

# SEC NEWS DIGEST

Issue 98-179

September 16, 1998

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## ENFORCEMENT PROCEEDINGS

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### IRA SCOTT IS BARRED FROM ASSOCIATION WITH AN INVESTMENT ADVISER

The Commission has barred Ira William Scott from association with any investment adviser. The Commission found that, on December 1, 1992, an Indiana state court entered a judgment of conviction against Scott on thirteen felony offenses: four counts of theft (three counts relating to investor funds and one count involving the property of a long-distance telephone carrier), three counts of sale of a security by an unregistered agent, three counts of sale of an unregistered security and three counts of fraud in connection with the sale of security. The Indiana Court of Appeals upheld Scott's conviction on appeal.

The Commission determined that it is in the public interest to bar Scott because he was convicted of serious felony offenses involving the sale of securities and the theft of investor funds. Scott's continued attacks on the validity of his conviction and insistence that the charges brought against him had no basis further demonstrated his inability to understand his obligations under the securities laws. Scott also expressed an interest in re-entering the securities industry but provided no assurances that he would not commit future violations. (Rel. IA-1752; File No. 3-9105)

### COMMISSION OBTAINS TRO IN ACTION AGAINST BALANCED PLAN, INC., D/B/A BALANCED PLAN ACCUMULATION TRUST, JERALD ALBIN AND J.F. ALBIN COMPANIES, LTD.

The Commission announced that on September 11 the United States District Court for the Western District of Missouri issued a Temporary Restraining Order (TRO), temporarily barring Defendants Balanced Plan, Inc., d/b/a Balanced Plan Accumulation Trust (Trust) and Jerald F. Albin (Albin) from violations of the antifraud provisions of the federal securities laws. The TRO also temporarily prohibits Albin, the Trust, and relief defendant J.F. Albin Companies, Ltd. (Albin Companies) from dissipating funds, pending a hearing on the Commission's request for a preliminary injunction.

In its complaint, the Commission alleges that Albin has raised more than \$1.7 million from at least 35 individuals who have invested in promissory notes sold by the Trust. The Trust and most Trust investors are located in the Kansas City, Missouri area. The Commission alleges that the Trust is an ongoing Ponzi scheme controlled by Albin and that Albin has misrepresented the use of investor proceeds, misappropriated investor funds and misrepresented the safety and liquidity of an investment with the Trust. The Commission named Albin Companies as relief defendant because, the Commission alleges, Albin Companies received Trust investor proceeds and used them to fund its operations. A hearing on the Commission's request for a preliminary injunction is scheduled for September 18, 1998. [SEC v. Jerald F. Albin, et al., W.D. Mo., Case No. 98-0977-CV-W-8] (LR-15881)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **CRABBE HUSON FUNDS, ET AL.**

A notice has been issued giving interested persons until October 8, 1998, to request a hearing on an application filed by Crabbe Huson Funds (Trust), et al. for an order under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act. The order would permit the implementation, without prior shareholder approval, of new advisory agreements (New Agreements) for a period of up to 120 days following the later of the date of the acquisition of the assets of The Crabbe Huson Group, Inc. by LFC Acquisition Corp. (the New Advisor) or the date on which the requested order is issued (but in no event later than February 28, 1999) (the Interim Period). The order also would permit the New Advisor to receive all fees earned under the New Agreements during the Interim Period following shareholder approval. (Rel. IC-23435 - September 14)

### **THE VICTORY PORTFOLIOS, ET AL.**

An order has been issued on an application filed by The Victory Portfolios, et al. under Sections 6(c) and 17(b) of the Investment Company Act for an exemption from Sections 12(d)(1)(A) and 17(a) of the Act, and under Section 17(d) of the Act and Rule 17d-1 under the Act. The order amends a prior order that permits non-money market series of a registered open-end management investment company to purchase shares of one or more of the money market series of such registered investment company by adding three registered open-end management investment companies and three investment advisers as applicants. (Rel. IC-23436 - September 15)

### **Z-SEVEN FUND, INC.**

A notice has been issued giving interested persons until October 8, 1998, to request a hearing on an application filed by Z-Seven Fund,

Inc. (Company) for an order under Section 23(c)(3) of the Investment Company Act exempting the Company from Section 23(c) of the Act. The order would permit the Company to repurchase 698,210 of its common shares from Agape Co., S.A. in exchange for cash. (Rel. IC-23437 - September 15)

#### **CORRECTION**

The September 14 issue of the Digest inadvertently contained an incorrect release number for SIT Mutual Funds, Inc., et al. The correct release number is IC-23432.

