# sec newsardigest

Issue 91-198

OCT 1 5 1991

October 11, 1991

# U.S. SECURITIES

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#### NOTICE OF COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesdays, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration.

# CLOSED MEETING - TUESDAY, OCTOBER 15, 1991 - 2:30 P.M.

The subject matter of the October 15 closed meeting will be: Regulatory matter regarding financial institutions; Institution of injunctive actions; Settlement of injunctive actions; Institution of administrative proceedings of an enforcement nature; and Settlement of administrative proceeding of an enforcement nature.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: Jonathan Gottlieb at (202) 272-2200.

# INVESTMENT COMPANY ACT RELEASES

HORIZON INCOME SHARES, INC.

A notice has been issued giving interested persons until November 5 to request a hearing on an application filed by Horizon Income Shares, Inc. for an order under Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-18352 - October 9)

# DISCOVERY INCOME SHARES, INC.

A notice has been issued giving interested persons until November 5 to request a hearing on an application filed by Discovery Income Shares, Inc. for an order under Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-18353 - October 9)

#### NATIONWIDE ANGLIA BUILDING SOCIETY

An order has been issued under Section 6(c) of the Act on an application by Nationwide Anglia Building Society, a United Kingdom building society, conditionally exempting it from all provisions of the Act in connection with the offer and sale of its debt securities in the United States. (Rel. IC-18354; International Series Rel. 326 - October 9)

# FBL SERIES FUND, INC.

A conditional order has been issued under Section 17(b) of the Investment Company Act exempting FBL Series Fund, Inc. from the provisions of Section 17(a) of the Act to permit certain portfolios of the applicant to acquire substantially all of the assets of certain other portfolios of the applicant. (Rel. IC-18355 - October 9)

#### DAILY MONEY FUND

A notice has been issued giving interested persons until November 5 to request a hearing on an application by Daily Money Fund, et al., for an order under Section 45(a) of the Investment Company Act. The order would declare that public disclosure of Sections II through V of a report concerning the Fidelity Group of Funds Interfund Lending Facility Design, dated May 31, 1991, is neither necessary nor appropriate in the public interest or for the protection of investors. (Rel. IC-18356 - October 9)

## THE MACKENZIE FUNDS, INC.

A conditional order has been issued under Section 6(c) of the Investment Company Act exempting The Mackenzie Funds Inc. and Mackenzie Investment Management Inc. from the provisions of Sections 18(f), 18(g), and 18(i) of the Act. The order permits certain open-end management investment companies to issue and sell separate classes of securities representing interests in the same investment portfolio. The classes will be identical in all respects except for differences relating to distribution expenses, payment of dividends, a conversion feature, voting rights relating to Rule 12b-1 plans, certain exchange privileges and the designation of classes. (Rel. IC-18357 - October 9)

PROVIDENTMUTUAL INCOME SHARES, INC. PROVIDENTMUTUAL SPECIAL FUND, INC. PROVIDENTMUTUAL VENTURE SHARES, INC.

Orders have been issued under Section 8(f) of the Investment Company Act declaring that PROVIDENTMUTUAL INCOME SHARES, INC., PROVIDENTMUTUAL SPECIAL FUND, INC. and PROVIDENTMUTUAL VENTURE SHARES, INC. have ceased to be investment companies. (Rels. IC-18358; IC-18359 and IC-18360, respectively - October 9)

# DREYFUS U.S. GOVERNMENT MONEY FUND

A notice has been issued giving interested persons until November 4 to request a hearing on an application filed by Dreyfus U.S. Government Money Fund for an order of the Commission pursuant to Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-18361 - October 10)

# DREYFUS HIGHEST QUALITY GOVERNMENT SECURITIES MONEY FUND

A notice has been issued giving interested persons until November 4 to request a hearing on an application filed by Dreyfus Highest Quality Government Securities Money Fund for an order of the Commission pursuant to Section 8(f) of the Investment Company Act declaring that it has ceased to be an investment company. (Rel. IC-18362 - October 10)

#### FRANKLIN INVESTORS SECURITIES TRUST, ET AL.

A notice has been issued giving interested persons until November 6 to request a hearing on an application filed by Franklin Investors Securities Trust, et al. for a conditional order under Sections 6(c) and 17(b) of the Investment Company Act and Rule 17d-1 thereunder. The conditional order would exempt applicants from the provisions of Sections 12(d)(1)(A)(ii), 17(a) and 17(d) to the extent necessary to permit certain money funds to sell their shares to certain affiliated funds and to permit affiliated advisers of the funds and the money funds to effect such sales. (Rel. IC-18363 - October 10)

