# sec news digest

Issue 91-188

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September 27, 1991

## U.S. SECUHITIES COMMISSION ANNOUNCEMENTACHANGE COMMISSION

SEC, INTER-AMERICAN DEVELOPMENT BANK AND UNITED NATIONS ECONOMIC COMMISSION FOR LATIN AMERICA AND THE CARIBBEAN SIGN AN UNDERSTANDING

On September 26, the SEC entered into a Memorandum of Understanding with the Inter-American Development Bank and the United Nations Economic Commission for Latin America and the Caribbean concerning consultation and the provision of technical assistance for the development of securities markets in Latin America and the Caribbean. The Understanding was signed by Richard C. Breeden, Chairman of the SEC, Enrique V. Iglesias, President of the Inter-American Development Bank, and Gert Rosenthal, Executive Secretary of the United Nations Economic Commission for Latin America and the Caribbean. The Understanding provides for consultations with the SEC's Emerging Markets Advisory Committee to provide technical assistance to securities markets in Latin America and the Caribbean. The signatories also undertake to conduct joint studies to identify areas in which assistance may be provided to promote the sound regulation of securities markets. (Press Rel. 91-58)

#### CIVIL PROCEEDINGS

COMPLAINT AGAINST THE CROWN COMPANIES GROUP, LTD.

On September 26, the Commission announced the filing of a civil injunctive action in the U.S. District Court for the District of Columbia against The Crown Companies Group, Ltd. a/k/a The Crown Gold Companies Group, Ltd. (Crown), Pacific Registrar & Transfer Company, Matthew Bardasian, Patrick McDonald and Christopher Koutchis. The Commission's filing alleges violations of the antifraud and registration provisions of the federal securities laws.

In its complaint the Commission alleges that from in or about April 1989 through February 1990, the defendants engaged in a fraudulent scheme to promote and to distribute the unregistered securities of Crown, a publicly traded shell company with virtually no active business operations and minimal, if any, assets. The complaint alleges that Crown, Pacific Registrar, Bardasian and McDonald violated Section 17(a) of the Securities Act of 1933 (Securities Act) and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and that Crown, Pacific Registrar, Bardasian, and Koutchis violated Section 5 of the Securities Act. The complaint seeks injunctions against future violations of the federal securities laws and the disgorgement of ill-gotten gains from the sales of unregistered Crown securities. [SEC v. The Crown Companies Group, Ltd. a/k/a The Crown Gold Companies Group, Ltd., Pacific Registrar & Transfer Company, Matthew Bardasian, Patrick McDonald, and Christopher Koutchis, USDC, DC, Civil Action No. 91-2439] (LR-12996)

#### COMPLAINT FILED AGAINST CHARLES ALFANO

The Commission announced the filing of a complaint on September 20 in the U.S. District Court for Connecticut against Charles E. Alfano alleging fraud in connection with the offer and sale of the common stock of RW Technology, Inc. (RW). The complaint alleged that Alfano, in facilitating the unregistered distribution of RW's securities, misled investors with statements regarding stock price projections, the status of the market for RW's securities, the safety of an investment in RW, and the company's actual business operations.

Simultaneously with the filing of the complaint and without admitting or denying its allegations, Alfano consented to the entry of a Final Judgment of Permanent Injunction enjoining him from further violations of the registration and antifraud provisions of the federal securities laws. [SEC v. Charles E. Alfano, No. 291CV00848, TEC] (LR-12994)

#### SAM ANTAR PERMANENTLY ENJOINED, ORDERED TO DISGORGE LOSSES AVOIDED AND PAY ITSA PENALTY

The Commission announced that on September 25 the Honorable Nicholas H. Politan, U.S. District Court Judge for the District of New Jersey, entered a Final Judgment of Permanent Injunction and Other Relief (Final Judgment) against defendant Sam E. Antar (Antar) of Brooklyn, New York. The Final Judgment permanently enjoins him from further violations of the antifraud provisions of the federal securities laws and from serving as an officer or director of a company registered with the Commission. The Judgment also orders him to disgorge \$80,000 of losses illegally avoided on the sale of stock and pay \$80,000 in penalties under the Insider Trading Sanctions Act. Payment of all but \$20,000 of disgorgement was waived based on Antar's inability to pay.

