# sec news digest

# AUG 9 1991

Issue 91-153

U.S. SECURITIES EXCHANGE COMMISSION

August 8, 1991

COMMISSION ANNOUNCEMENTS

JOINT PRESS RELEASE BY THE SEC AND THE COMISION NACIONAL DE VALORES OF MEXICO

In their Second Bilateral Meeting, representatives of the SEC and the Comision Nacional de Valores of Mexico met on August 7 to exchange views regarding developments in the U.S. and Mexican securities markets and issues related to the regulation of those markets. (Press Rel. 91-41)

## ADMINISTRATIVE PROCEEDINGS

#### ROGER HOUDEK CHARGED

The Denver Regional Office announced that on August 1 the Commission instituted public administrative proceedings against Roger J. Houdek based upon a permanent injunction entered against Houdek and the underlying facts [SEC v. Roger J. Houdek, 87-M-1434, USDC Colorado 1991].

The allegations include, among other things, that between in or about July 1982 and November 1984, defendant Houdek offered and sold unregistered securities in the form of limited partnership interests to unsophisticated investors. The allegations also state that Houdek used pre-existing relationships of trust and confidence with former insurance clients to change these clients' investment strategies from conservative annuity investments for retirement to highly speculative limited partnership interests. Houdek obtained commissions and fees from investors' funds without full disclosure and commingled partnership funds. He also misrepresented the financial stability and future earning prospects of the limited partnerships and misrepresented his experience with and understanding of limited partnership offerings in the transactions with his customers. The allegations further states that Houdek misrepresented his knowledge of the tax laws and tax consequences of his customers' investments. A date for the hearing has not yet been set. (Rel. 34-29514)

PACIFIC STOCK EXCHANGE ACTION AGAINST DAVID ORNSTIL AFFIRMED

The Commission has affirmed sanctions imposed by the Pacific Stock Exchange (PSE) on David J. Ornstil of San Francisco, California. Ornstil is a PSE member and a registered market maker on the Exchange Options Trading Floor. The Exchange fined Ornstil \$5,000 and suspended him as a market maker on the floor for two months, during which time he is prohibited from effecting opening transactions for his market maker account. The Commission found, as had the PSE, that Ornstil violated the PSE constitution by using indecorous, unprofessional and abusive language in addressing an Exchange hearing panel and certain Exchange staff members during a formal disciplinary hearing in 1988. In affirming the sanctions imposed by the Exchange, the Commission stated that "conduct such as that in which Ornstil engaged undermines the PSE's ability to carry out its self-regulatory functions and cannot be countenanced." (Rel. 34-29513)

### INVESTMENT COMPANY ACT RELEASES

GOVERNMENT SECURITIES EQUITY TRUST. SERIES I AND SUBSEQUENT SERIES, ET AL.

A notice has been issued giving interested persons until September 3 to request a hearing on an application filed by Government Securities Equity Trust, Series I and Subsequent Series (Trust Series), et al. for an order under Sections 6(c) and 17(d) of the Investment Company Act and Rule 17d-1 thereunder. The order would delete a condition in an existing order which prohibited the Trust Series from purchasing shares of certain open-end investment companies that had established multiple classes of shares and prohibited these investment companies from establishing additional classes if their shares were held by any of the Trust Series. (Rel. IC-18260 - August 6)

ALEX. BROWN CASH RESERVE FUND, INC. ET AL.

A conditional order has been issued under Section 6(c) of the Investment Company Act exempting Alex. Brown Cash Reserve Fund, Inc., et al. from Sections 2(a)(32), 2(a)(35), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder to permit applicants to impose a contingent deferred sales load on the redemption of certain shares purchased at net asset value and to waive the load in certain instances. (Rel. IC-18261 - August 7)

## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

#### WITHDRAWAL GRANTED

An order has been issued granting the application of Ruddick Corporation to withdraw from listing and registration its Non-cumulative, Voting \$.56 Convertible Preference Stock, \$5.00 Par Value, on the <u>American Stock Exchange</u>. (Rel. 34-29526)

#### UNLISTED TRADING PRIVILEGES GRANTED

Orders have been issued granting the applications of two exchanges for unlisted trading privileges in the common stock of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: <u>Philadelphia Stock Exchange</u> - 6 issues (Rel. 34-29527); and <u>Midwest Stock Exchange</u> - 5 issues (Rel. 34-29529).

