

# SEC NEWS DIGEST

Issue 97-222

November 18, 1997

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## COMMISSION ANNOUNCEMENTS

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FREE LUNCH TIME INVESTMENT SEMINARS OFFERED; CHAIRMAN LEVITT, IOWA OFFICIALS HOLD INVESTORS' TOWN MEETING FRIDAY IN DES MOINES

Investors who can't get Friday morning off from work to attend the entire Des Moines Investors' Town Meeting can still learn about investing during their lunch hour by attending one of eight free investment seminars offered by the U.S. Securities and Exchange Commission and industry experts.

There will be four different seminars to choose from at noon, followed by another four at 1:00 p.m. The 45-minute seminars will cover a broad range of investment topics including mutual funds, financial planning, choosing a broker and tips for successful investing.

Earlier Friday, SEC Chairman Arthur Levitt will conduct a two-hour open forum to offer tips on how to make informed investment decisions and avoid costly mistakes. Levitt will also point out the questions people should ask before they invest. "The most important investment lesson I can convey to you," Levitt often tells investors, "comes down to two simple words: Ask Questions."

The Des Moines Investor's Town Meeting is the 21st of a national series to promote public understanding of the securities markets. The Des Moines forum, the first in Iowa, will also feature U.S. Congressman Greg Ganske and the Superintendent of the Iowa Securities Bureau, Craig Goettsch.

The Investors' Town Meeting will start at 9:30 a.m., followed by the investment seminars. All sessions are free and open to the public, and will take place at the Embassy Suites Hotel at 101 E. Locust Street. Registration will begin at 8:30 a.m. For more information, or to make reservations to attend the free educational event, call 1-800 418-3055; Press Contact: John J. Nester (202) 942-7083. (Press Rel. 97-104)

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## RULES AND RELATED MATTERS

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### EXTENSION OF COMMENT PERIOD FOR CONCEPT RELEASE ON EQUITY INDEX INSURANCE PRODUCTS

The Commission issued a release extending from November 20, 1997, to January 5, 1998, the comment period for the concept release on equity index insurance products. The concept release requested public comment on the structure of equity index insurance products, the manner in which they are marketed, and any other matters the Commission should consider in addressing federal securities law issues raised by equity index insurance products.

Comments should be submitted in triplicate to Jonathan G. Katz, Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549-6009. Comments also may be submitted electronically at the following E-mail address: rule-comments@sec.gov. Comments must be received on or before January 5, 1998, and should refer to File No. S7-22-97. All submissions will be available for inspection in the Public Reference Room. FOR FURTHER INFORMATION CONTACT: Megan L. Dunphy, at (202) 942-0670. (Rel. 33-7476)

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## INVESTMENT COMPANY ACT RELEASES

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### THE GUARDIAN LIFE INSURANCE & ANNUITY COMPANY, INC., ET AL.

A notice has been issued giving interested persons until December 9 to request a hearing on an application filed by The Guardian Life Insurance & Annuity Company, Inc., The Guardian Separate Account K, and Guardian Investors Services Corporation (collectively, Applicants). Applicants seek an order pursuant to Section 6(c) of the Investment Company Act granting exemption from provisions of the Act and rules thereunder to the extent necessary to permit reliance on certain exemptions provided in paragraph (b) of Rule 6e-2 under the Act, and exemptions from the provisions of Sections 2(a)(32) and 27(i)(2)(A) of the Act and Rules 6e-2(b)(12) and 22c-1 thereunder, to the extent necessary to permit the sale of certain modified scheduled premium life insurance policies by the Applicants and certain other persons that may become the principal underwriter for those policies. (Rel. IC-22888 - November 14)

### THE LIFE INSURANCE COMPANY OF VIRGINIA, ET AL.

A notice has been issued giving interested persons until December 9, 1997, to request a hearing on an application filed by The Life Insurance Company of Virginia (Life of Virginia), Great Northern Insured Annuity Corporation (GNA), Life of Virginia Separate Account I, Life of Virginia Separate Account II, Life of Virginia Separate

