

SEC NEWS DIGEST

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ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST H. BECK, INC. AND GARY HURVITZ

The Securities and Exchange Commission announced that public administrative proceedings have been instituted pursuant to the Securities Exchange Act of 1934 against H. Beck, Inc. (H. Beck), a registered broker-dealer whose principal place of business is Rockville, Maryland, and Gary S. Hurvitz (Hurvitz), age 44, President, Chief Executive Officer, Chief Operating Officer, Chief Legal Officer, Chief Compliance Officer and an owner and director of H. Beck.

The Order Instituting Proceedings alleges that from May 1990 through at least November 1994, Joseph P. Medsker (Medsker), an H. Beck registered representative located in an independently owned and operated one-person office in Dayton, Ohio, engaged in a scheme to defraud H. Beck customers in violation of the registration and antifraud provisions of the securities laws. The Order also alleges that H. Beck and Hurvitz failed reasonably to supervise Medsker with a view toward preventing his securities law violations described above. A public hearing will be scheduled to take evidence on the Commission's allegations, afford the respondents an opportunity to offer any defense thereto and to determine what remedial action, if any, including civil penalties, should be ordered by the Commission against them. (Rel. 34-38913)

CEASE-AND-DESIST PROCEEDINGS INSTITUTED AGAINST TIMOTHY GUIHEEN

The Securities and Exchange Commission announced that cease-and-desist proceedings have been instituted against Timothy J. Guiheen. Guiheen is the former President and CEO of the Stock Clearing Corporation of Philadelphia (SCCP) and the Philadelphia Depository Trust Company (Philadep). Simultaneously with the institution of the proceedings, Guiheen submitted an offer of settlement which the Commission has determined to accept. Under the settlement, Guiheen consented, without admitting or denying the allegations, to the entry of a cease-and-desist order.

The Commission's Order alleges that Guiheen was a cause of

violations of Sections 19(b) and 19(g) of the Securities Exchange Act of 1934 by SCCP and Philadep. The Order alleges that Guiheen was a cause of SCCP's use of inaccurate pricing to calculate mark-to-market payments and Philadep's application of a volume discount fee without required Board approval and without filing said fee with the Commission. Guiheen is alleged to have been a cause of SCCP's and Philadep's failure to separate and segregate their participants' funds (funds collected from SCCP and Philadep clearing members and maintained as collateral in the event of a financial emergency); and SCCP's and Philadep's failure to collect minimum participants' fund deposits from all participants, as required.

The Commission also instituted and simultaneously settled separate administrative and cease-and-desist proceedings against SCCP and Philadep. (Rel. 34-38917)

ADMINISTRATIVE AND CEASE-AND-DESIST PROCEEDINGS INSTITUTED AGAINST STOCK CLEARING CORPORATION OF PHILADELPHIA AND PHILADELPHIA DEPOSITORY TRUST COMPANY

The Securities and Exchange Commission announced that administrative and cease-and-desist proceedings have been instituted against Stock Clearing Corporation of Philadelphia (SCCP) and Philadelphia Depository Trust Company (Philadep). Simultaneously with the institution of the proceedings, SCCP and Philadep submitted an offer of settlement which the Commission has determined to accept. Under the terms of the settlement, SCCP has consented to a censure; and SCCP and Philadep have consented to the entry of a cease-and-desist order, which requires them to comply with detailed undertakings.

The Commission's Order finds that SCCP and Philadep failed to comply with their respective rules and procedures, failed to file necessary proposed rule changes with the Commission and, in the case of SCCP, violated margin lending requirements of Regulation T. Some of SCCP's and Philadep's violations were the result of inadequate internal and risk management controls. For example, specific funds collected from SCCP and Philadep clearing members and maintained as collateral in the event of a financial emergency, as required by SCCP's and Philadep's rules, were not safeguarded against improper uses. As a result, these funds were commingled with general operating funds and were available and used, almost without limitation, to meet operating expenses and cover cash shortfalls at the Philadelphia Stock Exchange. Other violations resulted when SCCP and Philadep utilized procedures and methodologies that were not approved by the Commission and conducted operations in a manner contrary to their own rules and regulations. (Rel. 34-38918)

CEASE-AND-DESIST PROCEEDINGS INSTITUTED AGAINST WILLIAM BRIGGS

The Securities and Exchange Commission announced that cease-and-desist proceedings have been instituted against William N. Briggs, CFO at the Philadelphia Stock Exchange. Formerly, from 1983 to May 1995, Briggs was employed by the Stock Clearing Corporation of Philadelphia (SCCP) and the Philadelphia Depository Trust Company

(Philadep).

