SEC NEWS DIGEST

Issue 99-27

February 10, 1999

ENFORCEMENT PROCEEDINGS

BROKERAGE FIRM AND PRESIDENT AID AND ABET INVESTMENT ADVISER'S SOFT DOLLAR FRAUD

The Commission issued an order finding that Republic New York Securities Corporation (RNYSC) and its President, James Edward Sweeney (Sweeney), aided and abetted a formerly registered investment adviser's scheme to misappropriate soft dollar credits that belonged to the adviser's clients. RNYSC is headquartered in New York and Sweeney resides in New Jersey. The order imposes a censure on both respondents, assesses civil penalties of \$50,000 against RNYSC and \$25,000 against Sweeney, and directs each to cease and desist from violating the antifraud provisions of the Investment Advisers Act. RNYSC and Sweeney consented to issuance of the order without admitting or denying the Commission's findings.

The order finds that from March 1994 through April 1995, RNYSC and Sweeney assisted a scheme by Sweeney Capital Management, Inc. (SCM), then a registered investment adviser based in San Francisco, to misappropriate soft dollar credits owned by SCM's clients because they paid approximately \$84,000 in soft dollars to SCM despite knowledge of serious red flags. James Sweeney and SCM are not related.

In May 1998, the Commission filed a pending civil injunctive against SCM and its principals for their roles in the fraudulent scheme. (Rel. 34-41036; IA-1789; File No. 3-9823)

INVESTMENT COMPANY ACT RELEASES

STEPHENS GROUP, INC., ET AL.

The Commission has issued a temporary order and notice of an application for a permanent order filed by Stephens Group, Inc., Stephens Inc., and Jackson T. Stephens under Section 9(c) of the Investment Company Act. The temporary order exempts applicants from Section 9(a) of the Act until the earlier of April 5, 1999 or the date the Commission takes final action on the application for a

permanent order. The notice gives interested persons until March 1, 1999, to request a hearing on the application. (Rel. IC-23682 - February 5)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The <u>Pacific Exchange</u> filed a proposed rule change (SR-PCX-98-30) to regulate telephone use on the options floor of the Exchange. Publication of the proposal is expected in the <u>Federal Register</u> during the week of February 8. (Rel. 34-41018)

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the <u>New York Stock Exchange</u> (SR-NYSE-98-44) amending an interpretation to NYSE Rule 344 (Supervisory Analysts). Publication of the order is expected in the <u>Federal Register</u> during the week of February 8. (Rel. 34-41021)

The Commission approved a proposed rule change submitted by the <u>Municipal Securities Rulemaking Board</u> (SR-MSRB-97-12) relating to political contributions and prohibitions on municipal securities business. (Rel. 34-41025)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the <u>National Association of Securities Dealers</u> (SR-NASD-99-10) relating to an amendment to the composition of boards NASD Regulation, Inc. and The Nasdaq Stock Market, Inc. Publication of the proposal is expected in the <u>Federal Register</u> during the week of February 15. (Rel. 34-41026)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Commission issued a notice of filing of immediate effectiveness of proposed rule change by the <u>American Stock Exchange</u> (SR-Amex-99-05) changing the name of the Internet Commerce Index to TheStreet.com E-commerce Index. Publication is expected in the <u>Federal Register</u> during the week of February 15. (Rel. 34-41027)

WITHDRAWALS GRANTED

An order has been issued granting the application of All Communications Corporation to withdraw its Common Stock, No Par Value; Redeemable Class A Common Stock Purchase Warrants; and Units-2 Common & 2 Class A Warrants from listing and registration on the Boston Stock Exchange. (Rel. 34-41028)

An order has been issued granting the application of Cornell Corrections, Inc. to withdraw its Common Stock, \$.001 Par Value, from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-41029)