Account III, Life of Virginia Separate Account 4 and GNA Variable Investment Account (collectively, Applicants). Applicants seek an order pursuant to Section 26(b) of the Investment Company Act approving the substitution of securities issued by certain registered management investment companies for securities issued by certain other registered management investment companies currently held by separate accounts of Life of Virginia and GNA to support variable life insurance policies and variable annuity contracts. Applicants also seek an order pursuant to Section 17(b) of the Act granting exemptions from the provisions of Section 17(a) of the Act to the extent necessary to permit Applicants to carry out certain of the proposed substitutions in-kind. (Rel. IC-22890 - November 14)

#### KEMPER TECHNOLOGY FUND, ET AL.

A notice has been issued giving interested persons until December 8, 1997 to request a hearing on an application filed by Kemper Technology Fund, et al. for an order under Section 6(c) of the Investment Company Act exempting applicants from Section 15(a) of the Act. The order would permit the implementation, without shareholder approval, of new investment advisory agreements between Zurich Kemper Investments, Inc. and Zurich Kemper Value Advisors, Inc. (collectively, the Advisers), and certain registered investment companies for a period of up to 120 days following consummation of a merger (but in no event later than April 30, 1998). The order also would permit the Advisers to receive all fees earned under the new investment advisory agreements following shareholder approval. (Rel. IC-22891 - November 17)

#### EMERALD FUNDS

An order has been issued on an application filed by Emerald Funds (Trust) under Section 17(b) of the Investment Company Act granting relief from Section 17(a) of the Act. The order permits an in-kind redemption of Trust shares held by an affiliated person of the Trust. (Rel. IC-22892 - November 17)

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#### HOLDING COMPANY ACT RELEASES

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#### COLUMBIA GAS SYSTEM, INC., ET AL.

A notice has been issued giving interested persons until December 8, 1997 to request a hearing on a proposal by Columbia Gas System, Inc. (Columbia), a registered holding company, and its wholly-owned subsidiaries (System), to increase the System's short-term financing authority to an amount not to exceed \$2 billion at any one time outstanding through December 31, 2003. Columbia and its wholly-owned utility subsidiaries, Columbia Gas of Maryland, Inc., Columbia Gas of Kentucky, Inc., Columbia Gas of Ohio, Inc., and Columbia Gas of Pennsylvania, Inc. (collectively, Utility Subsidiaries) propose that the Utility Subsidiaries be allowed to issue to Columbia, and Columbia be allowed to acquire, Utility Subsidiary short-term

securities. (Rel. 35-26778)

CENTRAL AND SOUTH WEST CORPORATION, ET AL.

A notice has been issued giving interested persons until December 8, 1997 to request a hearing on a proposal by Central and South West Corporation (CSW), a registered holding company, and Central Power and Light Company, Public Service Company of Oklahoma, Southwestern Electric Power Company, and West Texas Utilities Company, each an electric public-utility subsidiary company of CSW, and Central and South West Services, Inc., a service company subsidiary of CSW (collectively, Applicants). Applicants propose to engage in various financing and related transactions through December 31, 2002, subject to certain conditions. (Rel. 35-26778)

GPU, INC., ET AL.

A notice has been issued giving interested persons until December 8, 1997 to request a hearing on a proposal by GPU, Inc. (GPU), a registered holding company, and Jersey Central Power & Light Company (JCP&L), Metropolitan Edison Company (Met-Ed), and Pennsylvania Electric Company (Penelec), each an electric public utility subsidiary of GPU. GPU, JCP&L, Met-Ed, and Penelec propose to extend to December 31, 2000 the time period during which they may issue, sell, and renew certain short-term borrowings authorized by prior Commission orders. (Rel. 35-26778)

MONONGAHELA POWER COMPANY, ET AL.