The complaint, filed on September 6, 1989, alleges, among other things, that Antar violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-1 and 13b2-1. Antar is alleged to have participated in a scheme to overstate the financial statements of Crazy Eddie, Inc. and to have traded in the stock of Crazy Eddie, Inc. while in possession of material nonpublic information. [SEC v. Eddie Antar, et al., Civil Action No. 89-3773, NHP] (LR-12995)

#### INVESTMENT COMPANY ACT RELEASES

THE LAUREL FUNDS, INC.

A conditional order has been issued under Section 17(d) of the Investment Company Act and Rule 17d-1 thereunder to permit The Laurel Funds, Inc., Mellon Bank, N.A., and Frank Russell Investment Management Company to operate a joint trading account in repurchase agreements. (Rel. IC-18333 - September 25)

#### CBC CORNERSTONE FUNDS

A notice has been issued giving interested persons until October 16 to request a hearing on an application filed by CBC Cornerstone Funds, Furman Selz Incorporated, Texas Commerce Investment Management Company and The Portfolio Group, Inc., for an order under Section 6(c) of the Investment Company Act. The order would permit CBC Cornerstone Funds and certain other investment companies for which Furman Selz or its affiliates will in the future act as principal underwriter, adviser or administrator to issue and sell separate classes of securities representing interests in the same investment portfolio. (Rel. IC-18334 - September 26)

### HOLDING COMPANY ACT RELEASES

#### CENTRAL AND SOUTH WEST CORPORATION

An order has been issued authorizing a proposal by Central and South West Corporation (CSW), a registered holding company, and its wholly owned nonutility subsidiary Transok, Inc. (Transok). CSW has been authorized to make a term loan to Transok of up to \$300 million to be funded by the issuance and sale of commercial paper under an exception from competitive bidding. Transok has been authorized to use the proceeds of the loan to acquire through a to-be-formed wholly owned nonutility subsidiary (Acquisition Sub) the natural gas gathering, transmission and marketing business (GTM business) of TEX/CON Oil and Gas Company (TEX/CON). TEX/CON, a wholly owned subsidiary of BP Exploration, Inc., operates its GTM business through its wholly owned subsidiary, Lear Petroleum Corporation (Lear). Acquisition Sub will purchase all of the outstanding shares of common stock of Lear, which will be merged with and into Acquisition Sub. Transok will provide a loan to Acquisition Sub for purposes of the acquisition at the same rate and on the same terms and conditions as the loan from CSW to Transok. (Rel. 35-25385)

THE CONNECTICUT LIGHT AND POWER COMPANY EUA POWER CORPORATION

> An order has been issued authorizing a proposal by The Connecticut Light and Power Company (CL&P), a public-utility subsidiary company of Northeast Utilities, a registered holding company, and EUA Power Corporation (EUA Power). EUA Power is a debtor in possession under Chapter 11 of the Bankruptcy Code and a public-utility subsidiary company of Eastern Utilities Associates, a registered holding company. CL&P and EUA Power are joint owners of the Seabrook Nuclear Power Plant (Seabrook). CL&P proposes to make monthly advances (Advances) in an aggregate amount not to exceed \$6 million outstanding at any one time on behalf of EUA Power for certain expenses, including EUA Power's share of the costs relating to Seabrook. United Illuminating Company, an exempt public utility holding company and a Seabrook joint owner, also will make Advances up to \$9 million. The \$15 million in Advances will be secured by a lien on certain property of EUA Power's bankruptcy estate. Jurisdiction is reserved, pending completion of the record, over the participation of any additional Seabrook joint owners to make Advances. Authorization to engage in the proposed transactions is granted through March 1, 1993. (Rel. 35-25386)