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#### **SELF-REGULATORY ORGANIZATIONS**

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##### **PROPOSED RULE CHANGES**

The Emerging Markets Clearing Corporation filed a proposed rule change (SR-EMCC-98-08) to amend its articles of incorporation and modify its shareholder agreement to offer two thousand shares of Class A stock and four thousand shares of Class B stock. Publication of the proposal is expected in the Federal Register during the week of September 14. (Rel. 34-40433)

The Chicago Board Options Exchange filed a proposed change (SR-CBOE-98-22) that would consolidate most fines for minor rule violations under one regulatory circular, modify categories of behavior subject to summary fines, modify fine amounts, and permit the president of CBOE to authorize admission to the floor. Publication of the proposal is expected in the Federal Register during the week of September 21. (Rel. 34-40440)

##### **ACCELERATED APPROVAL OF PROPOSED RULE CHANGE**

The Commission granted accelerated approval to a proposed rule change submitted by the National Association of Securities Dealers (SR-NASD-98-60) to integrate Nasdaq's Automated Confirmation Transaction Service (ACT) with the recently approved Order Audit Trail System (OATS). (Rel. 34-40437)

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#### **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

S-8 LONDON INTERNATIONAL GROUP PLC, 35 NEW BRIDGE ST, LONDON EC4V 6BJ ENGL,  
X0 - 4,000,000 (\$12,160,000) COMMON STOCK (FILE 333-9374 - SEP 04)  
(BR 6)

S-8 INCYTE PHARMACEUTICALS INC, 3174 PORTER DR, PALO ALTO, CA 94304  
(650) 855-0555 - 1,500,000 (\$28,875,000) COMMON STOCK. (FILE 333-63069 -  
SEP 09) (BR 1)

S-8 BRC HOLDINGS INC, 1111 W MOCKINGBIRD LN, STE 1400, DALLAS, TX 75247  
(214) 688-1800 - 350,000 (\$5,316,500) COMMON STOCK. (FILE 333-63071 -  
SEP 09) (BR. 3)

S-3 ADELPHIA COMMUNICATIONS CORP, MAIN AT WATER STREET, COUDERSPORT, PA  
16915 (814) 274-9830 - 333,929 (\$11,395,327.13) COMMON STOCK. (FILE  
333-63075 - SEP 09) (BR. 7)

S-8 EATON VANCE CORP, 24 FEDERAL ST, BOSTON, MA 02110 (617) 482-8260 -  
600,000 (\$12,600,000) COMMON STOCK (FILE 333-63077 - SEP 09) (BR 7)

S-8 NETSPEAK CORP, 902 CLINT MOORE RD STE 104, BOCA RATON, FL 33487  
(561) 977-4001 - 3,200,000 (\$25,000,000) COMMON STOCK (FILE 333-63079 -  
SEP 09) (BR. 3)

S-3 USA GROUP SECONDARY MARKET SERVICES INC, 30 SOUTH MERIDIAN STREET,  
INDIANAPOLIS, IN 46204 (317) 951-5640 - 1,000,000 (\$1,000,000)  
STRAIGHT BONDS 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES  
(FILE 333-63081 - SEP. 09) (BR. 8)

SB-2 RFS BANCORP INC, 310 BROADWAY, P O BOX 509, REVERE, MA 02151  
(781) 284-7777 - 590,496 (\$5,904,960) COMMON STOCK (FILE 333-63083 -  
SEP 09) (BR 9 - NEW ISSUE)

S-8 INNODATA CORP, 95 ROCKWELL PL, BROOKLYN, NY 11217 (718) 855-0044 -  
279,999 (\$1,189,995 75) COMMON STOCK (FILE 333-63085 - SEP 09) (BR 3)

S-8 FIRST MIDWEST BANCORP INC, 300 PARK BLVD SUITE 405, P O BOX 459, ITASCA,  
IL 60143 (708) 875-7450 - 25,000 (\$986,250) COMMON STOCK (FILE 333-63095  
- SEP 09) (BR 7)

S-8 FIRST MIDWEST BANCORP INC, 300 PARK BLVD SUITE 405, P O BOX 459, ITASCA,  
IL 60143 (708) 875-7450 - 150,000 (\$5,986,500) COMMON STOCK 10,000,000  
(\$10,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE 333-63097 -  
SEP 09) (BR 7)

