

# SEC NEWS DIGEST

Issue 97-102

May 28, 1997

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## COMMISSION ANNOUNCEMENTS

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### CLOSED MEETING - TUESDAY, JUNE 3, 1997 - 11:00 A.M.

The subject matter of the closed meeting scheduled for Tuesday, June 3, 1997, at 11:00 a.m., will be: Institution and settlement of injunctive actions; and Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

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## RULES AND RELATED MATTERS

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### CONCEPT RELEASE REGARDING REGULATION OF ALTERNATIVE TRADING SYSTEMS, NATIONAL SECURITIES EXCHANGES, AND FOREIGN MARKET ACTIVITIES IN THE UNITED STATES

On May 23, the Commission issued a concept release soliciting comment on various approaches to regulating alternative trading systems, national securities exchanges, and foreign market activities in the United States. The Commission is reevaluating its regulation of such entities in light of issues raised by the growth of alternative trading systems and the development of automated mechanisms that allow U.S. investors to trade on foreign markets from the United States. The concept release will be available on the Commission's Internet website (<http://www.sec.gov>). FOR FURTHER INFORMATION CONTACT: Kristen N. Geyer, (202) 942-0799; Gautam S. Gujral, (202) 942-0175; Marie D'Aguanno Ito, (202) 942-4147; Paula R. Jenson, (202) 942-0073; or Elizabeth K. King, (202) 942-0140. (Rel. 34-38672; IS-1085; File No. S7-16-97)

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## ENFORCEMENT PROCEEDINGS

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### NASD ACTION AGAINST LITWIN SECURITIES, INC. AND HAROLD LITWIN SUSTAINED

The Commission sustained NASD disciplinary action against Litwin Securities, Inc. (LSI), an NASD member firm, and Harold A. Litwin, LSI's president and former financial and operations principal (FINOP). The NASD had censured LSI and Litwin, fined them \$25,000, jointly and severally, and barred Litwin from acting as a FINOP with any NASD member.

The Commission found that LSI, acting through Litwin, filed an inaccurate FOCUS Report, Part I, on Form X-17A-5, for the month ended November 30, 1994, and submitted a false and misleading balance sheet and net capital computation to the NASD staff; failed to maintain current and accurate books and records by failing to post certain liabilities, by misclassifying certain assets as allowable, and by overstating LSI's net capital for the month ended December 31, 1994; filed an inaccurate FOCUS Report, Parts I and IIA, for the month ended December 31, 1994; and conducted a securities business without the minimum capital required by Rule 15c3-1 under the Securities Exchange Act of 1934 and failed to give notice to the NASD and the Commission of LSI's capital deficiency, as required by Exchange Act Rule 17a-11.

The Commission concluded that the NASD's sanctions were neither excessive nor oppressive, given the breadth of violations established and LSI and Litwin's long history of disciplinary violations. Litwin argued that he had learned from this and previous violations found, and discipline imposed, and that he will therefore not commit similar violations in the future. In response, the Commission stated that it "cannot countenance FINOP training through discipline." (Rel. 34-38673)

### RICHARD STEELE, JR. SANCTIONED

The Commission instituted administrative proceedings against Richard K. Steele, Jr., a former registered representative with Rockefeller, Rothschild and Steele, a defunct broker-dealer based in Beverly Hills, California, and accepted his offer of settlement. The Commission found that Steele Jr. was permanently enjoined by the United States District Court for Colorado on May 12, 1997 in SEC v. Balance For Life, Inc., No. 95-D-2471; LR-15372. The Commission's Order bars Steele Jr. from association with any broker, dealer, municipal securities dealer, investment adviser or investment company, with the right to reapply in three years.

The underlying injunction, entered by consent with Steele Jr. neither admitting nor denying the allegations, enjoins him from violating the securities registration provisions of Sections 5(a) and (c) of the Securities Act; the antifraud provisions of Section

17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5; and the broker-dealer registration provisions of Section 15(a)(1) of the Exchange Act in connection with his sales of Balance for Life, Inc. securities between 1991 and 1994. The complaint alleges, among other things, Steele Jr. misrepresented that BFL stock would publicly trade on Nasdaq and increase in price to \$17 to \$45 per share without reasonable basis. (Rel. 34-38676)

#### ADMINISTRATIVE PROCEEDING INSTITUTED AGAINST WILLIAM ROSSI

The Commission issued an Order on May 16 instituting an administrative proceeding pursuant to Sections 15(b)(6) and 19(h) of the Exchange Act against William Rossi (Rossi). Rossi simultaneously consented to the entry of an order barring him from association with any broker, dealer, municipal securities dealer, investment adviser or investment company.

