

# SEC NEWS DIGEST

Issue 98-21

February 2, 1998

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## ENFORCEMENT PROCEEDINGS

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### ADMINISTRATIVE PROCEEDINGS SETTLED AGAINST DEAN MCDERMOTT, STEPHEN COMPOS AND DANIEL SHAFFER

The Commission announced that on January 30, 1998, it instituted and simultaneously settled cease and desist proceedings pursuant to Section 8A of the Securities Act of 1933 (Securities Act) against Dean McDermott and Stephen Compos, both of Bethlehem, Pennsylvania, and Daniel Shaffer (Shaffer), of Reading, Pennsylvania (collectively, Respondents).

The Order Instituting Cease and Desist Proceedings (Order) found that from approximately May 1995 through May 1996, Respondents solicited and raised at least \$4.8 million for purported bond trading programs offered by Old Naples Securities, Inc. and James Zimmerman (Zimmerman). The Order found that instead of making the promised investments, Zimmerman operated a "Ponzi" scheme and misused and misappropriated customer funds.

The Order found that Respondents solicited these investments based solely on Zimmerman's oral representations to them and conducted an insufficient investigation into the investments. The Order also found that certain "red flags" should have caused Respondents to investigate the bond investments in greater detail. The Order finds that Respondents committed or caused violations of Sections 17(a) (2) and (3) of the Securities Act.

Pursuant to their Offers of Settlement, Respondents consented to the entry of a cease and desist order and to an order that Shaffer pay disgorgement of \$90,000 plus pre-judgment interest, all of which was waived based on Shaffer's demonstrated inability to pay. (Rel. 33-7502; File No. 3-9540)

### COMMISSION SETTLES ACTIONS AGAINST J. PATRICK KITTLER AND PAUL MOUNT, CPA

The Commission announced today that it filed a civil suit in federal court in the District of Minnesota against J. Patrick Kittler, trustee and chief executive officer of National Trade Trust, a Minneapolis, Minnesota company. The complaint alleges that under Kittler's direction, the company disseminated misleading offering

materials including fraudulent financial statements that materially overstated the company's earnings, assets and net worth. These offering materials also misrepresented the safety and liquidity of the company's promissory notes. Between February 1990 and March 1994, the company raised approximately \$8 million from over 300 investors from the sale of its notes. National Trade Trust has defaulted on the notes and currently owes approximately \$6.5 million to over 200 investors. The company has ceased operations and is liquidating its remaining assets.

Kittler has consented, without admitting or denying the Commission's allegations, to the entry of an order of permanent injunction and the payment of a \$25,000 civil penalty.

In simultaneous administrative proceedings, the company's independent auditor, Paul Mount, consented to the entry of an order barring him from appearing or practicing before the Commission as an accountant for four years. Mount, through his accounting firm, Mount & Borresen, Ltd., of Bloomington, Minnesota, audited the company's financial statements for the fiscal years ended December 31, 1990, 1991 and 1992. These financial statements, together with audit reports prepared by Mount, were included in the company's offering statements filed with the Commission under Regulation A of the Securities Act of 1933.

The administrative order, which Mount consented to without admitting or denying, finds that Mount engaged in improper professional conduct by failing to obtain sufficient competent evidential matter to support his opinions, by failing to exercise due professional care, and by lacking the proper degree of professional skepticism. [SEC v. James Patrick Kittler, Civ. No. 98-551DSD/JMM, LR-15633]; (Administrative Proceeding in the Matter of Paul G. Mount, CPA - Rel. 34-39601; AAE Rel. 1010; File No. 3-9541)

#### **STOCK PROMOTERS SETTLE ALLEGATIONS THAT THEY PAID KICKBACKS TO BROKERS**

The Commission announced today that two stock promoters, previously charged with paying kickbacks to brokers for selling certain securities to their clients, have settled the charges against them. On September 27, 1996, the Commission filed a Complaint in U.S. District Court for the Middle District of Florida alleging, among other things, that Patrick N. Kephart (Kephart), Scott Mijares (Mijares), and others, engaged in a fraudulent scheme in which they paid \$477,580 in bribes to seven stockbrokers to induce them to retail securities to the public.

