

# sec news digest

LIBRARY

Issue 93-3

JAN 07 1993

January 6, 1993

---

U.S. SECURITIES  
**RULES AND RELATED MATTERS** EXCHANGE COMMISSION

---

**PROPOSED RULE AMENDMENTS TO RULE 12d3-1 AND REQUEST FOR COMMENT**

The Commission has proposed for public comment revised amendments to Rule 12d3-1 under the Investment Company Act of 1940. The revised proposed amendments would permit registered investment companies more flexibility to purchase securities issued by securities related businesses by eliminating the Rule's marginability and investment grade conditions for such acquisitions. FOR FURTHER INFORMATION CONTACT: Diane C. Blizzard or L. Bryce Stovell at (202) 272-2048. (Rel. IC-19204; International Series Rel. 517; File No. S7-1-93)

---

**COMMISSION ANNOUNCEMENTS**

---

**SECTION 16 LETTER INDEX BY SUBJECT MATTER**

The Division of Corporation Finance has released an index organizing by subject matter Section 16 letters issued through December 31, 1992. The index is updated on approximately the first day of every month and copies of it may be obtained by writing to or by making a request in person at the Public Reference Room, Securities and Exchange Commission, 450 Fifth Street, N.W., Room 1024, Washington, D.C. 20549.

---

**ADMINISTRATIVE PROCEEDINGS**

---

**PSE REALLOCATION OF OPTIONS FROM OPTIONS FLOOR POST X-17 DISMISSED**

The Commission dismissed for want of jurisdiction an Application for Review on behalf of PSE's Options Floor Post X-17 requesting Commission review of the PSE reallocation of three options from that post, and the removal of the remaining options at Post X-17 taken by the PSE.

The Commission determined that the PSE reallocation of the subject options from Post X-17 for failure to meet minimum performance standards is not reviewable by the Commission under Section 19(d)(2) of the Securities Exchange Act of 1934 because the PSE action was not a disciplinary sanction and no denial, prohibition or limitation with respect to access occurred in this case. The Commission also determined that moving securities from one trading post to another pursuant to a self-regulatory organization's (SRO) power to realign its floor is not subject to Commission review under Section 19(d) of the Act. (Rel. 34-31666)

#### SHAWN CRANE BARRED

The Commission issued an order in administrative proceedings barring Shawn M. Crane, a former regional manager of Graystone Nash, Incorporated, from association with any broker or dealer. In the order the Commission found that Crane willfully violated or aided and abetted violations of antifraud, registration and broker dealer record retention provisions of the federal securities laws. The order stated that Crane enforced fraudulent practices of the firm concerning distributions of securities in public offerings, refused and delayed execution of customer orders to sell securities and shredded documents required to be preserved. Crane consented to issuance of the order without admitting or denying the Commission's findings. (Rel. 34-31669)

#### ROBERT ROCK BARRED

The Commission issued an order in administrative proceedings barring Robert L. Rock, former manager of a retail office of Graystone Nash, Incorporated, from association with any broker or dealer. In the order, the Commission found that Rock willfully violated or aided and abetted violations of antifraud and registration provisions of the federal securities laws. The order stated that Rock enforced fraudulent practices of the firm concerning distributions of securities in public offerings, encouraged brokers to solicit purchases of a stock on the basis of purported inside information and delayed the execution of customer orders to sell certain securities. Rock consented to issuance of the order without admitting or denying the Commission's findings. (Rel. 34-31670)

#### MICHAEL BURKO SUSPENDED, ORDERED TO CEASE VIOLATIONS

The Commission issued an order in administrative proceedings against Michael C. Burko, former manager of a retail office of Graystone Nash, Incorporated. The Commission suspended Burko from association with any broker or dealer, provided that after three years he may seek to become so associated, and ordered that he cease and desist violating registration and antifraud provisions of the federal securities laws. The Commission found that he willfully violated or aided and abetted violations of those provisions. The order stated that Burko enforced fraudulent practices of the firm concerning distribution of securities in a public offering and refused and delayed execution of customer orders to sell certain securities. Burko consented to issuance of the order without admitting or denying the Commission's findings. (Rel. 34-31671)

---

### **INVESTMENT COMPANY ACT RELEASES**

---

#### THE TRAVELERS GROWTH AND INCOME STOCK ACCOUNT, ET AL.

A notice has been issued giving interested persons until January 25 to request a hearing on an application filed by The Travelers Growth and Income Stock Account for Variable Annuities (Account GS), The Travelers Quality Bond Account for Variable Annuities (Account QB), The Travelers Fund B for Variable Contracts (Fund B) and The Travelers Fund B-1 for Variable Contracts (Fund B-1) (collectively, Applicants). The application is for an Order under Section 17(b) of the Investment Company Act granting an exemption from the provisions of Section 17(a) of the Act to permit the transfer of portfolio securities from Fund B to Account GS and from Fund B-1 to Account QB. (Rel. IC-19188 - December 29)

---

## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

---

### UNLISTED TRADING PRIVILEGES GRANTED

An order has been issued granting the application of the Cincinnati Stock Exchange for unlisted trading privileges in 40 issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. (Rel. 34-31667)

