

SEC NEWS DIGEST

Issue 99-5

January 8, 1999

ENFORCEMENT PROCEEDINGS

MICHIGAN STOCKBROKER SANCTIONED

J. Stephen Stout of Flushing, Michigan, has been barred from association with a broker-dealer, fined \$300,000, and ordered to cease and desist from violations of the antifraud provisions of the federal securities laws.

The sanctions were imposed after a hearing before an administrative law judge. The law judge found that Stout violated the antifraud provisions by engaging in fraudulent trade practices in the accounts of several customers while working for PaineWebber in Flint, Michigan. These practices included short-term trading in mutual funds that, in effect, caused the customers to forfeit sales charges of approximately 5%, and sales of mutual funds just below a breakpoint at which the sales charge dropped. Additionally he churned accounts. These practices resulted in increased commissions for himself and increased costs for the customers, with no corresponding benefit.

Stout also provided falsely optimistic account valuations to customers and engaged in unauthorized and unsuitable trading in accounts. The law judge concluded that the facts showed that he acted with scienter, that is an intent to deceive and defraud, in his mutual fund and churning violations. (Initial Decision Rel. No. 134; File No. 3-9034)

ADMINISTRATIVE PROCEEDINGS SETTLED AGAINST JOHN MADDEN

On January 7, the Commission entered an Order making findings and imposing remedial sanctions (Order) against John T. Madden (Madden) of Chicago, Illinois. The Commission accepted Madden's Offer of Settlement, in which he agreed to be suspended from association with any broker or dealer for a period of three months. Madden is also suspended from association in a supervisory and proprietary capacity with any broker or dealer for a period of nine months. Madden shall also pay a civil money penalty in the amount of \$5,000.

The Order finds that while Madden was the branch office manager of, and a registered representative with, the Chicago branch office of Euro-Atlantic Securities, Inc., he failed to reasonably supervise a Registered Representative who defrauded an investor in connection

with a purported lease of United States Treasury bills. (Rel. 34-40891; File No. 3-9402)

FIVE TRANSFER AGENTS SETTLE ADMINISTRATIVE AND CEASE-AND-DESIST PROCEEDINGS FOR FAILING TO MAKE TIMELY YEAR 2000 DISCLOSURE

On January 7, the Commission accepted settlement offers from five transfer agents for failing to timely file all or part of their Form TA-Y2K. The Form is designed to provide the Commission and the public with important information concerning the transfer agents' efforts to ensure that their computer systems are prepared for the Year 2000. Filings were required to be made on August 31, 1998, with the Commission. Certain transfer agents were required to file Part I of the Form, a series of yes/no, multiple choice and fill-in-the-blank questions, and if not exempt from the requirement to do so, to file Part II of the Form that required narrative answers.

In their settlement offers, each of the five transfer agents, without admitting or denying the Commission's findings, agreed to the issuance of an Order Instituting Administrative and Cease and Desist Proceedings, Making Findings, and Imposing Remedial Sanctions, and Ordering Respondents to Cease and Desist (Order). The Commission's Order finds that the five firms were required to file both Part I and Part II of Form TA-Y2K on or before August 31, 1998, but, as of December 8, 1998, had filed Part I but not Part II. The Order requires CSJ Limited Liability Company, located in Houston, Texas; Davidson Trust Co., located in Great Falls, Montana; and Ivy MacKenzie Services Corp., located in Boca Raton, Florida, each to pay a \$7,500 penalty. The Order does not impose a civil money penalty upon Corporate Planners, Inc., located in Fountain Hills, Arizona, and National Stock Transfer and Accounting, Inc., located in Palm Beach Gardens, Florida, based upon their demonstrated financial inability to pay such penalties. (Rel. 34-40895; File No. 3-9801)

CEASE AND DESIST AND ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST FOUR TRANSFER AGENTS FOR FAILING TO MAKE TIMELY YEAR 2000-RELATED DISCLOSURE

On January 7, the Commission instituted public administrative and cease and desist proceedings against four transfer agents for failing to timely file all or part of Form TA-Y2K. The Form is designed to provide the Commission and the public with important information concerning the transfer agent's efforts to ensure that its computer systems are prepared for the Year 2000. Filings were required to be made on August 31, 1998, with the Commission. Certain transfer agents were required to file Part I of the Form, a series of yes/no, multiple choice and fill-in-the-blank questions, and if not exempt, Part II of the Form that required narrative answers.