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#### UNLISTED TRADING PRIVILEGES SOUGHT

Notices have been issued giving interested persons until August 27 to comment on the applications of two exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: <u>Midwest Stock Exchange</u> - 4 issues (Rel. 34-29530); and <u>Philadelphia Stock Exchange</u> - 4 issues (Rel. 34-29531).

#### SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The <u>Delta Government Options Corporation</u> filed a proposed rule change (SR-DGOC-91-02) under Rule 19b-4 relating to the definition of options expiration date. Publication of the order is expected in the <u>Federal Register</u> during the week of August 12. (Rel. 34-29528)

# SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 CIRCLE FINANCIAL CORPORATION, 11100 READING RD, SHARONVILLE, OH 45241 (513) 563-6231 - 65,000 (\$747,500) COMMON STOCK. (FILE 33-41758 - JUL. 30) (BR. 1)
- S-8 BEAUTY LABS INC, 60 OSER AVE, HAUPPAUGE, NY 11788 (516) 273-5100 290,000 (\$255,693) COMMON STOCK. (FILE 33-41785 JUL. 22) (BR. 6)
- S-3 HARLEYSVILLE GROUP INC, 355 MAPLE AVE, HARLEYSVILLE, PA 19438 (215) 256-5000 1,265,000 (\$38,424,375) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS. (FILE 33-41845 JUL. 31) (BR. 10)
- S-8 XYVISION INC, 101 EDGEWATER DR, WAKEFIELD, MA 01880 (617) 245-4100 420,000 (\$157,500) COMMON STOCK. (FILE 33-41846 JUL. 31) (BR. 10)
- S-1 SIX FLAGS CORP /DE/, 611 RYAN PLZ DR, ARLINGTON, TX 76011 (817) 261-5700 564,145 (\$3,761,154.72) COMMON STOCK. 10,000 (\$39,500,000) PREFERRED STOCK. (FILE 33-41847 -JUL. 31) (BR. 12)
- S-1 CENIT BANCORP INC, 225 WEST OLNEY RD, NORFOLK, VA 23510 (804) 446-6600 977,500 (\$11,241,250) COMMON STOCK. (FILE 33-41848 JUL. 31) (BR. 2 NEW ISSUE)
- S-8 NETWORK SYSTEMS CORP, 7600 BOONE AVE NORTH, MINNEAPOLIS, MN 55428 (612) 424-4888 1,864,892 (\$15,601,220.99) COMMON STOCK. (FILE 33-41849 JUL. 31) (BR. 10)
- S-1 MBNA AMERICA BANK NATIONAL ASSOCIATION, 400 CHRISTIANA RD, NEWARK, DE 19713 (302) 453-9930 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-41850 -JUL. 31) (BR. 11)