DELISTING GRANTED

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration Crowley, Milner and Company, Common Stock, Without Par Value. (Rel. 34-41030)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 INTERNATIONAL ISOTOPES INC, 3100 JIM CHRISTAL ROAD, STE 105, DENTON, TX 76207 (940) 484-9492 2,752,675 (\$33,720,268) COMMON STOCK (FILE 333-71655 FEB. 03) (BR 9)
- S-8 INTERNATIONAL ISOTOPES INC, 3100 JIM CHRISTAL ROAD, STE 105, DENTON, TX 76207 (940) 484-9492 600,000 (\$7,350,000) COMMON STOCK (FILE 333-71657 FEB. 03) (BR 9)
- SB-2 BRANDON I INC, 2503 W GARDNER COURT, TAMPA, FL 33611 (813) 831-9348 500,000 COMMON STOCK (FILE 333-71659 FEB 03) (BR 9 NEW ISSUE)
- S-8 KIMBERLY CLARK CORP, P O BOX 619100, DFW AIRPORT STATION, DALLAS, TX 75261 (972) 281-1200 2,500,000 (\$121,406,250) COMMON STOCK (FILE 333-71661 FEB. 03) (BR 4)
- S-3 SYSTEM SOFTWARE ASSOCIATES INC, 500 W MADISON ST 32ND FLR, CHICAGO, IL 60661 (312) 641-2900 50,522 (\$262,083) COMMON STOCK (FILE 333-71663 FEB. 03) (BR 3)
- S-3 SALOMON SMITH BARNEY HOLDINGS INC, 388 GREENWICH ST, 28TH FLOOR, NEW YORK, NY 10013 (212) 816-6000 (FILE 333-71667 FEB 03) (BR 7)
- S-8 SMITH GARDNER & ASSOCIATES INC, 1615 SOUTH CONGRESS STREET, DELRAY BEACH, FL 33445 (407) 241-9505 2,350,000 (\$28,200,000) COMMON STOCK. (FILE 333-71669 FEB 03) (BR 3)
- S-3 CASE CORP, 700 STATE ST, RACINE, WI 53404 (414) 636-6011 400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-71671 FEB 03) (BR 5)
- S-8 UNITED NATURAL FOODS INC, PO BOX 999, 260 LAKE RD, DAYVILLE, CT 06241 (860) 779-2800 625,000 (\$17,012,500) COMMON STOCK (FILE 333-71673 FEB 03) (BR 4)
- S-8 ADVO INC, ONE UNIVAC LN, P O BOX 755, WINDSOR, CT 06095 (860) 285-6120 1,000,000 (\$24,812,500) COMMON STOCK. (FILE 333-71679 FEB. 03) (BR 2)
- S-3 ESTEE LAUDER COMPANIES INC, 767 FIFTH AVE, NEW YORK, NY 10153
 (212) 572-4200 2 052,839 (5172,500,000) COMMON STOCK (FILE 333-71691 FEB. 03) (BR 2)