The Commission's Order alleges that Briggs was a cause of violations of Section 19(g) of the Exchange Act by SCCP and Philadep. The Order alleges that Briggs was a cause of SCCP's use of inaccurate pricing to calculate mark-to-market payments; Philadep's application of a volume discount fee without required Board approval and without filing said fee with the Commission; SCCP's and Philadep's failure to safeguard their participants' fund deposits from unapproved uses; and SCCP's application of an unapproved cap on its participants' fund formula.

The Commission instituted and simultaneously settled separate administrative and cease-and-desist proceedings against SCCP and Philadep and cease-and-desist proceedings against Timothy J. Guiheen, former President and CEO of SCCP and Philadep. (Rel. 34-38919)

IN THE MATTER OF DAVID P. SILVER

The Commission has instituted public administrative proceedings pursuant to Section 15(b)(4) of the Securities Exchange Act of 1934 against David P. Silver (Silver). In the Order, the Division of Enforcement alleges that from in or about November 1993 through in or about December 1994, Silver was a broker as defined by Section 3(a)(4) of the Exchange Act. The Division of Enforcement further alleges that a permanent injunction was entered against Silver in connection with a fraudulent scheme to offer and sell unregistered securities in a series of 17 related partnerships.

The Order is based upon the entry of a permanent injunction against Silver in the Commission's previously filed civil action. On June 18, 1997 the Honorable Robert M. Takasugi entered a Final Judgment of Permanent Injunction against Silver which permanently enjoined him from violations of Sections 5(a) and (c) and 17(a) of the Securities Act of 1933 and Sections 10(b), and Rule 10b-5 thereunder, and 15(a) of the Exchange Act. Silver was also ordered to disgorge \$178,017, pay prejudgment interest thereon, and was made jointly and severally liable for defendant Trans-American Ostrich Traders, Inc.'s disgorgement obligation of \$7,170,000 plus prejudgment interest. Finally, the final judgment also bars Silver from acting as an officer or director of any public company.

The Commission's complaint in the civil matter alleged that, from in or about November 1993 through in or about December 1994, Silver engaged in a fraudulent scheme to offer and sell unregistered securities in a series of 17 related partnerships purportedly organized for the purpose acquiring, raising, and breeding ostriches. The complaint also alleges that Respondent violated the antifraud provisions of the federal securities laws by making material misrepresentations and omissions in connection with the offer and sale of the unregistered securities. The complaint further alleges that Silver acted as a broker in connection with the sale of the unregistered securities without being registered with the Commission as a broker.

A hearing will be scheduled to determine whether the allegations against Silver are true, and, if so, what, if any, remedial action is appropriate in the public interest. (Rel. 34-38914)

ORDER OF PERMANENT INJUNCTION ENTERED AGAINST GREGG BRENNER

On August 8, the Honorable G. Ernest Tidwell, United States District Judge for the Northern District of Georgia, entered an order of permanent injunction against defendant Gregg Michael Brenner (Brenner), enjoining him from violating Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. Brenner was ordered to pay disgorgement in the amount of \$62,029 plus \$9,511 in prejudgment interest within thirty days of the date of the judgment. Brenner was further ordered to pay a civil penalty under the Insider Trading Sanctions Act of 1984 in the amount of \$9,715 within thirty days of the date of the judgment.

Brenner consented to the relief without admitting or denying the allegations set forth in a complaint filed by the Commission on March 10, 1997. The complaint alleged that on June 13, 1995, the General Counsel of Medaphis Corporation (Medaphis), an Atlanta-based medical services company, was in possession of material non-public information regarding search warrants that were executed by the Federal Bureau of Investigation (FBI) on two Medaphis offices in California. In violation of her fiduciary duty to Medaphis, the general counsel disclosed the information about the FBI investigation to her mother and codefendant Brenner. Both individuals then sold shares of Medaphis stock. The complaint further alleged that Brenner also disclosed the FBI action against Medaphis to his father and brother, who promptly sold their shares of Medaphis stock. For more information, see LR-15280 (March 10, 1997) and LR-15301 (March 19, 1997). [SEC v. Gregg Michael Brenner and Pamela Sue Topper, Civil Action No. 1:97-CV-0607-GET] (LR-15438)

INJUNCTIVE ACTION AGAINST WILLIAM M. FISHER, JR.