The administrative proceeding was based on the entry of a civil judgment of permanent injunction against Rossi for violations of registration and antifraud provisions of the Securities Act and the Exchange Act. The civil complaint alleged that from approximately July 1, 1993 to May 15, 1995, Rossi and others fraudulently offered and sold nine-month promissory notes (Notes) issued by Direct Participation Services, Inc. d/b/a Government Financial (GF), raising about \$26 million from approximately 750 investors. The complaint further alleged that Rossi and others misrepresented information to investors concerning: duties performed by a purportedly independent trustee; the percentage of accounts receivable payable by governmental entities; Noteholders' security interest in assets acquired by GF; existence of credit insurance; and use of proceeds for related party transactions. The complaint also alleged that GF failed to register the Notes with the Commission. (Rel. 34-38677)

#### ARTHUR GRAVES, III AND BROADCAST ASSOCIATES - I, ET AL., DENIED THE PRIVILEGE OF APPEARING OR PRACTICING BEFORE THE COMMISSION PURSUANT TO RULE 102(e)

The Commission announced today that it issued an Order Instituting Proceedings and Opinion and Order Pursuant to Rule 102(e) of the Commission's Rules of Practice, by consent, against Arthur A. Graves, III. The Order denies Graves the privilege of appearing or practicing as an attorney before the Commission.

The Order was based on the Final Judgment of Permanent Injunction and Other Equitable Relief against Broadcast Associates - I, Broadcast Acquisitions, Inc., Broadcast Holdings, Inc., Arthur A. Graves, III, and Gregory P. Moeller, entered, by consent, on April 25, 1997, by the Honorable William J. Rea of the United States District Court for the Central District of California. The Final Judgment permanently enjoins Graves and his co-defendants from violating the registration and antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934. The Final Judgment orders Graves to pay disgorgement of \$1.1 million, and interest of \$195,096.32. However, based on Graves' demonstrated

inability to pay, the Commission agreed that the entire amount of disgorgement and pre-judgment interest would be waived, and that the defendants would not pay a civil penalty.

According to the Commission's complaint filed on January 23, 1995, from November 1992 to January 1995, Graves and the other defendants named in the action engaged in a scheme to sell \$4.6 million of unregistered securities in wireless cable ventures to approximately 300 investors in 37 states through the use of false and misleading statements. The defendants perpetrated this scheme out of offices located in Southern California. Defendant Graves, an attorney licensed to practice in the State of California, is alleged in the complaint to have been a principal in the fraudulent scheme and to have prepared many of the documents distributed to investors which contained materially false and misleading information about the offering. [SEC v. Broadcast Associates - I, Broadcast Acquisition, Inc., Broadcast Holdings, Inc., Arthur A. Graves, III, and Gregory P. Moeller, USDC for the Central District of California, Civil Action No. 96-CV-0252, WJR, ANx] (LR-15374); In the Matter of Arthur A. Graves, III - (Rel. 34-38674)

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#### INVESTMENT COMPANY ACT RELEASES

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#### DLJ LBO PLANS MANAGEMENT CORPORATION AND DLJ FIRST ESC L.L.C.

A notice has been issued giving interested persons until June 17 to request a hearing on an application filed by DLJ LBO Plans Management Corporation and DLJ First ESC L.L.C. Applicants request an order under Sections 6(b) and 6(e) of the Act for an exemption from all provisions of the Act, except Section 9, certain provisions of Sections 17 and 30, Sections 36 through 53, and the rules and regulations thereunder. The order would permit DLJ First ESC L.L.C., and other limited liability companies offered to the same class of investors, to engage in certain affiliated and joint transactions. Each limited liability company will be an employees' securities company within the meaning of Section 2(a)(13) of the Act. (Rel. IC-22682 - May 23)

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#### HOLDING COMPANY ACT RELEASES

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#### UNITIL CORPORATION

A notice has been issued giving interested persons until June 16 to request a hearing on a proposal by Unitil Corporation, a registered holding company, to guarantee certain lease obligations of its subsidiary service company, Unitil Service Corporation, in amounts of up to \$12 million. (Rel. 35-26720)

## THE SOUTHERN COMPANY

A notice has been issued giving interested persons until June 16 to request a hearing on a proposal by The Southern Company a registered holding company, to guarantee indebtedness incurred by Southern Company Services, Inc., its subsidiary service company, in outstanding amounts of up to \$200 million, through December 31, 2003. (Rel. 35-26720)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Pacific Exchange (SR-PSE-96-30) relating to the use of member badges on, and the admission of visitors to, the Equity floors of the Exchange. Publication of the proposal is expected in the Federal Register during the week of May 26. (Rel. 34-38668)