### LISTING, DELISTING AND UNLISTED TRADING ACTIONS

#### WITHDRAWALS GRANTED

Orders have been issued granting applications by the following companies to withdraw from listing and registration their securities on the <u>Boston Stock Exchange</u>: PictureTel Corporation, Common Stock, \$.01 Par Value (Rel. 34-29799); and Orbit Gas Company, Common Stock, No Par Value (Rel. 34-29800).

#### WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until November 1 to comment on the application of Jesup Group, Inc. to withdraw its Common Stock, \$.01 Par Value, and its Warrants to Purchase Common Stock from listing and registration on the <u>Boston Stock Exchange</u>. (Rel. 34-29806)

#### DELISTINGS GRANTED

Orders have been issued granting the applications of two exchanges to strike from listing and registration certain securities. The exchanges and securities are as follows: Pacific Stock Exchange - PureCycle Corporation, Common Stock, 1/3 of \$.01 Par Value (Rel. 34-29807); and New York Stock Exchange - Citytrust Bancorp, Inc., Common Stock, \$2.50 Par Value (Rel. 34-29808).

#### SELF-REGULATORY ORGANIZATIONS

#### APPROVAL OF PROPOSED RULE CHANGES

Pursuant to Rule 19b-4, the Commission has approved a proposed rule change (SR-CBOE-88-24) filed by the <u>Chicago Board Options Exchange</u> to establish its authority to review significant business transactions of clearing firms which could increase market maker concentration and to impose financial or operational requirements if warranted. Publication of the order is expected in the <u>Federal Register</u> during the week of October 14. (Rel. 34-29797)

The Commission has approved a proposed rule change filed by the Options Clearing Corporation (SR-OCC-91-02) to supplement a previous order of the Commission providing for disclosure to accommodate the Philadelphia Stock Exchange cross-rate currency options proposal. Publication of the proposal is expected in the Federal Register during the week of October 14. (Rel. 34-29780A)

The Commission approved four rule changes submitted by the <u>National Association of Securities Dealers</u> which amend the Rules of Practice and Procedure for the Small Order Execution System (SOES). The amendment expands the definition of the phrase "professional trading account" (SR-NASD-90-59) and expands the definition of "day trading" (SR-NASD-91-17). The amendment also establishes a 15-second delay between SOES executions to permit market makers to update their quotes (SR-NASD-91-18) and permits market makers to specify from which firms they consent to receive preferenced orders (SR-NASD-91-26). The Commission also issued a letter denying a petition to institute rulemaking proceedings under Section 19(c) of the Securities Exchange Act of 1934 to delete Section (c)(3)(E) of the Rules of Practice and Procedure for SOES which prohibits order entry firms from entering orders on behalf of a "professional trading account." (Rels. 34-29809 and 34-29810)

#### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change filed by the <u>American Stock Exchange</u> (SR-Amex-91-21) under Rule 19b-4 to increase the size of public customer orders eligible for execution through Auto-EX, the Exchange's automatic execution system for options. The increases are from 20 to 50 contracts for Major Market Index (XMI) options and 20 to 100 contracts for LT-20 options. Publication of the order is expected in the <u>Federal Register</u> during the week of October 14. (Rel. 34-29803)

# SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

The following is a list of significant no-action and interpretative letters recently issued by the Division of Corporation Finance. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by <u>writing</u> to the Public Reference Room, Securities and

Exchange Commission, Washington, D.C. 20549, or by making a request <u>in person</u> at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

