

# sec news digest

U.S. SECURITIES & EXCHANGE COMMISSION

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Issue 76-28  
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February 10, 1976

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## COMMISSION ANNOUNCEMENTS

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### ANNOUNCEMENT OF INTERPRETATION OF CERTAIN TERMS IN ITEM 10 FORM BD

The Commission announced the interpretation of certain terms in item 10 of Form BD. It also noted that any registrant who failed to file revised Form BD on or before January 29, 1976, is in violation of Rule 15b3-1 and should file immediately.

The release interprets the term "any employee" for purposes of item 10 to include employees whose functions are solely clerical and ministerial and establishes that registrants may elect to file with the Commission a plan for completion of item 10 as to these employees. Such plan must state the efforts being undertaken to collect the necessary information and specify a date, not later than July 28, 1976, on or before which item 10 will be complete in all respects. The release also indicates the extent to which a registrant, in making the representations required by item 10, may rely on questionnaires submitted by its employees and associated persons.

In addition, the release delineates the associations required to be disclosed in response to item 10(a)(ix), clarifies the meaning of the term "any proceedings" in item 10(c), and sets forth the procedure for requesting confidential treatment of private proceedings.

Interested persons are invited to submit written comments on these interpretations and other interpretive matters in Form BD to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, Washington, D.C. 20549. (File No. S7-615). (Rel. 34-12078)

### WITHDRAWAL OF PROPOSAL CONCERNING RESPONSIBILITIES OF ACCOUNTANTS ASSOCIATED WITH INTERIM FINANCIAL DATA

The Commission announced the withdrawal of the proposal in Release 33-5612 concerning professional standards and procedures for independent accountants who are associated with interim financial data. The withdrawal of the proposal results from the issuance of Statement on Auditing Standards No. 10, "Limited Review of Interim Financial Information," by the American Institute of Certified Public Accountants which defines the role of the auditor in the interim reporting process. (Rel. 33-5684)

### SEC REPORT COORDINATING GROUP (ADVISORY) MEETING ANNOUNCED

The Commission's Report Coordinating Group (Advisory), will hold a meeting on March 1, 1976 at 1735 K Street, N.W., Third Floor Conference Room, Washington, D.C. The meeting will commence at 10:00 a.m. local time and will be for the purpose of discussing the FOCUS Report of financial and operational information and development of simplified trading forms, registration forms, and assessment procedures.

The Group's meetings are open to the public. Any interested person may attend and appear before or file statements with the advisory committee. Said statements, if in written form, may be filed before or after the meeting. Oral statements shall be made at the time and in the manner permitted by the Report Coordinating Group.

The Report Coordinating Group was formed to assist the Commission in developing a coherent, industry-wide, coordinated reporting system. In carrying out this objective, the Report Coordinating Group is to review all reports, forms and similar materials required of broker-dealers by the Commission, the self-regulatory community and others. The Group is advising the Commission on such matters as eliminating unnecessary duplication in reporting, reducing reporting requirements where feasible, and implementing the FOCUS Report of financial and operational information. (Rel. 34-10612; 34-10959; 34-11140; 34-11149; 34-11748; and 34-11935).

Information concerning the meeting, including the procedures for submitting statements to the Group, may be obtained by contacting: Mr. Daniel J. Piliero II, Secretary, SEC Report Coordinating Group, Securities and Exchange Commission, Washington, D.C. 20549.

**COMMISSION TEMPORARILY EXEMPTS NASDAQ, INC. FROM REGISTRATION AS A SECURITIES INFORMATION PROCESSOR**

The Commission, pursuant to Section 11A(b)(1), has issued an order granting NASDAQ, Inc. a temporary exemption from registration as a securities information processor. NASDAQ, Inc., upon the National Association of Securities Dealers, Inc. (NASD) acquisition of the NASDAQ system from Bunker Ramo Corporation, will become an exclusive processor on behalf of the NASD. NASDAQ, Inc. is exempted from registration for 30 days following consummation of the NASDAQ system acquisition, and that exemption will continue for an additional 90 days following the date of publication of notice of an application for registration, or exemption from registration, upon the condition that NASDAQ, Inc. file such application within the initial 30-day period. (Rel. 34-12079)

**ROGER D. BLANC NAMED CHIEF COUNSEL,  
DIVISION OF MARKET REGULATION**

Lee A. Pickard, Director of the Division of Market Regulation, announced, in connection with the implementation of the Securities Acts Amendments of 1975, the appointment, effective immediately, of Roger D. Blanc, as Chief Counsel of the Division of Market Regulation.