The Securities and Exchange Commission announced that on August 8, it filed a complaint for permanent injunction in the United States District Court for the Northern District of Illinois against William M. Fisher, Jr., a resident of Tyler, Texas. The complaint alleges that Fisher, while the president of an affiliate of Advance Ross Corporation, engaged in trading of Advance Ross' stock while in possession of material, nonpublic information. Advance Ross was formerly a publicly-held company based in Chicago, Illinois.

Fisher has consented, without admitting or denying the allegations in the complaint, to the entry of Final Judgment and Order of Permanent Injunction which will enjoin him from future violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Final Judgment will also require Fisher to disgorge his illegal profits of \$144,000 plus prejudgment interest and to pay a civil penalty of \$144,000 pursuant to Section 21A of the Exchange Act.

In its complaint, the Commission alleges that on October 4, 1995, Fisher was informed confidentially by Advance Ross' management that the company was considering an offer from CUC International, Inc., a company based in Stamford, Connecticut, to purchase all the outstanding stock of Advance Ross. The complaint further alleges that later that same day, Fisher purchased 15,000 shares of Advance Ross stock. According to the complaint, on October 18, 1997, Advance Ross and CUC publicly announced CUC's intended purchase of Advance Ross' stock. The complaint alleges that, as a result, the stock price of Advance Ross closed up approximately \$11 1/4 per share from the previous day's close. The complaint alleges that Fisher received profits of approximately \$144,000 as a result of this price increase. [SEC v. William M. Fisher, Jr., U.S. District Court for the Northern District of Illinois, Civil Action No. 97 C 5623, N.D.Ill., August 8, 1997] (LR-15439)

HOLDING COMPANY ACT RELEASES

CENTRAL AND SOUTH WEST CORPORATION, INC., ET AL.

A notice has been issued giving interested persons until September 2, 1997 to request a hearing on a proposal by Central and South West Corporation (CSW), a registered holding company, CSW International, Inc. (CSWI), and CSW Energy, Inc. (Energy) (collectively, Applicants), both wholly owned nonutility subsidiary companies of CSW. CSW seeks an extension, through December 31, 2002, of authority granted earlier to (a) invest in exempt wholesale generators and foreign utility companies (collectively, Exempt Facilities), (b) render certain operational and management services to Exempt Facilities and to third parties outside the United States (Service Activities), and (c) guarantee or provide other forms of credit support for subsidiaries engaged in the ownership and/or operation of Exempt Facilities. In addition, Energy and CSWI request authority, through December 31, 2002, to (a) acquire the securities of companies that would have ownership interests in companies that are engaged in Service Activities outside the United States and in companies that are organized pursuant to Rule 58 under the Public Utility Holding Company Act, as amended; and (b) guarantee or otherwise provide credit support for the securities or contractual obligations of such companies, in amounts not to exceed \$200 million outstanding at any one time. (Rel. 35-26753)

ENTERGY POWER UK PLC

A notice has been issued giving interested persons until September 2, 1997 to request a hearing on a proposal by Entergy Power UK plc, a wholly-owned subsidiary of Entergy Corporation, a registered holding company, to organize a special purpose financing entity and, through it, to issue and sell one or more series of preferred securities in an aggregate principal amount up to \$500 million, through December 31, 2000. (Rel. 35-26753)

CENTRAL AND SOUTH WEST CORPORATION, ET AL.

A notice has been issued giving interested persons until September 2, 1997 to request a hearing on a proposal by Central and Southwest Corporation (CSW), a registered holding company, CSW Energy, Inc, and Enershop, Inc., CSW wholly-owned subsidiaries, to issue guarantees, letters of credit, bid bonds and similar credit support arrangements in an aggregate amount up to \$250 million to support debt and other obligations of acquired energy-related companies that engage in the brokering and marketing of energy commodities and/or engage in other energy-related activities on an exempt basis under Rule 58 of the Public Utility Holding Company Act, as amended. (Rel. 35-26753)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Pacific Exchange under Rule 19b-4 (File No. SR-PCX-97-23) relating to revision of membership definitions in the PCX Constitution and clarifying constitutional transfer language has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publications of the proposal is expected to be made in the Federal Register during the week of August 11. (Rel. 34-38912)

DELISTINGS

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration Dycam Inc., Common Stock, \$0.01 Par Value. (Rel. 34-38915)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Sinter Metals, Inc., Common Stock, \$0.01 Par Value. (Rel. 34-38916)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue. Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also

available on the Commission's website: <www.sec.gov>.