- S-8 REDDING BANCORP, 1951 CHURN CREEK ROAD, REDDING, CA 96002 (530) 224-3333 540,000 (\$5,216,730) COMMON STOCK (FILE 333-71683 FEB 03) (BR. 7)
- S-3 TEXOIL INC /NV/, 110 CYPRESS STATION DRIVE, SUITE 220, HOUSTON, TX 77090 (281) 537-9920 40,903,462 (\$39,635,455) COMMON STOCK. (FILE 333-71685 FEB 03) (BR 4)
- S-4 LEVEL 3 COMMUNICATIONS INC, 3555 FARNAM STREET, 14TH FLOOR, OMAHA, NE 68131 (402) 536-3677 833,815,000 (\$500,005,503) STRAIGHT BONDS. (FILE 333-71687 FEB. 03) (BR. 7)
- S-8 GULFSTREAM AEROSPACE CORP, P O BOX 2206, 500 GULFSTREAM RD - TRAVIS FIELD, SAVANNAH, GA 31402 (912) 964-3000 -1,936,175 (\$91,172,745.31) COMMON STOCK (FILE 333-71689 - FEB. 03) (BR. 5)
- S-8 NBTY INC, 90 ORVILLE DR, BOHEMIA, NY 11716 (516) 567-9500 3,976,000 (\$17,867,680) COMMON STOCK (FILE 333-71691 FEB. 03) (BR. 1)
- S-8 OLIN CORP, 501 MERRITT 7, P O BOX 4500, NORWALK, CT 06856 (203) 375-0-30 825,000 (\$19,490,625) COMMON STOCK (FILE 333-71693 FEB 03) (BR. 4)
- S-3 UTG COMMUNICATIONS INTERNATIONAL INC, 17 CATTANO AVE, MORRISTOWN, NJ 07960 (201) 829-1881 1,005,355 (\$7,037,485) COMMON STOCK (FILE 333-71697 FEB. 03) (BR. 9)
- S-4 TEMPLE INLAND INC, 303 S TEMPLE DR, PO DRAWER N, DIBOLL, TX 75941 (409) 829-5511 1,216,470 (\$69,262,760 63) COMMON STOCK (FILE 333-71699 FEB 03) (BR 4)
- S-8 TREX MEDICAL CORP, 37 APPLE RIDGE RD, P.O BOX 9046, DANBURY,, CT 06810 (203) 790-1188 1,225,000 (\$9,646,875) COMMON STOCK. (FILE 333-71701 FEB 03) (BR 5)
- S-3 DISPLAY TECHNOLOGIES INC, 5029 EDGEWATER DRIVE, ORLANDO, FL 32810 (407) 521-7477 2,720,021 (\$16,320,126) COMMON STOCK (FILE 333-71705 FEB 03) (BR 6)
- S-3 WEBSTER FINANCIAL CORP, WEBSTER PLAZA, 145 BANK ST, WATERBURY, CT 06720 (203) 753-2921 80,556 (\$2,313,569) COMMON STOCK (FILE 333-71707 FEB 03) (BR 7)
- S-8 CYBERCASH INC, 2100 RESTON PARKWAY, 3RD FLOOR, RESTON, VA 20191 (703) 620-4200 500,000 (\$7,390,000) COMMON STOCK (FILE 333-71709 FEB 03) (BR 3)
- S-8 CENTAUR PHARMACEUTICALS INC, 484 OAKMEAD PKWY, SUNNYVALE, CA 94086 2,941,047 (\$16,833,529) COMMON STOCK. (FILE 333-71711 FEB. 03) (BR 1)
- S-3 LEVEL 3 COMMUNICATIONS INC, 3555 FARNAM STREET, 14TH FLOOR, OMAHA, NE 68131 (402) 536-3677 4,517,540 (\$218,535,997) COMMON STOCK. (FILE 333-71713 FEB 03) (BR. 7)
- S-4 CROWN CASTLE INTERNATIONAL CORP, 510 BERING DRIVE, SUITE 500, HOUSTON, TX 77057 (713) 570-3000 374,604 (\$374,604,480) PREFERRED STOCK (FILE 333-71715 FEB 03) (BR 7)
- S-8 CADENCE DESIGN SYSTEMS INC, 2655 SEELY ROAD BLDG 5, SAN JOSE, CA 95134 (408) 943-1234 206,648 (\$876,187 52) COMMON STOCK (FILE 333-71717 FEB. 03) (BR 3)
- S-8 ARCH CHEMICALS INC, 501 MERRITT 7, P 0 BOX 4500, NORWALK, CT 06856 (203) 750-3729 2,000,000 (\$28,062,500) COMMON STOCK. (FILE 333-71719 FEB 03) (BR 2)
- S-8 ARCH CHEMICALS INC, 501 MERRITT 7, P 0 BOX 4500, NORWALK, CT 06856 (203) 750-3729 3,450,000 (\$48,407,813) COMMON STOCK. 1,500,000 (\$1,500,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-71721 FEB 03) (BR 2)
- S-8 NUTRAMAX PRODUCTS INC /DE/, 9 BLACKBURN DRIVE, GLOUCESTER, MA 01930 (979\ 283-1800 470,000 (\$4,049,953 13) COMMON STOCK (FILE 333-71723 -
- 4 NEWS DIGEST, February 10, 1999

FEB 03) (BR. 1)

- S-8 RIDGEWOOD FINANCIAL INC, 55 NORTH STREET, RIDGEWOOD, NJ 07450 (201) 445-7887 30,100 (\$306,644) COMMON STOCK (FILE 333-71725 FEB. 03) (BR. 7)
- S-8 CARDINAL HEALTH INC, 5555 GLENDON COURT, DUBLIN, OH 43016 (614) 717-5000
 3,000,000 (\$220,593,750) COMMON SHARES OF BENEFICIAL INTEREST (FILE
 333-71727 FEB. 04) (BR. 1)
- SB-2 RAVENSWOOD WINERY INC, 18701 GEHRICKE RD, SUITE 308, SONOMA, CA 95476 1,150,000 (\$15,525,000) COMMON STOCK. (FILE 333-71729 FEB 04)
- S-1 FOREIGNTV COM INC, 162 FIFTH AVE, SUITE 1005A, NEW YORK, NY 10010 3,740,000 (\$28,152,000) COMMON STOCK. (FILE 333-71733 FEB 04) (NEW ISSUE)
- S-4 ITC DELTACOM INC, 1241 O G SKINNER DR, WEST POINT, GA 31833 (706) 645-3880 - 125,000,000 (\$125,000,000) STRAIGHT BONDS (FILE 333-71735 - FEB. 04) (BR 7)