### PARTIAL APPROVAL OF PROPOSED RULE CHANGE

The Commission partially approved a proposed rule change (SR-PHLX-97-04) filed by the Philadelphia Stock Exchange establishing a 4:02 p.m. closing time for equity and narrow-based index options trading, and modifying option trading rotation procedures. Publication of the notice is expected in the Federal Register during the week of May 26. (Rel. 34-38671)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-6 CAMELOT RESOURCES NL, 48 WALL ST, C/O BANK OF NEW YORK, NEW YORK, NY  
10286 (212) 495-1727 - 20,000,000 (\$1,000,000)  
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-6922 - MAY 15) (BR. 99  
- NEW ISSUE)
- S-8 BETTING INC, 400 S LINDELL, VANDALIA, MO 63382 (314) 594-6418 - 930,000  
(\$558,000) COMMON STOCK (FILE 333-6924 - MAY 16) (BR. 2)
- S-8 OPEN TEXT CORP, 180 COLUMBIA ST W, WATERLOO, ONTARIO N2L 3L3, A6 0000  
- 2,500,000 (\$19,687,500) COMMON STOCK. (FILE 333-6934 - MAY. 19) (BR. 3)
- F-1 ING GROEP NV, STRAWINSKYLAAN 2631 1077 ZZ AMSTERDAM,  
PO BOX 810 1000 AV AMSTERDAM, THE NETHERLANDS, PB (518) 433-4740 -  
7,475,000 (\$308,269,000) FOREIGN COMMON STOCK (FILE 333-6936 - MAY 19)  
(BR 1 - NEW ISSUE)

S-8 NEW YORK STATE ELECTRIC & GAS CORP, 4500 VESTAL PARKWAY EAST,  
 BINGHAMTON, NY 13903 (607) 729-2551 - 3,300,000 (\$72,393,750) COMMON STOCK.  
 (FILE 333-27517 - MAY. 21) (BR. 4)

S-8 CULP INC, 101 S MAIN ST, 101 S MAIN ST, HIGH POINT, NC 27261  
 (910) 889-5161 - 128,000 (\$2,160,000) COMMON STOCK. (FILE 333-27519 -  
 MAY 21) (BR 2)

S-3 HORTON D R INC /DE/, 1901 ASCENSION BLVD, STE 100, ARLINGTON, TX 76006  
 (817) 856-8200 (FILE 333-27521 - MAY. 21) (BR. 6)

S-4 PUEBLO XTRA INTERNATIONAL INC, 1300 NW 22ND ST, POMPANO BEACH, FL 33069  
 (305) 977-2500 - 85,000,000 (\$76,712,500) STRAIGHT BONDS. (FILE 333-27523  
 - MAY 21) (BR 2)

S-8 CHESAPEAKE ENERGY CORP, 6104 N WESTERN, OKLAHOMA CITY, OK 73118  
 (405) 848-8000 - 6,000,000 (\$94,500,000) COMMON STOCK (FILE 333-27525 -  
 MAY 21) (BR 4)

S-3 WEGENER CORP, 11350 TECHNOLOGY CIRCLE, DULUTH, GA 30136 (404) 623-0096  
 - 2,530,000 (\$4,190,945) COMMON STOCK. (FILE 333-27527 - MAY. 21) (BR. 3)

S-4 TOSCO CORP, 72 CUMMINGS POINT RD, STAMFORD, CT 06902 (203) 977-1000 -  
 600,000,000 (\$600,000,000) STRAIGHT BONDS. (FILE 333-27529 - MAY. 21)  
 (BR 4)

S-8 MERIDIAN DATA INC, 5615 SCOTTS VALLEY DR, SUITE 200, SCOTTS VALLEY, CA  
 95066 (415) 960-0288 - 900,000 (\$3,431,700) COMMON STOCK. (FILE 333-27531  
 - MAY 21) (BR. 3)

S-8 MERIDIAN DATA INC, 5615 SCOTTS VALLEY DR, SUITE 200, SCOTTS VALLEY, CA  
 95066 (415) 960-0288 - 100,000 (\$381,300) COMMON STOCK. (FILE 333-27533 -  
 MAY 21) (BR. 3)

S-8 ICE HOLDINGS INC, 7203 EARLDOM AVENUE, SUITE 1940, PLAYA DEL RAY, CA  
 90293 (310) 305-1766 - 5,000 (\$16,562.50) COMMON STOCK (FILE 333-27535 -  
 MAY 21) (BR. 2)