#### SELF-REGULATORY ORGANIZATIONS

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change (SR-GSCC-91-01) filed under Rule 19b-4 by the <u>Government Securities Clearing Corporation</u> implementing GSCC's yield-to-price conversion. Publication of the proposal is expected in the <u>Federal Register</u> during the week of September 30. (Rel. 34-29732)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 633, 333 WEST WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 - INDEFINITE SHARES. DEPOSITOR: NUVEEN JOHN & CO INC. (FILE 33-42800 - SEP. 20) (BR. 22 - NEW ISSUE)
- S-2 HEALTHWATCH INC, 3400 INDUSTRIAL LN, STE A & B, BROOMFIELD, CO 80020 (303) 465-2000
   781,250 (\$4,101,563) COMMON STOCK. 781,250 (\$2,734,375) COMMON STOCK. 1,562,500
  (\$7,812,500) COMMON STOCK. (FILE 33-42831 SEP. 20) (BR. 7)
- S-6 FIRST TURST SPECIAL SITUATIONS TR SE 17 PE GR TR SE TR SE 1, 500 WEST MADISON ST SUITE 3000, CHICAGO, IL 60661 - INDEFINITE SHARES. (FILE 33-42832 - SEP. 20) (BR. 18 - NEW ISSUE)
- S-8 HARNISCHFEGER INDUSTRIES INC, 13400 BISHOPS LN, BROOKFIELD, WI 53005 (414) 671-4400 - 600,000 (\$11,625,000) COMMON STOCK. (FILE 33-42833 - SEP. 20) (BR. 9)
- S-8 ZOOM TELEPHONICS INC, 207 SOUTH ST, BOSTON, MA 02111 (617) 423-1073 745,000 (\$5,773,750) COMMON STOCK. (FILE 33-42834 SEP. 20) (BR. 7)
- S-3 BAKER J INC, 65 SPRAGUE ST, READVILLE, MA 02137 (617) 364-3000 30,000 (\$390,000) COMMON STOCK. (FILE 33-42835 - SEP. 20) (BR. 2)
- S-8 HYTEK MICROSYSTEMS INC, 400 HOT SPRINGS RD, CARSON CITY, NV 89706 (702) 883-0820 -276,175 (\$99,257.30) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-42836 -SEP. 20) (BR. 3)
- S-1 KINLAW ENERGY PARTNERS CORP, 8800 N CENTRAL EXPWY STE 1001, DALLAS, TX 75231 (214) 373-6432 6,000 (\$48,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-42837 SEP. 20) (BR. 12)
- S-3 FIRST UNION CORP, ONE FIRST UNION CTR, CHARLOTTE, NC 28288 (704) 374-6565 8,625,000 (\$205,921,875) COMMON STOCK. (FILE 33-42865 SEP. 20) (BR. 1)
- S-4 MWR POWER INC/IA, 666 GRAND AVE, DES MOINES, IA 50309 (515) 242-4300 845,718 (\$84,461,856.66) PREFERRED STOCK. (FILE 33-42866 SEP. 20) (BR. 8 NEW ISSUE)