Mr. Blanc will be responsible for the review of all the interpretive functions of the Division, and of the Regional and Branch Offices of the Commission, pertaining to the Divisions of the Securities Exchange Act of 1934 administered by the Division. In consultation with the Director of the Division and the Associate Director, Office of Legal Policy, he will coordinate a broad range of policy and legal matters in connection with the Division's implementation of the Securities Acts Amendments of 1975.

Mr. Blanc graduated from Yale College in 1967 and the Columbia University School of Law in 1970. From 1970 to March 1974, when he joined the Commission's Division of Market Regulation, Mr. Blanc practiced law in New York City. He has been Assistant Chief Counsel of the Division since July 1974. He is a member of the New York Bar.

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## **COURT ENFORCEMENT ACTIONS**

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**CONVICTIONS OF DONALD C. LANGE, OTHERS AFFIRMED**

The Denver Regional Office on February 2, 1976, announced that on January 19, the U.S. Court of Appeals for the Ninth Circuit affirmed the convictions on February 3, 1975, of Donald C. Lange of Marina del Rey, California, Harold Schwartz of Los Angeles, California, James J. Journigan of Scottsdale, Arizona and Martin Sanchez of Casper, Wyoming. Convictions in the case were on charges of securities fraud, mail fraud, securities non-registration and conspiracy in connection with the offer and sale of stock of Pollution Reduction Corporation. (U.S. v. Donald C. Lange, et al., 9th Circuit No. 74-1558, 75-1786, 75-1787). (LR-7262)

**FAULKNER DAWKINS & SULLIVAN, OTHERS ENJOINED**

The SEC announced that on January 23 the Honorable Robert J. Ward, U.S. District Judge for the Southern District of New York issued a permanent injunction against Faulkner Dawkins & Sullivan and Faulkner Dawkins & Sullivan, Inc. enjoining violations of the antifraud provisions of the Securities Exchange Act of 1934 with respect to the securities of Bausch & Lomb, Inc. Faulkner Dawkins & Sullivan and Faulkner Dawkins & Sullivan, Inc. consented to the injunction without admitting or denying the allegations of the complaint. In addition the Commission announced that it had obtained so-ordered undertakings from David C. MacCallum, Derrick C. Hoistma in which Messrs. MacCallum and Hoistma undertook to abide by the injunction against Faulkner Dawkins & Sullivan. As part of the settlement of the Commission's action against Messrs. MacCallum and Hoistma, Messrs. MacCallum and Hoistma have consented to the institution of an administrative proceeding against them wherein the sanction will be a censure. MacCallum and Hoistma agreed to the settlement without admitting or denying the allegations of the complaint. (SEC v. Bausch & Lomb Inc., et al., 73 Civ. 2458, S.D.N.Y.). (LR-7263)

#### DALE YOAKUM ENJOINED

The Chicago Regional Office announced that on January 5 the Federal Court in Minneapolis, Minn. issued an order permanently enjoining Dale Yoakum of Denver, Colo., from further violations of the registration and antifraud provisions of the securities laws in the offer and sale of common stock of American Mining and Smelting, Inc., a South Dakota corporation. (SEC v. American Mining and Smelting, Inc., et al., D. Minn. File No. 4-72-251). (LR-7264)

#### WILLIAM ROSENBERG AND WILLIAM RODMAN INDICTED

The New York Regional Office announced that on January 15 a federal grand jury sitting in Manhattan filed a one count indictment charging William Rosenberg (Rosenberg), a trader at John R. Maher Associates, Inc., a New York City broker-dealer, and William Rodman (Rodman), a self-employed securities dealer, with conspiracy to violate the securities laws in the sale of the common stock of Franklin Properties, Inc. (FPI), a New York based land development corporation. The indictment charged that Rosenberg and Rodman in connection with their efforts to sell 150,000 shares of FPI to the public, conspired to offer secret bribes to registered representatives to induce them to sell FPI stock to their customers. On December 8, 1975 the Commission suspended trading in FPI for a ten day period. On January 26, 1976, Rosenberg and Rodman pleaded not guilty to the charge in the indictment. (U.S. v. William Rodman and William Rosenberg). (LR-7265)