A notice has been issued giving interested persons until December 8, 1997 to request a hearing on a proposal by Monongahela Power Company, The Potomac Edison Company and West Penn Power Company (Declarants), each a wholly owned subsidiary company of Allegheny Energy, Inc., a registered holding company. Declarants propose, through December 31, 2002, to issue notes to support the contemporaneous issuance of an aggregate principal amount of approximately \$92.5 million of pollution control revenue bonds by The County Commission of Pleasants County, West Virginia. (Rel. 35-26778)

NEW ENGLAND ELECTRIC SYSTEM

A notice has been issued giving interested persons until December 8, 1997 to request a hearing on a proposal by new England Electric System (NEES), a registered holding company, through December 31, 2002, to issue and sell short-term notes and commercial paper in an aggregate amount outstanding at any one time of up to \$500 million. (Rel. 35-26778)

NGE RESOURCES, INC.

A notice has been issued giving interested persons until December 8, 1997 to request a hearing on a proposal by NGE Resources, Inc. (NGE), a subsidiary of New York State Electric & Gas Corporation

(NYSEG), a public utility company not currently subject to the Act. NGE seeks authority under Sections 9(a)(2) and 10 of the Act to acquire all of the outstanding common stock of NYSEG and of a wholly owned subsidiary of NYSEG organized to own all or a part of NYSEG's coal-fired generating assets. In addition, NGE seeks an order under Section 3(a)(1) exempting NGE from all provisions of the Act, except Section 9(a)(2). (Rel. 35-26778)

GPU, INC., ET AL.

A memorandum opinion has been issued by the Commission in connection with an earlier supplemental order authorizing GPU, Inc., a registered holding company (GPU), to invest financing proceeds in exempt wholesale generators and foreign utility companies in an amount, which, when added to existing investments in these entities, would not exceed 100% of GPU's consolidated retained earnings. (Rel. 35-26779)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGES

The Commission granted accelerated approval to a proposed rule change and issued notice of filing and granted accelerated approval to Amendment No. 1 submitted by the Chicago Stock Exchange (SR-CHX-97-24) to prohibit the entry of certain stop orders or stop limit orders if the New York Stock Exchange (NYSE) implements a stop order ban pursuant to NYSE Rule 80A. (Rel. 34-39323)

The Commission approved a proposed rule change (SR-NASD-97-71) submitted by the National Association of Securities Dealers amending the corporate governance documents of the NASD, NASD Regulation, Inc. and The Nasdaq Stock Market, Inc., and the Allocation and Delegation of Authority by the NASD to NASD Regulation, Inc. and The Nasdaq Stock Market, Inc., and extending the temporary approval of SR-NASD-96-20 and SR-NASD-96-29 until the effective date of SR-NASD-97-71. (Rel. 34-39326)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-CBOE-97-53) filed by the Chicago Board Options Exchange to establish fees for options on certain Dow Jones indexes has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of November 17. (Rel. 34-39324)

A proposed rule change (SR-PHLX-97-58) filed by the Philadelphia Stock Exchange to revise the Exchange's equity floor brokerage assessment fee has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 17.

(Rel. 34-39325)

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change (SR-BSE-97-7) filed by the Boston Stock Exchange to extend the pilot program relating to market-on-close orders. Publication of the notice is expected in the Federal Register during the week of November 17. (Rel. 34-39327)

## DELISTING GRANTED

An order has been issued granting the application of the Boston Stock Exchange to strike from listing and registration The Rattlesnake Holding Company, Inc., Common Stock, \$.001 Par Value. (Rel. 34-39334)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D.C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

