

# sec news digest

Issue 76-127  
(SEC Docket, Vol. 9, No. 18 - July 13)

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June 30, 1976

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## DECISIONS IN ADMINISTRATIVE PROCEEDINGS

JUL 1 1976

JAMES T. BAKOS, TERESA DEL RIO,  
NAZIR SELIM ANTOUN AND EWING Y. MITCHELL  
SANCTIONED

U.S. SECURITIES AND  
EXCHANGE COMMISSION

The Commission has permanently barred James T. Bakos of Los Angeles, California, from association with any broker or dealer, investment company or investment adviser. In addition, the Commission has barred Teresa Del Rio of Los Angeles, California, from association with any broker or dealer, investment company or investment adviser as a principal or supervisor provided that after 30 months she may apply for association in such a position upon a showing that she will be properly supervised. The Commission has also barred Nazir Selim Antoun of Arcadia, California from association with any broker or dealer, investment company or investment adviser provided that after 13 months he may apply for association upon a showing that he will be properly supervised. The Commission has suspended Ewing Y. Mitchell of Rancho Santa Fe, California, from association with any broker or dealer, investment company or investment adviser for a period of 90 days.

Such sanctions were based on findings that (1) Bakos, Del Rio, Antoun and Mitchell wilfully violated the antifraud provisions of the securities laws; (2) Bakos, Del Rio and Mitchell wilfully violated the registration provisions of the securities laws; (3) Antoun wilfully violated the bookkeeping provisions of the securities laws; and (4) Del Rio and Antoun failed to reasonably supervise persons under their supervision.

Bakos, Del Rio, Antoun and Mitchell consented to the findings and sanctions without admitting or denying the charges against them. (Rel. 34-12545)

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## ORDERS FOR PUBLIC PROCEEDINGS

SERECO, S.A., OTHERS

Public administrative proceedings under the Securities Exchange Act of 1934 and the Investment Company Act of 1940 have been ordered against Sereco, S.A., Alfredo Leyzaola C. (Leyzaola) and Benjamin Fernandez F. (Fernandez). Sereco, a Mexican corporation, is registered with the Commission as an investment adviser. Leyzaola and Fernandez are directors of Sereco and its president and treasurer, respectively.

The Commission's order alleges that the respondents variously violated or aided and abetted violations of the registration, broker-dealer, and antifraud provisions of the securities laws. A hearing will be scheduled to take evidence on the staff's allegations and to afford the respondents an opportunity to offer any defenses. The purpose of the hearing is to determine whether the allegations are true, and if any action of a remedial nature should be ordered by the Commission. (Rel. 34-12584)

**SPECIAL NOTICE:** All investors who have utilized the services of Sereco, S.A. and who have not previously been contacted by the Commission's staff are requested to write to the following address:

Washington Regional Office  
U.S. Securities and Exchange Commission  
Ballston Center, Tower Number Three  
4015 Wilson Boulevard, Suite 300  
Arlington, Virginia 22203

Attention: James E. Tatman, Attorney

## COMMISSION ANNOUNCEMENTS

### INITIAL DECISION REVOKES BROKER-DEALER REGISTRATION OF LAMB BROTHERS, INC.; IMPOSES SANCTIONS AGAINST CLYDE C. LAMB, JR.

Chief Judge Warren E. Blair has filed an initial decision under the Securities Exchange Act of 1934 ordering the revocation of the broker-dealer registration of Lamb Brothers, Inc., of Portland, Oregon, expulsion of the firm from membership in the National Association of Securities Dealers, Inc., and a bar of its president, Clyde C. Lamb, Jr., from association with any broker or dealer, except that at any time after the effective date of the order he may apply to the Commission for permission to become associated with a broker-dealer in a non-proprietary and non-supervisory position wherein his activities would receive adequate supervision. The decision is subject to review by the Commission either on its own motion or on petition of a party.

Registrant was found to have wilfully violated the Commission's net capital and financial reporting rules and its president was found to have wilfully aided and abetted those violations. Registrant and its president were also found to have been permanently enjoined by the U.S. District Court for the District of Oregon from engaging in, or aiding and abetting, violations of the Commission's net capital rule or failing to file timely reports of registrant's financial condition.