S-1 FIRST PLACE FINANCIAL CORP /DE/, 185 EAST MARKET ST, WARREN, OH 44482  
(330) 373-1221 - 14,812,000 (\$148,120,000) COMMON STOCK. (FILE 333-63099 -  
SEP 09) (NEW ISSUE)

S-8 WPS RESOURCES CORP, 700 N ADAMS ST, PO BOX 19001, GREEN BAY, WI 54307  
(920) 433-1466 - 10,450,000 (\$10,450,000) LIMITED PARTNERSHIP CERTIFICATE.  
180,000 (\$6,046,884) COMMON STOCK. (FILE 333-63101 - SEP. 09) (BR 2)

S-8 UNITED FIRE & CASUALTY CO, 118 SECOND AVE SE, CEDAR RAPIDS, IA 52407  
(319) 399-5700 - 500,000 (\$16,812,500) COMMON STOCK (FILE 333-63103 -  
SEP 09) (BR 1)

S-8 HARTE HANKS INC, 200 CONCORD PLAZA DR STE 800, SAN ANTONIO, TX 78216  
(210) 829-9000 - 200,000 (\$4,450,000) COMMON STOCK (FILE 333-63105 -  
SEP 09) (BR. 5)

S-3 PAINE WEBBER GROUP INC, 1285 AVE OF THE AMERICAS, NEW YORK, NY 10019  
(212) 713-2000 - \$3,000,000,000 STRAIGHT BONDS (FILE 333-63107 - SEP 09)  
(BR 7)

S-8 RSI HOLDINGS INC, 28 EAST COURT STREET, P O BOX 6847, GREENVILLE, SC  
29606 (803) 271-7171 - 500,000 (\$95,000) COMMON STOCK (FILE 333-63109 -  
SEP 09) (BR 6)

S-8 F&M NATIONAL CORP, 38 ROUSS AVE, PO BOX 2800, WINCHESTER, VA 22601  
(540) 665-4200 - 500,000 (\$13,345,000) COMMON STOCK (FILE 333-63111 -  
SEP 09) (BR 7)

S-8 F&M NATIONAL CORP, 38 ROUSS AVE, PO BOX 2800, WINCHESTER, VA 22601  
(540) 665-4200 - 250,000 (\$6,672,500) COMMON STOCK (FILE 333-63113 -  
SEP 09) (BR. 7)

S-3 LIBERTY PROPERTY TRUST, 65 VALLEY STREAM PKWY, STE 100, MALVERN, PA  
19355 (610) 648-1700 - 144,328 (\$3,175,216) COMMON STOCK. (FILE 333-63115  
- SEP 09) (BR 8)

S-3 BEA SYSTEMS INC, 2315 NORTH FIRST ST, SUITE 105, SAN JOSE, CA 95131  
(408) 743-4000 - 250,000,000 (\$250,000,000) STRAIGHT BONDS (FILE  
333-63117 - SEP. 09) (BR 9)

S-3 3DX TECHNOLOGIES INC, 12012 WICKCHESTER, SUITE 250, HOUSTON, TX 77079  
(281) 579-3398 - 3,411,318 (\$1,705,659) COMMON STOCK (FILE 333-63119 -  
SEP 09) (BR. 4)

S-8 EQUALITY BANCORP INC, 9920 WATSON ROAD, ST LOUIS, MO 63126  
(314) 352-333 - 112,951 (\$1,468,363) COMMON STOCK (FILE 333-63121 -  
SEP 09) (BR. 7)

S-4 VDC CORP LTD, 75 HOLLY HILL LANE, GREENWICH, CT 06831 (203) 869-5100 -  
20,254,941 (\$108,977,807 87) COMMON STOCK (FILE 333-63123 - SEP 09)  
(BR. 4)

S-1 SMITH GARDNER & ASSOCIATES INC, 1615 SOUTH CONGRESS STREET,  
DELRAY BEACH, FL 33445 (407) 241-9505 - \$40,000,000 COMMON STOCK (FILE  
333-63125 - SEP 10)

S-8 VINCAM GROUP INC, 2850 DOUGLAS RD, CORAL GABLES, FL 33134 (305) 460-2350  
- 900,000 (\$9,000,000) COMMON STOCK (FILE 333-63127 - SEP 10) (BR 8)