| NAME OF ISSUER                      | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|-------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|                                     |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| ACCUSTAFF INC                       | FL            | X           |   |   | X | X |   |   |   | X | 11/03/97 |         |
| ACME METALS INC /DE/                | DE            |             |   |   | X |   |   |   |   |   | 11/13/97 |         |
| ADAGE INC                           | PA            |             |   |   | X |   |   |   |   |   | 11/14/97 |         |
| ADVANCED TECHNICAL PRODUCTS INC     | DE            | X           | X |   |   |   |   |   | X |   | 11/13/97 |         |
| ADVANTA CREDIT CARD MASTER TRUST II | DE            |             | X |   |   |   |   |   |   |   | 10/17/97 |         |
| ADVANTA NATIONAL BANK USA /PA/      |               |             | X |   |   |   |   |   |   |   | 10/17/97 |         |
| AEGIS REALTY INC                    | MD            |             |   |   | X | X |   |   |   |   | 11/14/97 |         |
| ALLIANT TECHSYSTEMS INC             | DE            |             |   |   | X | X |   |   |   |   | 10/27/97 |         |
| ALLIED HEALTHCARE PRODUCTS INC      | DE            | X           |   |   |   |   |   |   |   |   | 10/31/97 |         |
| AMERICAN OILFIELD DIVERS INC        | LA            |             |   |   | X |   |   |   |   |   | 11/06/97 |         |
| AMERICOLD CORP /OR/                 | OR            | X           |   |   | X |   |   |   |   |   | 10/31/97 |         |
| ARDEN REALTY INC                    | MD            |             |   |   |   |   |   | X |   |   | 09/30/97 | AMEND   |
| ARV ASSISTED LIVING INC             | CA            |             |   |   | X | X |   |   |   |   | 10/29/97 |         |