### REGULATION A EXEMPTION OF W.E. HAUCK, INC. TEMPORARILY SUSPENDED

An order has been issued temporarily suspending the Reg A exemption from registration under the Securities Act of 1933, as amended, with respect to the offering of securities of W.E. Hauck, Inc. (the Issuer). Pursuant to a notification filed on October 31, 1975, the Issuer proposed to offer 425,000 shares of common stock, for cash at \$1.00 per share, 52,000 shares of common stock in exchange for common stock in Reid-Provident Laboratories, Inc., and 25,000 shares of common stock for certain assets of American Research Laboratories, Inc. The Atlanta firm of ETI Corporation was to serve as underwriter for the offering. No shares, however, have yet to be sold pursuant to the Regulation A exemption, nor has the Atlanta Regional Office cleared the filing.

According to the order, the Commission has reason to believe that: (a) the notification and offering circular of the Issuer contain untrue statements of material facts and omit to state material facts necessary in order to make the statements made not misleading, with respect to, among other things, the failure to disclose the use of a solicitation letter containing misleading statements prior to filing of the notification and offering circular and the failure to disclose that the Commission had not given preliminary approval to the Issuer's offering, as falsely stated in the solicitation letter; (b) the terms and conditions of Regulation A have not been complied with in that, among other things, the offering would exceed the \$500,000 ceiling limitation imposed under Rule 254; and (c) the offering, if made, would be in violation of the antifraud provisions of the securities laws. (Rel. 33-5718)

### REGULATION A EXEMPTION OF TAXPAYERS AID SOCIETY, INC. TEMPORARILY SUSPENDED

An order has been issued temporarily suspending the Regulation A exemption from registration under the Securities Act of 1933, as amended, with respect to the offering of short term promissory notes with an aggregate value of \$500,000 of Taxpayers Aid Society, Inc. (the Issuer). According to the order, the Commission has reason to believe that: (a) the Issuer's notification and offering circular contain untrue statements of material facts and omit to state material facts necessary in order to make the statements made not misleading, with respect to, among other things, the failure to disclose the Issuer's sales of securities in violation of the Securities Act of 1933 pursuant to false and misleading statements and the failure to provide financial statements required by Regulation A; (b) the terms and conditions of Regulation A have not been complied with, in that, among other things, the Issuer is subject to an order of permanent injunction issued by a Federal District Court, enjoining securities laws violations; and (c) the offering, if made, would be in violation of the antifraud provisions of the securities laws. (Rel. 33-5721)

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## COURT ENFORCEMENT ACTIONS

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COMPLAINT NAMES STANLEY M. COOPER,  
MARY GOEKE BACKSMAN, STANLEY M. COOPER ENJOINED

The Chicago Regional Office announced that on June 17 a civil injunctive complaint was filed in the Federal District Court in Cincinnati, Ohio, against Stanley M. Cooper and Mary Goeke Backsman, both of Cincinnati, for violations of the registration and anti-fraud provisions of the securities laws in the offer and sale of shares of beneficial interest, certificates of indebtedness, debentures, common stock and preferred stock in various entities purportedly located in Ohio and Kentucky. Also on June 17, a final judgment of permanent injunction by consent was entered by the Honorable Timothy S. Hogan against Stanley M. Cooper in this matter. Cooper was enjoined from further violations of the registration and antifraud provisions of the securities laws. (SEC v. Stanley M. Cooper, Mary Goeke Backsman, S.D. Ohio, Civil No. C-1-76-308). (LR-7473)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by the Pacific Stock Exchange, Inc. (SR-PSE-76-23) relating to the trading volume requirement for certain listed securities which are considered by the Exchange for approval as underlying securities for exchange options. (Rel. 34-12580)

The Commission has approved a proposed rule change filed by the New York Stock Exchange. The rule change (SR-NYSE-76-21) amends Exchange Rule 431 in respect to margin requirements for positions involving put options. (Rel. 34-12581)

The Commission has approved a proposed rule change filed by the Philadelphia Stock Exchange. The rule change (SR-PBWE-76-9) amends Rule 722, the Exchange's margin requirements, and rescinds Rule 1054. (Rel. 34-12582)