- S-1 MINPETRO LTD, 230 PARK AVE, NEW YORK, NY 10169 (212) 557-7777 4,675,000 (\$4,675,000) COMMON STOCK. (FILE 33-41852 AUG. 01) (BR. 11)
- S-3 MAGNETEK INC, 11150 SANTA MONICA BLVD, 15TH FL, LOS ANGELES, CA 90025 (213) 473-6681
  69,000,000 (\$69,000,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-41854 AUG. 01) (BR. 7)
- S-4 NYLAN LABORATORIES INC, 1030 CENTURY BLDG, PITTSBURGH, PA 15222 (412) 232-0100 1,078,504 (\$49,052,765) COMMON STOCK. (FILE 33-41856 JUL. 31) (BR. 4)
- S-8 POLYGRAM N V, GERRIT VAN DER VEENLAAN 4, 3743 DN BAARN THE NETHERLANDS, P7 500,000 (\$8,790,000) COMMON STOCK. (FILE 33-41857 JUL. 31) (BR. 11)
- S-2 SUN LIFE ASSURANCE CO OF CANADA US, ONE SUN LIFE EXECUTIVE PARK, WELLESLEY HILLS, MA 02181 (617) 237-6030 - \$250,000,000 VARIABLE ANNUITY ISSUES. (FILE 33-41858 - JUL. 31) (BR. 20)
- S-3 DIAMOND STATE TELEPHONE CO, 911 TATNALL ST, WILMINGTON, DE 19801 (302) 427-7750 30,000,000 (\$30,000,000) STRAIGHT BONDS. (FILE 33-41861 AUG. 01) (BR. 7)
- S-8 ORGANOGENESIS INC, 83 ROGERS ST, CAMBRIDGE, MA 02142 (617) 864-0640 500,000 (\$3,531,250) COMMON STOCK. (FILE 33-41862 AUG. 01) (BR. 8)
- S-8 USX CORP, 600 GRANT ST, PITTSBURGH, PA 15219 (412) 433-1121 4,700,000 (\$121,025,000) COMMON STOCK. 865,000 (\$22,598,125) COMMON STOCK. (FILE 33-41864 -AUG. 01) (BR. 6)
- S-8 MERRILL LYNCH & CO INC, WORLD FINANCIAL CENTER, NORTH TOWER(250 VESEY ST), NEW YORK, NY 10281 (212) 449-1000 - 5,000,000 (\$200,000) PREFERRED STOCK. (FILE 33-41942 -AUG. 01) (BR. 12)
- S-8 DOCUCON INCORPORATED, 9100 IH 10 WEST, STE 100, SAN ANTONIO, TX 78230 (512) 593-0183 - 950,000 (\$1,092,500) COMMON STOCK. (FILE 33-41948 - JUL. 29) (BR. 9)
- S-2 AMERICOLD CORP /OR/, 1515 SW FIFTH AVE STE 700, PORTLAND, OR 97201 (503) 224-3480 - 6,500 (\$6,500,000) STRAIGHT BONDS. (FILE 33-41963 - JUL. 31) (BR. 4)
- F-1 STORMONT INC, 22 KILDARE ST, DUBLIN REPUBLIC OF IRELAND, D8 2,500,000 (\$1,250,000) FOREIGN COMMON STOCK. 2,500,000 (\$1,875,000) FOREIGN GOVERNMENT AND AGENCY DEBT. 2,500,000 (\$3,125,000) FOREIGN GOVERNMENT AND AGENCY DEBT. UNDERWRITER: FIRST CHOICE SECURITIES CORP. (FILE 33-41964 - JUL. 31) (BR. 5 - NEW ISSUE)
- S-8 CONTINUUM CO INC, 9500 ARBORETUM BLVD, AUSTIN, TX 78759 (512) 345-5700 200,000 (\$1,600,000) COMMON STOCK. (FILE 33-41965 JUL. 31) (BR. 9)
- S-8 CLAIRES STORES INC, 3 S W 129TH AVE, PEMBROKE PINES, FL 33027 (305) 433-3900 100,000 (\$1,338,000) COMMON STOCK. (FILE 33-41966 JUL. 31) (BR. 1)
- S-8 SUMMCORP, ONE SUMMIT SQ, P O BOX 2345, FORT WAYNE, IN 46801 (219) 427-8333 260,000 (\$6,370,000) COMMON STOCK. (FILE 33-41967 JUL. 31) (BR. 1)
- S-8 UAL CORP /DE/, 1200 E ALGONQUIN RD, ELK GROVE TOWNSHIP, IL 60007 (708) 952-4000 -62,764 (\$11,586,234) COMMON STOCK. 937,236 (\$130,744,422) COMMON STOCK. (FILE 33-41968 - JUL. 31) (BR. 3)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 623, 333 WEST WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 - INDEFINITE SHARES. (FILE 33-41974 -JUL. 30) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FDS MUN INVT TR FD INTERMDIATE TERM SERIES 180, ONE CHASE MANHATTAN PLAZA, C/O DAVIS POLK & WARDWELL, NEW YORK, NY 10005 -INDEFINITE SHARES. (FILE 33-41975 - JUL. 31) (BR. 22 - NEW ISSUE)

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#### **REGISTRATIONS CONTINUED**