| NAME OF ISSUER                                      | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|---|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|   |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| ASSET INVESTORS CORP                                | MD            |             | X |   |   |   |   |   |   |   | 10/31/97 |         |
| BEACH FIRST NATIONAL BANCSHARES INC                 | SC            |             |   | X |   | X |   |   |   |   | 10/01/97 |         |
| BEACON PROPERTIES CORP                              | MD            |             |   |   | X | X |   |   |   |   | 11/14/97 |         |
| BEACON PROPERTIES L P                               |               |             |   |   | X | X |   |   |   |   | 11/14/97 |         |
| BELMONT HOMES INC                                   | MS            |             |   |   |   | X |   |   |   |   | 10/25/96 | AMEND   |
| BERRY PLASTICS CORP                                 | DE            |             |   |   |   | X |   |   |   |   | 08/29/97 | AMEND   |
| BIG FLOWER HOLDINGS INC/                            | DE            |             | X |   |   | X |   |   |   |   | 10/31/97 |         |
| BIOJECT MEDICAL TECHNOLOGIES INC                    | OR            |             |   |   | X | X |   |   |   |   | 10/15/97 | AMEND   |
| BONITO INDUSTRIES INC                               |               |             | X |   |   | X |   |   |   |   | 10/02/97 |         |
| BOSTON PRIVATE BANCORP INC                          | MA            |             | X |   |   | X |   |   |   |   | 10/31/97 |         |
| BOSTON PROPERTIES INC                               | DE            |             |   |   |   | X |   |   |   |   | 09/11/97 | AMEND   |
| BOSTON PROPERTIES INC                               | DE            |             |   |   |   | X |   |   |   |   | 10/23/97 | AMEND   |
| BPC HOLDING CORP                                    | DE            |             |   |   |   | X |   |   |   |   | 08/29/97 | AMEND   |
| BREED TECHNOLOGIES INC                              | DE            |             | X |   |   | X |   |   |   |   | 10/30/97 |         |
| BRISTOL HOTEL CO                                    | DE            |             |   |   | X | X |   |   |   |   | 11/14/97 |         |
| CACI INTERNATIONAL INC /DE/                         | DE            |             | X |   |   | X |   |   |   |   | 11/14/97 |         |
| CADE INDUSTRIES INC                                 | WI            |             | X |   |   | X |   |   |   |   | 10/31/97 |         |
| CHIREX INC  | DE            |             | X |   |   | X |   |   |   |   | 10/31/97 |         |
| CIT GROUP INC                                       | DE            |             |   |   | X | X |   |   |   |   | 11/12/97 |         |
| CNA SURETY CORP                                     | DE            |             |   |   | X | X |   |   |   |   | 11/14/97 |         |
| COBANCORP INC                                       | OH            |             |   |   | X | X |   |   |   |   | 11/02/97 |         |
| COMDISCO INC  | DE            |             |   |   |   | X |   |   |   |   | 11/06/97 |         |
| CORPORATE EXPRESS INC                               | CO            |             |   |   | X | X |   |   |   |   | 11/07/97 |         |
| CPI CORP  | DE            |             |   |   | X |   |   |   |   |   | 11/13/97 |         |
| CRAMER INC  | KS            |             | X |   |   | X |   |   |   |   | 10/31/97 |         |
| CREATIVE BAKERIES INC                               | NY            |             |   |   |   | X |   |   |   |   | 09/30/97 | AMEND   |
| CRESCENT OPERATING INC                              | DE            |             |   |   | X | X |   |   |   |   | 08/31/97 | AMEND   |
| CRIIMI MAE FINANCIAL CORP                           | MD            |             | X |   |   |   |   |   |   |   | 11/03/97 |         |