RECENT AK FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

| NAME OF TRANSPORT | STATE | | 8K ITEM | | DAME COLOMBE |
|-------------------------------------|-------|-----|---------|-----|----------------|
| NAME OF ISSUER | CODE | 12. | 3 4 5 6 | | DATE COMMENT |
| ACXIOM CORP | DE | | х | х . | 02/08/99 |
| AIMCO PROPERTIES LP | MD | | | x | 02/05/99 |
| AIRPLANES LTD | | | Х | | 02/09/99 |
| AIRPLANES US TRUST | DE | | х | | 02/09/99 |
| ALLIANCE SEMICONDUCTOR CORP /DE/ | DE | | | x | 03/04/98 AMEND |
| AMERICA FIRST PREP FUND 2 PENSION S | DE | X | | | 12/23/98 |
| ERIES LTD PARTNERSHIP | | | | | |
| AMERICA FIRST PREP FUND 2 PENSION S | DE | X | | | 01/25/99 |
| ERIES LTD PARTNERSHIP | | | | | |
| AMERICA FIRST TAX EXEMPT INVESTORS | DE | Х | | | 02/01/99 |
| LP | | | | | |
| AMERICAN ELECTROMEDICS CORP | DE | | х | x | 02/03/99 |
| ARICTAD INC | DC | | | Х | 01/22/99 AMEND |
| ARIZONA PUBLIC SERVICE CO | AZ | | x | | 01/11/99 |

| WAME OF TOURS | STATI | | 2 | | | M NO. | DATE: | COMMENT |
|---|------------|---|---|---|---|----------|----------|--------------|
| NAME OF ISSUER | CODE | | | | | - | DATE | |
| ASSOCIATES CORPORATION OF NORTH AME RICA | DE | | | | | X | 02/05/9 | 9 |
| ASSOCIATES CORPORATION OF NORTH AME | DE | | | | | x | 02/05/9 | 9 AMEND |
| ATRIX LABORATORIES INC | DE | | | | | х | 11/24/9 | 8 AMEND |
| AVIATION SALES CO | DE | | | | х | x | 12/17/9 | |
| BACOU USA INC | DE | | | | x | | 02/08/9 | |
| BANK OF AMERICA NATIONAL TRUST & SA VING ASSOCIATION | | | | | x | x | 01/31/9 | |
| BANK PLUS CORP | DE | | | | х | | 02/03/9 | 9 |
| BANKAMERICA CORP/DE/ | DE | | | | x | x | 02/02/9 | 9 |
| BECKMAN COULTER INC | DE | | | | X | X | 02/04/9 | 9 |
| BESICORP GROUP INC | NY | | | | Х | | 02/05/9 | 9 |
| BLACK HAWK GAMING & DEVELOPMENT CO INC | co | | | | x | X | 01/25/9 | 9 |
| BORG WARNER AUTOMOTIVE INC | DE | | | | X | | 02/09/9 | 9 |
| BROAD NATIONAL BANCORPORATION | NJ | | | | X | х | 02/01/9 | 9 |
| BURLINGTON NORTHERN RAILROAD CO | DE | | | | Х | | 12/04/9 | В |
| BURLINGTON NORTHERN SANTA FE CORP | DE | | | | Х | | 12/04/9 | 8 |
| CANADIAN OCCIDENTAL PETROLEUM LTD | A 0 | | | | | X | 02/04/9 | 9 |