#### GOODMAN SECURITIES CORP. ENJOINED

The Washington Regional Office announced that on January 28 Judge D. Dortch Warriner of the U.S. District Court for the Eastern District of Virginia entered an order: (1) permanently enjoining Goodman Securities Corp., Robert M. Goodman Associates, Inc. and Robert M. Goodman, president and sole stockholder of both entities, all of Richmond, Virginia from violating the registration and antifraud provisions of the securities laws in connection with the offer and sale of securities; namely, interests in limited partnerships or any other securities; (2) permanently enjoining Goodman Securities Corp. and Robert M. Goodman from violating the broker-dealer books and records provisions of the securities laws; and (3) protecting against the dissipation of assets by the enjoined parties and their agents. The defendants consented to the order without admitting or denying the allegations in the Commission's complaint. (SEC v. Goodman Securities Corp., et al., E.D. Va. Civil Action No. 76-0007-R). (LR-7266)

#### COMPLAINT NAMES COLONIAL REALTY SECURITIES COMPANY, OTHERS

The Boston Regional Office announced the filing in the U.S. District Court for the District of Massachusetts of a complaint seeking to enjoin Colonial Realty Securities Company, Hancock Management Company of Boston, Massachusetts, David E. Dick and Robert Waldman of Newton, Massachusetts.

The complaint alleges violations of the antifraud provisions of the securities laws in the offer and sale of priority participations as limited partners in Colonial Realty Investment Company, New Colonial Realty Investment Company and Tri-Colonial Realty Investment Company. Robert Waldman and David E. Dick organized and served as the general partners of each of the abovementioned limited partnerships and of Hancock Management Company. Hancock managed all of the properties of the limited partnerships. Waldman and Dick also served as the president and treasurer of Realty, the exclusive broker-dealer for the sale of the limited partnership interests.

The complaint alleges among other things that the general partners and Realty aided and abetted by Hancock engaged in a fraudulent course of conduct and made material misrepresentations and omissions in the offer and sale of the limited partnership interests including among other things the financial condition of the limited partnerships, the terms and conditions of the limited partnership agreements and offering brochures, the use of investor funds to sustain affiliates of the general partners, co-mingling partnership funds and employing a pyramid device in order to sustain payments to investors. Investors in the limited partnerships, in addition to Massachusetts, reside in some 16 states, including Maine, New Hampshire, Connecticut and Rhode Island. (SEC v. Colonial Realty Securities Inc., et al., U.S.D.C., Mass.). (LR-7267)

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## INVESTMENT COMPANY ACT RELEASES

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### ALASKA NATIVE CLAIMS ACT REGISTRANTS

A notice has been issued giving interested persons until April 6 to request a hearing on a proposal of the Commission to declare by order upon its own motion that certain corporations organized pursuant to the Alaska Native Claims Settlement Act of 1971 which registered under the Investment Company Act of 1940 have ceased to be investment companies. (Rel. IC-9150 - Feb. 6)

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## HOLDING COMPANY ACT RELEASES

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### MISSISSIPPI POWER COMPANY

An order has been issued approving a proposal of Mississippi Power Company, a wholly-owned subsidiary of The Southern Company, to issue and sell up to \$25 million of first mortgage bonds at competitive bidding. The proceeds of the sale will be used to repay notes issued to finance Mississippi's construction program. (Rel. 35-19380 - Feb. 9)

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## DELISTING AND UNLISTED TRADING ACTIONS

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### DELISTING GRANTED

An order has been issued granting the application of the Boston Stock Exchange to strike from listing and registration the common stock of INA Investment Securities, Inc. This application was made at the request of INA. This security remains listed and registered on the New York, PBW, and Detroit Stock Exchanges.