---

## SELF-REGULATORY ORGANIZATIONS

---

### PROPOSED RULE CHANGE

The New York Stock Exchange filed a proposed rule change (SR-NYSE-92-34) to extend the applicability of the annual regulatory fee imposed pursuant to Rule 129 to all members and member organizations. Publication of the proposal is expected in the Federal Register during the week of January 4. (Rel. 34-31668)

---

## SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

---

### INTERPRETATION OF NEW RULES UNDER SECTION 16 OF THE EXCHANGE ACT

The Division of Corporation Finance has announced the publication of significant staff correspondence interpreting the new Section 16 rules. Copies of the letter may be obtained by writing to, or by making a request in person at, the Public Reference Room, Securities and Exchange Commission, 450 5th Street, N.W., Room 1024, Washington, D.C. 20549. Each request must state the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

Letter	Availability Date	Subject
Equifax, Inc.	January 5, 1993	Rule 16a-1(c)

---

## SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

## REGISTRATIONS

- S-8 ST PAUL COMPANIES INC /MN/, 385 WASHINGTON ST, SAINT PAUL, MN 55102 (612) 221-7911  
- 50,000 (\$3,881,250) COMMON STOCK. (FILE 33-49273 - DEC. 29) (BR. 13)
- S-8 BOCA RATON CAPITAL CORP /FL/, 2300 W GLADES RD W TWR, STE 440, BOCA RATON, FL 33431  
(407) 394-3066 - 50,000 (\$27,500) COMMON STOCK. (FILE 33-56298 - DEC. 24) (BR. 18)
- S-3 STARMARK INC, 1276 50TH ST, BROOKLYN, NY 11219 (718) 438-6500 - 2,500,000  
(\$1,875,000) COMMON STOCK. (FILE 33-56300 - DEC. 24) (BR. 7)
- S-8 BALLARD MEDICAL PRODUCTS, 12050 LONE PEAK PARKWAY, DRAPER, UT 84020 (801) 572-6800  
- 150,000 (\$4,556,250) COMMON STOCK. (FILE 33-56302 - DEC. 24) (BR. 8)
- F-7 ULSTER PETROLEUMS LTD, 565 808 4TH AVE SW, 330 5TH AVE SW,  
CALGARY ALBERTA CANADA T2P 0L, A0 00000 (000) 000-0000 - 1,000,000 (\$2,150,000)  
FOREIGN COMMON STOCK. (FILE 33-56304 - DEC. 24) (BR. 3 - NEW ISSUE)
- S-8 INDRESCO INC, 2121 SAN JACINTO ST STE 2500, SAN JACINTO TWR, DALLAS, TX 75201  
(214) 740-6000 - 2,700,000 (\$24,468,750) COMMON STOCK. (FILE 33-56306 - DEC. 24)  
(BR. 9)
- S-8 UNI VITE INC, 2440 IMPALA DR, CARLSBAD, CA 92008 - 2,500,000 (\$468,750)  
COMMON STOCK. (FILE 33-56308 - DEC. 24) (BR. 5)
- S-8 MNC FINANCIAL INC /MD/, 100 SO CHARLES ST, BALTIMORE, MD 21201 (410) 605-5000 -  
500,000 (\$6,000,000) COMMON STOCK. (FILE 33-56310 - DEC. 24) (BR. 2)
- S-3 MEDCROSS INC, 3227 BENNET ST NORTH, ST PETERSBURG, FL 33713 (813) 521-1793 -  
203,573 (\$661,612) COMMON STOCK. (FILE 33-56312 - DEC. 24) (BR. 5)
- S-8 BOCA RATON CAPITAL CORP /FL/, 2300 W GLADES RD W TWR, STE 440, BOCA RATON, FL 33431  
(407) 394-3066 - 150,000 (\$82,500) COMMON STOCK. (FILE 33-56316 - DEC. 24) (BR. 18)
- S-3 TM CENTURY INC, 14444 BELLWOOD PKWY, DALLAS, TX 75244 (214) 934-2121 - 208,685  
(\$146,079) COMMON STOCK. (FILE 33-56318 - DEC. 24) (BR. 12)
- S-2 SYSTEM INDUSTRIES INC, 1855 BARBER LANE, MILPITAS, CA 95035 (408) 432-1212 -  
3,000,000 (\$5,250,000) COMMON STOCK. (FILE 33-56320 - DEC. 28) (BR. 10)
- S-8 FLORIDA ROCK INDUSTRIES INC, 155 E 21ST ST, JACKSONVILLE, FL 32206 (904) 355-1781 -  
500,000 (\$11,875,000) COMMON STOCK. (FILE 33-56322 - DEC. 28) (BR. 10)
- N-2 VAN KAMPEN MERRITT VALUE MUNICIPAL INCOME TRUST, ONE PARKVIEW PLAZA,  
OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000)  
COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE  
33-56346 - DEC. 24) (BR. 18 - NEW ISSUE)
- N-2 VAN KAMPEN MERRITT NEW YORK VALUE MUNICIPAL INCOME TRUST, ONE PARKVIEW PLAZA,  
OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000)  
COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE  
33-56348 - DEC. 24) (BR. 18 - NEW ISSUE)
- N-2 VAN KAMPEN MERRITT CALIFORNIA VALUE MUNICIPAL INCOME TRUST, ONE PARKVIEW PLAZA,  
OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000)  
COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE  
33-56350 - DEC. 24) (BR. 18 - NEW ISSUE)
- N-2 VAN KAMPEN MERRITT PENNSYLVANIA VALUE MUNICIPAL INCOME TRUST, ONE PARKVIEW PLAZA,  
OAKBROOK TERRACE, IL 60181 (800) 225-2222 - 100,000 (\$1,500,000)  
COMMON SHARES OF BENEFICIAL INTEREST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE  
33-56352 - DEC. 24) (BR. 18 - NEW ISSUE)