#### REGISTRATIONS CONTINUED

- S-1 EPIGEN INC /DE, 272 OAKLAND ST, WELLESLEY, MA 02181 (617) 235-1606 958,334 (\$5,750,004) COMMON STOCK. 2,083,336 (\$9,375,012) COMMON STOCK. 3,125,004 (\$21,093,778) COMMON STOCK. 83,334 (\$83.33) WARRANTS, OPTIONS OR RIGHTS. 83,334 (\$600,005) COMMON STOCK. (FILE 33-42868 SEP. 20) (BR. 4 NEW ISSUE)
- S-3 CAROLINA POWER & LIGHT CO, 411 FAYETTEVILLE ST, RALEIGH, NC 27601 (919) 546-6111 275,000,000 (\$275,000,000) MORTGAGE BONDS. (FILE 33-42869 SEP. 20) (BR. 7)
- S-3 TRW INC, 1900 RICHMOND RD, CLEVELAND, OH 44124 (216) 291-7000 409,500,000 (\$409,500,000) STRAIGHT BONDS. UNDERWRITER: MERRILL LYNCH & CO, MORGAN J P SECURITIES INC, MORGAN STANLEY & CO INC, SALOMON BROTHERS INC. (FILE 33-42870 - SEP. 20) (BR. 4)
- N-2 LATIN AMERICA EQUITY FUND INC, 153 EAST 53RD ST, C/O BEA ASSOCIATES, NEW YORK, NY 10022 (212) 832-2626 - 4,600,000 (\$69,000,000) COMMON STOCK. (FILE 33-42871 - SEP. 20) (BR. 17)
- S-3 ELIZABETHTOWN WATER CO /NJ/, 600 SOUTH AVE, WESTFIELD, NJ 07090 (908) 654-1234 -75,000,000 (\$75,000,000) STRAIGHT BONDS. (FILE 33-42873 - SEP. 20) (BR. 8)
- S-8 MAXUM HEALTH CORP, 14850 QUORUM DR STE 400, DALLAS, TX 75240 (214) 716-6200 787,500 (\$9,843,750) COMMON STOCK. (FILE 33-42874 SEP. 20) (BR. 5)
- S-1 CUSTOM CHROME INC /DE, 16100 JACQUELINE COURT, MORGAN HILL, CA 95037 (408) 778-0500 - 2,875,000 (\$40,250,000) COMMON STOCK. UNDERWRITER: PRUDENTIAL SECURITIES INC, SEIDLER AMDEC SECURITIES INC, SUTRO & CO INC. (FILE 33-42875 - SEP. 20) (BR. 4 - NEW ISSUE)
- S-3 SEAGRAM CO LTD, 1430 PEEL ST, MONTREAL QUEBEC CANADA H3A 1S9, A8 (514) 849-5271 -300,000,000 (\$300,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-42877 -SEP. 20) (BR. 11)
- N-2 MUNIYIELD FUND INC, 800 SCUDDERS MILL RD, PLAINSBORO, NJ 08536 (609) 282-2800 -66,667 (\$1,000,005) COMMON STOCK. UNDERWRITER: MERRILL LYNCH PIERCE FENNER & SMITH INC. (FILE 33-42878 - SEP. 20) (BR. 17 - NEW ISSUE)
- S-1 WORLD ACCEPTANCE CORP/SC, 1251 S PLEASANTBURG DR, GREENVILLE, SC 29605 (803) 277-4570 - 1,000,000 (\$11,000,000) COMMON STOCK. 2,450,000 (\$26,950,000) COMMON STOCK. UNDERWRITER: BRADFORD J C & CO, PAINEWEBBER INC. (FILE 33-42879 -SEP. 20) (BR. 12 - NEW ISSUE)
- S-1 NOVA TECHNOLOGIES INC /DE, 89 CABOT COURT UNIT L, HAUPPAUGE, NY 11788 (516) 434-8811
   260,000 (\$2,600,000) COMMON STOCK. 520,000 (\$2,730,000) COMMON STOCK. 730,900
  (\$2,433,897) COMMON STOCK. 26,000 (\$312,000) WARRANTS, OPTIONS OR RIGHTS. 52,000
  (\$273,000) COMMON STOCK. UNDERWRITER: ERUO ATLANTIC SECURITIES INC. (FILE 33-42880 SEP. 20) (BR. 6 NEW ISSUE)
- S-2 PENNSYLVANIA REAL ESTATE INVESTMENT TRUST, 455 PENNSYLVANIA AVE, STE 135, FORT WASHINGTON, PA 19034 (215) 542-9250 - 500,000 (\$9,625,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-42881 - SEP. 20) (BR. 6)
- S-8 MONTANA POWER CO /MT/, 40 E BROADWAY, BUTTE, MT 59701 (406) 723-5421 1,000,000 (\$23,750,000) COMMON STOCK. (FILE 33-42882 SEP. 20) (BR. 8)
- F-3 IMPERIAL CHEMICAL INDUSTRIES PLC /ADR/, IMPERIAL CHEMICAL HOUSE, MILLBANK, LONDON SW1P 3JF ENGLAND, X0 (212) 530-5630 - 750,000,000 (\$750,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-42883 - SEP. 20) (BR. 1)
- S-3 CROWN CORK & SEAL CO INC, 9300 ASHTON RD, PHILADELPHIA, PA 19136 (215) 698-5100 -200,000 (\$17,288,000) COMMON STOCK. 750,000 (\$64,830,000) COMMON STOCK. (FILE 33-42884 - SEP. 20) (BR. 9)

#### ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER		FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	
ACMAT CORP	CL A			•	00461620	
SHEET METAL WORKERS PENS I	FD	13D	9/12/91	64.8	53.6	UPDATE
ADAPTEC INC	COM			510	00699010	ł
STATE OF WISCONSIN INVEST	BD	13D	9/ 9/91	5.2	6.5	UPDATE
ALLOU HLTH & BEAUTY CARE INC	CL A			222	01978210	
DAVIS J MORTON ET AL		130	9/16/91	8.6	12.4	UPDATE
ALROM CORP	COM			147	02091610	
HARRIS LAWRENCE L		13D	7/18/91	10.0	0.0	NEW
ASPEN IMAGING INTL INC	COM			175	04530110	
HERNANDEZ LUIS A ET AL		13D	9/23/91	5.5	0.0	NEW
BSB BANCORP	СОМ			110	05565210	
GRIFFIN WILLIAM M ET AL		13D	9/10/91		5.7	
BASIC PETE INTL LTD	COM			191	07003610	
BEAGLE LTD ET AL		13D	9/19/91		8.5	
C TEC CORP	COM			2 091	12650410	
GAMCO INVESTORS INC ET AL		13D	9/20/91	•	12.1	
D B A SYS INC	COM			226	23303110	
ECKSTEIN KATHRYN A		13D	8/14/91		0.0	
D B A SYS INC	COM			374	23303110	
HEBERT HOWARD N		13D	9/16/91		1.3	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER		FORM		SHRS(000)/	-	
NAME AND CLASS OF STOCK/OWNER		FURM	DATE	%OWNED	PRIOR%	STATUS
D B A SYS INC Nohrr Donald A Trustee	COM	13D	9/8/9	0		) UPDATE
WORK DOWLD A TROUTLE		1.00	77 077	. 0.0	N/A	UPUATE
ELCOR CORP	COM			1,026	28444310	כ
GAMCO INVESTORS INC ET AL		13D	9/20/9	1 14.1	12.8	UPDATE
FERROFLUIDICS CORP	COM N	EW \$0.00	4	1,215	31541420	נ
MOODY BRUCE		130	8/14/9			
FIFTH DIMENSION INC	COM			9	31671110	1 I
GELMAN GARY		130	9/20/9		91.3	-
FIRST WESTN FINL CORP	COM			160	33753110	h
HECCO VENTURES ET AL	CON	13D	9/23/9			NEW
GREAT OAKS FINL CORP	COM			29,400	39090610	)
ACTION STAFFING INC		13D	8/30/9	1 80.0	0.0	NEW
						_
HARLEY DAVIDSON INC	COM	170	0 (12 (0	•	41282210	
STATE OF WISCONSIN INVEST	во	130	9/12/9	1 6.8	7.0	UPDATE
MEDENTA CORP	COM			997	58409610	נ
DAVIS J MORTON ET AL		13D	9/17/9	1 27.6	20.2	UPDATE
						-
MEDICIS PHARMACEUTICAL CORP	CL A	170	0, (1)	•	58469010	
DR CAPITAL VI		13D	9/ 6/9	1 7.2	0.0	NEW
MICROSEMI CORP	COM			0	59513710	)
EMERGING GROWTH PARTNERS I	ET AL	13D	9/18/9	1 0.0	N/A	UPDATE
NICHOLS INST	CL A			•	65380640	
STATE OF WISCONSIN INVEST	BD	13D	9/11/9	1 N/A	4.1	UPDATE
PANSOPHIC SYS INC	COM			1,250	69863110	2
STATE OF WISCONSIN INVEST		13D	9/12/9	•		
PITCAIRN GRP LP	UTS L	TD PRTNS		239	7243009	
PITCAIRN STEPHEN		13D	8/14/9	1 41.0	41.6	UPDATE
RESPONSE TECHNOLOGIES INC	COM			2,895	76123210	0
FORSTMANN CATHERINE S ET		13D	5/28/9	•		NEW
WEBSTER FINL CORP WATERBURY	COM			0		
WMG CO ET AL		13D	9/ 6/9	1 0.0	6.7	UPDATE
WESTERN DIGITAL CORP	COM			1,856	9581021	0
STATE OF WISCONSIN INVEST		13D	9/10/9	•		UPDATE
WHITTAKER CORP	COM P	AR \$0.01			9666804	
PEGASUS HLDG ET AL		13D	9/17/9	1 6.6	7.8	UPDATE

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Changes in Control of Registrant. Item 1.
- Acquisition or Disposition of Assets. Item 2.
- Bankruptcy or Receivership. Item 3.
- Changes in Registrant's Certifying Accountant. Other Materially Important Events. Item 4.
- Item 5.
- Resignations of Registrant's Directors. Item 6.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE	8K ITEM NO. 1 2 3 4 5 6		DATE	COMMENT
PLM EQUIPMENT GROWTH FUND IV	CA	X	x	07/26/91	
PLM EQUIPMENT GROWTH FUND V	CA	X	x	07/26/91	
PLM INTERNATIONAL INC	DE	X	x	07/26/91	
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	x	x	07/26/91	
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	x	x	07/26/91	
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	X	x	07/26/91	
PLM TRANSPORTATION EQUIPMENT PARTNERS IX	CA	x	х	07/26/91	
PLM TRANSPORTATION EQUIPMENT PARTNERS VI	CA	x	x	07/26/91	
PLM TRANSPORTATION EQUIPMENT PARTNERS VI	CA	x	х	07/26/91	
PRINTRON INC	DE	X	Х	09/19/91	
PRUDENTIAL HOME MORTGAGE SECURITIES COMP	DE	x	x	09/12/91	
RADON TESTING CORP OF AMERICA INC	NY	x		08/29/91	
RCM TECHNOLOGIES INC	NV	х		08/22/91	
REPUBLIC FEDERAL SAVINGS & LOAN ASSOCIAT	CA	х	x	09/20/91	
RIC 26 LTD	CA	x	x	09/09/91	
RMI COVERED HOPPER RAILCAR MANAGEMENT PR	CA	X	X	07/26/91	
SALOMON INC	DE	X	X	09/24/91	
SEAGRAM CO LTD		X	X	09/20/91	
SEARS ROEBUCK & CO	NY	х	Х	09/18/91	
SECURITY PACIFIC NATIONAL BANK SERIES 19		х	x	09/16/91	
SECURITY PACIFIC NATIONAL BANK SERIES 19		x	X	09/16/91	
SHELL OIL CO	DE	x	х	09/12/91	
SIERRA CAPITAL REALTY TRUST VI CO	MO	х	X	09/16/91	
SIERRA CAPITAL REALTY TRUST VII CO	MO	х	Х	09/16/91	
SIERRA CAPITAL REALTY TRUST VIII CO	MO	х	х	09/16/91	
SIERRA REAL ESTATE EQUITY TRUST 83	CA	X	X	09/16/91	
SOCIETY CORP	OH	X	X	09/12/91	
SOUTHEAST BANKING CORP	FL	x	X	09/20/91	
SOUTHERN BANK GROUP INC	GA	x		09/17/91	
SOVEREIGN BANCORP INC	PA	x	x	09/06/91	
SPORTING LIFE INC	DE	x		09/16/91	
STAODYN INC	DE	x		09/16/91	