Kephart and Mijares consented, without admitting or denying the allegations in the Complaint, to the issuance of a Final Judgment by Judge Patricia C. Fawsett of the United States District Court for the Middle District of Florida enjoining each from future violations of Sections 17(a) and 17(b) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5. Kephart also consented to disgorge \$25,297.88 in profits he received from the alleged scheme, plus \$11,910.71 in prejudgment interest and

to pay a penalty of \$25,297.88. Mijares consented to disgorge \$19,002.00 in profits he received from the alleged scheme, plus \$8,946.50 in prejudgment interest and to pay a penalty of \$19,002.00. For further information, see LR-15082; LR-15428; and LR-15532. [SEC v. Atlantic Capital Corporation, Wall Street Marketing, Inc., Pullman Publications, Inc., Stephen DeCesare, Mark Missler, Patrick Kephart, Howard Jenkins, Scott Mijares, Robert Thomas Beatty, Vincent St. Clair Beatty, Michael Cardascia, David Scott Rossman, Adrian Wilson, Timothy B. Daley, and David M. Connochie, M.D. Fla., No. 96-1043-CIV-ORL-19A] (LR-15626)

#### **CORRECTION**

The January 30 issue of the Digest included a summary entitled "INJUNCTIVE ACTION AGAINST DONALD FERRARINI, BRUNO RUMIGNANI, HOWARD MILLER, MARK BAILINE AND FRANK PALUMBO" that included inaccurate Litigation, and Accounting and Auditing Enforcement release numbers. The numbers previously given, however, pertain to a related release. The correct numbers are LR-15632; AAE Rel. 1009.

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#### **INVESTMENT COMPANY ACT RELEASES**

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##### **THE VIRTUS FUNDS, ET AL.**

A notice has been issued giving interested persons until February 24 to request a hearing on an application filed by The Virtus Funds, et al. for an order under Section 17(b) of the Investment Company Act exempting applicants from Section 17(a) of the Act. The order would permit the reorganization and consolidation of series of certain registered open-end investment companies into certain series of another registered open-end investment company. (Rel. IC-23013 - January 30)

##### **WEISS, PECK & GREER FUNDS TRUST, ET AL.**

A notice has been issued giving interested persons until February 24 to request a hearing on an application filed by Weiss, Peck & Greer Trust, et al. for an order under Sections 6(c) and 17(b) of the Investment Company Act for an exemption from Section 17(a) of the Act. The order would permit in-kind redemptions of shares of certain registered open-end management investment companies held by shareholders who are affiliated persons of the investment companies. (Rel. IC-23012 - January 30)

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#### **HOLDING COMPANY ACT RELEASES**

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##### **NATIONAL FUEL GAS COMPANY, ET AL.**

A notice has been issued giving interested persons until February 23 to request a hearing on a proposal by National Fuel Gas Company

(National), a registered holding company, and its subsidiary company Seneca Independence Pipeline Company (Seneca) to acquire a 25% general partnership interest in Independence Pipeline Company (Pipeline), a nonassociate entity. National's nonutility subsidiary, Niagara Independence Marketing Company (Niagara), proposes to acquire a 25% general partnership interest in DirectLink Gas Marketing Company (DirectLink), a nonassociate entity. National also proposes to: (1) make direct and indirect capital contributions to Pipeline and DirectLink; and (2) add Seneca and Niagara to its authorized money pool arrangement. (Rel. 35-26821)

#### **THE CONNECTICUT LIGHT AND POWER CO., ET AL.**

A notice has been issued giving interested persons until February 23 to request a hearing on a proposal by The Connecticut Light and Power Company (CL&P) and Western Massachusetts Electric Company (WMECO), each an electric public utility subsidiary company of Northeast Utilities (Northeast), a registered holding company. CL&P and WMECO propose to modify a credit facility for nuclear fuel financing previously approved by the Commission. (Rel. 35-26821)