An order has also been issued granting the application of the American Stock Exchange, Inc. to strike from listing and registration the shares of beneficial interest, without par value, of Associated Mortgage Investors. As of December 31, 1974 the Trust had net tangible assets of \$1,012,688, and had sustained net losses for its two most recent fiscal years ended December 31, 1974 and 1973, as follows: \$5,088,893 (1974) and \$19,865,639 (1973). In addition, the Trust has not paid its continuing annual listing fees to the Exchange for the past two years. (Rel. 34-12090)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGE

The Pacific Stock Exchange, Inc. (PSE) has filed a proposed rule change under Rule 19b-4 (SR-PSE-76-6) to raise members' dues from \$150 per month to \$250 per month. Publication of the proposal is expected to be made in the Federal Register during the week of February 16. (Rel. 34-12086)

### ORDER APPROVING PROPOSED RULE CHANGE

The Pacific Stock Exchange, Inc. had filed a proposed rule change under Rule 19b-4 (SR-PSE-76-7) to amend PSE Rules I and II to no longer require the imposition of odd-lot differentials. The SEC has ordered the proposed rule change effective February 6, 1976, pursuant to Section 19(b)(2) of the Securities Exchange Act of 1934. Publication of the proposal is expected to be made in the Federal Register during the week of February 19. (Rel. 34-12080)

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## MISCELLANEOUS

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### DARIN & ARMSTRONG, INC.

An order has been issued granting the application of Darin & Armstrong, Inc., a Michigan corporation, under Section 12(h) of the Securities Exchange Act of 1934 for an exemption from filing the quarterly reports on Form 10-Q required by the provisions of Sections 13 and 15(d) of the 1934 Act. It appears to the Commission that the requested exemption is not inconsistent with the public interest or the protection of investors because the company's common stock is owned only by its employees and no purchase decisions are made on the basis of quarterly financial statements. (Rel. 34-12091)

## SECURITIES ACT REGISTRATIONS

### (S-7) JOHN DEERE CREDIT COMPANY

Suite 600, First National Bank Bldg., 1 East First St., Reno, Nev. 89501 - \$100 million of debentures, due 1984, to be offered for sale through underwriters headed by Merrill Lynch, Pierce, Fenner & Smith Incorporated, One Liberty Plaza, 165 Broadway, New York, N.Y. 10006. The registrant's business is the purchase of retail installment contracts and equipment leases from sales branches of Deere & Company. (File 2-55447 - Feb. 6)

### (S-14) OAKMONT MARINE CORPORATION

13740 Midway Rd., Suite 511, Dallas, Tex. 75240 - approximately 801,000 shares of common stock and 7,400 shares of Series C convertible preferred stock (\$100 par), to be offered in connection with a proposed merger between Oakmont's wholly-owned subsidiary, Oakmarine, Inc., and Lorain Electronics Corp. Each Lorain share will be exchanged for 6/10ths of one share of Oakmont preferred and 65 shares of Oakmont common. Oakmont is engaged in selling and servicing electronic navigational and communications equipment to the marine transportation and offshore drilling industries; in fabricating specialized parts for the railroad car manufacturing industry; in the operation of towboats on the inland waterways; and in the manufacture of custom hydraulic cylinders. (File 2-55448 - Feb. 6)

### (S-7) VIRGINIA ELECTRIC AND POWER COMPANY

700 East Franklin St., Richmond, Va. 23261 - 600,000 shares of new series of preferred stock, cumulative (\$100 par), to be offered for sale in a negotiated transaction with Merrill Lynch, Pierce, Fenner & Smith Incorporated, 1 Liberty Plaza, 165 Broadway, New York, N.Y. 10006, Morgan Stanley & Co. Incorporated, 1251 Avenue of the Americas, New York, N.Y. 10020 and Wheat, First Securities, Inc., 801 East Main St., Richmond, Va. 23219. The company is an electric and gas utility operating company. (File 2-55449 - Feb. 6)

In a separate statement the company seeks registration of \$100 million of first and refunding mortgage bonds, to be offered for sale in a negotiated transaction with the same underwriters named above. (File 2-55450 - Feb. 6)

### (S-14) NICOR INC.

East-West Tollway at Route 59, P.O. Box 529, Aurora, Ill. 60507 - 13,922,191 shares of common stock (par value \$5); 1,259,399 shares of \$1.90 convertible preference stock (cumulative, stated value \$36.50); preferred stock (cumulative, par value \$100): 119,925 shares of 4.48% series, 66,130 shares of 5% series, 17,500 shares of 4.60% convertible series, 12,832 shares of 5% convertible series. It is proposed to offer these shares in exchange for the respective outstanding common, preference and preferred shares of Northern Illinois Gas Company on a share-for-share basis in connection with an Agreement and Plan of Merger and Reorganization whereby a wholly-owned subsidiary of NICOR Inc. will carry on the present business of Northern Illinois Gas Company. NICOR Inc. is a recently formed holding company organized to engage in all aspects of the energy business. (File 2-55451 - Feb. 6)