The Commission has approved a proposed rule change filed by the Midwest Stock Exchange. The rule change (SR-MSE-76-4) adopts rules codifying the Exchange's margin requirements. (Rel. 34-12583)

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## SECURITIES ACT REGISTRATIONS

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### (S-7) DRAVO CORPORATION

One Oliver Plaza, Pittsburgh, Pa. 15222 - 72,986 shares of common stock, to be offered pursuant to the Employees Stock Purchase Plan of the company. The company is a diversified engineering, construction, manufacturing, natural resources development, equipment and water transportation company, with both domestic and international activities. (File 2-56624 - June 16)

### (S-6) THE CORPORATE INCOME FUND THIRTY-FOURTH MONTHLY PAYMENT SERIES

\$20 million of units of beneficial interest, to be offered for sale through underwriters headed by Merrill Lynch, Pierce, Fenner & Smith Inc., 125 High St., Boston, Mass. 02110. The Fund, a unit investment trust, was created by a trust agreement among Merrill Lynch, Bache Halsey Stuart Inc. and Reynold Securities Inc., as sponsors, The Bank of New York and Shawmut Bank of Boston, N.A., as trustees, and Interactive Data Service, Inc., as evaluator. The Fund's primary objective is providing a high level of current income through investment in a fixed portfolio of long-term debt obligations, issued primarily by corporations. (File 2-56711 - June 28)

### STOCK PLANS FILED

The following have filed Form S-8 registration statements with the SEC seeking registration of securities to be offered pursuant to employee stock and related plans:

Stuart Hall Company, Inc., Kansas City, Mo. (File 2-56703 - June 28) - 50,000 shares

Semtech Corporation, Newbury Park, Cal. (File 2-56708 - June 28) - 65,704 shares

Cabot Corp., Boston, Mass. (File 2-56712 - June 28) - 1,000,000 shares

Simmonds Precision Products, Inc., Tarrytown, N.Y. (File 2-56723 - June 29) -

50,000 shares

STOCK PLANS FILED CONT.

Electronic Engineering Company of California, Santa Ana, Cal. (File 2-56713 - June 29) - 124,240 shares  
Bankers Trust New York Corp., New York, N.Y. (File 2-56728 - June 30) - 540,000 shares

REGISTRATIONS EFFECTIVE

June 16: First National Bancshares Inc., 2-56442.  
June 22: The First Trust of Insured Municipal Bonds, Series 15, 2-56277.  
June 23: American Quasar Petroleum Co., 2-56438; Anchor Hocking Corp., 2-56564; The Brooklyn Union Gas Co., 2-56423 & 2-56422; Corporate Securities Trust Intermediate Term Debt Series 1, 2-55735; Gulf Life Holding Co., 2-56477; Hospital Affiliated International Inc., 2-56594; J. Walter Thompson Co., 2-56262; Kansas State Network, Inc., 2-56591; Metro-Goldwyn Mayer Inc., 2-56374; Municipal Investment Trust Fund Intermediate Term Series, 2-56540; Nuveen Tax-Exempt Bond Fund, Series 87, 2-56160; The Pacific Telephone & Telegraph Co., 2-56531; Tampa Electric Co., 2-56387; Trans-america Financial Corp., 2-56279.  
June 24: First Marine Banks Inc., 2-55991; The Manitoba Hydro Electric Board, 2-56524; National Can Corp., 2-56110; National Steel Corp., 2-56083; New England Gas & Electric Association, 2-56476; The Toledo Edison Co., 2-56396.  
June 25: Nicor Inc., 2-56107; Nuclear Data Inc., 2-56521; Rocket Research Corp., 2-55729; Third National Corp., 2-55668 & 2-55667; Wendy's International Inc., 2-56196.

NOTE TO DEALERS. When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

TRADING SUSPENSIONS

ADDITIONAL ACTION ON TWO TRADING SUSPENSIONS

The SEC has announced the suspension of exchange and over-the-counter trading in the securities of Equity Funding Corp. and Orion Capital Corp. its reorganized successor, and Diversified Industries, Inc. for the further ten-day period June 30 - July 9, inclusive.