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**RECENT 8K FILINGS**

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec>. In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AELE TELCOM HOLDING CORP	FL				X					X	09/07/98	
ADVANTAGE MEDIA GROUP INC /OK	OK		X							X	09/01/98	
ADVANCED MACHINE VISION CORP	CA	X									09/14/98	
ADVANTA BUSINESS SERVICES CORP	DE									X	09/10/98	
ADVANTA LEASING RECEIVABLES CORP IV	NV									X	09/10/98	
ADVANTA LEASING RECEIVABLES CORP V	NV									X	09/10/98	
ADVO INC	DE				X					X	09/08/98	
AGRIBIOTECH INC	NV									X	10/22/97	AMEND
AGRIBIOTECH INC	NV		X							X	01/26/98	AMEND
AGRIBIOTECH INC	NV									X	06/23/98	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ALABAMA POWER CO	AL				X	X					09/08/98	
ALEXANDERS INC	DE		X				X				06/18/98	AMEND
ALLIANCE NORTHWEST INDUSTRIES INC	WA			X							06/27/96	
ALPHA MICROSYSTEMS	CA		X				X				09/01/98	
AMBANC HOLDING CO INC	DE		X								09/02/98	
AMBANC HOLDING CO INC	DE		X								09/14/98	
AMERICAN DRUG CO	DE						X				08/31/98	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				09/15/98	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				09/15/98	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE					X	X				09/15/98	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE				X	X					09/15/98	
AMERICAN TELECASTING INC/DE/	DE				X	X					09/11/98	
AMERICREDIT CORP	TX				X	X					09/15/98	
AMERICREDIT CORP	TX				X	X					09/15/98	
AMERICREDIT CORP	TX				X	X					09/15/98	
AMERICREDIT CORP	TX				X	X					09/15/98	
AMRESCO RESIDENTIAL SECURITIES CORP	DE				X	X					09/10/98	
ANICOM INC	DE		X				X				07/31/98	AMEND
APARTMENT INVESTMENT & MANAGEMENT C O	MD				X	X					03/17/98	AMEND
APPLIED EXTRUSION TECHNOLOGIES INC /DE	DE				X						09/08/98	
APPLIED INNOVATION INC	DE				X	X					09/14/98	
ARENA GROUP INC	NV	X	X								09/03/98	
ARIEL CORP	DE		X				X				09/01/98	
ARISTAR INC	DE						X				07/27/98	AMEND
ATMEL CORP	CA				X	X					09/04/98	
BALANCED OPPORTUNITY FUND LIMITED P ARTNERSHIP	IL	X									06/30/98	AMEND
BANC ONE ABS CORP	OH						X				09/15/98	
BANKAMERICA CORP	DE				X	X					09/15/98	
BARON CAPITAL TRUST	DE		X				X				09/14/98	
BITSTREAM INC	DE		X				X				08/28/98	
BROOKTROUT TECHNOLOGY INC	MA				X	X					09/09/98	
BROUGHTON FOODS CO			X				X				09/10/98	
BUSH INDUSTRIES INC	DE				X	X					08/21/98	
BUSINESS RESOURCE GROUP	CA						X				05/22/98	AMEND
CABOT INDUSTRIAL TRUST	MD				X	X					09/10/98	
CALENERGY CO INC	DE						X				09/09/98	
CALENERGY CO INC	DE				X	X					09/15/98	
CAMBRIDGE HEART INC	DE						X				08/18/98	AMEND
CANADIAN DERIVATIVES CLEARING CORP					X	X					08/31/98	
CAPITAL ONE MASTER TRUST	VA				X	X					09/15/98	
CAREMATRIX CORP	DE				X	X					09/01/98	
CAYENNE SOFTWARE INC	MA						X				08/27/98	AMEND
CENTRAL RESERVE LIFE CORP	OH				X	X					08/01/98	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X	X					07/18/98	AMEND
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X	X					08/18/98	AMEND
CHECKFREE HOLDINGS CORP \GA\	DE				X	X					09/09/98	
CHILDRENS BROADCASTING CORP	MN				X	X					09/15/98	