- F-1 WARNER CHILCOTT PLC, LINCOLN HOUSE LINCOLN PL, DUBLIN, L2 00000
(353) 662-4962 - 7,513,270 (\$135,238,860) FOREIGN COMMON STOCK (FILE
333-7378 - AUG 04) (BR 1)
- S-1 AMERICAN ITALIAN PASTA CO, 1000 ITALIAN WAY, EXCELSIOR SPRINGS, MO 64024
(816) 502-6000 - \$115,000,000 COMMON STOCK (FILE 333-32827 - AUG 05)
(BR 2)
- S-8 UNITED DOMINION REALTY TRUST INC, 10 S 6TH ST STE 203, RICHMOND, VA
23219 (804) 780-2691 - 800,000 (\$11,375,000) COMMON STOCK. (FILE
333-32829 - AUG 05) (BR 8)
- S-8 TEXAS UTILITIES CO /TX/, C/O TEXAS UTILITIES CO, 1601 BRYAN STREET,
DALLAS, TX 75201 (214) 812-4600 - 100,000 (\$3,506,250) COMMON STOCK
(FILE 333-32833 - AUG 05) (BR. 4)
- S-8 TEXAS UTILITIES CO /TX/, C/O TEXAS UTILITIES CO, 1601 BRYAN STREET,
DALLAS, TX 75201 (214) 812-4600 - 200,000 (\$7,012,500) COMMON STOCK
(FILE 333-32835 - AUG. 05) (BR. 4)
- S-8 TEXAS UTILITIES CO /TX/, C/O TEXAS UTILITIES CO, 1601 BRYAN STREET,
DALLAS, TX 75201 (214) 812-4600 - 700,000 (\$24,543,750) COMMON STOCK
(FILE 333-32837 - AUG. 05) (BR. 4)
- S-8 TEXAS UTILITIES CO /TX/, C/O TEXAS UTILITIES CO, 1601 BRYAN STREET,
DALLAS, TX 75201 (214) 812-4600 - 3,000,000 (\$105,187,500) COMMON STOCK
(FILE 333-32839 - AUG. 05) (BR. 4)
- S-8 TEXAS UTILITIES CO /TX/, C/O TEXAS UTILITIES CO, 1601 BRYAN STREET,
DALLAS, TX 75201 (214) 812-4600 - 400,000 (\$14,025,000) COMMON STOCK
(FILE 333-32841 - AUG 05) (BR. 4)
- S-8 TEXAS UTILITIES CO /TX/, C/O TEXAS UTILITIES CO, 1601 BRYAN STREET,
DALLAS, TX 75201 (214) 812-4600 - 2,500,000 (\$87,656,250) COMMON STOCK.
(FILE 333-32843 - AUG 05) (BR 4)
- S-8 GENERAL HOST CORP, METRO CENTER ONE STATION PLACE, PO BOX 10045,
STAMFORD, CT 06904 (313) 366-8400 - 2,500,000 (\$10,390,625) COMMON STOCK
(FILE 333-32845 - AUG 05) (BR. 6)
- S-3 CONSUMERS ENERGY CO, 212 W MICHIGAN AVE, JACKSON, MI 49201
(517) -78-8-05 - 4,800,000 (\$120,000,000) PREFERRED STOCK (FILE
333-32847 - AUG 05) (BR 4)
- S-3 COLLINS INDUSTRIES INC, 421 E 30TH AVE, HUTCHINSON, KS 67502
(316) 663-5551 - 599,999 (\$4,679,992 20) COMMON STOCK. (FILE 333-32849 -
AUG 05) (BR 5)
- S-8 CATERPILLAR INC, 100 NE ADAMS ST, PEORIA, IL 61629 (309) 675-1000 -
10,000,000 (\$10,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE
333-32851 - AUG 05) (BR. 5)
- S-8 CATERPILLAR INC, 100 NE ADAMS ST, PEORIA, IL 61629 (309) 675-1000 -
40,000,000 (\$40,000,000) OTHER SECURITIES INCLUDING VOTING TRUST (FILE
333-32853 - AUG 05) (BR. 5)
- S-8 FIRST FEDERAL CAPITAL CORP, 605 STATE ST, LA CROSSE, WI 54601
(608) 784-8000 - 735,000 (\$17,823,750) COMMON STOCK. (FILE 333-32855 -
AUG 05) (BR 7)
- S-3 NATIONS ASSET SECURITIES INC, 100 N TRYON ST, NCI 607 11 07, CHARLOTTE,
NC 28255 (704) 388-5934 - 1,000,000 (\$1,000,000)
EQUIPMENT TRUST CERTIFICATES (FILE 333-32857 - AUG 05) (BR 8
- NEW ISSUE)
- S-1 A C MOORE ARTS & CRAFTS INC, 500 UNIVERSITY COURT, BLACKWOOD, NJ 08012
(609) 228-6700 - 3,105,000 (\$43,470,000) COMMON STOCK (FILE 333-32859 -
AUG 05) (NEW ISSUE)