RECENT 8K FILINGS

Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

COMPANY	ITEM NO.	MONTH
SHOPWELL INC	3,13	05/76
SOUTHERN CALIFORNIA GAS CO	7,14	06/76
SOUTHERN UNION GAS CO SAVINGS PLAN	13	05/76
SOUTHWESTERN BELL TELEPHONE CO	11	06/76
STEAK & ALE RESTAURANTS OF AMERICA INC	1,8	05/76
SUSQUEHANNA CORP	6	06/76
SYCOR INC	11,14	06/76
TAB PRODUCTS CO	3	06/76
TAYLOR REALTY ENTERPRISES INC	8	05/76
TELE COMMUNICATIONS INC	11	06/76
TENNANT CO	11,13	05/76

RECENT 8K FILINGS CONT.

TRANSCO REALTY TRUST	2	06/76
TRINITY TRADE CORP	9,14	03/76
TWO HUNDRED FIFTY WEST FIFTY SEVENTH STP	3,14	06/76
UNITED PARK CITY MINES CO	11	06/76
UNITED STATES LEASING REAL ESTATE INVEST	11,14	06/76
UTAH BANCORPORATION	7	06/76
WASHINGTON WATER POWER CO	11	05/76
WEST BAY FINANCIAL CORP	2,3	05/76
WHITE MOTOR CORP	10,13,14	06/76
WOLF HOWARD B INC	10	06/76
WORLD JAI ALAI INC	7	06/76
WORLD LIFE & HEALTH INSURANCE CO OF PENN	11	04/76
WYOMISSING CORP	11	06/76
YES CANDIES INC	3,11,14	06/76
ZERO MANUFACTURING CO	13,14	06/76
AMERICAN DISTRICT TELEGRAPH CO	11,14	05/76
APPLEBAUMS FOOD MARKETS INC	10,14	06/76
ARISTAR INC	6,13	05/76
ARTS WAY MANUFACTURING CO INC	2,3,14	06/76
ATLANTA CORP	1	06/76
ATCHISON TOPEKA & SANTA FE RAILWAY CO	3,7,14	06/76
AUTOCOMP INC	13,14	06/76
BALTEK CORP	11,14	05/76
BANK OF VIRGINIA CO	13	05/76
BNA CORP	2	05/76
BOOTHE COMPUTER CORP	7,8,9,14	05/76
BRILUND MINES LTD	13	05/76
CAPITAL CORPORATE RESOURCES INC	2,14	05/76
CAPITAL FIRST CORP	1,14	05/76
CARLSBERG MOBILE HOME PROPERTIES LTD 73	2,3	06/76
CORTERRA CORP	6,14	05/76
CYANAMID INTERNATIONAL DEVELOPMENT CORP	11	06/76
DATA LEASE FINANCIAL CORP	6	05/76
DEL MONTE PROPERTIES CO	11,13	06/76
DERO INDUSTRIES INC	2,13	06/76
DOMAIN INDUSTRIES INC	11	06/76
DOMINION BANKSHARES CORP	7	06/76
EARTH RESOURCES CO	7,14	06/76
EMPIRE CROWN AUTO INC	11,14	05/76
EQUIMARK CORP	3	05/76
ESMARK INC	3,10,14	05/76
EVANS ARISTOCRAT INDUSTRIES INC	12	06/76
FIDELCO GROWTH INVESTORS	11,14	05/76
FINANCIERA METROPOLITANA S A	7	05/76
FIRSTMARK CORP	11,13	06/76
GENERAL PUBLIC UTILITIES CORP	13	06/76
GREAT AMERICAN CHEMICAL CORP	13,14	06/76
HI PLAINS ENTERPRISES INC	11,13	05/76
HUNGRY TIGER INC	12	05/76
INSTITUTE OF BROADCAST ARTS INC	10,14	06/76
INVESTORS REIT ONE	3,13,14	05/76
INVESTORS REIT TWO	3,13	05/76
MAINE SUGAR INDUSTRIES INC	3,6	05/76
MAJOR REALTY CORP	6,14	05/76
MARITIME FRUIT CARRIERS CO LTD	3,6,13	06/76
MCCLOUTH STEEL CORP	3	06/76
MECHTRON INTERNATIONAL CORP	13	05/76
MODULAR COMPUTER SYSTEMS INC	11	05/76
NATIONAL STANDARD CO	13	05/76
NATIONAL TELEPHONE CO INC	3,14	05/76
NORWESCO INC	13	05/76
OLYMPIC LIFE INSURANCE CO	2	05/76
OVITRON CORP	2,14	05/76
PARK ELECTROCHEMICAL CORP	13	05/76
PATRICK OIL & GAS CORP 1976 COMBINATION	7	05/76
PATRICK OIL & GAS CORP 1976 COMBINATION	7	05/76
PATRICK OIL & GAS CORP 1976 INCOME PROGR	7	05/76
PATRICK OIL & GAS CORP 1976 INCOME PROGR	7	05/76
PATRICK OIL & GAS CORP 1976 INCOME PROGR	7	05/76
PATRICK OIL & GAS CORP 1976 INCOME PROGR	7	05/76
PENNSYLVANIA ELECTRIC CO	13	06/76
PERFECTION ENTERPRISES INC	12	04/76
PREMIER CORP	3,8	06/76