The SEC's Order alleges that as of December 8, 1998: (a) Greenfield Fund, Inc., located in Old Greenwich, Connecticut, which was required to file Part I of Form TA-Y2K, failed to do so; (b) Alpha

Tech Stock Transfer Trust, located in Salt Lake City, Utah, and United Stock Transfer, Inc., located in Englewood, Colorado, which were required to file both Parts I and II of Form TA-Y2K, had filed Part I but failed to file Part II; and (c) Manor Investment Funds, Inc., located in West Chester, Pennsylvania, which was required to file both Parts I and II of Form TA-Y2K, had filed neither part.

A hearing will be held to determine whether these allegations are true, and, if so, to determine what remedial action is appropriate and in the public interest. (Rel. 34-40899; File No. 3-9802)

SEC CHARGES STOCK PROMOTERS WITH FRAUDULENT SCHEME

The Commission announced that it filed suit in the United States District Court for the District of Columbia against former owners and associates of a Springfield, Illinois based stock promoter, Strategic Investment Advisory, Inc. (SIA). The defendants are Wayne F. Gorsek, the former owner and CEO of SIA, Lyndell Parks, the former Chief Operating Officer and part-owner of SIA, P. Brenden Gebben, a former Lead Analyst for SIA, and Troy Justus, a former member of SIA's Financial Communications Department and a registered broker at Strategic Investments, Inc. (Strategic Investments), a broker-dealer owned by Gorsek and Parks.

The complaint alleges that between 1993 and 1997, in exchange for cash and securities in excess of \$700,000, the defendants fraudulently promoted microcap companies by deceiving investors into believing that SIA was an independent securities research firm that provided objective investment advice about "undiscovered" companies when, in fact, SIA was a paid promotional firm that uncritically published glowingly optimistic recommendations of the securities of its clients. The complaint alleges that the defendants failed to disclose adequately that they had received compensation paid from their issuer-clients or the fact that they were selling the securities they were recommending to the investing public. The complaint further alleges that Gorsek, Parks and Justus defrauded their brokerage customers by pushing on them the highly-speculative microcap stocks of SIA clients without disclosing that they received cash and securities from the issuers of the securities that they were recommending.

The complaint alleges the defendants violated Section 17(a) and (b) the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission's action seeks to enjoin the defendants from future violations of the federal securities laws and seeks disgorgement, with prejudgment interest, and civil penalties. [SEC v. Wayne F. Gorsek, Lyndell Parks, P. Brenden Gebben, and Troy Justus, USDC for the District of Columbia, Civil Action No.: 99CV00033, EGS, D.D.C.] (LR-16018)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events.