| CROWN LABORATORIES INC /DE/                         | DE            |             |   |   |   | X |   | X |   |   | 09/29/97 |         |
| CTG RESOURCES INC                                   | CT            |             |   |   | X |   |   |   |   |   | 11/07/97 |         |
| CYRIX CORP  | DE            |             |   |   | X | X |   |   |   |   | 11/13/97 |         |
| D LANZ DEVELOPMENT GROUP INC                        | DE            |             | X |   |   |   |   |   |   |   | 09/30/97 |         |
| DAIMLER BENZ AUTO GRANTOR TRUST 199<br>3-A          | DE            |             |   |   | X | X |   |   |   |   | 10/15/97 |         |
| DAIMLER BENZ AUTO GRANTOR TRUST 199<br>5-A          | DE            |             |   |   | X | X |   |   |   |   | 10/15/97 |         |
| DAIMLER BENZ VEHICLE TRUST 1996-A                   | DE            |             |   |   | X | X |   |   |   |   | 10/20/97 |         |
| DANSKIN INC   | DE            |             |   |   | X | X |   |   |   |   | 11/10/97 | AMEND   |
| DAOU SYSTEMS INC                                    | DE            |             |   |   | X |   |   |   |   |   | 11/17/97 |         |
| DATA DOCUMENTS INC                                  | DE            |             |   |   | X | X |   |   |   |   | 11/07/97 |         |
| DENTAL MEDICAL DIAGNOSTIC SYSTEMS I<br>NC           | DE            |             | X |   |   |   |   |   |   |   | 11/14/97 |         |
| DESKTOP DATA INC                                    | DE            |             |   |   | X |   |   |   |   |   | 11/02/97 |         |
| DIAPULSE CORP OF AMERICA                            | DE            |             |   |   | X |   |   |   |   |   | 11/15/97 |         |
| DIPLOMAT CORP                                       | DE            |             | X |   |   | X |   |   |   |   | 10/30/97 |         |
| DITECH FUNDING CORP HOME LOAN OWNER<br>TRUST 1997-1 | DE            |             |   |   | X | X |   |   |   |   | 10/30/97 |         |
| EIP MICROWAVE INC                                   | DE            |             |   |   | X | X |   |   |   |   | 10/09/97 | AMEND   |
| EVEREST FUTURES FUND L P                            | IA            |             | X |   |   |   |   |   |   |   | 09/23/97 | AMEND   |
| EXECUSTAY CORP                                      |               |             | X |   |   | X |   |   |   |   | 11/01/97 |         |
| EXHIBITRONIX INC                                    | NV            |             | X |   |   |   |   |   |   |   | 09/17/97 |         |
| FIRST JERMYN CORP                                   | PA            |             |   |   | X |   |   |   |   |   | 09/18/97 |         |
| GAMETEK INC   | DE            |             |   |   | X | X |   |   |   |   | 09/23/97 |         |
| GATEFIELD CORP                                      | DE            |             |   |   | X | X |   |   |   |   | 11/10/97 |         |
| GEO PETROLEUM INC                                   | CA            |             |   |   | X |   |   |   |   |   | 11/14/97 |         |
| GLIMCHER REALTY TRUST                               | MD            |             |   |   | X | X |   |   |   |   | 11/12/97 |         |
| GREAT LAKES REIT INC                                | MD            |             | X |   |   |   |   |   |   |   | 11/14/97 | AMEND   |
| HEADWAY CORPORATE RESOURCES INC                     | NV            |             |   |   |   | X |   |   |   |   | 09/29/97 | AMEND   |
| HEICO CORP  | FL            |             | X |   |   | X |   |   |   |   | 10/30/97 |         |
| HIGH PLAINS CORP                                    | KS            |             |   |   | X |   |   |   |   |   | 11/13/97 |         |
| HORIZON GROUP INC                                   | MI            |             |   |   | X | X |   |   |   |   | 11/13/97 |         |