REGISTRATIONS CONTINUED

- F-6 DEUTSCHE BANK AG /ADR/, 1 PIERREPONT PLAZA, BROOKLYN, NY 11021 (212) 703-4000 - 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-56370 - DEC. 24) (BR. 0)
- N-2 MANAGED HIGH YIELD PORTFOLIO INC, TWO WORLD TRADE CENTER, NEW YORK, NY 10048 (212) 464-8068 - 5,750,000 (\$69,000,000) COMMON STOCK. UNDERWRITER: SHEARSON LEHMAN BROTHERS INC. (FILE 33-56408 - DEC. 28) (BR. 17 - NEW ISSUE)
- S-8 NORTHWESTERN STEEL & WIRE CO, 121 WALLACE ST, STERLING, IL 61081 (815) 625-2500 - 1,400,000 (\$5,360,000) COMMON STOCK. (FILE 33-56412 - DEC. 28) (BR. 6)
- S-8 HF FINANCIAL CORP, 225 SOUTH MAIN AVE., SIOUX FALLS, SD 57102 (605) 333-7556 - 151,000 (\$2,030,957) COMMON STOCK. (FILE 33-56414 - DEC. 28) (BR. 1)
- S-3 IES INDUSTRIES INC, 200 FIRST ST SE, CEDAR RAPIDS, IA 52401 (319) 398-4411 - 2,300,000 (\$67,275,000) COMMON STOCK. UNDERWRITER: MERRILL LYNCH PIERCE FENNER & SMITH INC, MORGAN STANLEY & CO INC, PIPER JAFFRAY INC. (FILE 33-56416 - DEC. 28) (BR. 8)
- S-8 GAYLORD CONTAINER CORP /DE/, 500 LAKE COOK RD STE 400, DEERFIELD, IL 60015 (708) 405-5500 - 819,956 (\$2,716,104) COMMON STOCK. (FILE 33-56418 - DEC. 28) (BR. 8)
- S-8 CIRCUS CIRCUS ENTERPRISES INC, 2880 LAS VEGAS BLVD SOUTH, LAS VEGAS, NV 89109 (702) 734-0410 - 2,000,000 (\$113,125,000) COMMON STOCK. (FILE 33-56420 - DEC. 29) (BR. 12)
- S-8 ELECTRONICS FOR IMAGING INC, 950 ELM AVE, SAN BRUNO, CA 94066 (415) 742-3400 - 2,372,989 (\$40,637,436) COMMON STOCK. (FILE 33-56422 - DEC. 29) (BR. 10)
- S-8 EASTERN ENTERPRISES, 9 RIVERSIDE RD, WESTON, MA 02193 (617) 647-2300 - 600,000 (\$16,800,000) COMMON STOCK. (FILE 33-56424 - DEC. 21) (BR. 8)
- S-8 FLORIDA ROCK INDUSTRIES INC, 155 E 21ST ST, JACKSONVILLE, FL 32206 (904) 355-1781 - 89,012 (\$2,114,035) COMMON STOCK. (FILE 33-56428 - DEC. 28) (BR. 10)
- S-8 FLORIDA ROCK INDUSTRIES INC, 155 E 21ST ST, JACKSONVILLE, FL 32206 (904) 355-1781 - 57,722 (\$1,370,897) COMMON STOCK. (FILE 33-56430 - DEC. 28) (BR. 10)
- S-4 MEDALIST INDUSTRIES INC, 10850 WEST PARK PL STE 150, MILWAUKEE, WI 53224 (414) 359-3000 - 133,000 (\$732,830) COMMON STOCK. (FILE 33-56432 - DEC. 24) (BR. 9)
- S-8 AMBAR INC, 221 RUE DE JEAN, SUITE 301, LAFAYETTE, LA 70508 (318) 237-5300 - 480,000 (\$2,760,000) COMMON STOCK. (FILE 33-56434 - DEC. 24) (BR. 2)
- S-3 COMVERSE TECHNOLOGY INC/NY/, 170 CROSSWAYS PARK DR, WOODBURY, NY 11797 (516) 921-0470 - 600,000 (\$937,500) COMMON STOCK. (FILE 33-56436 - DEC. 24) (BR. 7)
- S-8 TACO CABANA INC, 262 LOSOYA STE 330, SAN ANTONIO, TX 78205 (210) 231-8226 - 1,016,506 (\$7,805,797) COMMON STOCK. (FILE 33-56438 - DEC. 24) (BR. 11)
- S-8 INDRESCO INC, 2121 SAN JACINTO ST STE 2500, SAN JACINTO TWR, DALLAS, TX 75201 (214) 740-6000 - 400,000 (\$3,625,000) COMMON STOCK. (FILE 33-56440 - DEC. 24) (BR. 9)
- S-8 INDRESCO INC, 2121 SAN JACINTO ST STE 2500, SAN JACINTO TWR, DALLAS, TX 75201 (214) 740-6000 - 800,000 (\$7,250,000) COMMON STOCK. (FILE 33-56442 - DEC. 24) (BR. 9)
- S-4 FIRST OF AMERICA BANK CORP /MI/, 108 EAST MICHIGAN AVE, KALAMAZOO, MI 49007 (616) 376-9000 - 114,248 (\$3,004,460) COMMON STOCK. (FILE 33-56444 - DEC. 24) (BR. 2)
- S-4 HEALTHSOURCE INC, PO BOX 2041, 54 REGIONAL DR, CONCORD, NH 03302 (603) 225-5077 - 737,799 (\$10,137,358) COMMON STOCK. (FILE 33-56446 - DEC. 24) (BR. 9)