#### **EUA ENERGY INVESTMENT CORPORATION, ET AL.**

A notice has been issued giving interested persons until February 23 to request a hearing on a proposal by Eastern Energy Investment Corporation (EEIC) and EUA Bioten, Inc. (EUAB), each a nonutility subsidiary of Eastern Utilities Associates, a registered holding company. EEIC and EUAB request authority to design, construct, install, operate and maintain two biomass-fired combustion turbine power generation facilities for a customer in India. EEIC and EUAB also request authority to engage in activities to develop and commercialize these types of facilities and related products and services for other customers, both inside and outside the United States. These activities would be conducted by BIOTEN Partnership (EUABP), a general partnership in which EUAB will have a 79.8% interest, either directly or through special purpose subsidiaries and/or joint ventures with nonassociates (Special Purpose Entities). In addition, EEIC and EUAB request that the Commission extend their authority to invest funds in EUABP, and authorize EUABP to invest in the Special Purpose Entities, through December 31, 2002. Such investments would be limited to advances under a line of credit not to exceed \$13 million outstanding and capital contributions not to exceed \$8.907 million. (Rel. 35-26821)

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#### **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or

depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

S-4 FRED MEYER INC, 3800 SE 22ND AVENUE, PORTLAND, OR 97202 (503) 232-8844  
- 75,649,260 (\$1,494,489,056) COMMON STOCK. (FILE 333-44871 - JAN 26)  
(BR 2)

S-8 CHESAPEAKE BIOLOGICAL LABORATORIES INC, 1111 SOUTH PACA STREET,  
BALTIMORE, MD 21230 (410) 998-9800 - 965,275 (\$3,797,812) COMMON STOCK.  
(FILE 333-44873 - JAN. 26) (BR. 1)

S-8 VERITY INC \DE\, 894 ROSS AVE, SUNNYVALE, CA 94089 (415) 541-1500 -  
190,000 (\$926,250) COMMON STOCK. (FILE 333-44877 - JAN 23) (BR. 3)

S-3 TRIANGLE PHARMACEUTICALS INC, 4 UNIVERSITY PLACE, 4611 UNIVERSITY DRIVE,  
DURHAM, NC 27707 (919) 493-5980 - 2,789,500 (\$47,254,130) COMMON STOCK  
(FILE 333-44881 - JAN. 23) (BR. 1)

S-8 SCANA CORP, 1426 MAIN ST, P O BOX 764, COLUMBIA, SC 29201 (803) 376-8547  
- 3,000,000 (\$85,781,400) COMMON STOCK (FILE 333-44885 - JAN 26)  
(BR 4)

S-4 DESKTOP DATA INC, 80 BLANCHARD RD, BURLINGTON, MA 01803 (617) 229-3000  
- 8,415,598 (\$68,639,721) COMMON STOCK (FILE 333-44887 - JAN 26)  
(BR. 3)

S-8 AMPACE CORP, 130 MABRY HOOD ROAD, STE 220, KNOXVILLE, TN 37922  
(423) 691-5799 - 225,000 (\$386,718 75) COMMON STOCK (FILE 333-44889 -  
JAN 26) (BR. 5)

S-8 GREENLAND CORP, 7084 MIRAMAR ROAD 4TH FL, SAN DIEGO, CA 82121  
(619) 458-4226 - 1,675,000 (\$117,250) COMMON STOCK. (FILE 333-44891 -  
JAN. 26) (BR 9)

S-8 NETEGRITY INC, 245 WINTER ST, WALTHAM, MA 02154 (617) 890-1700 -  
313,000 (\$532,100) COMMON STOCK (FILE 333-44893 - JAN. 26) (BR 3)