COMPANY	ACT/SECTION/RULE OR RELEASE	DATE MAILED	PUBLIC AVAILABILITY DATE
American Bar Association	1934 Act - Section 16	5-2-91	5-2-91
Chemical Bank Commingled Employee Benefit Real Estate and Mortgage Fund	1933 Act - Section 5 and Rule 144	1-23-91	5-23-91
Cravath, Swaine & Moore	1934 Act - Section 16	5-6-91	5-6-91
Emerson Electric Co.	1934 Act - Section 16	5-9-91	5-9-91
General Mills, Inc.	1934 Act - Section 16	5-7-91	5-7-91
J. William Holland, SIPC Trustee	1933 Act - Rules 144 and 148(c)	5-22-91	5-22-91
Lilly Industrial Coatings, Inc.	1934 Act - Rule 16b-6	5-9-91	5-9-91
Northeast Utilities; Public Service Company of New Hampshire	1933 Act - Section 4(3)	5-15-91	5-15-91
Ralston Purina Company (correction of 4-29-91 letter)	1934 Act - Section 16	5-6-91	5-6-91
Ralston Purina Company	1934 Act - Section 16	5-6-91	5-6-91

# SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and  $\hat{a}$  designation if the statement is a New Issue.

- S-18 INTERVISUAL BOOKS INC /CA, 6151 WEST CENTURY BOULEVARD SUITE 400, LOS ANGELES, CA 90045 (213) 649-0333 170,000 (\$510,000) COMMON STOCK. 1,785,000 (\$5,355,000) COMMON STOCK. UNDERWRITER: CHATFIELD DEAN & CO INC. (FILE 33-43068-LA SEP. 30) (BR. 12 NEW ISSUE)
- S-11 FLEET FINANCE HOME EQUITY TRUST 1991-2, 50 KENNEDY PLAZA, PROVIDENCE, RI 02903 (404) 452-2200 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-43114 OCT. 02) (BR. 11 NEW ISSUE)
- S-1 POLLUTION RESEARCH & CONTROL CORP /CA/, 515 W COLORADO ST, GLENDALE, CA 91204 (818) 247-7601 763,000 (\$541,730) COMMON STOCK. (FILE 33-43124 OCT. 03) (BR. 8)
- S-1 ARI NETWORK SERVICES INC /WI, 330 EAST KILBOURN AVE, MILWAUKEE, WI 53202 (414) 278-7676 2,875,000 (\$25,875,000) COMMON STOCK. UNDERWRITER: PIPER JAFFRAY & HOPWOOD INC, ROBINSON HUMPHREY CO INC. (FILE 33-43148 OCT. 03) (BR. 9 NEW ISSUE)
- S-1 ATEL CASH DISTRIBUTION FUND IV L P, 160 SANSOME ST 7TH FL, SAN FRANCISCO, CA 94104 (415) 989-8800 7,500,000 (\$75,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-43157 OCT. 04) (BR. 5 NEW ISSUE)
- S-8 GENERAL AUTOMATION INC, 1055 S EAST ST, ANAHEIM, CA 92805 (714) 778-4800 1,000,000 (\$662,250) COMMON STOCK. (FILE 33-43158 OCT. 04) (BR. 10)
- S-8 ALTA HEALTH STRATEGIES INC, 6975 UNION PARK CTR, SALT LAKE CITY, UT 84047 (801) 568-5500 200,000 (\$2,250,000) COMMON STOCK. (FILE 33-43159 OCT. 04) (BR. 10)
- N-2 AMERICAN MUNICIPAL TERM TRUST INC 11, 222 SOUTH NINTH ST, PIPER JAFFRAY TOWER, MINNEAPOLIS, MN 55402 (612) 342-6426 712 (\$35,600,000) PREFERRED STOCK. (FILE 33-43160 OCT. 04) (BR. 16)
- S-4 INDIANA UNITED BANCORP, 201 N BROADWAY, PO BOX 87, GREENSBURG, IN 47240 (812) 663-4711 388,092 (\$3,298,782) COMMON STOCK. (FILE 33-43161 OCT. 04) (BR. 2)
- S-1 AGOURON PHARMACEUTICALS INC, 3565 GENERAL ATOMICS COURT, SAN DIEGO, CA 92121 (619) 622-3000 60,000 (\$1,237,200) COMMON STOCK. 2,240,000 (\$46,188,800) COMMON STOCK. (FILE 33-43162 OCT. 04) (BR. 8)
- S-8 DSP TECHNOLOGY INC, 48500 KATO RD, FREMONT, CA 94538 (415) 657-7555 428,327 (\$1,186,861.75) COMMON STOCK. (FILE 33-43163 OCT. 04) (BR. 8)
- S-8 DSP TECHNOLOGY INC, 48500 KATO RD, FREMONT, CA 94538 (415) 657-7555 18,000 (\$60,750) COMMON STOCK. 32,000 (\$88,000) COMMON STOCK. (FILE 33-43164 OCT. 04) (BR. 8)
- S-11 EXCEL REALTY TRUST INC, 16955 VIA DEL CAMPO STE 120, SAN DIEGO, CA 92127 (619) 485-9400 3,000,000 (\$15,450,000) COMMON STOCK. (FILE 33-43165 OCT. 04) (BR. 5)