### (S-7) BETHLEHEM STEEL CORPORATION

Bethlehem, Pa. 18016 - \$200 million of debentures, due 2001, to be offered for sale through underwriters headed by Kuhn, Loeb & Co., 40 Wall St., New York, N.Y. 10005 and Smith Barney, Harris Upham & Co. Incorporated, 1345 Avenue of the Americas, New York, N.Y. 10019. Bethlehem Steel Corporation is the second largest integrated steel producer in the United States. (File 2-55452 - Feb. 6)

### (S-7) NORTHWEST BANCORPORATION

1200 Northwestern Bank Bldg., Minneapolis, Minn. 55480 - 800,000 shares of common stock, to be offered for sale by the corporation through underwriters represented by Salomon Brothers, One New York Plaza, New York, N.Y. 10004. The corporation is a bank holding company organized in 1929, which owns in the aggregate approximately 97% of the outstanding capital stock of 81 commercial banks with 128 offices located in Minnesota, Iowa, South Dakota, Nebraska, North Dakota, Montana and Wisconsin. (File 2-55453 - Feb. 6)

(S-7) CENTRAL ILLINOIS PUBLIC SERVICE COMPANY

607 East Adams St., Springfield, Ill. 62701 - 2,250,000 shares of common stock, to be offered for sale through underwriters represented by Kidder, Peabody & Co. Incorporated, 10 Hanover Square, New York, N.Y. 10005 and A. G. Edwards & Sons, Inc., One North Jefferson Ave., P.O. Box 14754, St. Louis, Mo. 63178. The company is an electric and gas utility. (File 2-55454 - Feb. 6)

(S-7) AMAX INC.

AMAX Center, Greenwich, Conn. 06830 - 2,000,000 shares of Series B convertible preferred stock, to be offered for sale through underwriters headed by Lehman Brothers Incorporated, One William St., New York, N.Y. 10004. AMAX Inc. is engaged, directly and through ventures in which it holds substantial interests, in the exploration for and mining of ores and minerals and the smelting, refining and other treatment of minerals and metals. (File 2-55455 - Feb. 6)

In a separate statement the company seeks registration of \$50 million of sinking fund debentures, due 2001, to be offered for sale through underwriters also headed by Lehman Brothers Incorporated. (File 2-55456 - Feb. 6)

(S-7) CENTRAL VERMONT PUBLIC SERVICE CORPORATION

77 Grove St., Rutland, Vermont 05701 - 500,000 shares of common stock, to be offered for sale at a price to be determined, through underwriters managed by The First Boston Corporation, 20 Exchange Place, New York, N.Y. 10005 and E. F. Hutton & Company, Inc., 1 Battery Park Plaza, New York, N.Y. The company is an electric utility. (File 2-55458 - Feb. 6)

(S-1) GREAT PLAINS FUTURES FUND

6950 France Avenue South, Suite 200, Minneapolis, Minn. 55435 - 10,000 units of limited partnership interests, to be offered for sale on a best efforts basis through registered NASD dealers participating in the distribution. The price to the public per unit shall initially be \$500 and after subscriptions for 1,000 units have been accepted shall be net asset value. The Fund intends to buy and sell commodities and commodities futures contracts. (File 2-55459 - Feb. 6)

(S-7) SOUTHWESTERN PUBLIC SERVICE COMPANY

SPS Tower, 6th & Tyler Sts., Amarillo, Tex. 79170 - \$40 million of first mortgage bonds, due 2006, and 600,000 shares of cumulative preferred stock (\$25 par), to be offered for sale through underwriters headed by Dillon, Read & Co. Inc., 46 William St., New York, N.Y. 10005. The company is an electric public utility. (File 2-55460 - Feb. 6)

(S-7) RALSTON PURINA COMPANY

Checkerboard Square, St. Louis, Mo. 63188 - 700,000 shares of common stock, to be offered for sale by NLT Corporation through underwriters headed by Goldman, Sachs & Co., Kidder, Peabody & Co. Inc., Blyth Eastman Dillon & Co. Inc. and Merrill Lynch, Pierce, Fenner & Smith Inc., each located in New York, N.Y. The company's principal business is the production of dry dog and cat food and commercial feeds for livestock and poultry. The company is one of the largest processors of soybeans in the United States. (File 2-55462 - Feb. 6)