S-8 NETEGRITY INC, 245 WINTER ST, WALTHAM, MA 02154 (617) 890-1700 -  
313,000 (\$532,100) COMMON STOCK (FILE 333-44895 - JAN 26) (BR 3)

S-8 MONTEREY PASTA CO, 1528 MOFFETT ST, STE 500, SALINAS, CA 93905  
(408) 753-6262 - 540,000 (\$691,200) COMMON STOCK. (FILE 333-44897 -  
JAN. 26) (BR. 2)

S-8 LEEDS FEDERAL BANKSHARES, 1101 MAIDEN CHOICE LANE, BALTIMORE, MD 21229  
(410) 242-1234 - 162,000 (\$1,283,040) COMMON STOCK (FILE 333-44899 -  
JAN 26)

S-8 ORION CAPITAL CORP, 600 FIFTH AVE, NEW YORK, NY 10020 (860) 674-6600 -  
100,000 (\$4,459,000) COMMON STOCK (FILE 333-44901 - JAN 26) (BR 1)

S-3 ALCOHOL SENSORS INTERNATIONAL LTD, 11 OVAL DR, ISLANDIA, NY 11722 -  
5,150,000 (\$5,150,000) COMMON STOCK (FILE 333-44903 - JAN 26) (BR 5)

S-4 CLIMACHEM INC, 16 SOUTH PENNSYLVANIA, OKLAHOMA CITY, OK 73107  
(405) 235-4546 - \$105,000,000 STRAIGHT BONDS. (FILE 333-44905 - JAN. 26)  
(NEW ISSUE)

S-8 ODETICS INC, 1515 S MANCHESTER AVE, ANAHEIM, CA 92802 (714) 774-5000 -  
530,000 (\$2,567,141) COMMON STOCK. (FILE 333-44907 - JAN 26) (BR. 3)

S-8 ROCKY FORD FINANCIAL INC, 801 SWINK AVENUE, ROCKY FORD, CO 81067  
(719) 254-7642 - 59,240 (\$598,052 50) COMMON STOCK. (FILE 333-44909 -  
JAN. 26) (BR 9)

S-4 SUNRISE MEDICAL INC, 2382 FARADAY AVENUE STE 200, CARLSBAD, CA 92008  
(619) 930-1500 - 5,000,000 (\$69,843,750) COMMON STOCK (FILE 333-44911 -  
JAN 26) (BR 1)

S-8 AMERICAN RESIDENTIAL SERVICES INC, 5051 WESTHEIMER, STE 725, HOUSTON, TX  
77056 (713) 599-0100 - 1,532,132 (\$14,076,463) COMMON STOCK. (FILE  
333-44913 - JAN. 26) (BR 6)

S-3 ONEOK INC /NEW/, 100 WEST 5TH ST, TULSA, OK 74103 (918) 588-7000 -  
178,741 (\$6,457,019) COMMON STOCK (FILE 333-44915 - JAN. 26) (BR. 4)

S-3 GLOBAL VILLAGE COMMUNICATION INC, 1144 E ARQUES AVE, BLDG B, SUNNYVALE,  
CA 94086 (408) 523-1000 - 142,392 (\$249,186) COMMON STOCK. (FILE  
333-44917 - JAN 26) (BR 3)

S-3 SOFAMOR DANEK GROUP INC, 1800 PYRAMID PLACE, MEMPHIS, TN 38132  
(901) 396-2695 - 3,220,000 (\$200,243,750) COMMON STOCK (FILE 333-44919 -  
JAN 26) (BR 1)

S-8 ENCAD INC, 6059 CORNERSTONE COURT W, SAN DIEGO, CA 92121 (619) 677-5179  
- 410,000 (\$10,225,400) COMMON STOCK (FILE 333-44923 - JAN 26) (BR 3)

S-3 PRIDE INTERNATIONAL INC, 5847 SAN FELIPE ST ST 3300, HOUSTON, TX 77057  
(713) 871-8567 - \$500,000,000 COMMON STOCK (FILE 333-44925 - JAN 26)  
(BR 4)