#### REGISTRATIONS CONTINUED

- S-8 TBC CORP, 4770 HICKORY HILL RD, MEMPHIS, TN 38141 (901) 363-8030 74,168 (\$1,093,978) COMMON STOCK. 15,044 (\$198,374) COMMON STOCK. 84,038 (\$942,084) COMMON STOCK. 30,400 (\$417,452) COMMON STOCK. 972,884 (\$16,174,196.50) COMMON STOCK. (FILE 33-43166 OCT. 04) (BR. 4)
- S-8 CHAMBERS DEVELOPMENT CO INC, 10700 FRANKSTOWN RD, PITTSBURGH, PA 15235 (412) 242-6237 1,000,000 (\$28,250,000) COMMON STOCK. (FILE 33-43167 OCT. 04) (BR. 8)
- S-8 EZ EM INC, 717 MAIN ST, WESTBURY, NY 11590 (516) 333-8230 250,000 (\$1,875,000) COMMON STOCK. (FILE 33-43168 OCT. 03) (BR. 4)
- N-2 NUVEEN NEW YORK QUALITY INCOME MUNICIPAL FUND INC, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 5,750,000 (\$86,250,000) COMMON STOCK. UNDERWRITER:
  BROWN ALEX & SONS INC, NUVEEN JOHN & CO INC. (FILE 33-43178 OCT. 04) (BR. 18 NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST SERIES 351, 1345 AVE OF AMERICAS,
  C/O SMITH BARNEY HARRIS UPHAM & CO INC, NEW YORK, NY 10105 2,000 (\$2,000,000)
  UNIT INVESTMENT TRUST. DEPOSITOR: KIDDER PEABODY & CO INC,
  SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-43179 OCT. 04) (BR. 16 NEW ISSUE)
- N-2 NUVEEN CALIFORNIA QUALITY INCOME MUNICIPAL FUND INC, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 5,750,000 (\$86,250,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, NUVEEN JOHN & CO INC. (FILE 33-43180 OCT. 04) (BR. 18 NEW ISSUE)
- S-1 COR THERAPEUTICS INC / DE, 256 EAST GRAND AVE STE 80, SOUTH SAN FRANCISCO, CA 94080 (514) 244-6800 2,300,000 (\$36,225,000) COMMON STOCK. (FILE 33-43181 OCT. 04) (BR. 4)
- N-2 BLACKSTONE MUNICIPAL TARGET TERM TRUST INC, ONE SEAPORT PLAZA, NEW YORK, NY 10292 (212) 214-3224 4,200 (\$210,000,000) PREFERRED STOCK. (FILE 33-43183 OCT. 04) (BR. 17)
- S-3 PENN CENTRAL CORP, ONE EAST FOURTH ST, CINCINNATI, OH 45202 (513) 579-6600 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 33-43184 OCT. 04) (BR. 6)
- N-1A MFS MANAGED UTILITIES TRUST, 500 BOYLSTON ST, BOSTON, MA 02116 (617) 954-5000 INDEFINITE SHARES. (FILE 33-43185 OCT. 04) (BR. 16 NEW ISSUE)
- S-1 MI SCHOTTENSTEIN HOMES INC, 41 S HIGH ST STE 2410, COLUMBUS, OH 43215 (614) 221-5700 20,000,000 (\$20,000,000) STRAIGHT BONDS. (FILE 33-43188 OCT. 04) (BR. 9)
- S-8 PEPSICO INC, 700 ANDERSON HILL RD, PURCHASE, NY 10577 (914) 253-3774 125,000 (\$3,656,250) COMMON STOCK. (FILE 33-43189 OCT. 04) (BR. 11)
- S-8 SIGNET BANKING CORP, 7 N EIGHTH ST, RICHMOND, VA 23260 (804) 747-2000 52,310 (\$1,131,203.75) COMMON STOCK. 52,310 (\$100) PREFERRED STOCK. (FILE 33-43190 OCT. 04) (BR. 2)
- S-1 SPORTS HEROES INC, 500 KINDERKAMACK RD, ORADELL, NJ 07649 (201) 262-8020 1,035,000 (\$5,175,000) COMMON STOCK. 1,035,000 (\$103,500) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-43192 OCT. 04) (BR. 7)

## ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER		FORM		SHRS(000)/ %OWNED		
RATNERS GRP PLC	CONV	CUM RED	PRFF	1 004	75410660	1
RATNERS GRP PLC MORGAN STANLEY GRP		13D	9/27/91	8.2	9.8	UPDATE
	COM			2,264		
DEERE JOHN INS CO		13D	10/ 2/91	31.4	24.8	UPDATE
TSS LTD	СОМ			1.735	87288910	)
TSS LTD SHEA E & M REAL PPTY TR E	T AL	13D	9/18/91	10.5	9.3	UPDATE
UNIVERSITY RL EST PRTSHP III LIQUIDITY FUNDS ET AL	LTD	PRTSHP II	NT	0	91496599	<del>)</del>
VARITY CORP	COM	NEW		2.544	92299260	)
GAMCO INVESTORS INC ET AL		13D	10/ 4/91	10.2	9.0	UPDATE
WESTAR THE						_
VESTAR INC WARBURG PINCUS CAP PRIN E	COM			5,610	92545410	)
WARBURG PINCUS CAP PRIN ET	Γ AL	13D	9/30/91	51.9	51.3	UPDATE
WHEELING PITTSBURGH CORP	COM	NEU		10 542	96314210	1
RM CAPITAL PARTNERS ET AL						
XENEJENEX INC	COM			3,619	98400910	)
XENEJENEX INC MARX LOUIS JR ET AL		13D	7/26/91	78.3	82.1	UPDATE
AMERICAN HEALTHCARE MGMT INC	LUM			•	02649620	
NETWORK CO LTD		1.50	9/27/91	11.5	0.0	NEW
AMERICAN LOCKER GROUP	COM			o	02728410	)
BAIRD BRENT D ET AL		13D	9/25/91	0.0	N/A	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ %OWNED	•	FILING STATUS
ASHTON TATE ALPINE ASSOCIATES ET AL	COM	13D	10/ 1/91	1,620 6.6		UPDATE
DATAKEY INC OKABENA PARTNERSHIP K	COM	13D	10/ 1/91	201 6.6	23790910 0.0	
DATAPOINT CORP THOMAS BLAKE D ET AL	PFD	13D	10/ 7/91	138 7.2	23810030 6.0	UPDATE
FIRST ST FINL SVCS INC BOLGER DAVID F ET AL	COM	13D	9/30/91	0 0.0	33690610 N/A	UPDATE
GENERAL PHYSICS STATE OF WISCONSIN INVEST	COM BD	130	9/26/91	500 8.1	37048510 0.0	
HALLADOR PETE CO KEMMERER JOHN L JR	COM NE	W 13D	10/ 4/91	289 6.8	40609220 0.0	
MHI GROUP PRIMERICA CORP ET AL	СОМ	13D	10/ 4/91	0 0.0	55292510 5.3	UPDATE
MAJOR GROUP INC STONERIDGE RESOURCES INC	COM	13D	9/26/91	5,305 50.7	56079410 27.5	UPDATE
SCOTTISH HERITABLE INC SHT HLDGS INC	СОМ	13D	10/ 3/91	1,919 50.0	81013110 50.0	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3.
- Bankruptcy or Receivership.
  Changes in Registrant's Certifying Accountant.
  Other Materially Important Events. Item 4.
- Item 5.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER		8K ITEM NO. 1 2 3 4 5 6 7 8	DATE	COMMENT
ADVANTA HOME EQUITY LOAN TRUST 1991-1	NY	X X	09/25/91	
ADVANTA HOME EQUITY LOAN TRUST 1991-2	NY	X X	09/25/91	