# 8K REPORTS CONT.

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NAME OF ISSUER	STATE CODE	8K ITEM NO 12345		DATE	COMMENT
SUFFIELD FINANCIAL CORP	DE	x x	×	09/06/91	•••••
SUPER FOOD SERVICES INC	DE	x	x	08/30/91	
SWIFT TRANSPORTATION CO INC	DE	x	X	09/09/91	
TACOMA BOATBUILDING CO	WA		x	09/13/91	
TELESPHERE COMMUNICATIONS INC	DE	x		09/11/91	
TEMPLE INLAND INC	DE	х	х	09/06/91	
TIMBERLINE MINERALS INC	NV	x x		09/21/91	
TODD SHIPYARDS CORP	DE	x	x	09/17/91	
TODD SHIPYARDS CORP	DE	x	x	09/23/91	
TRANSAMERICA OCCIDENTAL LIFE INSURANCE C	CA	x	x	09/20/91	
TRUSTMARK CORP	MS	x		09/16/91	
UNITED BANCORP INC /MI/	MI	x	х	09/16/91	
US WEST INC	со	x	x	09/24/91	
UST CORP	MA	x		09/20/91	
VARITY CORP	DE	Х	x	09/23/91	
WAINOCO OIL CORP	ΨY	x		09/17/91	
WASHINGTON GAS LIGHT CO	DC	x	X	09/12/91	
WASHINGTON GEORGE CORP	DE	x		07/05/91	AMEND
WEBSTER FINANCIAL CORP	DE	х	x	09/06/91	
WESTERN REAL ESTATE FUND INC	DE	x	х	07/09/91	
WISE VENTURES INC	DE		x	09/12/91	AMEND
WYMAN GORDON CO	MA	x	х	09/06/91	
ADIENCE INC	DE	x	x	09/23/91	
ALLEGHENY INTERNATIONAL /PA	PA		X	09/11/91	
ALROM CORP	NY		x	09/20/91	
AMERIANA BANCORP	IN	x	X	0 <b>9/18/91</b>	
AMERICAN ADVENTURE INC /DE/	DE	X		08/01/91	
AMERICAN INSURED MORTGAGE INVESTORS	CA	x		09/25/91	
AMERICAN INSURED MORTGAGE INVESTORS L P	DE	x		09/25/91	
AMERICAN INSURED MORTGAGE INVESTORS L P	DE	x		09/25/91	
ARCUS INC	DE	x	x	09/16/91	
BANYAN HOTEL INVESTMENT FUND	DE	x	x	09/24/91	
BANYAN MORTGAGE INVESTMENT FUND	DE	X	x	09/24/91	
BANYAN MORTGAGE INVESTORS L P	DE	X	x	09/24/91	
BANYAN MORTGAGE INVESTORS L P 11	DE	X	x	09/24/91	
BANYAN MORTGAGE INVESTORS L P III	DE	x	x	09/24/91	
BANYAN SHORT TERM INCOME TRUST	MA	x	х	09/24/91	
BANYAN STRATEGIC LAND FUND II	DE	x	x	09/24/91	
BANYAN STRATEGIC LAND TRUST	MA	x	x	09/24/91	
BLACK & DECKER CORP	MD	X	x	09/25/91	
BRYN MAWR BANK CORP	PA	X		09/19/91	
CENTRAL PENNSYLVANIA FINANCIAL CORP	PA	x	X	09/19/91	
CENTURI INC	FL	X	x	09/13/91	
CHANCELLOR CORP	MA	x	х	09/18/91	
CITICORP MORTGAGE SECURITIES INC	DE	X		09/24/91	
COMPRESSION TECHNOLOGIES INC /DE/	DE	NO ITEMS		08/20/91	AMEND
COMPRESSION TECHNOLOGIES INC /DE/	DE	X		09/13/91	
CONSOLIDATED AMERICAN INDUSTRIES INC /DE	DE	x		09/14/91	
COOPER INDUSTRIES INC	OH	х		09/24/91	
COORS ADOLPH CO	со	X		09/20/91	
COUNTY BANK CORP	MI	х		09/17/91	
DATAMARINE INTERNATIONAL INC	MA	х		09/20/91	