CHOICETEL COMMUNICATIONS INC /MN/	MN						X				09/14/98	
CIENA CORP	DE				X	X					09/13/98	
CIENA CORP	DE				X	X					09/14/98	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE				X	X					09/15/98	
CITIZENS FIRST FINANCIAL CORP	DE		X								09/01/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
CITY HOLDING CO	WV					X	X					09/14/98	
CLEAR CHANNEL COMMUNICATIONS INC	TX					X	X					09/04/98	AMEND
COASTAL BANCORP INC	TX					X	X					09/30/98	
COMMAND SECURITY CORP	NY	X										08/17/98	
COMMERCIAL MORTGAGE ACCEPTANCE CORP	MO							X				09/11/98	
COMMERCIAL MORTGAGE PASS THROUGH CE RTIFICATES SERIES 1998 C1	MO					X	X					08/15/98	AMEND
COMMUNICATIONS INSTRUMENTS INC	NC							X				09/04/98	AMEND
COMNET CORP	DE					X	X					09/10/98	
COMPREHENSIVE CARE CORP	DE					X						09/15/98	
COMPUSA INC	DE		X					X				08/31/98	
CONSO PRODUCTS CO	SC							X				06/19/98	AMEND
CONSOLIDATED ECO SYSTEM INC	ID				X							09/04/98	
CONTINENTAL HERITAGE CORP	DE	X										09/03/98	
CRACKER BARREL OLD COUNTRY STORE IN C	TN					X						09/09/98	
CRIIMI MAE FINANCIAL CORP	MD					X						09/15/98	
CROSS TIMBERS OIL CO	DE					X	X					08/26/98	
CRW FINANCIAL INC /DE	DE					X	X					06/11/98	
CSX TRADE RECEIVABLES CORP	DE					X	X					07/27/98	
CUBIST PHARMACEUTICALS INC	DE					X	X					09/14/98	
CV REIT INC	DE							X				06/24/98	AMEND
CV REIT INC	DE							X				06/25/98	AMEND
DANAHER CORP /DE/	DE		X			X	X					07/09/98	
DEERE & CO	DE							X				09/14/98	
DISCOVER CARD MASTER TRUST I	DE					X	X					09/15/98	
DISCOVER CARD TRUST 1991 D	DE					X	X					09/15/98	
DISCOVER CARD TRUST 1991 F	DE					X	X					09/15/98	
DISCOVER CARD TRUST 1993-A	DE					X	X					09/15/98	
DISCOVER CARD TRUST 1993-B	DE					X	X					09/15/98	
EASTERN ENVIRONMENTAL SERVICES INC	DE		X					X				06/26/98	AMEND
EASTERN ENVIRONMENTAL SERVICES INC	DE		X					X				06/26/98	AMEND
EDUCATIONAL INSIGHTS INC	CA					X						09/08/98	
EEX CORP	TX					X						09/10/98	
ENESCO GROUP INC	MA							X				12/18/97	AMEND
ENTROPIN INC	CO	X	X									01/15/98	AMEND
EQCC RECEIVABLES CORP	DE					X	X					09/15/98	
EQUITABLE RESOURCES INC /PA/	PA							X				09/14/98	
EQUITY INNS INC	TN					X	X					09/08/98	
EXCEL INDUSTRIES INC	IN					X	X					08/28/98	
EXCITE INC	CA											04/29/98	AMEND
EYE TECHNOLOGY INC	DE					X	X					08/03/98	
EYE TECHNOLOGY INC	DE					X		X				09/04/98	
FALCON FUNDING CORP	DE					X						09/15/98	
FALCON HOLDING GROUP LP	DE					X						09/15/98	
FIFTH THIRD BANK AUTO TRUST 1996-A	OH							X				09/15/98	
FIFTH THIRD BANK AUTO TRUST 1996-B	OH							X				09/15/98	
FINGERHUT MASTER TRUST	DE							X				09/11/98	
FINGERHUT RECEIVABLES INC	DE							X				09/11/98	
FIRST ALLIANCE MORTGAGE CO /DE/	CA					X	X					09/10/98	
FIRST CHARTER CORP /NC/	NC							X				05/17/98	AMEND
FIRST LIBERTY BANK CORP	PA							X				06/30/98	AMEND
FIRST M&F CORP/MS	MS					X						09/09/98	
FIRST NATIONAL BANKSHARES CORP	WV					X						09/08/98	
FIRSTAMERICA AUTOMOTIVE INC /DE/	DE		X					X				06/19/98	AMEND
FLEET CREDIT CARD MASTER TRUST	NY		X									09/15/98	