REGISTRATIONS CONTINUED

- S-4 INFOPAGE INC, 3088 STATE HIGHWAY 27, KENDALL PARK, NJ 08824 (908) 821-1122 - 15,465,508 (\$11,410) COMMON STOCK. (FILE 33-56448 - DEC. 24) (BR. 7)
- S-4 UNITED MISSOURI BANCSHARES INC, 1010 GRAND AVE, KANSAS CITY, MO 64106 (816) 860-7000 - 337,000 (\$8,242,260) COMMON STOCK. (FILE 33-56450 - DEC. 24) (BR. 2)
- S-8 MORRISON RESTAURANTS INC, 4721 MORRISON DR, P O BOX 160266, MOBILE, AL 36625 (205) 344-3000 - 1,751,570 (\$32,731,855) COMMON STOCK. (FILE 33-56452 - DEC. 24) (BR. 12)
- S-8 BIOJECT MEDICAL TECHNOLOGIES INC, 7620 S W BRIDGEPORT RD, PORTLAND, OR 97224 (503) 639-7221 - 774,500 (\$2,662,343) COMMON STOCK. (FILE 33-56454 - DEC. 28) (BR. 8)
- S-8 PHILLIPS E N COMPANY, 18404 GAULT ST, RESEDA, CA 91335 (818) 757-7010 - 2,200,000 (\$1,375,000) COMMON STOCK. (FILE 33-56456 - DEC. 28) (BR. 5)
- S-8 MONRO MUFFLER BRAKE INC, 2340 BRIGHTON HENRIETTA TOWN LINE DR, ROCHESTER, NY 14623 (716) 427-2280 - 705,900 (\$2,636,839) COMMON STOCK. (FILE 33-56458 - DEC. 28) (BR. 4)
- S-4 COMERICA INC /NEW/, 100 RENAISSANCE CENTER, SUITE 3800, DETROIT, MI 48243 (313) 222-4000 - 2,962,962 (\$58,251,832) COMMON STOCK. (FILE 33-56480 - DEC. 29) (BR. 1)
- S-3 VINTAGE PETROLEUM INC, 4200 ONE WILLIAMS CTR, TULSA, OK 74172 (918) 592-0101 - 3,910,000 (\$49,363,750) COMMON STOCK. UNDERWRITER: DEAN WITTER REYNOLDS INC, DILLON READ & CO INC, RAUSCHER PIERCE REFSNES INC. (FILE 33-56482 - DEC. 29) (BR. 3)
- N-2 H&Q LIFE SCIENCES INVESTORS, 50 ROWES WHARF, FOURTH FLOOR, BOSTON, MA 02110 (617) 574-0500 - 3,858,600 (\$57,570,312) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-56484 - DEC. 29) (BR. 17)
- S-8 MAIL BOXES ETC, 6060 CORNERSTONE CT., SAN DIEGO, CA 92121 (619) 455-8800 - 1,000,000 (\$16,000,000) COMMON STOCK. (FILE 33-56486 - DEC. 21) (BR. 12)
- S-3 HILB ROGAL & HAMILTON CO /VA/, 4235 INNSLAKE DR, GLEN ALLEN, VA 23060 (804) 747-6500 - 1,610,000 (\$24,552,500) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC. (FILE 33-56488 - DEC. 29) (BR. 9)
- S-8 ADVANTAGE HEALTH CORP, 304 CAMBRIDGE RD, WOBURN, MA 01801 (617) 935-2500 - 475,000 (\$7,992,514) COMMON STOCK. (FILE 33-56490 - DEC. 29) (BR. 6)
- S-8 R & B INC, 3400 E WALNUT ST, COLMAR, PA 18915 (215) 997-1800 - 322,500 (\$2,257,500) COMMON STOCK. (FILE 33-56492 - DEC. 24) (BR. 4)
- S-3 ENZON INC, 40 KINGSBRIDGE RD, PISCATAWAY, NJ 08854 (908) 980-4500 - 3,450,000 (\$29,111,100) COMMON STOCK. UNDERWRITER: PRUDENTIAL SECURITIES INC. (FILE 33-56494 - DEC. 29) (BR. 4)
- S-8 SUN CO INC, TEN PENN CENTER, 1801 MARKET ST, PHILADELPHIA, PA 19103 (215) 977-6111 - 2,000,000 (\$55,250,000) COMMON STOCK. (FILE 33-49275 - DEC. 30) (BR. 13)
- S-18 DEARBORN BANCORP INC /MI/, 23498 MICHIGAN AVE, DEARBORN, MI 48124 (313) 277-4606 - 600,000 (\$6,000,000) COMMON STOCK. (FILE 33-55808-C - DEC. 15) (BR. 1 - NEW ISSUE)
- S-18 NATIONAL SPECIALTY NETWORKS INC, 451 WEST LAMBERT RD, STE 213, BREA, CA 92621 (714) 256-9654 - 500,000 (\$3,000,000) COMMON STOCK. (FILE 33-55862-LA - DEC. 17) (BR. 5 - NEW ISSUE)
- S-3 UNITED STATES FILTER CORP, 73-710 FRED WARING DR STE 222, PALM DESERT, CA 92260 (619) 340-0098 - 20,000 (\$449,500) COMMON STOCK. (FILE 33-56092 - DEC. 21) (BR. 10)