	STATE	SK ITEM NO.		
NAME OF ISSUER	CODE	12345678	DATE	COMMENT
******				
DIVERSCO INC	SC	X	08/23/91	
DIVERSIFIED INDUSTRIES INC	DE	X X	09/12/91	
EASTERN CO	CT	X X	09/16/91	
ECKERD JACK CORP /DE/	DE	X X	09/23/91	
ELECTROMAGNETIC OIL RECOVERY INC	OK	X	08/01/91	AMEND
ELECTROMAGNETIC OIL RECOVERY INC	OK	X X	09/16/91	
ELECTRONICS MISSILES & COMMUNICATIONS IN	DE	X	09/17/91	
ENERGY SERVICE COMPANY INC	DE	X X	09/13/91	
F&E RESOURCE SYSTEMS TECHNOLOGY INC	MD	X	09/23/91	
FBS MORTGAGE CORP MOR PA THR CERT SERIES	NV	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORT PAS THR CERT SERI	NV	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORTGAGE PAS THR CERT	NV	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NE	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS	09/16/91	
FBS MORTGAGE CORP MORTGAGE PASS THR CERT	NV	NO ITEMS	09/16/91	
FORME CAPITAL INC	DE	XX	07/22/91	AMEND
FPA CORP /DE/	DE	X X	09/11/91	
GECPF-2 TAX EXEMPT GRANTOR TRUSTS	NY	XX	09/25/91	
GLENDALE FEDERAL BANK FEDERAL SAVINGS BA		XX	08/26/91	
GRAYHOUND ELECTRONICS INC	DE	X X	09/20/91	
GROEN BROTHERS AVIATION INC	UT	x x x	08/19/91 07/26/91	AMEND
HANDLEMAN CO /DE/	DÊ		• = •	AMEND
HEAVY DUTY AIR INC	MN MT	× × × × × ×	09/11/91 09/19/91	
HIGHLAND GOLD PROPERTIES INC HOME MISSION BOARD OF THE SOUTHERN BAPTI	GA	x	09/15/91	
INDIANA GAS CO INC	IN	Ŷx	09/15/91	
INFORMATION DISPLAY TECHNOLOGY INC	NY	x x	09/23/91	
INTEGRATED RESOURCES AMERICAN INSURED MT	CA	x	09/15/91	
INTERACTIVE TERMINALS INC /NV/	NV	x	09/19/91	
INTERNATIONAL AIRLINE SUPPORT GROUP INC	DE	x x	09/19/91	
KENILWORTH SYSTEMS CORP	NY	NO ITEMS	09/25/91	
LA GEAR INC	CA	X X	09/12/91	
LAS VEGAS RESORTS CORP	NV	XX	09/10/91	
LIBERTY NATIONAL BANCORP INC /KY/	KY	X	09/23/91	
MAKAHA INC	DE	X	06/04/91	AMEND
MANUFACTURED HOMES INC	NC	X	09/19/91	
MARINE MIDLAND BANK N A		хх	09/16/91	
MARINE MIDLAND BANKS INC	DE	x	09/17/91	
MARINE MIDLAND HOME EQUITY LOAN TRUST 19		хх	09/16/91	
MARINE MIDLAND 1987-1 CARS SM TRUST	NY	хх	09/15/91	
MARINE MIDLAND 1988-1 CARS R TRUST	NY	хх	09/15/91	
MARINE MIDLAND 1988-2 CARS R TRUST	NY	хх	09/15/91	
MARINE MIDLAND 1989-1 CARS R TRUST	NY	хх	09/15/91	
MARINE MIDLAND 1989-2 CARS R TRUST	NY	хх	09/15/91	
MEPC CAPITAL CORP	DE	X	09/10/91	
MEPC CAPITAL CORP II	NV	x	09/20/91	
MERRY GO ROUND ENTERPRISES INC	MD	x x	09/20/91	
MIDLANTIC CORP	NJ	хх	09/11/91	