FLEET CREDIT CARD MASTER TRUST II	NY		X									09/15/98	
FNANB CREDIT CARD MASTER TRUST						X	X					09/15/98	
FOAMEX CAPITAL CORP	DE		X			X	X					12/23/97	AMEND
FOAMEX INTERNATIONAL INC	DE		X			X	X					12/23/97	AMEND
FOAMEX L P	DE		X			X	X					12/23/97	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FX ENERGY INC	NV					X					09/10/98	
GAINSCO INC	TX					X					08/14/98	
GALVESTONS STEAKHOUSE CORP	DE					X	X				09/14/98	
GEOKINETICS INC	DE						X				04/30/98	AMEND
GEON CO	DE					X	X				09/08/98	
GEON CO	DE					X	X				09/09/98	
GIBSON GREETINGS INC	DE		X				X				09/01/98	
GLENBOROUGH PARTNERS A CALIFORNIA L P	CA		X				X				09/14/98	
GLENBOROUGH REALTY TRUST INC	MD					X	X				03/31/98	AMEND
GLENBOROUGH REALTY TRUST INC	MD		X								09/09/98	AMEND
GLOBAL OPPORTUNITY FUND LP	DE	X									12/31/96	AMEND
GOLDEN STATE BANCORP INC	DE		X				X	X			09/11/98	
GREEN TREE FINANCIAL CORP	DE						X				09/14/98	
GROUP MAINTENANCE AMERICA CORP	TX		X				X				08/31/98	
HAGLER BAILLY INC	DE		X								08/28/98	
HARVEST RESTAURANT GROUP INC	TX			X	X		X				07/09/98	AMEND
HARVEST RESTAURANT GROUP INC	TX			X			X				07/17/98	AMEND
HAWAIIAN AIRLINES INC/HI	HI					X	X				08/28/98	
HEALTHDYNE INFORMATION ENTERPRISES INC	GA					X	X				06/01/98	AMEND
HEFTEL BROADCASTING CORP	DE		X				X				05/22/98	AMEND
HEMAGEN DIAGNOSTICS INC	DE		X				X				09/01/98	
HILTON HOTELS CORP	DE					X	X				09/14/98	
HOUSING PROGRAMS LTD	CA					X	X				09/10/98	
IBM CREDIT RECEIVABLES INC	DE					X	X				09/15/98	
IBM CREDIT RECEIVABLES LEASE ASSET MASTER TRUST	DE					X	X				09/15/98	
ICON CMT CORP	DE					X	X				08/13/98	
IDACORP INC	ID		X								09/10/98	
IMAGYN MEDICAL TECHNOLOGIES INC	DE					X	X				09/08/98	
INDUS INTERNATIONAL INC	DE					X					08/31/98	
INLAND STEEL INDUSTRIES INC /DE/	DE						X				07/16/98	AMEND
INNOSERV TECHNOLOGIES INC	CA	X				X	X				09/15/98	
INNOVO GROUP INC	DE					X	X				08/31/98	
INTEGRATED ELECTRICAL SERVICES INC	DE		X				X				09/14/98	
INTEGRATED SILICON SOLUTION INC	DE						X				06/29/98	AMEND
INTEGRATED SYSTEMS CONSULTING GROUP INC	PA					X	X				09/09/98	
INTELLIGENT DECISION SYSTEMS INC	DE	X									09/15/98	
IRT PROPERTY CO	GA					X	X				09/09/98	
ITEQ INC	DE					X	X				09/04/98	
IWL COMMUNICATIONS INC	TX						X				08/26/98	AMEND
JACOR COMMUNICATIONS INC	DE					X	X				09/15/98	
JCP RECEIVABLES INC	DE						X				09/15/98	
JONES CABLE INCOME FUND 1-B LTD	CO		X				X				08/31/98	
JONES CABLE INCOME FUND 1-C LTD	CO		X				X				08/31/98	
JPM CO	PA						X				07/31/98	AMEND
KATY INDUSTRIES INC	DE					X					08/14/98	
KATZ DIGITAL TECHNOLOGIES INC	DE					X	X				09/11/98	
KEYSTONE AUTOMOTIVE INDUSTRIES INC	CA		X				X				08/31/98	
KEYSTONE AUTOMOTIVE INDUSTRIES INC	CA						X				09/10/98	AMEND
KNAPE & VOGT MANUFACTURING CO	MI		X				X				09/01/98	
KONOVER PROPERTY TRUST INC	MD	X									03/23/98	
KROLL O GARA CO	OH		X				X				09/01/98	
KTI INC	NJ		X				X				08/28/98	
LEADING EDGE PACKAGING INC	DE		X		X	X					03/13/98	AMEND
LEADING EDGE PACKAGING INC	DE		X		X	X					03/13/98	AMEND
LEHMAN ABS CORP	DE					X	X				09/11/98	