S-4 CHEMICAL LEAMAN CORP /PA/, 3000 TWO LOGAN SQ, EIGHTEENTH & ARCH STQ,
PHILADELPHIA, PA 19103 (610) 363-4200 - 100,000,000 (\$100,000,000)
STRAIGHT BONDS (FILE 333-32863 - AUG 05) (BR. 5)

S-8 TERRA INDUSTRIES INC, TERRA CENTRE 600 4TH ST, P O. BOX 6000,
SIOUX CITY, IA 51102 (712) 277-1340 - 3,800,000 (\$47,262,500) COMMON STOCK
(FILE 333-32869 - AUG 05) (BR. 2)

S-8 FIRST AMERICAN FINANCIAL CORP, 114 E FIFTH ST, SANTA ANA, CA 92701
(714) 558-3211 - 500,000 (\$20,656,250) COMMON STOCK (FILE 333-32871 -
AUG. 05) (BR. 1)

SB-2 DAOU SYSTEMS INC, 5120 SHOREHAM PL, SAN DIEGO, CA 92122 (619) 646-2996
- 2,300,000 (\$44,131,250) COMMON STOCK. (FILE 333-32873 - AUG. 05)
(BR 9)

S-8 LAMSON & SESSIONS CO, 25701 SCIENCE PARK DR, CLEVELAND, OH 44122
(216) 464-3400 - 650,000 (\$5,200,000) COMMON STOCK. (FILE 333-32875 -
AUG. 05) (BR. 6)

S-8 USA TECHNOLOGIES INC, 200 PLANT AVENUE, WAYNE, PA 19087 (610) 989-0340
- 17,000 (\$6,205) COMMON STOCK (FILE 333-32877 - AUG 05) (BR. 7)

S-3 BURLINGTON NORTHERN SANTA FE CORP, 2650 LOU MENK DR, 777 MAIN ST,
FT WORTH, TX 76131 (817) 333-2000 - 550,000,000 (\$550,000,000)
STRAIGHT BONDS. (FILE 333-32879 - AUG 05) (BR 5)

S-8 QUEENS COUNTY BANCORP INC, 38-25 MAIN ST, FLUSHING, NY 11354
(718) 359-6400 - 825,000 (\$36,645,285) COMMON STOCK (FILE 333-32881 -
AUG 05) (BR 7)

S-8 USA TECHNOLOGIES INC, 200 PLANT AVENUE, WAYNE, PA 19087 (610) 989-0340
- 160,000 (\$58,400) COMMON STOCK. (FILE 333-32883 - AUG 05) (BR. 7)

S-8 SHELLS SEAFOOD RESTAURANTS INC, 16313 N DALE MABRY HWY, STE 100, TAMPA,
FL 33618 (813) 961-0944 - 541,000 (\$7,371,125) COMMON STOCK (FILE
333-32885 - AUG 05) (BR 2)

S-1 POWER ONE INC, 740 CALLE PLANO, CAMARILLO, CA 93012 (805) 987-8741 -
5,750,000 (\$74,750,000) COMMON STOCK (FILE 333-32889 - AUG 05)
(NEW ISSUE)

S-8 CN BIOSCIENCES INC, 10394 PACIFIC CENTER COURT, SAN DIEGO, CA 92121
(619) 450-5600 - 250,000 (\$4,562,500) COMMON STOCK. (FILE 333-32891 -
AUG. 05) (BR 1)