S-1 PEGASUS SYSTEMS INC, 3811 TURTLE CREEK BLVD, STE 1100, DALLAS, TX 75219  
(214) 528-5656 - 2,415,000 (\$37,432,500) COMMON STOCK. (FILE 333-44927 -  
JAN 26) (BR 3)

S-4 PEGASUS COMMUNICATIONS CORP, 5 RADNOR CORPORATE CTR STE 454,  
100 MATSONFORD RD, RADNOR, PA 19087 (610) 341-1801 - 5,500,000  
(\$27,016,618) COMMON STOCK (FILE 333-44929 - JAN 26) (BR 3)

S-1 FREEDOM SECURITIES CORP /DE/, ONE BEACON STREET, 617-227-1050, BOSTON,  
MA 02108 - \$138,000,000 COMMON STOCK (FILE 333-44931 - JAN. 26)

S-4 DYNATECH CORP, 3 NEW ENGLAND EXECUTIVE PARK, BURLINGTON, MA 01803  
(617) 272-6100 - 8,409,473 (\$21 023,682 50) COMMON STOCK (FILE 333-44933  
- JAN 27) (BR 5)

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**RECENT 8K FILINGS**

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets.
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5 Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address. <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ABS GROUP INC	DE										X	01/20/98	
AFG RECEIVABLES CORP	CA				X							01/15/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AFG RECEIVABLES CORP	CA				X						01/15/98	
AFG RECEIVABLES CORP	CA				X						01/15/98	
AFG RECEIVABLES CORP	CA				X						01/15/98	
AFG RECEIVABLES CORP	CA				X						01/15/98	
ALLEGHENY TELEDYNE INC	DE				X	X					01/28/98	
AMERICAN RESTAURANT GROUP HOLDINGS INC	DE				X						09/12/97	
AMERICAN RESTAURANT GROUP INC	DE				X						09/12/97	
AMERICREDIT CORP	TX				X	X					01/29/98	
AMERISERVE FOOD DISTRIBUTION INC /D E/	DE				X	X					01/29/98	
AMERISERVE FOOD DISTRIBUTION INC /D E/	DE				X	X					01/29/98	
AMRESKO COMMERCIAL MORTGAGE FUNDING I CORP	DE				X	X					01/20/98	
AMSOUTH BANCORPORATION	DE				X	X					01/13/98	
APHTON CORP	CA				X	X	X				01/29/98	
APPLE RESIDENTIAL INCOME TRUST INC	VA					X					11/24/97	AMEND
APPLIED GRAPHICS TECHNOLOGIES INC	DE		X			X					01/16/98	
AURORA FOODS INC			X			X					01/16/98	
AVANT CORP	DE		X			X					01/16/98	
BEAR STEARNS MORTGAGE SECURITIES IN C	DE					X					12/30/97	AMEND
BIONUTRICS INC	NV					X					01/30/98	AMEND
BIRD CORP	MA				X	X					01/12/98	
BJ SERVICES CO	DE				X	X					01/30/98	
BOSTON LIFE SCIENCES INC /DE	DE				X	X					01/20/98	
BRANDYWINE REALTY TRUST	MD				X	X					01/29/98	
BUDGET GROUP INC	DE				X	X					01/28/98	
CELLULARVISION USA INC	DE				X	X					12/01/97	
CELTRIX PHARMACEUTICALS INC	DE				X	X					01/22/98	
CENTURA SOFTWARE CORP	CA				X	X					01/14/98	
CHASE MANHATTAN BANK /NY/	NY				X	X					01/30/98	
CHASE MANHATTAN BANK /NY/	NY				X	X					01/30/98	
CHASE MANHATTAN BANK /NY/	NY				X	X					01/30/98	
CHASE MANHATTAN BANK /NY/	NY				X	X					01/30/98	
CHASE MANHATTAN BANK USA	DE				X	X					12/15/97	
CHASE MANHATTAN BANK USA	DE				X	X					01/15/98	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE				X	X					01/30/98	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE				X	X					01/30/98	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE				X	X					01/30/98	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE				X	X					01/30/98	
CHASE MANHATTAN RV OWNER TRUST 1997 -A	DE				X	X					01/30/98	
CHIQUITA BRANDS INTERNATIONAL INC	NJ		X			X					01/16/98	
CITFED BANCORP INC	DE				X						01/13/98	AMEND
CMC INDUSTRIES INC	DE				X	X					01/30/98	
COCA COLA ENTERPRISES INC	DE		X			X					01/20/98	
COMPASS PLASTICS & TECHNOLOGIES INC	DE				X	X					01/28/98	
CONSOLIDATED EDISON CO OF NEW YORK INC	NY				X	X					01/29/98	
CONTIMORTGAGE HOME EQUITY TRUST 199 7-5			X								01/15/98	
CORESTATES FINANCIAL CORP	PA				X						01/30/98	
CRESTAR FINANCIAL CORP	VA				X						01/27/98	
CYMER INC	NV				X	X					01/29/98	
DAVEL COMMUNICATIONS GROUP INC	IL				X		X				01/26/98	
DE ANZA PROPERTIES XII LTD	CA		X			X					01/14/98	