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|  | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| HUDSON HOTELS CORP   | NY    |             | X |   |   |   | X |   |   |   | 10/31/97 |         |
| HUNTWAY PARTNERS L P                                       | DE    |             |   |   |   |   | X |   |   |   | 10/31/97 |         |
| IBM CREDIT RECEIVABLES INC                                 | DE    |             |   |   | X | X |   |   |   |   | 09/30/97 |         |
| IBM CREDIT RECEIVABLES LEASE ASSET                         | DE    |             |   |   | X | X |   |   |   |   | 09/30/97 |         |
| MASTER TRUST   |       |             |   |   |   |   |   |   |   |   |          |         |
| INDIANA ENERGY INC   | IN    |             |   |   |   | X |   |   |   |   | 11/14/97 |         |
| INDIANA GAS CO INC   | IN    |             |   |   |   | X |   |   |   |   | 11/14/97 |         |
| INDIVIDUAL INC   | DE    |             |   |   |   | X |   |   |   |   | 11/02/97 |         |
| INTELLICALL INC  | DE    |             | X |   |   |   | X |   |   |   | 09/02/97 | AMEND   |
| INTERJET NET CORP  | DE    |             | X | X |   | X | X |   |   |   | 08/08/97 | AMEND   |
| ITRON INC /WA/   | WA    |             | X |   |   |   |   |   |   |   | 09/11/97 |         |
| ITT CORP /NV/  | NV    |             |   |   |   | X | X |   |   |   | 11/12/97 |         |
| KVH INDUSTRIES INC \DE\                                    | DE    |             | X |   |   |   | X |   |   |   | 11/14/97 |         |
| LAIDLAW ENVIRONMENTAL SERVICES INC                         | DE    |             |   |   |   | X |   |   |   |   | 11/14/97 |         |
| LAIDLAW ENVIRONMENTAL SERVICES INC                         | DE    |             |   |   |   | X |   |   |   |   | 11/14/97 |         |
| LEXINGTON CORPORATE PROPERTIES INC                         | MD    |             | X |   |   |   | X |   |   |   | 05/01/97 | AMEND   |
| LEXINGTON CORPORATE PROPERTIES INC                         | MD    |             |   |   |   |   | X |   |   |   | 11/12/97 |         |
| MANITOWOC CO INC   | WI    |             | X |   |   |   |   |   |   |   | 10/31/97 |         |
| MBNA AMERICA BANK NATIONAL ASSOCIATION                     | DE    |             | X |   | X |   |   |   |   |   | 10/31/97 |         |
| MBNA AMERICA BK NAT ASSOC MBNA MASTER CREDIT CARD TRUST    |       |             |   |   |   | X |   |   |   |   | 10/31/97 |         |
| MBNA AMERICA BK NAT ASSOC MBNA MASTER CREDIT CARD TRUST II |       |             | X |   | X |   |   |   |   |   | 10/31/97 |         |
| MBNA CORP  | MD    |             |   |   |   | X |   |   |   |   | 10/31/97 |         |
| MCI COMMUNICATIONS CORP                                    | DE    |             |   |   |   |   | X |   |   |   | 11/12/97 | AMEND   |
| MEDICAL DYNAMICS INC                                       | CO    |             | X |   | X | X |   |   |   |   | 10/23/97 | AMEND   |
| MEDIRISK INC   | DE    |             |   |   |   |   | X |   |   |   | 08/28/97 | AMEND   |
| MELLON BANK NA MELLON BANK HOME EQUITY LOAN TRUST 1996-1   | NY    |             |   |   |   | X | X |   |   |   | 11/12/97 |         |
| MELLON BANK PREMIUM FINANCE LOAN MASTER TRUST              |       |             |   |   |   | X | X |   |   |   | 11/10/97 |         |
| MFB CORP   | IN    |             |   |   |   | X | X |   |   |   | 10/20/97 |         |
| MID AMERICA APARTMENT COMMUNITIES INC                      | TN    |             |   |   |   |   | X |   |   |   | 09/17/97 | AMEND   |
| MLCC MORTGAGE INVESTORS INC                                | DE    |             |   |   |   | X | X |   |   |   | 11/14/97 |         |
| MMCA AUTO GRANTOR TRUST 1993-1                             | DE    |             |   |   |   |   | X |   |   |   | 10/31/97 |         |
| MMCA AUTO OWNER TRUST 1995-1                               | DE    |             |   |   |   |   | X |   |   |   | 10/31/97 |         |
| NEVADA MANHATTAN MINING INC                                | NV    |             |   |   |   | X | X |   |   |   | 08/12/97 |         |
| NEW MEXICO & ARIZONA LAND CO                               | AZ    |             | X |   |   |   | X |   |   |   | 11/07/97 |         |
| NOISE CANCELLATION TECHNOLOGIES INC                        | DE    |             |   |   |   |   | X |   |   |   | 11/14/97 |         |
| NOONEY INCOME FUND LTD II L P                              | MO    |             | X |   |   |   |   |   |   |   | 11/06/97 |         |
| NOONEY INCOME FUND LTD LP                                  | MO    |             | X |   |   |   |   |   |   |   | 11/06/97 |         |
| NOONEY REAL PROPERTY INVESTORS FOUR L P                    | MO    |             | X |   |   |   |   |   |   |   | 11/06/97 |         |
| NOONEY REAL PROPERTY INVESTORS TWO L P                     | MO    |             | X |   |   |   |   |   |   |   | 11/06/97 |         |
| NOONEY REALTY TRUST INC                                    | MO    |             | X |   |   |   |   |   |   |   | 11/06/97 |         |
| NORWEST ASSET SECURITIES CORP                              | DE    |             |   |   |   | X | X |   |   |   | 11/13/97 |         |
| NORWEST ASSET SECURITIES CORP                              | DE    |             |   |   |   | X | X |   |   |   | 11/13/97 |         |
| NOVA CORP \GA\   | GA    |             | X |   |   |   | X |   |   |   | 10/31/97 |         |
| NUVEEN JOHN COMPANY  | DE    |             | X |   |   |   | X |   |   |   | 07/22/97 | AMEND   |
| ODETICS INC  | DE    |             | X |   |   |   | X |   |   |   | 10/31/97 |         |
| ONE VALLEY BANCORP INC                                     | WV    |             |   |   |   | X |   |   |   |   | 10/30/97 |         |
| ONEOK INC  | DE    |             |   |   |   | X | X |   |   |   | 11/14/97 |         |
| ONEOK INC  | DE    |             |   |   |   | X | X |   |   |   | 11/14/97 |         |
| PENNCORP FINANCIAL GROUP INC /DE/                          | DE    |             |   |   |   | X | X |   |   |   | 11/14/97 |         |
| PHYSICIANS CLINICAL LABORATORY INC                         | DE    |             | X |   |   | X | X |   |   |   | 09/30/97 |         |
| PLAYBOY ENTERPRISES INC                                    | DE    |             |   |   |   |   |   | X |   |   | 11/06/97 |         |
| POINT WEST CAPITAL CORP                                    | DE    |             |   |   |   | X |   |   |   |   | 11/14/97 |         |