(S-1) AMERICAN CRYSTAL SUGAR COMPANY

101 North Third St., Moorhead, Minn. 56560 - 37,005 shares of preferred stock (\$100 par). It is proposed to offer these preferred shares for subscription by stockholders of record February 2, 1976, at the rate of 14 shares for each 100 shares held, and at \$130 per share. The company is a Minnesota agricultural cooperative corporation which principally manufactures and sells sugar produced from sugarbeets. (File 2-55466 - Feb. 6)

REGISTRATIONS EFFECTIVE

Feb. 5: The Corporate Bond Trust, Series 6, 2-55194.

Feb. 6: The Clorox Co., 2-55383; Columbia Pictures Industries Inc., 2-54997; The Corporate Income Fund, Fourth Preferred Stock Series, 2-55317; Data General Corp., 2-55415; Dr. Alb AgResearch Inc., 2-55361; Micro Mask Inc., 2-55396; Utah Power & Light Co., 2-55331.

## REGISTRATIONS EFFECTIVE CONT.

Feb. 9: Kenai Drilling Ltd., 2-54993; Mississippi Power Co., 2-55332; W-B Limited Partnership 1976-1, 2-54966 (90 days).

**NOTE TO DEALERS.** When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

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**RECENT 8K FILINGS**


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Form 8K is a report which must be filed with the SEC by the 10th of the month after any of the following important events or changes: changes in control of the registrant; acquisition or disposition of assets; legal proceedings; changes in securities (i.e., collateral for registered securities); defaults upon senior securities; increase or decrease in the amount of securities outstanding; options to purchase securities; revaluation of assets; submission of matters to a vote of security holders.

The companies listed below have filed Form 8-K reports for the month indicated, responding to the item of the form specified. Photocopies may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report). An index of the captions of the items of the form was included in Monday's News Digest.

COMPANY	ITEM NO.	MONTH
AMERICAN BEVERAGE CORP	2	01/76
ARGO PETROLEUM CORP	10,14	12/75
BANCORPORATION OF MONTANA	7,14	01/76
CENTURY FINANCIAL CORP OF MICHIGAN	13	01/76
COUNTRY KITCHEN INTERNATIONAL INC	13	12/75
DATA PACIFIC CORP	12,14	10/75
DISSTON INC	3	07/75
ELECTRO CRAFT CORP	11	05/75
FIRST ZENITH MORTGAGE CO	7,13,14	12/75
GARCIA CORP	2,14	11/75
INTERMOUNTAIN GAS CO	11	01/76
INTERNATIONAL INDUSTRIES INC	2,6,10,13,14	11/75
KATHOL PETROLEUM INC	13,14	12/75
LIBERTY LOAN CORP	8,13	01/76
MAINE SUGAR INDUSTRIES INC	3,6	12/75
NORTHEAST PETROLEUM INDUSTRIES INC	2,4	01/76
PETROL INDUSTRIES INC	13,14	12/75
REYBOLD HOMES INC	2,14	12/75
SANTA FE INTERNATIONAL CORP	13	01/76
SOUTHERN CROSS INDUSTRIES INC	11,14	12/75
STAUFFER CHEMICAL CO	7,8	01/76
SWELOW INC	8	01/76
TEXTILES INC	11,14	01/76
WASHINGTON GAS LIGHT CO	10	01/76
WILTEK INC	4,13,14	01/76
4BKCO INDUSTRIES INC	11	02/75
ALSON INDUSTRIES INC	14	01/75
AMERICAN MAIZE PRODUCTS CO	4,7,11,13,14	01/76
AMERICAN SEATING CO	3	01/76
ASG INDUSTRIES INC	3,10,14	01/76
ASSOCIATED BANK SERVICES INC	7,14	01/76
ATO INC	13	01/76
BELOING HEMIAWAY CO INC	7	01/76
BUNNINGTON CORP	2	01/76
CANPAD HANOVA INC	13,14	01/76
CHAPARRAL RESOURCES INC	3	12/75
COLEMAN AMERICAN COMPANIES INC	4,7,14	01/76
CYPRUS MINES CORP	10,14	11/75
DATA PACIFIC CORP	2,13,14	12/75
DATA PACIFIC CORP	7	10/73
DATA PACIFIC CORP	9,14	11/73
DATA PACIFIC CORP	3,9	12/75

RECENT 8K FILINGS CONT.