NAME OF ISSUER	STATE		8K ITEM NO.									DATE	COMMENT	
	CODE		1	2	3	4	5	6	7	8	9			
DEUTSCHE FINANCIAL CAPITAL SECURITI ZATION LLC	NC						X						01/29/98	
DHB CAPITAL GROUP INC /DE/	NY					X							01/23/98	
DIALOGIC CORP	NJ				X	X							01/22/98	
ENDOREX CORP	DE	X											01/30/98	
ENDOREX CORP	DE	X											01/30/98	
ENTROPIN INC	CO		X										01/15/98	
FARMERS CAPITAL BANK CORP	KY					X							01/30/98	
FIELDS AIRCRAFT SPARES INC	UT		X				X						01/16/98	
FIRST FINANCIAL BANCORP /CA/	CA					X							01/30/98	
FOHP INC	NJ					X							12/01/97	
FRETTER INC	MI					X	X						01/20/98	
FRONTIER CORP /NY/	NY					X							01/29/98	
FULTON FINANCIAL CORP	PA					X	X						01/30/98	
FX ENERGY INC	NV					X							01/26/98	
GARDEN STATE NEWSPAPERS INC	DE		X				X						01/29/98	
GENERAL MOTORS ACCEPTANCE CORP	NY					X							01/30/98	
GENOVESE DRUG STORES INC	DE					X	X						01/30/98	
GEODYNE ENERGY INCOME LIMITED PARTN ERSHIP II-F	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-B	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-C	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-D	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-E	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-F	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II A	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-B	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-C	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-D	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-E	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-G	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-H	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-A	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-B	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-C	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-D	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-E	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-F	OK					X	X						01/29/98	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-G	OK					X	X						01/29/98	
GEODYNE INSTITUTIONAL PENSION ENERG Y INC LTD PARTNERSHIP P-3	OK					X	X						01/29/98	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
GEODYNE INSTITUTIONAL PENSION ENER Y INC LTD PARTNERSHIP P-7	OK					X	X					01/29/88	
GEODYNE INSTITUTIONAL PENSION ENER Y INC LTD PARTNERSHIP P-8	OK					X	X					01/29/88	
GEODYNE INSTITUTIONAL PENSION ENER Y INCOME LP P-5	OK					X	X					01/29/98	
GEODYNE INSTITUTIONAL PENSION ENER Y INCOME LTD PART P-6	OK					X	X					01/29/98	
GEODYNE INSTITUTIONAL PENSION ENER Y INCOME LTD PTNSHIP P-4	OK					X	X					01/29/98	
GEODYNE INSTITUTIONAL PENSION ENER Y INCOME P-1 LTD PTNSHIP	TX					X	X					01/29/98	