- S-6 DEFINE ASSET FUNDS MUNICIPAL INVT TR FD MULTISTATE SER 9G, NY INDEFINITE SHARES. (FILE 33-41976 - JUL. 31) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FDS MUN INVT TR FD INSURED SERIES 171, ONE CHASE MANHATTAN PLZ, C/O DAVIS POLK & WARDWELL, NEW YORK, NY 10005 (212) 530-4540 - INDEFINITE SHARES. (FILE 33-41977 - JUL. 31) (BR. 22 - NEW ISSUE)
- S-4 SCANA CORP, 1426 MAIN ST, P O BOX 764, COLUMBIA, SC 29201 (803) 748-3000 1,000,000 (\$14,414,060) COMMON STOCK. (FILE 33-41978 JUL. 31) (BR. 13)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 624, 333 WEST WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 - INDEFINITE SHARES. (FILE 33-41979 -JUL. 31) (BR. 22 - NEW ISSUE)
- S-6 DEFINED ASSET FDS MUN INVT TR FD MULTISTATE SERIES 9F, NY INDEFINITE SHARES. (FILE 33-41980 - JUL. 31) (BR. 22 - NEW ISSUE)
- S-3 GEICO CORP, GEICO PLZ, WASHINGTON, DC 20076 (301) 986-2027 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 33-41981 AUG. 01) (BR. 13)
- S-8 COMPUTER TASK GROUP INC, 800 DELAWARE AVE, BUFFALO, NY 14209 (716) 882-8000 2,000,000 (\$12,220,000) COMMON STOCK. 500,000 (\$3,595,000) COMMON STOCK. 400,000 (\$2,876,000) COMMON STOCK. (FILE 33-41995 JUL. 31) (BR. 10)
- S-8 GREINER ENGINEERING INC, 909 E LAS COLINAS BLVD, STE 1900, IRVING, TX 75039 (214) 869-1001 - 200,000 (\$2,962,500) COMMON STOCK. (FILE 33-41996 - JUL. 31) (BR. 9)
- S-3 ENERGEN CORP, 2101 SIXTH AVE N, BIRMINGHAM, AL 35203 (205) 326-2629 200,000 (\$3,487,500) COMMON STOCK. (FILE 33-41997 JUL. 31) (BR. 7)
- S-8 ECOLOGY & ENVIRONMENT INC, 368 PLEASANTVIEW DR, LANCASTER, NY 14086 (716) 684-8060 - 200,000 (\$3,363,000) COMMON STOCK. (FILE 33-41998 - JUL. 31) (BR. 9)
- S-8 LSI LOGIC CORP, 1551 MCCARTHY BLVD, MILPITAS, CA 95035 (408) 433-8000 1,000,000 (\$7,125,000) COMMON STOCK. (FILE 33-41999 - JUL. 31) (BR. 3)
- S-8 LSI LOGIC CORP, 1551 MCCARTHY BLVD, MILPITAS, CA 95035 (408) 433-8000 2,000,000 (\$14,250,000) COMMON STOCK. (FILE 33-42000 - JUL. 31) (BR. 3)
- S-8 CARRINGTON LABORATORIES INC /TX/, 2001 WALNUT HILL LN, IRVING, TX 75038 (214) 518-1300 - 250,000 (\$2,359,375) COMMON STOCK. (FILE 33-42002 - AUG. 01) (BR. 4)
- S-11 CALIFORNIA FEDERAL BANK, 5750 WILSHIRE BLVD STE 500, LOS ANGLES, CA 90036 (213) 932-4200 - 500,000,000 (\$500,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 33-42003 - AUG. 01) (BR. 12)
- S-8 HERBALIFE INTERNATIONAL INC, 9800 LA CIENEGA BLVD, INGLEWOOD, CA 90301 (213) 410-9600 - 1,000,000 (\$560,000) COMMON STOCK. (FILE 33-42004 - AUG. 01) (BR. 4)
- S-8 HAEMONETICS CORP, 400 WOOD RD, BRAINTREE, MA 02184 (617) 848-7100 69,600 (\$1,922,700) COMMON STOCK. (FILE 33-42005 AUG. 01) (BR. 8)
- S-8 HAEMONETICS CORP, 400 WOOD RD, BRAINTREE, MA 02184 (617) 848-7100 734,400 (\$20,287,800) COMMON STOCK. (FILE 33-42006 AUG. 01) (BR. 8)
- S-8 WEGENER CORP, 11350 TECHNOLOGY CIRCLE, DULUTH, GA 30136 (404) 623-0096 500,000 (\$562,500) COMMON STOCK. (FILE 33-42007 AUG. 01) (BR. 7)
- S-8 GEORGIA GULF CORP /DE/, 400 PERIMETER CTR TERRACE, STE 595, ATLANTA, GA 30346 (404) 395-4500 - 2,763,027 (\$24,231,746.79) COMMON STOCK. (FILE 33-42008 - AUG. 01) (BR. 5)