# 8K REPORTS CONT.

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NAME OF ISSUER	STATE	SK ITEM NO			
NAME OF ISSUER	CODE	123450		DATE	COMMENT
MIDWAY AIRLINES INC /DE/	DE	X		09/23/91	* • • • • • • •
MILLER BUILDING SYSTEMS INC	DE	x		09/23/91	
HMR HOLDING CORP	DE	Ŷ	x	09/23/91	
MORGAN J P & CO INC	DE	x	^	09/24/91	
MORTGAGE BANKERS FINANCIAL CORP 1	DE	Ŷ	x	09/20/91	
MORTGAGE BANKERS FINANCIAL CORP I	DE	x	x	09/25/91	
MUTUAL ASSURANCE INC	AL	x	<sup>°</sup>	09/11/91	
NATIONAL MEDIA CORP	DE	x		09/24/91	
NEW YORK TELEPHONE CO	NY	x		09/12/91	
OHN CORP	DE	x	x	09/16/91	
CHNI CORP	co	x x	хх	09/20/91	
PAN AN CORP/DE	DE	x	x	09/20/91	
PAN AMERICAN WORLD AIRWAYS INC	DE	x	x	09/20/91	
PANTHEON INDUSTRIES INC	CO	NO ITEMS		08/07/91	AMEND
PENGO INDUSTRIES INC	TX	X	x	09/12/91	
PEOPLES BANCSHARES OF POINTE COUPEE PARI	LA	x		09/16/91	
POPE EVANS & ROBBINS INC	NY	x		09/20/91	
PRUDENTIAL REALTY TRUST	MA	x		09/17/91	
RADIATION DISPOSAL SYSTEMS INC	NC	x		09/20/91	
RAX RESTAURANTS INC	OH	X	x	09/13/91	
RETIREMENT LIVING TAX EXEMPT MORTGAGE FU	DE	x	x	09/11/91	
RYKOFF SEXTON INC	DE	x		09/25/91	
SABA PETROLEUM CO	co		x	09/11/91	
SAFEGUARD SCIENTIFICS INC	PA	x	x	07/10/91	AMEND
SIERRA REAL ESTATE EQUITY TRUST 82	CA	x	x	09/11/91	
SILENTRADIO INC	DE	X	x	02/22/91	AMEND
TECHNICLONE INTERNATIONAL CORP	CA	x		09/16/90	
TELEMATICS INTERNATIONAL INC /FL/	DE	X	X	09/24/91	
TEXON ENERGY CORP	TX	NO ITEMS		08/12/91	AMEND
UAL CORP /DE/	DE	X	X	09/17/91	AMEND
US BANCORP /OR/	OR	x	X	09/23/91	
UTILICORP UNITED INC	DE	X	X	09/25/91	
WHITTAKER CORP	DE	X X	X	09/25/91	
WILLAMETTE INDUSTRIES INC	OR	X	X	08/26/91	
WILSON LEE ENGINEERING CO INC	OH	X		09/23/91	
XEROX CORP	NY	X		08/15/91	
XEROX CREDIT CORP	DE	X,		09/24/91	
YARDLEY VENTURES INC	۲W	X X	(X	09/17/91	

#### SEC PUBLIC INFORMATION NUMBERS

Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office:

<u>Consumer Affairs</u> (202-272-7440): Investor inquiries and complaint processing information.

<u>Freedom of Information Branch</u> (202-272-7420): Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc.

<u>Personnel Locator</u> (202-272-2550): Requests for names and phone numbers of Commission personnel.

<u>Public Affairs</u> (202-272-2650): Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters.

<u>Public Reference</u> (202-272-7450): Requests for information on whether or not a document has been filed, etc.

<u>Publications Unit</u> (202-272-7460/7461): Requests for forms, studies, directories, etc.

Office of the Secretary (202-272-2600): Requests for information on the Commission calendar.

<u>SEC Information Line</u> (202-272-3100/5624): General Information about SEC operations and activities through a series of recorded messages.