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <public info @ sec>. In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCESS WORLDWIDE COMMUNICATIONS INC	DE								X		10/24/98	AMEND
ADM TRONICS UNLIMITED INC/DE	DE	X									08/18/98	AMEND
ALL AMERICAN FOOD GROUP INC	NJ	X		X	X						01/06/99	
ALPHA BETA TECHNOLOGY INC	MA						X				10/21/98	AMEND
ALTERNATIVE LIVING SERVICES INC	DE				X	X					01/04/99	
AMB PROPERTY CORP	CA				X	X					11/12/98	
AMB PROPERTY LP	CA				X	X					11/12/98	
AMOCO CORP	IN	X		X							12/31/98	
AMRESKO RESIDENTIAL SECS CORP MORT LOAN TRUST 1998-3	NY				X	X					12/28/98	
AMRESKO RESIDENTIAL SECURITIES CORP MORTGAGE LOAN TR 1998-1	NY				X	X					12/28/98	
AMRESKO RESIDENTIAL SECURITIES CORP MORTGAGE LOAN TR 1998-2	DE				X	X					12/28/98	
ANTENNAS AMERICA INC	UT			X		X					12/07/98	AMEND
ASCOT GROUP INC	DE	X									01/07/99	
ASSET BACKED SECURITIES CORP	DE				X	X					12/31/98	
ASSOCIATES FIRST CAPITAL CORP	DE	X				X					01/06/99	
ASSOCIATES FIRST CAPITAL CORP	DE	X				X					01/06/99	AMEND
ASSOCIATES FIRST CAPITAL CORP	DE				X	X					01/07/99	
AT&T CORP	NY				X	X					10/16/98	AMEND
AT&T UNIVERSAL FUNDING CORP	DE	X									11/30/98	
AVISTA CORP	WA				X						01/01/99	
BALDWIN PIANO & ORGAN CO /DE/	DE				X	X					01/06/99	
BANCORPSOUTH INC	MS				X	X					01/06/99	
BCAM INTERNATIONAL INC	NY				X						12/16/98	AMEND
BIOLASE TECHNOLOGY INC	DE				X						12/18/98	
BNC MORTGAGE INC	DE				X	X					10/13/98	AMEND
BRAZOS SPORTSWEAR INC /DE/	DE				X	X					01/04/99	
BROOKDALE LIVING COMMUNITIES INC	DE	X				X					12/22/98	
BRUNSWICK CORP	DE				X	X					01/05/99	
BUTLER MANUFACTURING CO	DE				X	X					01/07/99	
CADENCE DESIGN SYSTEMS INC	DE				X	X					12/08/98	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CARMIKE CINEMAS INC	DE				X	X					01/07/99	
CAROLINA FIRST BANCSHARES INC	NC				X	X					12/23/98	
CASINOVATIONS INC	WA				X	X					12/31/98	
CASTLE BANCGROUP INC	DE				X	X					01/06/99	
CENTRAL GARDEN & PET COMPANY	DE				X	X					01/04/99	
CHANCELLOR MEDIA CORP OF LOS ANGELES	DE				X	X					01/06/99	
CHANCELLOR MEDIA CORP/	DE				X	X					01/06/99	
CHITTENDEN CORP /VT/	VT				X	X					12/16/98	AMEND
CMC SECURITIES CORP II	DE				X	X					11/25/98	
CMG INFORMATION SERVICES INC	DE				X	X					12/22/98	
CODE ALARM INC	MI				X						12/30/98	
COMFORCE CORP	DE				X						01/07/99	
COMFORCE OPERATING CO					X						01/07/99	
COMMUNITY FINANCIAL GROUP INC	TN				X						01/04/99	
COMMUNITY WEST BANCSHARES /	CA				X						01/06/99	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					11/01/98	
COOPER LIFE SCIENCES INC	DE		X			X					01/04/99	
CORE INC	MA		X			X					12/23/98	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	X					12/31/98	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	X					12/31/98	
DATAMETRICS CORP	DE				X	X					12/24/98	
DAVEL COMMUNICATIONS INC	IL		X		X	X					12/23/98	
DAYTON SUPERIOR CORP	OH				X						01/07/99	
DELTA FUNDING CORP /DE/	NY				X	X					12/23/98	
DISCOVER CARD MASTER TRUST I	DE				X						01/01/99	
DOBSON COMMUNICATIONS CORP	OK		X								12/23/98	
DRS TECHNOLOGIES INC	DE					X					10/20/98	AMEND
EMONS TRANSPORTATION GROUP INC	DE		X			X					12/23/98	
ENGINEERING ANIMATION INC	DE		X			X	X				12/22/98	
ENTERGY CORP /DE/	DE				X						12/30/98	
ENTERGY GULF STATES INC	TX				X						12/30/98	
FDIC REMIC TRUST 1996-C1	NY		X								12/25/98	
FINE HOST CORP	DE				X	X					01/07/99	
FIRST MID ILLINOIS BANCSHARES INC	DE				X						01/07/99	
FIRST SECURITY AUTO GRANTOR TRUST 1 995-A	UT		X								11/25/98	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-A	UT		X								11/25/98	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-B	UT		X								11/25/98	