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- S-8 LXE INC, 303 RESEARCH DR STE 144, TECHNOLOGY PARK, NORCROSS, GA 30092 (404) 263-9200 - 1,000,000 (\$13,750,000) COMMON STOCK. (FILE 33-42009 - AUG. 01) (BR. 10)
- S-8 DATA I/O CORP, 10525 WILLOWS RD NE, REDMOND, WA 98073 (206) 881-6444 250,000 (\$1,110,000) COMMON STOCK. (FILE 33-42010 AUG. 01) (BR. 8)
- S-8 QUANTUM HEALTH RESOURCES INC/DE, NEXUS CITY SQUARE, 790 CITY DR SOUTH STE 400, ORANGE, CA 92668 (714) 750-1610 - 2,304,712 (\$42,349,083) COMMON STOCK. (FILE 33-42011 - AUG. 01) (BR. 6)

#### ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

			EVENT	SHRS(000)/	
NAME AND CLASS OF STOCK/OWNER		ORM	DATE	%OWNED	PRIOR% STATUS
AARON RENTS INC	COM			585	00253510
GAMCO INVESTORS INC ET AL		130	8/ 2/9	1 13.1	12.2 UPDATE
ADVO SYS INC	COM			1,949	00758510
TIGER ET AL		13D	6/28/9	14.9	12.3 UPDATE
AICORP INC	СОМ			490	00870710
STATE OF WISCONSIN INVEST	BD	130	7/23/91	6.4	5.4 UPDATE
AMERICAN ENTERPRISES	COM NEW	1		1,048	02566420
BROWN JAY		13D	7/18/91	10.3	0.0 NEW
AUGAT INC	COM			1,319	05104210
STATE OF WISCONSIN INVEST	BD	13D	7/23/91	7.3	6.3 UPDATE
BAYBANKS INC	COM			1,326	07272310
FLF ASSOC ET AL		13D	7/29/91	8.3	9.5 UPDATE