NAME OF ISSUER	STATE	8K ITEM 1 2 3 4		78	DATE	COMMENT
AFC HOME EQUITY LOAN TRUST 1991-3	NJ		хх		09/16/91	
AIRGAS INC	DE	X		X	09/27/91	
ALLIED BANKSHARES INC	GA	X		X	07/26/91	
ALLIED STORES CORP	DE		X	X	10/07/91	
AMAX INC /NY/	NY		X		10/03/91	
AMR CORP	DE			X	10/03/91	
ARMCO INC	OH		X	X	09/24/91	
ASSIX INTERNATIONAL INC	DE		X	X	10/08/91	
ASSOCIATED TRADES INC	NV	X X		X	09/30/91	
ASTROTECH INTERNATIONAL CORP /NEW	DE	X		X	09/30/91	
ATLANTA GOLD CORP			X		10/04/91	
BANK OF NEW ENGLAND CORP	MA	NO ITEMS			08/31/91	
BIRMINGHAM TURF CLUB INC	AL		X		10/01/91	
BIRMINGHAM TURF CLUB LTD	AL		Χ		10/01/91	
BRISTOL HOLDINGS INC	NV			X	07/26/91	AMEND
BT ENERGY CORPORATION	DE		X		09/30/91	
CAMBRIDGE BIOTECH CORP	DE			Χ .	04/05/91	AMEND
CAPITAL INCOME PROPERTIES C LTD PARTNERS	DC	x			10/08/91	
CATTLEGUARD INC	TX		X	X	10/04/ <del>9</del> 1	
CHASE MORTGAGE FINANCE CORP	DE	X		X	09/25/91	
CHOCK FULL O NUTS CORP	NY		X	X	10/09/91	
CITYFED FINANCIAL CORP	DE		X		09/30/91	
COASTLAND CORP OF FLORIDA	FL	ХX		X	09/24/91	
COLLEGE BOUND INC	DE		X	X	08/30/91	
CYCLOPS INDUSTRIES INC	DE	X		X	09/24/91	
DAIWA MORTGAGE ACCEPTANCE CORP				X	09/01/91	
DELTA OIL COMPANY	UT		X	X	09/25/91	
DIGITAL TRANSMISSION INC	TX	X			09/30/91	AMEND
DONALDSON CO INC	DE		X	X	09/20/91	
FEDERATED DEPARTMENT STORES INC	DE		X	X	10/07/91	
FLAGSHIP FINANCIAL CORP	PA		X	X	10/08/91	
FREQUENCY ELECTRONICS INC	DE		X	X	08/01/91	
GE CAPITAL MORTGAGE SERVICES INC	NJ		X		09/25/91	
GENISCO TECHNOLOGY CORP /DE/	DE	x			10/03/91	
GLOBAL NATURAL RESOURCES INC/NJ	NJ		X		10/08/91	
GUARDIAN REAL ESTATE ACCT OF GUARDIAN IN	DE	X		X	10/01/91	
GULF STATES UTILITIES CO	TX		X		10/09/91	
HAILEY ENERGY CORP	UT	X			10/02/91	
HAMPTON INCOME FUND LTD 1983-B	FL	x		X	09/26/91	
HOMEFED CORP	DE		X		10/08/91	
HPSC INC	DE	Х		X	09/24/91	
HQ OFFICE SUPPLIES WAREHOUSE INC	DE		X		09/30/91	
HUBCO INC	NJ	X			09/20/91	AMEND
ICAN RESOURCES LTD		X		X	11/03/86	
IMA MEDIKOS GROUP INC	DE	Х.			08/09/90	AMEND
KNOWLEDGEWARE INC	GA	•	X	X	10/01/91	
LASER RECORDING SYSTEMS INC	NJ		X	X	10/08/91	
LB MORTGAGE TRUST CLASS S-3 CERTIFICATES	DE		X	X	09/25/91	
LB MORTGAGE TRUST SERIES 1991-1-1	DE		X	X	09/25/91	
LB MORTGAGE TRUST SERIES 1991-1-2	DE		X	X	09/25/91	
LB MORTGAGE TRUST SERIES 1991-1-3	DE		X	X	09/25/91	
					,, , ,	