RECENT 8K FILINGS CONT.

REALIST INC	4,13	06/76
ROSS AVIATION INC	3	05/76
SANTA FE INDUSTRIES INC	3,13	05/76
SAV A STOP INC	2	06/76
SOUTHWEST FACTORIES INC	13,14	06/76
SPERRY RAND CORP	13,14	06/76
TREADWAY COMPANIES INC	3,14	06/76
TUCKER LAND CO	13	04/76
UNITED PUBLISHING CORP	3,11,13	05/76
UNIVERSAL GAS & OIL CO INC	3,6,13	06/76
VERSA TECHNOLOGIES INC	12,14	06/76
VISUAL SCIENCES INC	7,9	05/76
WHEELING & LAKE ERIE RAILWAY CO	11	06/76
WOODWARD & LOTHROP INC	11,13	05/76
BAXTER TRAVENOL LABORATORIES INC	3,11,14	05/76
BENEFICIAL CORP	12,14	05/76
CITIZENS FIDELITY CORP	2,13,14	02/74
CONAGRA INC DEL	11,13,14	05/76
CONAIR CORP	11,14	05/76
FMB CORP	3,14	04/76
FOREST CITY ENTERPRISES INC	3,7,11	05/76
IDS MCCULLOCH 1974 OIL EXPLORATION DEVEL	3	05/76
MCNEIL REAL ESTATE FUND V LTD	2,7	05/76
NATOMAS CO	3,13,14	05/76
PETRO LEWIS OIL INCOME PROGRAM	2,14	05/76
ROCKWELL INTERNATIONAL CORP	2,3,4	05/76
WABASH INC	11,13,14	05/76
WESTERN DIGITAL CORP	2,3,14	05/76
ACF INDUSTRIES INC	7,11,14	05/76
AGWAY EMPLOYEES INCENTIVE THRIFT PLAN	7	04/76
AGWAY INC	13	06/76
ALCO STANDARD CORP	7,8	05/76
AMERICAN NATURAL RESOURCES CO	4,13,14	06/76
AMERICAN STANDARD INC	11,14	05/76
BAIRD ATOMIC INC	3	05/76
BANKERS TRUST CO	3,14	06/76
BREWER C & CO LTD	2	05/76
BUILDERS INVESTMENT GROUP	8,11,14	05/76
BUTLER NATIONAL CORP	3,14	01/76
CALIFORNIA FINANCIAL CORP	3,11,13	05/76
CALIFORNIA PACIFIC UTILITIES CO	11,14	04/76
CANADA SOUTHERN RAILWAY CO	1	04/76
CELESTIAL CREDIT PLAN INC	8	06/76
CHAMBERLAIN MANUFACTURING CORP	13	05/76
CIP CORP	1,3,4,5,6,14	05/76
CITY INVESTING CO	11,14	05/76
CLC OF AMERICA INC	11,14	05/76
COIT INTERNATIONAL INC	12,13,14	06/76
CONE MILLS CORP	11	05/76
CONTINENTAL TELEPHONE CORP	11,14	05/76
CONTROL METALS CORP	2	05/76
COPPER RANGE CO	4,7,14	05/76
CORE LABORATORIES INC	11,14	05/76
CROMPTON & KNOWLES CORP	2,4,7,13,14	05/76
DATA ONE HUNDRED CORP	11,13,14	05/76
DAYTON HUDSON CORP	11,14	05/76
DECISION DATA COMPUTER CORP	6,13,14	05/76
DENTO MED INDUSTRIES INC	3	05/76
DIGIORGIO CORP	3	05/76
DOMINGUEZ WATER CORP	11	05/76
ECOOYNE CORP	13,14	05/76
FABRIC MART DRAPERIES INC	2	03/76
HEIGHTS FINANCE CORP	7	05/76
HMW INDUSTRIES INC	2,3,7,8,11,14	05/76
HYNES & HOWES INSURANCE COUNSELORS INC	3	05/76
ICB CORP	1,3,14	05/76
INTERNATIONAL HARVESTER CO	7	05/76
INTERNATIONAL HARVESTER CREDIT CORP	7,14	05/76
IRVIN INDUSTRIES INC	11	06/76
JUDYS INC	2,14	05/76
KEARNEY FIRST NATIONAL CO	7	06/76
MAY PETROLEUM INC	13	05/76
MID ATLANTIC REAL ESTATE INVESTMENT TRUS	3,13	05/76