REGISTRATIONS CONTINUED

- S-1 ARKANSAS BEST CORP /DE/, 1000 SOUTH 21ST, FORT SMITH, AR 72901 - 1,495,000 (\$74,750,000) PREFERRED STOCK. (FILE 33-56184 - DEC. 23) (BR. 4)
- S-3 LEE SARA CORP, THREE FIRST NATL PLZ STE 4600, CHICAGO, IL 60602 (312) 726-2600 - 1,090,752 (\$33,300,658) COMMON STOCK. (FILE 33-56190 - DEC. 23) (BR. 4)
- S-1 CITIZENS CORP /DE/, 645 W GRAND RIVER AVE, HOWELL, MI 48843 (517) 546-2160 - 7,187,500 (\$165,312,500) COMMON STOCK. UNDERWRITER: DONALDSON LUFKIN & JENRETTE, KIDDER PEABODY & CO, MERRILL LYNCH & CO. (FILE 33-56240 - DEC. 23) (BR. 10 - NEW ISSUE)
- S-1 CHIC BY H I S INC, 1372 BROADWAY, NEW YORK, NY 10018 (212) 302-6400 - 6,900,000 (\$82,800,000) COMMON STOCK. UNDERWRITER: ANTHONY TUCKER INC, JOSEPH TAL LYON & ROSS INC, NOMURA SECURITIES INTERNATIONAL INC. (FILE 33-56270 - DEC. 23) (BR. 7 - NEW ISSUE)
- S-6 FIRST TRUST SPECIAL SITUATIONS TR SER 51 CMO UN INV TR SER 2, 1001 WARRENVILLE RD, LISLE, IL 60532 - INDEFINITE SHARES. DEPOSITOR: NIKE SECURITIES LP. (FILE 33-56314 - DEC. 24) (BR. 18 - NEW ISSUE)
- S-1 THIRD FINANCIAL CORP, 212 NORTH MAIN ST, PIQUA, OH 45356 (513) 773-0752 - 1,001,000 (\$10,010,000) COMMON STOCK. UNDERWRITER: CHARLES WEBB & CO. (FILE 33-56326 - DEC. 23) (BR. 2 - NEW ISSUE)
- S-1 EASTERN AMERICAN ENERGY CORP, 501 56TH ST, CHARLESTON, WV 25304 (304) 925-6100 - 6,200,000 (\$130,200,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: PAINEWEBBER INC, SHEARSON LEHMAN BROTHERS INC. (FILE 33-56336 - DEC. 24) (BR. 3 - NEW ISSUE)
- S-B SUDWESTDEUTSCHE LANDESBANK GIROZENTRALE, 420 LEXINGTON AVE, NEW YORK, NY 10017 - 250,000,000 (\$250,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-56342 - DEC. 24) (BR. 1 - NEW ISSUE)
- S-1 CRYOLIFE INC, 2211 NEW MARKET PKWY, STE 142, MARIETTA, GA 30067 (404) 952-1660 - 1,725,000 (\$17,250,000) COMMON STOCK. (FILE 33-56388 - DEC. 24) (BR. 5 - NEW ISSUE)
- S-3 TECH DATA CORP, 5350 TECH DATA DR, CLEARWATER, FL 34620 (813) 539-7429 - 2,875,000 (\$83,375,000) COMMON STOCK. UNDERWRITER: BEAR STEARNS & CO INC, RAYMOND JAMES & ASSOCIATES INC, ROBINSON HUMPHREY CO INC. (FILE 33-56400 - DEC. 28) (BR. 10)
- S-3 DETROIT EDISON CO, 2000 SECOND AVE - 2112 WCB, DETROIT, MI 48226 (313) 237-8000 - 2,000,000 (\$200,000,000) PREFERRED STOCK. (FILE 33-56496 - DEC. 30) (BR. 8)
- S-1 IEC ELECTRONICS CORP, 105 NORTON ST, NEWARK, NY 14513 (315) 331-7742 - 2,300,000 (\$29,900,000) COMMON STOCK. UNDERWRITER: NEEDHAM & CO INC, TUCKER ANTHONY INC. (FILE 33-56498 - DEC. 30) (BR. 3)
- S-3 TJX COMPANIES INC /DE/, 770 COCHITUATE RD, FRAMINGHAM, MA 01701 (508) 390-1000 - 3,108,864 (\$86,853,888) COMMON STOCK. UNDERWRITER: SALOMON BROTHERS INC. (FILE 33-56500 - DEC. 30) (BR. 13)
- S-3 CENTRAL ILLINOIS LIGHT CO, 300 LIBERTY ST, PEORIA, IL 61602 (309) 675-8810 - 76,000,000 (\$76,000,000) MORTGAGE BONDS. (FILE 33-56502 - DEC. 30) (BR. 8)
- S-8 DEWOLFE COMPANIES INC, 271 LINCOLN ST, LEXINGTON, MA 02173 (617) 863-5858 - 400,000 (\$1,600,000) COMMON STOCK. (FILE 33-56504 - DEC. 30) (BR. 5)
- S-3 OAKWOOD HOMES CORP, 2225 S HOLDEN RD, P O BOX 7386, GREENSBORO, NC 27417 (919) 855-2400 - 2,300,000 (\$42,981,250) COMMON STOCK. (FILE 33-56506 - DEC. 30) (BR. 10)