	CTATE	OF ITEM NO		
NAME OF ISSUER	CODE	8K ITEM NO. 12345678	DATE C	OMMENT
LB MORTGAGE TRUST SERIES 1991-3		x x	09/25/91	
LUKENS INC /DE/	DE	X	10/07/91	
LYONDELL PETROCHEMICAL CO	DE	X	10/09/91	
MERIDIAN ASSET ACCEPTANCE CORP MOR PA TH		x x	09/25/91	
MICRON PRODUCTS INC	MA	X	10/07/91	
MINNESOTA POWER & LIGHT CO	MN	X	09/23/91	
MONTANA NATURALS INTERNATIONAL INC	MT	NO ITEMS		MEND
MOORES LANE PROPERTIES LTD	TN	X X	,, .	
MORTGAGE BANKERS FINANCIAL CORP I	DE	X X	10/01/91	
MOUNTAIN MEDICAL EQUIPMENT INC	DE	X X	09/17/91	
NCNB CORP	NC	NO ITEMS		MEND
NERCO INC	OR	X X	10/08/91	
NEWELL CO	DE	X X	09/24/91	
NORTH BY NORTHEAST LTD	TN	X X	10/03/91	
NORTH LENDERS L P	DE	X X	10/03/91	
NORWEST MASTER TRUST		X X	10/08/91	
RAINES LENDERS L P	DE	X X	10/03/91	
RAINES ROAD L P	DE	X X	10/03/91	
REGAL BELOIT CORP	DE	Х		MEND
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE	X	09/26/91	
RESOLUTION TRUST CORP MORT PAS THE CERT		X X	09/25/91	
RESOLUTION TRUST CORP MORT PAS THE CERT		X X	09/25/91	
RESOLUTION TRUST CORP MORT PASS THE CERT	DE	X X	09/25/91	
RESOLUTION TRUST CORP MORT PASS THRU CER		X X	09/25/91	
RESOLUTION TRUST CORP MORTGAGE PASS THR		X X	09/26/91 09/24/91	
RESOLUTION TRUST CORP MORTGAGE PASS THRO		X X X X		
RESOLUTION TRUST CORP MULTIFAMILY MOR PA			09/25/91	
RESOLUTION TRUST CORP MULTIFAMILY MORT P RF&P CORP	1/8	X X X X	09/26/91 09/30/91	
RMED INTERNATIONAL INC	VA CO	x ^	09/13/91	
RYLAND MORTGAGE SECURITIES CORP /VA/	VA	x x	09/26/91	
RYLAND MORTGAGE SECURITIES CORP SERIES 1	VA	x x	09/25/91	
RYLAND MORTGAGE SECURITIES CORPORATION S	VA	x x	09/25/91	
RYLAND MORTGAGE SECURITIES CORPORATION S	VA	x x	09/25/91	
RYLAND MORTGAGE SECURITIES CORPORATION S	VA	x x	09/25/91	
RYLAND MORTGAGE SECURITIES CORPORATION S	VA	x x	09/25/91	
SANIFILL INC	DE	x	10/08/91	
SCI MED LIFE SYSTEMS INC	MN	x x	10/02/91	
SCIENTIFIC SOFTWARE INTERCOMP INC	CO	X	10/01/91	
SENETEK PLC /ENG/		X	09/30/91	
SHAWMUT NATIONAL CREDIT CARD TRUST 1990		X X	10/08/91	
SQUARE D CO	DE	X		MEND
STRUCTURED MORTGAGE ASSET RESIDENTIAL TR	DE	хх	09/25/91	
STRUCTURED MORTGAGE ASSET RESIDENTIAL TR	DE	x x	09/25/91	
STRUCTURED MORTGAGE ASSET RESIDENTIAL TR	DE	x x	09/25/91	
TERMINAL APPLICATIONS GROUP INC	NY	X X	09/24/91	
VALID LOGIC SYSTEMS INC	DE	X	10/02/91	
VERDIX CORP	DE		09/27/91	
VIDEO COMMUNICATIONS & RADIO INC	CO	X	10/07/91	
VIDMARK INC	DE	хх	09/24/91	
WESTINGHOUSE ELECTRIC CORP	PA	хх	10/07/91	
WICAT SYSTEMS INC	DE	x x	09/27/91	
ZENOX INC	DE	x	10/03/91	

# SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

<u>Consumer Affairs</u> (202-272-7440): Investor inquiries and complaint processing information.

Freedom of Information Branch (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

<u>Personnel Locator</u> (202-272-2550): Requests for names and phone numbers of Commission personnel.

<u>Public Affairs</u> (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

<u>Public Reference</u> (202-272-7450): Requests for information on whether or not a document has been filed, etc.

Publications Unit (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

SEC Information Line (202-272-3100/5624): General Information about SEC operations and activities through a series of recorded messages.