NAME OF ISSUER	STATE		8K ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
FIRST SECURITY AUTO GRANTOR TRUST 1 998-A	UT		X									11/23/98	
FIRST SECURITY AUTO OWNER TRUST 199 8-1	DE		X									11/23/98	
FIRST SENTINEL BANCORP INC	DE					X						12/23/98	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	DE					X	X					12/18/98	
FIRST WASHINGTON BANCORP INC /WA/	DE					X	X					12/22/98	
FONIX CORP	DE					X						12/22/98	
FRANKLIN ELECTRIC CO INC	IN					X						12/31/98	
FRONTLINE COMMUNICATIONS CORP	DE							X				10/23/98	AMEND
FWT INC	TX					X						12/30/98	
GALAGEN INC	DE		X					X				12/23/98	
GENTA INCORPORATED /DE/	DE					X						11/30/98	
GREEN TREE FINANCIAL CORP	MN							X				12/30/98	
HARRAHS ENTERTAINMENT INC	DE		X					X				01/01/99	
HEALTH CARE PROPERTY INVESTORS INC	MD		X									01/07/99	
HELEN OF TROY LTD						X	X					01/04/99	
HELLER FUNDING CORP	DE					X	X					12/28/98	
HORIZON GROUP PROPERTIES INC	MD					X	X					01/05/99	
I FLOW CORP /CA/	CA							X				02/09/98	AMEND
ICG COMMUNICATIONS INC	DE					X						01/06/99	
ICG SERVICES INC	DE					X						01/06/99	
IMC GLOBAL INC	DE					X						01/06/99	
IMC GLOBAL INC	DE		X									01/07/99	AMEND
IN HOME HEALTH INC /MN/	MN					X	X					12/22/98	
INMARK ENTERPRISES INC	DE		X									12/29/98	
IRVINE APARTMENT COMMUNITIES INC	MD					X	X					12/31/98	
ITT EDUCATIONAL SERVICES INC	DE					X	X	X				01/07/99	
KENETECH CORP	DE		X									01/06/99	
KING PHARMACEUTICALS INC	TN		X					X				12/22/98	
KTI INC	NJ					X	X					12/30/98	
LEHMAN BROTHERS HOLDINGS INC	DE					X	X					11/30/98	
LEHMAN STRUCTURED SECURITIES CORP	DE		X									12/25/96	
LUND INTERNATIONAL HOLDINGS INC	DE		X			X	X					12/23/98	
MAGAININ PHARMACEUTICALS INC	DE					X		X				01/04/99	
MBNA CORP	MD					X						01/04/99	
MBNA CORP	MD					X						01/07/99	
MENTOR GRAPHICS CORP	OR					X	X					01/05/99	
METRIC INCOME TRUST SERIES INC	CA		X					X				12/24/98	
MID-STATE HOMES INC	FL					X	X	X				11/30/96	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1995-C1	NY		X									12/25/98	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1996-C2	NJ		X									12/25/98	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1996-C3	NY		X									12/25/98	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1997-C4	NY		X									12/25/98	
MORGAN STANLEY DEAN WITTER & CO	DE					X	X					01/07/99	
MORTGAGE PASS THROUGH CERT SERIES 1 998-C3	DE		X					X				12/22/98	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-C6	DE		X									12/15/98	
MSX INTERNATIONAL INC	DE					X	X					12/22/98	
NASHUA CORP	DE					X						12/15/98	
NATIONSBANC MONTGOMERY FUNDING CORP	DE					X	X					12/23/98	
NATIONSRENT INC	DE							X				10/23/98	AMEND
NAVIDEC INC	CO		X									01/07/99	
NCC INDUSTRIES INC	DE					X	X					12/31/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NEVSTAR GAMING & ENTERTAINMENT CORP	NV				X	X					01/06/99	
NM HOLDINGS INC		X			X	X					12/15/98	
NORWEST ASSET SECURITIES CORP	DE				X	X					12/21/98	
NORWEST ASSET SECURITIES CORP	DE				X	X					01/06/99	
OCCIDENTAL PETROLEUM CORP /DE/	DE				X						01/06/99	
ODYSSEY PICTURES CORP	NV	X									01/07/99	
OHIO STATE FINANCIAL SERVICES INC	OH				X	X					12/28/98	
OIS OPTICAL IMAGING SYSTEMS INC	DE				X	X					12/31/98	
P COM INC	DE				X						01/04/99	AMEND
PACIFIC GULF PROPERTIES INC	MD	X				X					12/23/98	
PANDA PROJECT INC	FL				X						01/06/99	
PATHFINDER BANCORP INC	DE	X				X					12/24/98	AMEND
PENNSYLVANIA REAL ESTATE INVESTMENT TRUST	PA	X				X					12/23/98	
PENOBSCOT SHOE CO	ME				X						12/27/98	