| CARMIKE CINEMAS INC | DE | | | | Х | X | 01/11/9 | 9 AMEND |
| CARNEGIE FINANCIAL CORP /PA/ | PA | | | | X | x | 02/04/9 | 9 |
| CENTRAL VERMONT PUBLIC SERVICE CORP | VT | | | | х | | 02/02/9 | 9 |
| CHASE COMMERCIAL MORTGAGE SECURITIE S CORP | NY | | | | Х | X | 01/20/9 | 9 |
| CINCINNATI BELL INC /OH/ | OH | | | | X | | 02/01/99 | • |
| CITIBANK SOUTH DAKOTA N A | DE | Х | | | | | 01/26/99 | 9 |
| CLX ENERGY INC | CO | X | | | Х | Х | 02/02/99 | • |
| CNB BANCORP INC /NY/ | NY | | х | | | | 01/23/99 | 9 |
| COASTAL BANCORP INC | TX | | | | Х | Х | 03/31/98 | 3 |
| COCA COLA ENTERPRISES INC | DE | | | | | Х | 10/28/98 | 3 |
| COHR INC | DE | X | | | | X | 02/04/99 |) |
| COMMERCE GROUP CORP /WI/ | DE | | | | Х | | 02/06/99 | • |
| COMMERCIAL BANCSHARES INC \OH\ | OH | | | | X | | 01/25/99 | 9 |
| COMMONWEALTH TELEPHONE ENTERPRISES INC /NEW/ | PA | | | | х | | 02/08/99 | • |
| COPLEY PHARMACEUTICAL INC | DE | | | | х | | 03/31/99 |) |
| CORDANT TECHNOLOGIES INC | DE | X | | | | | 02/09/99 |) |
| CORTLAND FIRST FINANCIAL CORP | NY | | | | | Х | 11/25/98 | AMEND |
| CUNNINGHAM GRAPHICS INTERNATIONAL I | NJ | | X | | | X | 02/03/99 |) |
| CVS CORP | DE | | | | | Х | 02/08/99 |) |
| DATA I/O CORP | WA | Х | | | | | 02/05/99 |) |
| DATALINK CAPITAL CORP/TX/ | FL | | | | X | | 02/08/98 | AMEND |
| DATALINK CAPITAL CORP/TX/ | FL | | | | X | | 02/08/99 |) |
| DEVON ENERGY CORP /OK/ | OK | | | | Х | | 02/08/99 |) |
| E TWOMEDIA COM INC | NV | | | Х | х | Х | 02/02/99 |) |
| EASTWIND GROUP INC | DE | | х | | | х | 12/08/98 | |
| ECOSCIENCE CORP/DE | DE | | | | х | | 02/02/99 | |
| EFAX COM INC | DE | | | | х | Х | 02/08/99 | |
| ELECTRONIC CLEARING HOUSE INC | NV | х | | | | | 02/04/99 | |
| ENVOY CORP /TN/ | TN | | | | | х | 02/09/99 | |
| EXECUTIVE RISK INC /DE/ | DE | | | | x | х | 02/06/99 | |
| FIDELITY HOLDINGS INC | NV | | | х | | х | 02/05/99 | |
| FIRST BOSTON MORTGAGE SEC CORP CON | DE | | | | х | X | 10/25/88 | |
| MOR PAS THR CER SR 1988-1 TIRST BOSTON MORTGAGE SEC CORP CON | DE | | | | x | x | 11/22/88 | |
| MOR PAS THR CER SR 1988-2 TIRST BOSTON MORTGAGE SEC CORP CON | DE | | | | x | x | | |
| MOR PAS THR CER SR 1988-3 | | | | | Λ | Λ | 12/13/88 | |