REGISTRATIONS CONTINUED

- S-8 HILLENBRAND INDUSTRIES INC, 1069 STATE ROUTE 46E, BATESVILLE, IN 47006  
(812) 934-7000 - 1,675,400 (\$65,759,450) COMMON STOCK. (FILE 33-56508 - DEC. 30)  
(BR. 6)
- S-8 OCTEL COMMUNICATIONS CORP, 890 TASMAN DR, MILPITAS, CA 95035 (408) 321-2000 -  
1,700,000 (\$34,807,000) COMMON STOCK. (FILE 33-56510 - DEC. 30) (BR. 8)
- S-8 USTRAILS INC, 2711 LBJ FRWY STE 254, DALLAS, TX 75234 (214) 243-2228 - 291,780  
(\$729,450) COMMON STOCK. (FILE 33-56512 - DEC. 30) (BR. 12)
- S-8 FIDELITY NATIONAL FINANCIAL INC /DE/, 2100 S E MAIN ST STE 400, IRVINE, CA 92714  
(714) 852-9770 - 125,000 (\$3,406,250) COMMON STOCK. (FILE 33-56514 - DEC. 30) (BR. 9)
- S-3 CONESTOGA ENTERPRISES INC, 202 EAST FIRST ST, BIRDSBORO, PA 19508 (215) 582-8711 -  
200,000 (\$5,500,000) COMMON STOCK. (FILE 33-56516 - DEC. 30) (BR. 7)
- S-1 GENETIC THERAPY INC /DE, 19 FIRSTFIELD RD, GAITHERSBURG, MD 20878 (301) 590-2626 -  
2,300,000 (\$26,162,500) COMMON STOCK. UNDERWRITER: MONTGOMERY SECURITIES,  
SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-56520 - DEC. 30) (BR. 4)
- S-3 INDIANA ENERGY INC, 1630 N MERIDIAN ST, INDIANAPOLIS, IN 46202 (317) 926-3351 -  
300,000 (\$8,512,500) COMMON STOCK. (FILE 33-56522 - DEC. 30) (BR. 7)
- S-3 GLATFELTER P H CO, 228 S MAIN ST, SPRING GROVE, PA 17362 (717) 225-4711 -  
150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 33-56526 - DEC. 30) (BR. 8)

**ACQUISITION OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/ PRIOR%	FILING STATUS
AMERICAN PAC CORP	COM		348	02874010	
CARROLL W J	13D	12/11/92	4.3	5.2	UPDATE
AMPHENOL CORP	CL A		4,754	03209420	
DEGEORGE LAWRENCE J	13D	12/22/92	15.8	0.0	NEW



ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AMPHENOL CORP DEGEORGE LAWRENCE J ET AL	CL A 13D	12/22/92	14,901 49.6	03209420 38.4	UPDATE
ARMCO INC ALLEGHANY CORPORATION	COM 13D	12/30/92	5,644 5.5	04217010 5.5	UPDATE
BIOCHEM INTL INC DS MEDICAL PRODS CO ET AL	COM 13D	12/16/92	10,585 80.9	09058510 82.8	UPDATE
CIRCLE FINL CORP ROLF DONALD H JR ET AL	COM 13D	11/20/92	71 9.4	17256410 7.7	UPDATE
FLEXSTEEL INDS INC HUGHES MARY LOU REVOCABLE TR	COM 13D	12/17/92	97 1.4	33938210 2.1	UPDATE
HS RES INC PRICE T ROWE ASSOC INC ET AL	COM 13D	11/23/92	369 3.9	40499510 2.6	UPDATE
ICO INC PACHOLDER ASSOC	COM 13D	12/23/92	8,461 43.0	44929410 24.1	UPDATE
IDB COMMUNICATIONS GROUP INC TELECOLUMBUS AG ET AL	COM 13D	12/21/92	6,155 57.6	44935510 10.0	UPDATE
KARCHER CARL ENTERPRISES INC KARCHER CARL N ET AL	COM 13D	12/29/92	6,199 34.4	48563610 35.3	UPDATE
LEUCADIA NATL CORP MARKS EDWIN S ET AL	COM 13D	12/ 1/92	834 7.2	52728810 8.7	UPDATE
MARINE DRILLING CO INC CAPRICORN INVESTORS LP	COM 13D	12/23/92	3,127 8.3	56824020 6.7	UPDATE
PHOENIX RESOURCE COS INC GROSS LEON S	COM PAR \$0.01 13D	12/23/92	603 14.5	71891320 14.4	UPDATE
REXENE CORP NEW BENNETT JAMES D ET AL	COM 13D	12/23/92	775 7.4	76168310 6.3	UPDATE
SCHOLASTIC CORP GOLDMAN SACHS & CO	COM 13D	12/28/92	1,237 10.8	80706610 11.0	UPDATE
SERVICO INC DEL BECKER ADELE	COM 13D	12/23/92	333 4.8	81764810 0.0	NEW
SERVICO INC DEL BECKER ISIDORE A	COM 13D	12/23/92	0 0.0	81764810 4.8	UPDATE
TEJAS PWR CORP QUASHA ALAN GRANT ET AL	COM 13D	12/10/92	2,718 29.0	87907910 29.0	UPDATE
TREMONT ADVISERS INC GAMCO INVESTORS INC ET AL	COM CL A 13D	12/29/92	722 56.2	89472910 0.0	NEW
UNIVERSITY PATENTS INC INTER-PACIFIC LTD ET AL	COM 13D	12/23/92	576 11.0	91480210 13.0	UPDATE