RECENT BK FILINGS CONT.

MINI COMPUTER SYSTEMS INC	11,13	04/76
MISSOURI PACIFIC RAILROAD CO	7,8	05/76
MLI INC	1,3,13	05/76
NEW PLAN REALTY TRUST	7	05/76
NJB PRIME INVESTORS	3,6	04/76
NORTHERN STATES POWER CO	11,14	05/76
NYTRONICS INC	2	05/76
OMEGA EQUITIES CORP	2,3,14	05/76
OPTEL CORP	3	06/76
PAYLESS CASHWAYS INC	7	05/76
PLENUM PUBLISHING CORP	10	06/76
PULLMAN INC	11,13,14	05/76
PUNTA GORDA ISLES INC	11	05/76
REDKEN LABORATORIES INC	7	04/76
REPUBLIC HOUSING CORP	14	05/76
ROSES STORES INC	11	05/76
SOUTHLAND EQUITY CORP	14	05/76
STANDARD OF AMERICA FINANCIAL CORP	11	05/76
STORAGE TECHNOLOGY CORP	11,14	05/76
STURM RUGER & CO INC	13	05/76
SUKUT CONSTRUCTION INC	12,13,14	05/76
SYSTEMS ASSOCIATES INC	3	06/76
TERREBONNE CORP	11	05/76
TEXAS PLASTICS INC	8	05/76
UNION CARBIDE CORP	3,14	05/76
VALLEYLAB INC	7,8,13	05/76
VENDO CO	2,3,11,13,14	05/76
VIKING GENERAL CORP	3,7,8	05/76
VIKING INDUSTRIES INC	10,13,14	05/76
WESTERN ORBIS CO	3,14	05/76
WHITECRAFT INDUSTRIES INC	12	05/76
WOLVERINE ALUMINUM CORP	11,13,14	05/76
ALLIED CHEMICAL CORP	3,13	05/76
ALSON INDUSTRIES INC	12	06/76
AMERICAN CRYSTAL SUGAR CO	7	05/76
AMERICAN HOSPITAL SUPPLY CORP	11,13	05/76
AMERICAN NUCLEONICS CORP	3	04/76
AMERICAN PROPERTY INVESTORS	3	05/76
AMERICAN THERMAL RESOURCES INC	12	06/76
ANACOMP INC	12	05/76
BANCAPITAL FINANCIAL CORP	12,14	05/76
BEVERLY BANCORPORATION INC	12	05/76
BOW VALLEY INDUSTRIES LTD	2,3,14	05/76
BURLINGTON INDUSTRIES INC	13,14	05/76
CANADIAN JAVELIN LTD	3,13	05/76
CIP CORP	3,12,14	05/76
CIRCLE FINE ART CORP	12,13,14	05/76
CLARKE CORP	7	05/76
COLUMBIA CORP	2,7,10,11	05/76
COMPUTER MERCHANTS INC	12,14	09/75
CONSURGICO CORP	2,14	05/76
CONTINENTAL WINGATE CO INC	11	06/76
CUMMINS ENGINE CO INC	8	05/76
DYNAMIC INSTRUMENT CORP	4,7,14	06/76
DYNAMIC SECURITY CORP	13,14	06/76
ELLIMAN DOUGLAS L & CO INC	13,14	06/76
FILICE WINERY INC	3,6,13	05/76
FIRST VIRGINIA MORTGAGE & REAL ESTATE IN	13,14	05/76
FLYING DIAMOND OIL CORP	8	05/76
FOOD FAIR STORES INC	10	05/76
GAZ METROPOLITAIN INC	7,14	05/76
GELMAN INSTRUMENT CO	13	06/76
GENERAL CARE CORP	7	05/76
GEON INDUSTRIES INC	8	05/76
HARTE HANKS NEWSPAPERS INC	2,7,11,14	05/76
HAWAIIAN AIRLINES INC	2	05/76
HERS APPAREL INDUSTRIES INC	7,8	05/76
HOFFMAN ROSNER CORP	8,11	05/76
ICH CORP	11,14	05/76
IMPERIAL AMERICAN RESOURCES FUND INC	13	05/76
INDEPENDENCE NATIONAL CORP	13,14	06/76
INTERMOUNTAIN GAS CO	7	04/76
INTERNATIONAL SYSTEMS & CONTROLS CORP	7,13,14	05/76