STATE

8K ITEM NO.

STATE 8K ITEM NO.

| NAME OF ISSUER | | 1 2 | | 6 7 8 | 9 DATE (| COMMENT |
|--|----------|-----|--------|--------|----------------------|---------|
| FIRST BOSTON MORTGAGE SEC CORP CON | | | x | | | |
| MOR PAS THR CER SR 1989-2 | | | | | | |
| FIRST BOSTON MORTGAGE SEC CORP CON | DE | | х | | 02/09/89 |) |
| MOR PAS THR CER SR 1989-2 | | | | | | |
| FIRST CITIZENS CORP /GA/ | GA. | X | | хх | 02/08/99 | ı |
| FIRST SECURITY AUTO GRANTOR TRUST 1 | UT | X | | | 12/25/98 | i |
| 995- A | | | | | | |
| FIRST SECURITY AUTO GRANTOR TRUST 1 | UT | Х | | | 12/25/98 | |
| 997-A | | | | | | |
| FIRST SECURITY AUTO GRANTOR TRUST 1 | UT | Х | | | 12/25/98 | |
| 997-B | 7.70 | v | | | 10/02/00 | |
| FIRST SECURITY AUTO GRANTOR TRUST 1 998-A | UT | Х | | | 12/23/98 | |
| FIRST SECURITY AUTO OWNER TRUST 199 | DE | х | | | 12/23/98 | |
| 8-1 | DE | Λ. | | | 12/23/90 | |
| FIRST SECURITY CORP /UT/ | DE | | х | | 02/09/99 | ı |
| GALVESTONS STEAKHOUSE CORP | DE | | | Х | 01/05/99 | |
| GE CAPITAL MORTGAGE SERVICES INC | NJ | | х | x | 01/25/99 | |
| GE CAPITAL MORTGAGE SERVICES INC | NJ | х | | x | 01/28/99 | |
| GENERAL ENERGY RESOURCES & TECHNOLO | | | x | | 10/28/98 | |
| GY CORP | | | | | ,, | |
| GLOBAL IMAGING SYSTEMS INC | DE | х | | х | 12/21/98 | AMEND |
| GLOBAL SPORTS INC | DE | | | х | 05/12/98 | |
| GREY WOLF INC | TX | | х | х | 02/09/99 | |
| HCR MANOR CARE INC | ОН | | х | | 02/08/99 | |
| HEADLANDS MORTGAGE PASS THROUGH CER | DE | | х | х | 12/25/98 | |
| TIFICATES SERIES 1998-2 | | | | | | |
| HEALTHCARE FINANCIAL PARTNERS INC | DE | | х | X | 12/11/98 | |
| HEARTLAND WIRELESS COMMUNICATIONS I | DE | | Х | Х | 01/19/99 | |
| NC | | | | | | |
| HOME HEALTH CORP OF AMERICA INC \PA | PA | | X | Х | 02/02/99 | |
| \ | | | | | | |
| HOUSEHOLD FINANCE CORP | DE | | Х | Х | 02/05/99 | |
| HOWMET INTERNATIONAL INC | DE | | Х | | 02/08/99 | |
| HUNTCO INC | MO | | X | | 12/31/98 | |
| ICU MEDICAL INC/DE | DE | | X | X | 01/30/99 | |
| IMATION CORP | DE | | х | X | 12/31/98 | |
| IMMUCOR INC | GA | | v | X | 10/27/98 | |
| IMMUNOGEN INC | MA | v | Х | Х | 02/03/99 | |
| INCYTE PHARMACEUTICALS INC | DE | Х | v | v | 02/03/99 | |
| INDEPENDENCE COMMUNITY BANK CORP INFOCURE CORP | DE | | X X | X X | 02/10/99 | |
| INTERLAKE CORP | DE DE | | X | Λ | 02/08/99 02/05/99 | |
| INTERLAKE CORP | DE | | Х | | 02/05/99 | |
| INTERMEDIA COMMUNICATIONS INC | DE | | X | х | 02/03/99 | |
| INTERSTATE ENERGY CORP | WI | | x | x | 01/20/99 | |
| ITRON INC /WA/ | WA | х | | | 01/28/99 | |
| IWERKS ENTERTAINMENT INC | DE | •• | х | | 02/05/99 | |
| JACKSON PRODUCTS INC | | х | | | 01/25/99 | |
| K SWISS INC | DE | | х | х | 02/08/99 | |
| KING PHARMACEUTICALS INC | TN | | | х | 12/22/98 | |
| LILLY ELI & CO | IN | х | | х | 12/31/98 | |
| LITHIA MOTORS INC | OR | х | | Х | 10/15/98 | |
| LYNTON GROUP INC | DE | | | х | 01/12/99 | |
| MARINE MANAGEMENT SYSTEMS INC | DE | | х | | 01/26/99 | |
| MASSACHUSETTS ELECTRIC CO | MA | | х | х | 02/08/99 | |
| MEDCARE TECHNOLOGIES INC | DE | | Х | | 03/31/99 | |
| MEDTECH DIAGNOSTICS INC | DE | х | | | 09/24/98 | |
| METAL MANAGEMENT INC | DE | | х | | 02/09/99 | |
| MINUTEMAN INTERNATIONAL INC | IL | х | | х | 11/23/98 | |
| MONSANTO CO | DE | | | х | 02/08/99 | AMEND |
| | | | | | | |