| NAME OF ISSUER                                     | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| PREMIER LASER SYSTEMS INC                          | CA            |             | X |   |   |   | X |   |   |   | 09/30/97 | AMEND   |
| PREMIER PARKS INC                                  | DE            |             | X |   |   |   | X |   |   |   | 11/07/97 |         |
| PRIME RETAIL INC                                   | MD            |             |   |   |   | X | X |   |   |   | 11/12/97 |         |
| PROVIDENT FINANCIAL GROUP INC                      | OH            |             |   |   |   | X |   |   |   |   | 11/14/97 |         |
| QUALITY FOOD CENTERS INC                           | WA            |             |   |   |   | X | X |   |   |   | 11/06/97 |         |
| RAMCO GERSHENSON PROPERTIES TRUST                  | MA            |             | X |   |   |   | X |   |   |   | 10/30/97 |         |
| RESIDENTIAL ASSET SECURITIES CORP                  | DE            |             |   |   |   | X | X |   |   |   | 11/13/97 |         |
| RESIDENTIAL FUNDING MORTGAGE SECURI<br>TIES II INC | DE            |             |   |   |   |   | X |   |   |   | 11/14/97 |         |
| ROBERTS PHARMACEUTICAL CORP                        | NJ            |             |   |   |   | X |   |   |   |   | 11/10/97 |         |
| SAF T LOK INC                                      | FL            |             |   |   |   |   |   |   | X |   | 10/14/97 |         |
| SEQUOIA MORTGAGE FUNDING CORP                      | MD            |             |   |   |   | X | X |   |   |   | 11/04/97 |         |
| SIGNET BANKING CORP                                | VA            |             |   |   |   | X | X |   |   |   | 11/13/97 |         |
| SMART CHOICE AUTOMOTIVE GROUP INC                  | FL            |             |   |   |   |   | X |   |   |   | 08/29/97 | AMEND   |
| STERIS CORP  | OH            |             | X |   |   |   | X |   |   |   | 09/17/97 | AMEND   |
| STREAMLOGIC CORP                                   | DE            |             |   |   |   | X | X |   |   |   | 11/07/97 |         |
| SWISSRAY INTERNATIONAL INC                         | NY            |             |   |   | X |   |   |   |   |   | 11/07/97 |         |
| SYQUEST TECHNOLOGY INC                             | DE            |             |   |   |   | X | X |   |   |   | 05/30/97 |         |
| SYQUEST TECHNOLOGY INC                             | DE            |             |   |   |   | X | X |   |   |   | 11/14/97 |         |
| TASTY FRIES INC                                    | NV            |             |   |   |   |   |   |   | X |   | 11/05/97 |         |
| TELECONFERENCING SYSTEMS INTERNATIO<br>NAL INC     | CO            | X           | X |   |   | X | X | X |   |   | 11/05/97 |         |
| TOPRO INC  | CO            |             |   |   |   | X | X |   |   |   | 11/14/97 |         |
| TUCSON ELECTRIC POWER CO                           | AZ            |             |   |   |   | X |   |   |   |   | 11/14/97 |         |
| U S PAWN INC                                       | CO            |             |   |   |   | X |   |   |   |   | 10/29/97 |         |
| U S RESTAURANT PROPERTIES INC                      | MD            |             |   |   |   | X | X |   |   |   | 11/11/97 |         |
| UNITED INTERNATIONAL HOLDINGS INC                  | DE            |             |   |   |   | X | X |   |   |   | 10/17/97 | AMEND   |
| UNITED STATIONERS INC                              | DE            |             |   |   |   | X |   |   |   |   | 11/14/97 |         |
| VISUAL EDGE SYSTEMS INC                            | DE            |             |   |   |   | X |   | X |   |   | 11/07/97 |         |
| WATKINS JOHNSON CO                                 | CA            |             | X |   |   |   |   |   |   |   | 10/31/97 |         |
| WESTINGHOUSE ELECTRIC CORP                         | PA            |             |   |   |   | X | X |   |   |   | 09/30/97 |         |
| WESTINGHOUSE ELECTRIC CORP                         | PA            |             |   |   |   | X | X |   |   |   | 11/14/97 |         |
| WHIRLPOOL CORP /DE/                                | DE            |             | X |   |   | X | X |   |   |   | 11/01/97 |         |
| WILD OATS MARKETS INC                              | DE            |             |   |   |   |   | X |   |   |   | 11/14/97 |         |