EQUITY EDUCATORS ASSURANCE CO	14	01/76
FINANCIAL FEDEKATION INC	13	01/76
FRIER INDUSTRIES INC	13,14	01/76
GROWTH LAND SYSTEMS INC	1	01/76
IBEX MINERALS INC	12	01/76
INDIANA NATIONAL CORP	10,14	12/75
INTERCONTINENTAL DIAMOND CORP	1,13	01/76
INTERTEK FINANCIAL CORP	12,14	11/75
JOHNSON ELECTRONICS INC	10,14	01/76
LINCOLN PLAZA CORP	13,14	01/76
LUMIDOR INDUSTRIES INC	13,14	01/76
MACRODYNE INDUSTRIES INC	3,4,10,11,14	12/75
MAGNETIC TAPE ENGINEERING CORP	11,14	01/76
NATIONAL ALFALFA DEHYDRATING & MILLING C	1	01/76
NATIONAL COMPUTER SYSTEMS INC	7,8	01/76
NEW PLAN REALTY TRUST	11	12/75
OIL RESOURCES INC	13	01/76
PALL CORP	8	12/75
PAN AMERICAN BANCSHARES INC	13,14	01/76
PENN VIRGINIA CORP	8,10,14	12/75
PENNSYLVANIA FUNDING PROGRAMS INC	11	07/75
PRUDENTIAL BUILDING MAINTENANCE CORP	3	01/76
REED ROBERTS ASSOCIATES INC	13	12/75
ROPER INDUSTRIES INC	7,10,14	01/76
SHELTER CORP OF AMERICA INC	13,14	12/75
SWANTON NORMAN F ASSOCIATES INC	7	09/75
UNITED PIECE DYE WORKS	10	01/76
WALKER COLOR INC	13,14	06/75

AMENDMENTS TO REPORTS ON FORM 8-K

CHP FOODS INC	7	12/75
DYNE COMMUNICATIONS INC	14	10/75
FWD CORP	8	04/75
NETEC CORP	6	12/75
POLLUTION CONTROL INDUSTRIES INC	8	10/75
RANCO INC	10,14	12/75
AMERICAN AIR FILTER CO INC	13	12/75
AMERICAN BRANDS INC	13	12/75
ARTC WAY MANUFACTURING CO INC	3,14	12/75
CABOT CORP	10,14	04/75
CLAROSTAT MANUFACTURING CO INC	14	10/75
GENERAL EXPLORATION CO	14	12/75
KAISER ALUMINUM & CHEMICAL CORP	10,14	12/75
KATY INDUSTRIES INC	3	12/75
LIBERTY LOAN CORP	13	11/75
NATIONAL KINNEY CORP	6	12/75
PENN VIRGINIA CORP	7,14	02/75
PENN VIRGINIA CORP	8,14	08/75
SONICS INTERNATIONAL INC	14	12/75
THERM AIR MANUFACTURING CO INC	14	09/75
ACTION INDUSTRIES INC	3	12/75
FAIM INFORMATION SERVICES INC	3	01/74
PACIFIC LIGHTING CORP	2,3	11/75

NOTICE

Many requests for copies of documents referred to in the SEC News Digest have erroneously been directed to the Government Printing Office. Copies of such documents and of registration statements may be ordered from the Public Reference Section, Securities and Exchange Commission, Washington, D.C. 20549. The reproductive cost is 15¢ per page plus postage (\$2 minimum) and 30¢ per page plus postage for expedited handling (\$5 minimum). Cost estimates are given on request. All other referenced material is available in the SEC Docket.

SEC NEWS DIGEST is published daily. Subscription rates: \$64.45 yr in

U.S. first class mail; \$80.60 elsewhere.

SEC DOCKET is published weekly. Subscription rates: \$43.70 yr in

U.S. first class mail, \$54.65 elsewhere. The News Digest and the Docket are for sale by the Superintendent of Documents, Government Printing Office, Washington, D.C. 20402.