LOEWEN GROUP INC						X	X				09/11/98	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
LYRIC INTERNATIONAL INC	CO	X									09/01/98	
MAX & ERMAS RESTAURANTS INC	DE		X	X							09/03/98	
MAXIMUS INC	VA	X			X						08/31/98	
MAXXAM INC	DE				X						06/18/98	AMEND
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE				X	X					08/31/98	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST					X	X					08/31/98	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II					X	X					08/31/98	
MBNA CORP	MD				X						08/31/98	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X	X					07/31/98	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X	X					09/09/98	
MICROPOINT INC	DE					X					03/31/98	AMEND
MIM CORP	DE				X						09/14/98	
MINDSPRING ENTERPRISES INC	DE				X	X					09/10/98	
MMCA AUTO OWNER TRUST 1997-1	DE					X					08/31/98	
MTI TECHNOLOGY CORP	DE				X	X					09/03/98	
NETPLEX GROUP INC	NY	X				X					06/22/98	AMEND
NETPLEX GROUP INC	NY	X				X					06/22/98	AMEND
NIAGARA BANCORP INC	DE				X	X					09/02/98	
NON INVASIVE MONITORING SYSTEMS INC /FL/	FL		X			X					09/08/98	
NORWEST ASSET SECURITIES CORP	DE				X	X					09/14/98	
NORWEST ASSET SECURITIES CORP	DE				X	X					09/15/98	
NTS PROPERTIES IV	KY				X						09/14/98	
NTS PROPERTIES PLUS LTD	FL				X						09/14/98	
NTS PROPERTIES V	MD				X						09/14/98	
OBLE MEDIA CORP	OR	X				X					09/01/98	
OMNIAMERICA INC	NV				X	X					09/14/98	
ONE VALLEY BANCORP INC	WV				X						09/15/98	
ONYX ACCEPTANCE GRANTOR TRUST 1998- 1	DE				X						08/15/98	
ONYX ACCEPTANCE OWNER TRUST 1998-A	DE				X						07/15/98	
OSAGE SYSTEMS GROUP INC	DE					X					06/22/98	AMEND
OUT TAKES INC	DE	X									08/18/98	
OUTDOOR SYSTEMS INC	DE					X					09/10/98	AMEND
PACIFIC PHARMACEUTICALS INC	DE				X	X					09/10/98	
PANDA PROJECT INC	FL				X						09/09/98	
PARADIGM TECHNOLOGY INC /DE/	DE				X	X					09/11/98	
PAYCHEX INC	DE				X						08/31/98	
PENFORD CORP	WA	X			X	X					08/31/98	
PENNCORP FINANCIAL GROUP INC /DE/	DE				X	X					09/15/98	
PENSKE MOTORSPORTS INC	DE				X	X					09/08/98	
PEOPLES BANCTRUST CO INC	AL				X						09/15/98	
PITTSTON CO	VA				X						09/15/98	
POCAHONTAS BANKSHARES CORP	WV	X									09/11/98	
POWER ONE INC	DE	X				X					08/31/98	
PRECEPT BUSINESS SERVICES INC	TX					X					08/18/98	
PRIME RETAIL LP	DE				X	X					06/30/98	AMEND
PROFFITTS CREDIT CARD MASTER TRUST	NV				X	X					09/15/98	
PROVIDENT BANK	OH				X	X					09/15/98	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE				X	X					09/10/98	
PSINET INC	NY				X	X					09/14/98	
PUBLIC SERVICE CO OF NEW MEXICO	NM				X						09/15/98	
QUEEN SAND RESOURCES INC	DE				X	X					07/08/98	AMEND
RADYNE CORP	NY	X			X	X					07/17/98	AMEND
REAL ESTATE ASSOCIATES LTD II	CA				X	X					09/10/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
REAL ESTATE ASSOCIATES LTD III	CA				X	X					09/10/98	
REAL ESTATE ASSOCIATES LTD IV	CA				X	X					09/10/98	
REAL ESTATE ASSOCIATES LTD V	CA				X	X					09/10/98	
REAL ESTATE ASSOCIATES LTD VI	CA				X	X					09/10/98	
REAL ESTATE ASSOCIATES LTD VII	CA				X	X					09/10/98	
REAL ESTATE ASSOCIATES LTD/CA	CA				X	X					09/10/98	