| | STATE | STATE 8K ITEM NO. | | | | | | | | | | |
|--|-------|-------------------|----|---|---|-------|-------|---|---|---------|----|--------|
| NAME OF ISSUER | CODE | | 2 | | | 5 6 | | | 9 | DATE | O | OMMENT |
| MOUTEPONE INC | DE | | | | | x | x | | | 02/01/ | | |
| MOVIEFONE INC NARRAGANSETT ELECTRIC CO | RI | | | | | x | X | | | 02/01/ | | |
| NAVIGANT INTERNATIONAL INC | DE | | | | | | | х | | 01/27/ | | |
| NELX INC | KS | х | | | | | • | * | | 02/02/ | | |
| NEOTHERAPEUTICS INC | DE | | | | | х | х | | | 01/29/ | | |
| NETWORK SOLUTIONS INC /DE/ | DE | | | | | X | х | | | 02/09/ | | |
| NEW ENGLAND POWER CO | MA | | | | | X | Х | | | 02/08/ | | |
| NEW WORLD COFFEE & BAGELS INC / | DE | | | | | | х | | | 02/08/ | | AMEND |
| NEWMARK HOMES CORP | NV | | | | | | х | | | 01/27/ | | |
| NIPSCO INDUSTRIES INC | IN | | | | | х | | | | 02/08/ | 99 | |
| NOONEY REAL PROPERTY INVESTORS FOUR | MO | | | | | X | | | | 01/21/ | 99 | |
| L P | | | | | | | | | | | | |
| NORTH AMERICAN GAMING & ENTERTAINME | DE | | X | | Х | X | X | | | 02/01/ | 99 | |
| NT CORP | | | | | | | | | | | | |
| NORTHEAST INDIANA BANCORP INC | DE | | | | | X | X | | | 02/08/ | 99 | |
| NORWEST ASSET SECURITIES CORP | DE | | | | | | X | | | 01/20/ | 99 | AMEND |
| NORWEST ASSET SECURITIES CORP | DE | | | | : | X | X | | | 02/09/ | 99 | |
| NU SKIN ENTERPRISES INC | DE | | | | | X | | | | 02/04/ | 99 | |
| OCCIDENTAL PETROLEUM CORP /DE/ | DE | | | | : | X | X | | | 02/05/ | 99 | |
| OFFICELAND INC | | | | | | X | X | | | 11/10/ | 98 | AMEND |
| ONEOK INC /NEW/ | OK | | | | : | X | X | | | 02/08/ | 99 | |
| ORLANDO PREDATORS ENTERTAINMENT INC | FL | | | | | X | X | | | 01/26/ | 99 | |
| OSTEOTECH INC | DE | | | | : | X | | | | 02/02/ | 99 | |
| OUTBOARD MARINE CORP | DE | | | | | | | Х | | 02/09/ | 99 | |
| OWENS CORNING | DE | | | | : | X | | | | 02/03/ | 99 | |
| PANTRY INC | DE | | X | | : | X | X | | | 01/28/ | 99 | |
| PAY N PAK STORES INC | WA | | | X | | X | | | | 12/04/ | 98 | |
| PENN OCTANE CORP | DE | | | | 7 | X | | | | 02/09/ | 99 | |
| PENTON MEDIA INC | DE | | X | | | | X | | | 11/24/ | 98 | AMEND |
| PHP HEALTHCARE CORP | DE | | | | 2 | X | X | | | 01/20/ | 99 | |
| PINNACLE WEST CAPITAL CORP | AZ | | | | 2 | K | | | | 01/11/ | 99 | |
| PLATINUM TECHNOLOGY INTERNATIONAL I | DE | | | | 2 | K | X | | | 02/09/ | 99 | |
| NC | | | | | | | | | | | | |
| PLAYERS INTERNATIONAL INC /NV/ | NV | | | | 2 | ζ. | | | | 02/09/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | K | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | K | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | (| X | | | 02/01/9 | 99 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | < | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | ζ. | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | ζ. | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | ζ. | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | (| X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | ζ. | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | (| X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | } | ζ | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | > | 2 | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | (| X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | > | (| X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | > | (| X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | | X | | - | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | X | | X | | 1 | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | > | (| X | | , | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | [| X | | - | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | 2 | | X | | | 02/01/9 | 9 | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | Х | | x | | | 02/01/9 | | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | X | | x | | | 02/01/9 | | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | X | | х | | | 02/01/9 | | |
| PNC MORTGAGE SECURITIES CORP | DE | | | | X | : | X | | | 02/01/9 | | |
| PNP PRIME CORP | DE | | 2 | 2 | X | : | | | | 12/04/9 | | |
| POWER ONE INC | DE | 3 | ζ. | | | | x | | | 01/29/9 | | |

STATE 8K ITEM NO.