RECENT 8K FILINGS CONT.

KORFUND INC	12	04/76
LAURIAT CORP	3	05/76
LILAC TIME INC	2	07/74
LILAC TIME INC	13	05/75
LILAC TIME INC	12	11/75
LILAC TIME INC	6	12/75
LILAC TIME INC	11	05/76
MERRITT CHAPMAN & SCOTT CORP	13	03/76
MIDLAND RESOURCES INC	13	05/76
MULTIVEST REAL ESTATE FUND LTD SERIES IV	13	05/76
NATIONAL SEMICONDUCTOR CORP	13	05/76
NEWHALL LAND & FARMING CO	11,13,14	06/76
NORTH ATLANTIC BANCORP	11	05/76
PHILIPS APPEL & WALDEN INC	11	06/76
PHOTOTRON CORP	11,14	05/76
PITTSTON CO	8	04/76
POTTER INSTRUMENT CO INC	3	06/76
PROVIDENCE & WORCESTER CO	13,14	05/76
PRUDENT REAL ESTATE TRUST	2,14	05/76
SAINT PAUL COMPANIES INC	11,14	05/76
SCHEUTZOW HELICOPTER CORP	7,9,13,14	05/76
SIMKINS INDUSTRIES INC	13	05/76
SOLIYRON DEVICES INC	3,14	06/76
STARR BROADCASTING GROUP INC	3,7,13	05/76
STARR BROADCASTING GROUP INC	2,10	06/76
STAUFFER CHEMICAL CO	13	06/76
SYSTRON DONNER CORP	13	06/76
TEJON RANCH CO	13	06/76
TRANS WORLD AIRLINES INC	6	05/76
TRIDAIR INDUSTRIES	1,11	04/76
TRILOG ASSOCIATES INC	13,14	05/76
UNITED GUARANTY CORP	7,14	05/76
UPJOHN CO	7,11,14	05/76
VAHLSING INC	3,6	05/76
WALKER SCOTT CORP	14	04/76
WINDECKER INDUSTRIES INC	13,14	05/76
WYLY CORP	2,7	05/76

AMENDMENTS TO REPORTS ON FORM 8-K

APL CORP	7,8	06/76
ARMOUR & CO	14	06/76
INTERCONTINENTAL DIAMOND CORP	11	03/76
JOSLYN MANUFACTURING & SUPPLY CO	13	06/76
MCD HOLDINGS INC	13	05/76
SATURN AIRWAYS INC	13	05/76
STALEY A E MANUFACTURING CO	2,14	06/76
STANLEY HOME PRODUCTS INC	14	06/76
ALLIED CHEMICAL CORP	13	05/76
CHARTER CO	10,14	02/76
CHARTER CO	10,14	03/76
FROSTIE ENTERPRISES	14	06/76
GAC CORP	12,14	03/76
GRT CORP	7,8,14	04/76
OIL RESOURCES INC	10,13	01/76
SOUTHERN CONNECTICUT GAS CO	4,11,14	04/76

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