GEODYNE INSTITUTIONAL PENSION ENER Y INCOME P-2 LTD PTNSHIP	TX					X	X					01/29/98	
GEOTEK COMMUNICATIONS INC	DE					X						01/26/98	
GIBSON GREETINGS INC	DE					X	X					01/26/98	
GOthic ENERGY CORP	OK						X					11/25/97	AMEND
HALSEY DRUG CO INC/NEW	NY							X				01/16/98	
HARVEST RESTAURANT GROUP INC	TX					X						01/19/98	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI						X					12/06/97	AMEND
HEADLANDS MORTGAGE SECURITIES INC	DE					X	X					01/15/98	
HELLER FUNDING CORP	DE					X	X					01/26/98	
HELPMATE ROBOTICS INC	CT				X		X					01/21/98	AMEND
HOUSEHOLD INTERNATIONAL INC	DE					X	X					01/21/98	
ICIFC SECURED ASSETS CORP	CA					X	X					01/29/98	
IMC HOME EQUITY LOAN OWNER TRUST 19 97-4	NY					X	X					12/22/97	
IMC HOME EQUITY LOAN OWNER TRUST 19 97-6	NY					X	X					12/22/97	
IMC HOME EQUITY LOAN TRUST 1997-1	NY					X	X					12/26/97	
IMC HOME EQUITY LOAN TRUST 1997-2	NY					X	X					12/22/97	
IMC HOME EQUITY LOAN TRUST 1997-3	NY					X	X					12/22/97	
IMC HOME EQUITY LOAN TRUST 1997-5						X	X					12/22/97	
IMC HOME EQUITY LOAN TRUST 1997-7	DE					X	X					12/22/97	
INSIGHT ENTERPRISES INC	DE							X				01/26/98	
INTEGRATED PACKAGING ASSEMBLY CORP	DE		X				X					01/20/98	
KEY CONSUMER ACCEPTANCE CORP	DE					X	X					01/15/98	
KEY CONSUMER ACCEPTANCE CORP	DE					X	X					01/15/98	
KIMBERLY CLARK CORP	DE					X						01/27/98	
KIMCO REALTY CORP	MD					X	X					01/28/98	
LANDEC CORP \CA\	CA						X					08/28/97	AMEND
LARSON DAVIS INC	NV					X						01/07/98	
LEADING EDGE PACKAGING INC	DE					X	X					01/23/98	
LEHMAN ABS CORP FHA TITLE I ASSET B ACK CERT SER 1996-2	DE							X				12/25/97	
LEHMAN FHA TITLE I LOAN TRUST 1996- 3	DE							X				12/25/97	
LEVEL 8 SYSTEMS	NY				X		X					02/04/98	
LINDBERG CORP /DE/	DE		X									01/16/98	
LITHIA MOTORS INC	OR		X				X					01/21/98	
MARSHALL INDUSTRIES	CA			X								01/16/98	
MASCOTECH INC	DE		X				X					01/20/98	
MASTEC INC	DE		X									01/26/98	
MERCANTILE CREDIT CARD MASTER TRUST	NY					X	X					01/29/98	
MERISEL INC /DE/	DE					X	X					01/26/98	
MERRILL LYNCH & CO INC	DE					X	X					01/30/98	
MIAMI COMPUTER SUPPLY CORP	OH		X				X					01/16/98	
MICROCID PHARMACEUTICALS INC	CA						X					01/14/98	AMEND
MILLENNIUM PHARMACEUTICALS INC	DE					X						10/28/97	AMEND
MOLTEN METAL TECHNOLOGY INC /DE/	DE					X	X					01/29/98	
MONEY STORE INC /NJ	NJ					X	X					01/29/98	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NAPRO BIOTHERAPEUTICS INC	DE					X					01/28/98	
NATIONSCREDIT GRANTOR TRUST 1996-1	NY					X	X				01/15/98	
NATIONSCREDIT GRANTOR TRUST 1997-1	DE					X	X				01/15/98	
NEBCO EVANS HOLDING CO	DE					X	X				01/29/98	
NEBCO EVANS HOLDING CO	DE					X	X				01/29/98	