| | STATE | 3 | | 8 % | | TE | IN | Ο. | | |
|--|-------|---|---|-----|---|----|----|----|-------------|---------|
| NAME OF ISSUER | CODE | 1 | | | | | | | DATE | COMMEN |
| PREMIS CORP | MN . | х | | | | | | | 02/08/9 | 19 |
| PRUDENTIAL BANK & TRUST CO /GA/ | GA | A | | | | x | x | | 01/31/9 | |
| QUIXOTE CORP | DE | | | | | | Х | | 12/09/9 | |
| REGIS CORP | MN | | | | | x | x | | 01/25/9 | |
| REPUBLIC ENGINEERED STEELS INC | DE | | | | х | | | | 12/23/9 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI | DE | | | | | X | Х | | 01/14/9 | |
| TIES I INC | | | | | | | | | | |
| RESIDENTIAL FUNDING MORTGAGE SECURI | DE | | | | | x | X | | 02/05/9 | 9 |
| TIES I INC | | | | | | | | | | |
| RITE AID CORP | DE | | | | | X | X | | 01/29/9 | 9 |
| ROBERTS PHARMACEUTICAL CORP | NJ | | | | | X | | | 02/08/9 | 9 |
| ROOM PLUS INC | NY | | | | | | X | | 02/09/9 | 9 AMENI |
| ROSLYN BANCORP INC | DE | | | | | X | Х | | 01/26/9 | 9 |
| RYANS FAMILY STEAKHOUSES INC | SC | | X | | | | | | 02/03/9 | 9 |
| SAFE TECHNOLOGIES INTERNATIONAL INC | DE | | | | | x | | | 02/08/9 | 9 |
| SAXON ASSET SECURITIES TRUST 1998-4 | VA | X | | | | | | | 12/28/9 | 8 |
| SEACOAST FINANCIAL SERVICES CORP | MA | | | | | | X | | 12/04/9 | 8 AMENI |
| SEACOAST FINANCIAL SERVICES CORP | MA | | | | | | | Х | 01/28/9 | 9 |
| SEARS CREDIT ACCOUNT MASTER TRUST I | IL | | | | | X | X | | 02/09/9 | 9 |
| I | | | | | | | | | | |
| SEARS ROEBUCK & CO | NY | | | | | X | X | | 02/09/9 | 9 |
| SHERIDAN ENERGY INC | DE | : | X | | | | Х | | 01/25/9 | 9 |
| SHOPKO STORES INC | MN | | | | | X | X | | 01/22/9 | 9 |
| SIMON PROPERTY GROUP L P /DE/ | DE | | | | | | X | | 02/08/9 | 9 |
| SL GREEN REALTY CORP | MD | : | X | | | | | | 02/08/9 | 9 |
| SLM INTERNATIONAL INC /DE | DE | : | X | | | | X | | 11/19/9 | 8 |
| SLM INTERNATIONAL INC /DE | DE | | | | | X | | | 02/01/9 | 9 |
| SOTHEBYS HOLDINGS INC | MI | | | | | | X | | 02/02/9 | 8 |
| STAPLES INC | DE | | | | | X | | | 01/28/9 | 9 |
| TECHNICAL, CHEMICALS & PRODUCTS INC | FL | | | | | X | | | 02/09/9 | 9 |
| TR FINANCIAL CORP | DE | | | | | X | X | | 01/26/9 | 9 |
| TRANSFORMATION PROCESSING INC | NV | | | | | X | | | 01/21/9 | 9 |
| TRITON ASSET MANAGEMENT INC | FL | | | | | X | | | 02/08/9 | 9 |
| TURBODYNE TECHNOLGIES INC | DE | | | | | X | | | 02/04/9 | 9 |
| UCFC ACCEPTANCE CORP | LA | | | | | X | X | | 01/15/9 | 9 |
| UNITED COMPANIES FINANCIAL CORP | LΑ | | | | | X | X | | 02/03/9 | 9 |
| UNIVERSAL CORP /VA/ | VA | | | | | Х | X | | 02/08/9 | 9 |
| US REALTY PARTNERS LTD PARTNERSHIP | DE | | X | | | | Х | | 02/01/9 | 9 |
| USCI INC | DE | | | | | X | | | 02/08/9 | 9 |
| VIB CORP | CA | 3 | X | | | | X | | 02/09/9 | |
| VORNADO REALTY LP | DE | | | | | Х | Х | | 11/12/9 | |
| VORNADO REALTY LP | DE | | | | | Х | X | | 12/01/9 | 8 |
| VORNADO REALTY TRUST | MD | | | | | Х | Х | | 11/12/9 | |
| VORNADO REALTY TRUST | MD | | | | | X | X | | 12/01/9 | |
| VOXWARE INC | DE | | | | | Х | Х | | 02/04/9 | |
| VULCAN MATERIALS CO | NJ | | | | | Х | | | 01/19/9 | |
| WESTERFED FINANCIAL CORP | DE | | | | | X | X | | 01/29/9 | |
| WESTERN WIRELESS CORP | WA | | | | | X | | | 02/08/9 | |
| WILSHIRE FINANCIAL SERVICES GROUP I NC | DE | | | | | X | X | | 02/08/9 | 9 |
| WSFS FINANCIAL CORP | DE | | | | | X | | | 02/02/9 | 9 |
| YORK FINANCIAL CORP | PA | Х | | | | | | | 12/31/9 | 8 |
| | | | | | | | | | | |