/15/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HEALTHCARE RECOVERIES INC	DE	X				X	X				02/15/99	
HERITAGE OAKS BANCORP	CA				X						01/28/99	
HOME EQUITY LOAN ASSET BACKED TRUST SERIES SPMD 1998 A	DE				X	X					11/25/98	
HOUSTON INDUSTRIES INC	TX				X	X					02/23/99	
IBIS TECHNOLOGY CORP	MA				X	X					02/24/99	
IBS INTERACTIVE INC	DE	X			X						12/10/98	AMEND
ICG FUNDING LLC	DE				X	X					02/23/99	
ICG HOLDINGS CANADA CO	DE				X	X					02/23/99	
ICG HOLDINGS CANADA INC	CO				X	X					02/23/99	
ICG HOLDINGS INC	CO				X	X					02/23/99	
ICH CORP /DE/	DE				X	X					02/25/99	AMEND
IMAGING TECHNOLOGIES CORP/CA	DE				X	X					02/26/99	
IMC MORTGAGE CO	FL				X						02/16/99	AMEND
INLAND STEEL INDUSTRIES INC /DE/	DE				X						02/25/99	
KERR MCGEE CORP	DE				X	X					02/26/99	
KEY CONSUMER ACCEPTANCE CORP	DE				X	X					02/16/99	
KEY CONSUMER ACCEPTANCE CORP	DE				X	X					02/16/99	
LEUKOSITE INC	DE	X				X					02/11/99	
LODGIAN INC	DE					X					12/11/98	AMEND
MCLEODUSA INC	DE	X									02/10/99	
MEDAREX INC	NJ				X	X					02/18/99	
MEDIMMUNE INC /DE/	DE				X						02/26/99	
MEDIX RESOURCES INC	CO				X						02/17/99	
MERRILL LYNCH INV/FIRST FRAN MOR LN AS BK CER SR 1998-PF3		X									02/22/99	
MID ATLANTIC MEDICAL SERVICES INC	DE				X						02/26/99	
MIDCOAST ENERGY RESOURCES INC	NV	X									02/01/99	
MINNESOTA POWER INC	MN				X						02/24/99	
MIPS TECHNOLOGIES INC	DE				X	X					02/26/99	
NATIONAL FINANCIAL AUTO FUNDING TRU ST	DE				X	X					02/28/98	
NATIONAL FINANCIAL AUTO FUNDING TRU ST	DE				X	X					04/30/98	
NATIONAL FINANCIAL AUTO FUNDING TRU ST	DE				X	X					05/31/98	
NATIONAL FINANCIAL AUTO FUNDING TRU ST	DE				X	X					08/31/98	
NATIONAL FINANCIAL AUTO FUNDING TRU ST	DE				X	X					11/30/98	
NATIONAL FINANCIAL AUTO FUNDING TRU ST	DE				X	X					11/30/98	
NEOPATH INC	WA				X						02/09/99	
NOVELLUS SYSTEMS INC	CA				X	X					02/24/99	
OLD KENT FINANCIAL CORP /MI/	MI				X	X					02/25/98	
ONEOK INC /NEW/	OK				X	X					02/26/99	
ORANGE & ROCKLAND UTILITIES INC	NY				X	X					12/31/98	
PHOTOMATRIX INC/ CA	CA				X	X					02/19/99	
PITNEY BOWES INC /DE/	DE	X									12/31/98	
PROGRESSIVE CORP/OH/	OH				X	X					02/26/99	
PUBLICARD INC	PA	X									02/11/99	
QUANTA SERVICES INC	DE		X			X					02/12/99	
RC ARBYS CORP	DE				X	X					02/25/99	
RENO AIR INC/NV/	NV	X									02/22/99	
REVENGE MARINE INC	NV				X	X					02/11/99	
ROSS TECHNOLOGY INC	DE	X			X	X					12/15/98	AMEND
SECURITIES RESOLUTION ADVISORS INC	DE	X				X					02/24/99	
SECURITY NATIONAL FINANCIAL CORP	UT	X									02/26/99	
SMITHFIELD FOODS INC	DE				X						02/25/99	
SOFTNET SYSTEMS INC	NY					X					02/09/99	AMEND
SOFTNET SYSTEMS INC	NY	X				X					02/12/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
SOUTH ALABAMA BANCORPORATION INC /D E/	AL							X				12/16/98	AMEND
SOUTH CAROLINA ELECTRIC & GAS CO	DE			X								12/31/98	
STRUCTURED ASSET SECURITIES CORP	DE				X	X						02/24/99	
SUNGROUP INC	TN		X			X						02/12/99	
SUNQUEST INFORMATION SYSTEMS INC	PA				X	X						02/25/99	
SYNETIC INC	DE				X							02/18/99	
TEXAS UTILITIES CO /TX/	TX				X	X						02/19/99	
TRANS GLOBAL SERVICES INC	DE				X							03/31/99	
TRANS WORLD GAMING CORP	NV			X		X						02/25/99	
TREND LINES INC	MA					X						03/01/99	
TRIARC COMPANIES INC	DE				X	X						02/25/99	
U S DIGITAL COMMUNICATIONS INC	NV	X	X			X						07/02/97	AMEND
U S TECHNOLOGIES INC	DE		X			X						02/15/99	
U S TRUCKING INC	CO			X		X	X					02/22/99	
UNITED INTERNATIONAL HOLDINGS INC	DE							X				02/24/99	
UNIVERSAL HEIGHTS INC	DE			X								02/08/99	AMEND
VENUS EXPLORATION INC	DE		X			X						02/12/99	
VISTA BANCORP INC	NJ					X						02/19/99	
WALL STREET DELI INC	DE					X						02/23/99	
WOLF HOWARD B INC	TX					X	X					02/16/99	