S-4 SECURITY BANK HOLDING CO, 170 S SECOND ST, PO BOX 1350, COOS BAY, OR
97420 (541) 267-2712 - \$6,999,546 COMMON STOCK (FILE 333-32893 - AUG 05)
(BR. 7)

S-3 WESTPOINT STEVENS INC, 507 W TENTH ST, WEST POINT, GA 31833
(706) 645-4000 - 500,000 (\$18,530,000) COMMON STOCK. (FILE 333-32895 -
AUG. 05) (BR. 2)

SB-2 CASCO INTERNATIONAL INC, 4205 EAST DIXON BOULEVARD, SHELBY, NC 28150
(704) 482-9591 - 2,587,500 (\$13,800,000) COMMON STOCK 75,000
WARRANTS, OPTIONS OR RIGHTS. 225,000 (\$1,275,000) COMMON STOCK. (FILE
333-32897 - AUG 05) (BR 2)

S-8 CARDIOVASCULAR DIAGNOSTICS INC /NC/, 5301 DEPARTURE DR, RALEIGH, NC
27604 (919) 954-9871 - 1,114,234 (\$7,103,241 75) COMMON STOCK (FILE
333-32901 - AUG. 05) (BR 1)

S-8 SEGUE SOFTWARE INC, 1320 CENTER STREET, NEWTON CENTER, MA 02159
(617) 796-1000 - 600,000 (\$5,474,490) COMMON STOCK. (FILE 333-32903 -
AUG. 05) (BR 3)

S-3 KOHLS CORPORATION, N54 W13600 WOODALE DR, MENOMONEE FALLS, WI 53051
(414) 783-5800 - 4,600,000 (\$283,475,000) COMMON STOCK (FILE 333-32905 -
AUG. 05) (BR 2)

S-8 ALTERNATIVE LIVING SERVICES INC, 450 NORTH SUNNYSLOPE RD, STE 300,
 BROOKFIELD, WI 53003 (414) 789-9565 - 1,497,503 (\$32,196,314 50)
 COMMON STOCK (FILE 333-32907 - AUG 05) (BR. 1)

S-3 BANKERS TRUST NEW YORK CORP, 130 LIBERTY STREET, NEW YORK, NY 10006
 (212) 250-2500 (FILE 333-32909 - AUG. 05) (BR. 7)

S-8 FEI CO, 7451 NE EVERGREEN PWY, HILLSBORO, OR 97124 (503) 690-1500 -
 500,000 (\$8,968,750) COMMON STOCK (FILE 333-32911 - AUG 05) (BR 5)

S-11 ANNALY MORTGAGE MANAGEMENT INC, 1500 HARBOR ST, WEEHAWKEN, NJ 07087
 (201) 223-1900 - 7,187,500 (\$89,843,750) COMMON STOCK (FILE 333-32913 -
 AUG 05) (NEW ISSUE)

S-8 ENERGY WEST INC, 1 FIRST AVE SOUTH, PO BOX 2229, GREAT FALLS, MT 59401
 (406) 791-7500 - 100,000 (\$862,500) COMMON STOCK (FILE 333-32917 -
 AUG 06) (BR. 4)

S-8 AXIOM INC, 351 NEW ALBANY RD, MOORESTOWN, NJ 08057 (609) 866-1000 -
 300,000 (\$3,750,000) COMMON STOCK (FILE 333-32919 - AUG 05) (BR 3)

S-8 FIRST FEDERAL BANCSHARES OF ARKANSAS INC, PO BOX 550, HARRISON, AR 72602
 (501) 741-7641 - 515,375 (\$9,971,907) COMMON STOCK (FILE 333-32921 -
 AUG 06) (BR 7)

SB-2 ERD WASTE CORP, 937 EAST HAZELWOOD AVE , BLDG 2, RAHWAY, NJ 07065
 (908) 381-9229 - 1,488,859 (\$5,858,184 10) COMMON STOCK 25,000
 (\$206,450) WARRANTS, OPTIONS OR RIGHTS (FILE 333-32923 - AUG 06)
 (BR. 4)

S-8 WORLDTALK COMMUNICATIONS CORP, 5155 OLD IRONSIDES DR, SANTA CLARA, CA
 95054 (408) 567-1500 - 750,000 (\$2,718,750) COMMON STOCK (FILE 333-32925
 - AUG. 06) (BR 3)