PIXTECH INC /DE/	DE				X	X					12/22/98	
PRIME CELLULAR INC	DE					X					12/23/98	AMEND
PRUTECH RESEARCH & DEVELOPMENT PARTNERSHIP II	CA				X	X					12/29/98	
QUICKTURN DESIGN SYSTEMS INC	DE				X	X					12/08/98	AMEND
QUICKTURN DESIGN SYSTEMS INC	DE				X	X					12/08/98	AMEND
RB ASSET INC	DE				X	X					12/30/98	
REDOX TECHNOLOGY CORP	DE				X	X					12/02/98	
REPUBLIC BANCSHARES INC	FL				X	X					01/07/99	
RESIDENTIAL ASSET FUNDING CORP	NC				X	X					12/30/98	
RIO HOTEL & CASINO INC	NV	X				X					01/01/99	
SAFE TECHNOLOGIES INTERNATIONAL INC	DE	X				X					12/31/98	AMEND
SAFE TECHNOLOGIES INTERNATIONAL INC	DE				X						01/06/99	
SALOM BRS MRT SEC VII CALL FLT RT M	DE	X				X					12/22/98	
T PS TH CER SER 1998 NC5												
SALOMON BROS MRT SEC VII INC MRT PS	DE	X				X					12/22/98	
S THR CERT SER 1998 NC7												
SALOMON BROTHERS MORTGAGE SECURITIES VII INC	DE					X					12/21/98	
SALOMON BROTHERS MORTGAGE SECURITIES VII INC	DE				X	X					12/21/98	
SALOMON BROTHERS MORTGAGE SECURITIES VII INC	DE				X	X					12/21/98	
SANDISK CORP	DE				X						12/27/98	
SB PARTNERS	NY	X									12/23/98	
SCOTTS COMPANY	OH				X						01/07/98	
SERVICEMASTER CO	DE				X						01/06/98	
SHONEYS INC	TN				X	X					12/21/98	
SILVERADO FOODS INC	OK	X				X					12/23/98	
SKYLYNX COMMUNICATIONS INC		X									12/31/98	
SMARTALK TELESERVICES INC	CA				X	X					01/07/99	
SMITH INTERNATIONAL INC	DE				X	X					01/07/99	
SONAT INC	DE				X						01/07/99	
SPATIAL TECHNOLOGY INC	DE	X				X					12/23/98	
SPX CORP	DE				X	X					12/28/98	
ST PAUL COMPANIES INC /MN/	MN				X						01/06/99	
STAGE STORES INC	DE				X	X					11/19/98	
STERICYCLE INC	DE					X					10/20/98	AMEND
STEWART ENTERPRISES INC	LA				X						01/05/99	
SUNBASE ASIA INC	NV				X	X					01/07/99	
SUPERIOR BANK FSB	IL	X				X					12/22/98	
SUPERIOR ENERGY SERVICES INC	DE				X	X					01/07/99	
SYMANTEC CORP	DE					X					11/16/98	AMEND
TANDYCRAFTS INC	DE				X	X					01/06/99	
TARGETED GENETICS CORP /WA/	WA				X	X					11/23/98	
TECHNICLONE CORP/DE/	CA	X				X					12/24/98	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
TELE COMMUNICATIONS INC /CO/	DE					X	X					01/07/99	
TEXTRON INC	DE	X										01/06/99	
TIFFANY & CO	DE				X	X						01/07/99	
TOYMAX INTERNATIONAL INC	DE		X				X					12/23/98	
TRANSCEND SERVICES INC	DE		X				X					12/23/98	
TREATS INTERNATIONAL ENTERPRISES IN C	DE	X										12/11/98	
TREATS INTERNATIONAL ENTERPRISES IN C	DE	X										12/11/98	
TREX MEDICAL CORP	DE				X	X						01/06/99	
TURBOCHEF TECHNOLOGIES INC	DE				X							01/06/99	AMEND
U S TIMBERLANDS CO LP	DE				X							01/04/99	
U S TIMBERLANDS KLAMATH FALLS LLC	DE				X							01/04/99	
UCFC FUNDING CORP	LA				X	X						12/15/98	
UNION FINANCIAL SERVICES I INC	NV				X							12/22/98	
UNIPHASE CORP /CA/	DE				X	X						11/25/98	
UNITED SHIELDS CORP/OH/	CO	X										01/06/99	
UNIVERSAL AMERICAN FINANCIAL CORP	NY				X	X						12/31/98	
US LEC CORP	DE		X				X					12/30/98	
USP REAL ESTATE INVESTMENT TRUST	IA	X										12/22/98	
VDC COMMUNICATIONS INC					X	X						11/19/98	
VERMONT FINANCIAL SERVICES CORP	DE				X	X						12/16/98	AMEND
VISTA EYECARE INC	GA				X							12/31/98	
VITRO DIAGNOSTICS INC	NV	X										12/29/98	
VTX ELECTRONICS CORP	DE	X										12/31/98	AMEND
VULCAN MATERIALS CO	NJ				X	X						01/06/99	
VYSIS INC	DE				X	X						12/31/98	
WATERFORD GAMING FINANCE CORP	DE	X										09/30/98	
WATERFORD GAMING LLC	DE	X										09/30/98	
WEYERHAEUSER CO	WA				X							01/06/99	
WNC CALIFORNIA HOUSING TAX CREDITS III LP	CA				X							12/16/98	AMEND
XYVISION INC	DE				X							12/31/98	