REGAL CINEMAS INC	TN			X		X					09/14/98	
RESIDENTIAL ACCREDIT LOANS INC	DE				X	X					09/15/98	
RESIDENTIAL ASSET SECURITIES CORP	DE					X					07/31/98	AMEND
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X					09/15/98	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE				X	X					09/15/98	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE				X	X					09/15/98	
REYNOLDS METALS CO	DE				X						09/15/98	
RPS HOTEL INVESTORS INC	TN				X	X					09/08/98	
ROYAL OAK MINES INC	WA				X	X					09/15/98	
SCHLUMBERGER LTD /NY/			X			X					08/31/98	
SCOTIA PACIFIC HOLDING CO	DE				X						06/18/98	AMEND
SCOTTS LIQUID GOLD INC	CO	X									09/10/98	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL				X	X					09/15/98	
SECOND NATIONAL FINANCIAL CORP	VA				X	X					09/10/98	
SEMELE GROUP INC	DE	X				X					08/31/98	
SIGNAL CORP	OH				X	X					06/29/98	AMEND
SMITH INTERNATIONAL INC	DE	X				X					09/15/98	
SNYDER COMMUNICATIONS INC	DE	X				X					08/31/98	
SOFTNET SYSTEMS INC	NY				X						08/31/98	
SPX CORP	DE				X	X					07/19/98	AMEND
STARTEC GLOBAL COMMUNICATIONS CORP	MD				X						09/14/98	
STERICYCLE INC	DE	X				X					08/31/98	
SUIZA FOODS CORP	DE				X	X					09/11/98	
SUNBURST ACQUISITIONS I INC	CO	X	X			X					08/31/98	
TAKE TWO INTERACTIVE SOFTWARE INC	DE	X			X	X	X				08/31/98	
TANDY CORP /DE/	DE	X									08/31/98	
TELEWEST COMMUNICATIONS PLC /NEW/	X0				X	X					09/01/98	
TELLABS INC	DE				X						09/14/98	
THERMO ELECTRON CORP	DE				X						09/15/98	
THINK NEW IDEAS INC	DE	X				X					06/29/98	AMEND
THOMAS INDUSTRIES INC	DE	X				X					08/30/98	
TMP WORLDWIDE INC	DE							X			08/31/98	
TOYOTA MOTOR CREDIT CORP	CA					X					09/11/98	
TRACKER CORP OF AMERICA	DE			X							10/11/98	
TRANSACTION SYSTEMS ARCHITECTS INC	DE							X			08/31/98	
TRANSCOASTAL MARINE SERVICES INC	TX	X				X					09/15/98	
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE				X	X					09/15/98	
U S WIRELESS DATA INC	CO				X						08/21/98	
UCI MEDICAL AFFILIATES INC	DE					X					11/01/97	AMEND
UMB FINANCIAL CORP	MO	X									09/15/98	
UNIDIGITAL INC	DE	X									09/02/98	
UNION PACIFIC CORP	UT	X									01/22/98	AMEND
UNITED LEISURE CORP	DE				X						09/14/98	
UNITY BANCORP INC /DE/	DE				X	X					08/25/98	
US XPRESS ENTERPRISES INC	NV	X				X					08/29/98	
USAA FEDERAL SAVINGS BANK		X									08/31/98	
VENATOR GROUP INC	NY				X	X					09/15/98	
WELLS FARGO & CO	DE				X	X					06/08/98	
WELLS REAL ESTATE FUND XI L P		X				X					09/01/98	
WESTCO BANCORP INC	DE				X	X					09/04/98	AMEND

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	CODE		1	2	3	4	5	6	7	8	9		
WESTOWER CORP	WA		X						X			08/31/98	
WESTOWER CORP	WA		X						X			08/31/98	
WHIRLPOOL CORP /DE/	DE		X			X						04/21/98	AMEND
WILLIAMS SCOTSMAN INC	MD		X						X			09/01/98	
WIRELESS ONE INC	DE					X		X				09/04/98	
WOODWARD GOVERNOR CO	DE		X									08/26/98	AMEND
WORLD ACCESS INC	DE					X		X				07/20/98	AMEND
YAHOO INC	CA					X						09/04/98	AMEND
YAMAHA MOTOR RECEIVABLES CORP	DE					X						09/15/98	
YELLOW CORP	DE					X						09/15/98	
YES ENTERTAINMENT CORP	DE					X		X				09/02/98	