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
VORNADO INC ROTH STEVEN ET AL	COM	13D 12/29/92	5,806 56.9	92904110 0.0	NEW
ADDSCO ATLANTIC MARINE HLDG CO ET AL	COM	14D-1 12/24/92	652 95.0	00088710 17.3	RVSION
AMDURA CORP PATRICIA INVMTS INC ET AL	COM NEW	13D 12/23/92	2,333 18.7	02342670 13.8	UPDATE
CFS FINANCIAL CORP CRESTAR FINL CORP	COM	13D 12/21/92	596 19.9	12490310 0.0	NEW
CARE ENTERPRISES SMITH RANDALL D ET AL	COM PAR \$0.01	13D 12/21/92	4,726 35.6	14164930 28.2	UPDATE
CENTRAL FREIGHT LINES AMERITRUST TEXAS N A	COM	13D 12/31/92	745 76.5	15348710 76.5	UPDATE
COMMERCIAL DECAL INC GABELLI MARIO J	COM	13D 12/30/92	0 0.0	20162710 N/A	UPDATE
COMPUWARE CORP KARMANOS PETER JR ET AL	COM	13D 12/16/92	22,165 61.7	20563810 0.0	NEW
DALLAS SEMICONDUCTOR CORP BOND CORNELIUS C JR ET AL	COM	13D 10/27/92	837 3.4	23520410 8.7	UPDATE
DOVER REGIONAL FINL SHARES BULL & BEAR GRP ET AL	SH BEN INT	13D 12/30/92	121 16.2	26040910 16.0	UPDATE
ELXSI CORP AIRLIE GROUP ET AL	COM NEW	13D 10/30/92	2,009 31.8	26861320 100.0	RVSION
EDUCATION ALTERNATIVES INC WALTON JOHN T	COM	13D 12/22/92	238 6.8	28199210 0.0	NEW
EKCO GROUP BROWN BROTHERS HARRIMAN	COM	13D 12/22/92	2,977 18.6	28263610 0.0	NEW
EMULEX CORP ADVENT VI LP ET AL	COM	13D 12/30/92	945 8.8	29247510 10.1	UPDATE
FMS FINANCIAL CORP YATES CRAIG W	COM	13D 11/20/92	170 13.2	30250910 10.8	UPDATE
FIRST AMFED CORP ANDERSON MORRIS W	COM	13D 7/29/92	106 5.7	31860410 0.0	NEW
FIRST KENTUCKY BANCORP KIRTLEY DENNIS W	COM	13D 12/29/92	31 7.9	32065010 0.0	NEW
HEALTH MGMT SYS INC WELSH CARSON ANDERSON ET AL	COM	13D 12/24/92	1,565 29.1	42499210 0.0	NEW
HEXCEL CORP FISHER INVESTMENTS INC	COM	13D 12/23/92	399 5.5	42829010 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
IMAGE ENTMT INC	COM NEW		5,044	45243920	
IMAGE INVESTORS CO ET AL	13D	12/30/92	43.2	44.2	UPDATE
INDEPENDENCE HLDG CO NEW	COM		7,032	45344010	
GENEVE CORP ET AL	13D	12/29/92	39.1	34.6	UPDATE
KANEB SVCS INC	COM		2,821	48417010	
STATE OF WISCONSIN INVEST	BD 13D	12/22/92	8.9	7.7	UPDATE
KING WORLD PRODTNS INC	COM		10,111	49566710	
KING ROGER ET AL	13D	12/18/92	26.5	34.4	UPDATE
MARINE DRILLING CO INC	COM		13,666	56824020	
WARBURG PINCUS & CO ET AL	13D	12/23/92	36.2	28.5	UPDATE
MATTHEWS STUDIO EQUIP GROUP	COM		2,283	57714010	
DE MATTOS CARLOS D	13D	12/16/92	26.2	26.5	UPDATE
MATTHEWS STUDIO EQUIP GROUP	COM		2,283	57714010	
PHILLIPS EDWARD III	13D	12/16/92	26.2	26.5	UPDATE
MEASUREMENT SPECIALTIES	COM		208	58342110	
SIAR MONEY PURCHASE PENS PLAN	13D	11/25/92	6.7	0.0	NEW
MEDQUIST INC	COM		999	58494910	
HCSF INC	13D	12/23/92	36.2	0.0	NEW
POOL ENERGY SVCS CO	COM		750	73278910	
TWEEDY BROWNE CO L P ET AL	13D	12/23/92	5.5	0.0	NEW
REFAC TECHNOLOGY DEV CORP	COM		371	75865510	
ALPHI FUND	13D	12/21/92	7.3	0.0	NEW
SCIENTIFIC ATLANTA INC	COM		1,719	80865510	
CHARTER OAK PARTNERS	13D	12/28/92	7.4	6.8	UPDATE
SPORTS & REC INC	COM		2,112	84916810	
COLEMAN SYDNEY J ET AL	13D	12/18/92	18.0	0.0	NEW
SUN SPORTSWEAR INC	COM		3,800	86687510	
SEATTLE FIRST NATL BANK ET AL	13D	12/23/92	67.7	0.0	NEW
TYCO TOYS INC	COM		2,775	90212810	
STATE OF WISCONSIN INVEST	BD 13D	12/22/92	9.0	7.8	UPDATE
WASTE PROCESSOR INDS INC	COM		718	94090510	
ALPERT ROBERT	13D	12/21/92	16.4	0.0	NEW
WYNNS INTL INC	COM		532	98319510	
SHUFRO ROSE & EHRMAN	13D	12/22/92	14.8	15.8	UPDATE
XIRCOM INC	COM		1,400	98392210	
STATE OF WISCONSIN INVEST	BD 13D	12/22/92	9.7	8.7	UPDATE
ADELAIDE HLDGS INC	COM		4,325	00678910	
RABB JAY M	13D	10/16/92	19.0	0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ALTERA CORP	COM		1,615	02144110	
STATE OF WISCONSIN INVEST	BD	13D	12/23/92	8.0	7.0 UPDATE
BARRINGER RES INC	COM		608	06850910	
500 HANOVER CORP		13D	12/22/92	23.2	0.0 NEW
BAYPORT RESTAURANT GROUP INC	COM		950	07309810	
LAZARUS ARTHUR S		13D	12/18/92	4.9	5.7 UPDATE
BEST FRANK E INC	COM		187	08652210	
BEST RUSSELL C ET AL		13D	12/23/92	31.2	0.0 NEW
CLEVETRUST RLTY INVS	SH BEN INT		400	18678010	
AMSTER HOWARD ET AL		13D	12/28/92	10.8	0.0 NEW
CRYSTAL BRANDS INC	COM		538	22907010	
STATE OF WISCONSIN INVEST	BD	13D	12/28/92	5.9	9.9 UPDATE
DELTA WOODSIDE INDS INC NEW	COM		3,214	24790910	
MADDREY EDWIN ERWIN II		13D	12/14/92	12.7	12.7 UPDATE
DYANSEN CORP	COM		50	26745710	
HERRICK NORTON		13D	12/22/92	N/A	N/A UPDATE
ELCOR CORP	COM		576	28444310	
GAMCO INVESTORS INC ET AL		13D	12/31/92	7.8	8.8 UPDATE
ENVOY CORP	COM		963	29394410	
STATE OF WISCONSIN INVEST	BD	13D	12/28/92	9.0	6.3 UPDATE
EXPLORATION OF LOUISIANA	COM		8,687	30213410	
FIDELITY INTL LTD		13D	12/25/92	8.0	8.0 UPDATE
FERROFLUIDICS CORP	COM NEW \$0.004		340	31541420	
ALPHA CAPITAL COMPANY		13D	12/23/92	6.3	0.0 NEW
FIRST MONTAUK FINL	COM		290	32100610	
GAGE MARILYN N		13D	12/30/92	5.9	8.6 UPDATE
HEIN WERNER CORP	COM		104	42300210	
SCHWARTZ MARVIN C		13D	12/21/92	4.9	5.6 UPDATE
INVACARE CORP	COM		520	46120310	
STATE OF WISCONSIN INVEST	BD	13D	11/ 5/92	3.8	0.0 NEW
KNIGHT-RIDDER INC	COM		3,391	49904010	
KNIGHT JAMES L TR ET AL		13D	1/ 4/93	6.2	6.4 UPDATE
LIBERTY MEDIA CORP	CL A NEW		4,000	53071530	
CRYSTAL DIAMOND INC ET AL		13D	12/31/92	36.9	36.9 UPDATE
LINPRO SPECIFIED PPTYS	SH BEN INT		265	53606310	
O'LEARY DENNIS J		13D	12/23/92	14.3	13.2 UPDATE
LITTLE SWITZ INC	COM		443	53752810	
STATE OF WISCONSIN INVEST	BD	13D	12/ 2/92	5.3	0.0 NEW