# CQUISITIONS CONT.

			EVENT	SHRS(000)/	CUSIP/ I	FILING
IAME AND CLASS OF STOCK/OWNER		FORM	DATE	XOWNED	PRIOR%	STATUS
BUSINESSLAND INC	CON			17 000	12330010	<b>`</b>
JWP INC ET AL	-	14D-1	8/ 5/9			UPDATE
			0, 3,7		0.0	UFUATE
CAESARS WORLD INC	COM			N/A	12769510	)
STATE OF WISCONSIN INVEST	BD	130	7/23/9	1 N/A	5.6	UPDATE
CELTRIX LABS INC	COM			1.1.4	15118610	•
DENNIS REID W	COM	13D	7/24/9			UPDATE
		1.50	1/24/7	7.7	1.5	UPDATE
CELTRIX LABS INC	COM			240	15118610	)
INSTITUTIONAL VENTURE PTN	RS	13D	7/24/9	5.3	2.8	UPDATE
OF CHETCHET BUTY THUS	CH OFN			407	40/7004	
CLEVETRUST RLTY INVS	on BEN		7/3/ 10		18678010	
WEIL JOHN D		130	7/24/9	91 5.3	0.0	NEW
CONVOY CAP CORP	COM			3,366	21299610	)
NEALMAC FINE CONSULTANTS		130	7/11/9		21.2	
	004				34807044	
DYNAMICS CORP AMER GANCO INVESTORS INC ET AL	COM	1^60.0	8/2/		26 <b>8</b> 03910	
BARGO INVESTORS INC LI AL		1 JUN	0, 2,			or bare
EAGLE BANCORP INC	COM			78	2699911	ט
WOOD EDWARD J		13D	7/22/9	01 6.2	0.0	NEW
ENGLISED (STAD COOLD INC	<b>CI A</b>			22 514	29355410	<b>`</b>
ENQUIRER/STAR GROUP INC		170	7/7/ /0	•		NEW
GP GRP ACQUISITION LP ET /	AL	1 <b>3</b> 0	7/26/9	0 33.0	0.0	WC W
FISCHER-WATT GOLD CO	COM			6,600	33773010	)
KENNECOTT EXPL CO		130	6/28/9	91 59.3		
						_
GRIST ML CO	COM NEI				3986292	
DIKER CHARLES M		130	7/24/9	91 4.9	5.5	UPDATE
GYNEX INC	COM			4,363	4037781	5
HARRIS IRVING B ET AL		130	6/28/9	-		NEW
					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
IAF BIOCHEM INTL INC	COM	47-		-	4489061	
IFCI HLDGS INTL S A		150	8/ 1/9	vi 9.1	0.0	
INFOTRON SYS CORP	COM			0	4568091	0
SAFEGUARD SCIENTIFICS		130	8/ 2/9	0.0	N/A	UPDATE
						_
JEFFERSON BANCORP INC	COM		<b></b>		4723681	
GAYNOR LENORE J		1 <b>3</b> D	7/19/9	01 6.0	6.1	UPDATE
LIMITED INC	COM			104 .934	5327161	0
LIMIICU INC		130	8/ 1/9			UPDATE
LEYNED LESITE H ET AT			~ ~ ~ / /			
WEXNER LESLIE H ET AL						
WEXNER LESLIE H ET AL MARKS CTLS CORP NEW	COM			0	5709931	0 UPDATE

NAME AND CLASS OF STOCK/OWNER	FORM		S(000)/ XOWNED	CUSIP/ FILING PRIOR% STATUS
O BRIEN ENVIRONMENTAL ENERGY CL				67444810
O'BRIEN FRANK III	130	7/30/91	4.6	4.6 UPDATE
O'BRIEN ENERGY SYS CO	м в		4,671	67444899
O'BRIEN FRANK III	130	7/30/91	99.9	99.9 UPDATE
PANSOPHIC SYS INC CO	M		2,545	69863110
SOROS GEORGE ET AL	1 <b>3</b> D	7/22/91	14.6	14.1 UPDATE
RESPONSE TECHNOLOGIES INC CO	M		18,735	76123210
SEAFIELD CAPITAL CORP ET AL	130	7/25/91	100.0	
ROSPATCH CORP DEL CO	ж		233	77820410
VALLEY BANK/NEVADA	130	7/24/91	9.2	7.6 UPDATE
RUSS TOGS INC CO	M		1,487	78224220
KENNEDY D S & CO	130	8/ 1/91	20.2	19.1 UPDATE
STERLING ELECTRS CORP CO	M		235	85928110
ADAGE INC ET AL	1 <b>3</b> D	7/31/91	5.8	O.O NEW
SURETY CAP CORP CO	M		1,000	86866610
DUNCUM JOHN MARK ET AL	130	1/17/91	8.5	0.0 NEW
TSL INC CO	M		13,470	87288110
PREMIUM STK INVMNTS LTD	130	6/25/91	13.9	
TRANSPORTATION CAPITAL CORP CO	N		315	89387210
GLAUBINGER LAWRENCE D ET AL	130	7/17/91	16.4	0.0 NEW
TRIAD SYS CORP CO	M PAR \$.001		1.607	89581820
GAMCO INVESTORS INC ET AL	130	8/ 1/91	14.8	13.1 UPDATE
VICON INDS INC CO	M		449	92581110
CHUGAI BOYEKI (AMERICA) ET A		8/ 1/91	16.3	14.2 UPDATE
W C W WSTN CDA WTR ENTPRISES CO	M		2 632	92999210
MARMON THOMAS W	130	7/18/91	10.2	11.7 UPDATE