NOBLE ROMANS INC	IN				X						01/26/98	AMEND
NORTHEAST INDIANA BANCORP INC	DE					X	X				12/31/97	
NORTHWEST AIRLINES CORP	DE					X	X				01/25/98	
OASIS RESIDENTIAL INC	NV					X	X				12/31/97	
OCCIDENTAL PETROLEUM CORP /DE/	DE					X					01/30/98	
OCEAN OPTIQUE DISTRIBUTORS INC	FL	X						X			01/08/98	
OCWEN FINANCIAL CORP	FL		X								01/27/98	
OPEN MARKET INC	DE							X			01/26/98	
OPTIMUMCARE CORP /DE/	DE					X	X				01/29/98	
PLATRONICS INC	NJ	X									01/30/98	
PNC MORTGAGE SECURITIES CORP	DE					X	X				01/30/98	
PORTSMOUTH SQUARE INC	CA					X					01/26/98	
PRIME GROUP REALTY TRUST	MD		X					X			01/14/98	
PROCTER & GAMBLE CO	OH								X		01/30/98	
PROSOURCE INC	DE	X				X	X	X			01/29/98	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE		X								09/15/97	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE			X							11/15/97	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE			X							12/15/97	
PUBLIC STORAGE PROPERTIES XI INC	CA					X	X				01/29/98	AMEND
QUALITY SEMICONDUCTOR INC	CA	X									01/30/98	AMEND
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				01/29/98	
SA TELECOMMUNICATIONS INC /DE/	DE					X	X				01/30/98	
SANTA FE FINANCIAL CORP	NV					X					01/26/98	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X	X				01/30/98	
SEQUOIA MORTGAGE FUNDING CORP	MD					X					01/04/98	AMEND
SHELL OIL CO	DE	X						X			01/15/98	
SIERRAWEST BANCORP	CA	X									01/30/98	
SIMS COMMUNICATIONS INC	DE	X				X	X				01/30/98	
SKANEATELES BANCORP INC	DE					X					01/30/98	
SMITH CHARLES E RESIDENTIAL REALTY LP	MD							X			10/03/97	AMEND
SOFTWARE ARTISTRY INC	IN	X						X			01/26/98	
SONAT INC	DE					X	X				01/27/98	
SPIEKER PROPERTIES INC	MD							X			01/30/98	
SPIEKER PROPERTIES L P	CA							X			01/30/98	
SPORTS AUTHORITY INC /DE/	DE					X					01/29/98	
STORM TECHNOLOGY INC	DE					X	X				01/27/98	
TANDY CORP /DE/	DE	X									01/30/98	
TCF FINANCIAL CORP	DE					X	X				01/20/98	
TENGTU INTERNATIONAL CORP	DE	X									01/20/98	
TEXACO INC	DE		X					X			01/15/98	
TII INDUSTRIES INC	DE					X	X				01/26/98	
TMS AUTO HOLDINGS INC	DE					X	X				01/29/98	
TMS MORTGAGE INC	NJ							X			12/31/97	
TRANS WORLD AIRLINES INC /NEW/	DE					X					01/28/98	
TREMONT CORPORATION	DE					X	X				01/26/98	
UCFC FUNDING CORP	LA					X	X				01/15/98	
ULTRAFEM INC	DE					X	X				01/28/98	
UNISYS CORP	DE					X	X				01/27/98	
VENTURE STORES INC	DE				X						01/20/98	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
VOLKSWAGEN CREDIT AUTO RECEIVABLES CORP	DE						X	X				01/15/98	
WASHINGTON BANCORP	IA		X					X				01/15/98	
WESTERFED FINANCIAL CORP	DE						X	X				01/21/98	
WINSTAR COMMUNICATIONS INC	DE		X				X	X				01/12/98	AMEND