S-3 AAMES FINANCIAL CORP/DE, 3731 WILSHIRE BLVD, LOS ANGELES, CA 90010  
 (213) 351-6100 - 1,877,669 (\$8,924,175) COMMON STOCK (FILE 333-27537 -  
 MAY 21) (BR 7)

S-8 GASONICS INTERNATIONAL CORP, 2540 JUNCTION AVENUE, SAN JOSE, CA 95134  
 (408) 325-1200 - 900,000 (\$9,225,000) COMMON STOCK. (FILE 333-27539 -  
 MAY 21) (BR 5)

S-3 USFREIGHTWAYS CORP, 9700 HIGGINS RD STE 570, ROSEMONT, IL 60018  
 (847) 696-0200 - 15,564 (\$429,955.50) COMMON STOCK. (FILE 333-27541 -  
 MAY 21) (BR. 5)

S-8 CLIFFS DRILLING CO, 300 CITICORP CENTER, 1200 SMITH ST, HOUSTON, TX  
 77002 (713) 651-9426 - 160,000 (\$10,410,000) COMMON STOCK. (FILE  
 333-27543 - MAY. 21) (BR 4)

S-3 ALLEN ETHAN INTERIORS INC, ETHAN ALLEN DRIVE, PO BOX 1966, DANBURY, CT  
 06811 (203) 743-8000 - 73,112 (\$3,724,142 50) COMMON STOCK. (FILE  
 333-27545 - MAY 21) (BR 6)

S-3 PUBLIC SERVICE ELECTRIC & GAS CO, 80 PARK PLZ, PO BOX 570, NEWARK, NJ  
 07101 (201) 430-7000 - 150,000,000 (\$150,000,000)  
 PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-27547 - MAY. 21)  
 (BR 4)

S-8 STEEL DYNAMICS INC, 4500 COUNTY RD 59, BUTLER, IN 46721 (219) 868-8000  
 - 1,403,000 (\$27,767,344) COMMON STOCK (FILE 333-27549 - MAY 21)  
 (BR 6)

S-3 ARIZONA PUBLIC SERVICE CO, 400 N FIFTH ST, P O BOX 53999, PHOENIX, AZ  
 85004 (602) 250-1000 (FILE 333-27551 - MAY. 21) (BR 4)

S-8 OGARA CO /OH/, 9113 LESAINTE DR, FAIRFIELD, OH 45014 (513) 874-2112 -  
 400,000 (\$4,200,000) COMMON STOCK. (FILE 333-27553 - MAY. 21) (BR. 5)

- S-8 JOURNAL REGISTER CO, STATE STREET SQUARE, 50 WEST STATE STREET, TRENTON, NY 08605 (609) 396-2200 - 4,843,750 (\$68,115,234 38) COMMON STOCK. (FILE 333-27555 - MAY. 21) (BR. 5)
- S-8 JOURNAL REGISTER CO, STATE STREET SQUARE, 50 WEST STATE STREET, TRENTON, NY 08605 (609) 396-2200 - 1,100,000 (\$15,468,750) COMMON STOCK. (FILE 333-27557 - MAY 21) (BR. 5)
- S-8 CAYENNE SOFTWARE INC, 8 NEW ENGLAND EXECUTIVE PARK, BURLINGTON, MA 01803 (617) 273-9003 - 2,000,000 (\$6,750,000) COMMON STOCK. (FILE 333-27559 - MAY 21) (BR. 3)
- S-8 SCOTTS COMPANY, 14111 SCOTTS LAWN RD, MARYSVILLE, OH 43041 (513) 644-0011 - 1,500,000 (\$38,445,000) COMMON STOCK. (FILE 333-27561 - MAY. 21) (BR. 4)
- S-8 NASH FINCH CO, 7600 FRANCE AVE, PO BOX 355, SOUTH MINNEAPOLIS, MN 55435 (612) 832-0534 - 100,000 (\$1,875,000) COMMON STOCK (FILE 333-27563 - MAY. 21) (BR 2)
- S-4 CITIZENS BANKING CORP, ONE CITIZENS BANKING CTR, FLINT, MI 48502 (810) 257-2500 - 2,851,783 (\$133,677,328) COMMON STOCK (FILE 333-27565 - MAY 21) (BR 7)
- S-8 IRON HOLDINGS CORP, 2692 JUNIPER, STE 405, BOULDER, CO 80304 (303) 541-9342 - 1,000,000 (\$15,000) COMMON STOCK (FILE 333-27567 - MAY. 21) (BR 9)
- S-8 TRANSLATION GROUP LTD, 44 TANNER STREET, HADDONFIELD, NJ 08033 (609) 663-8600 - 705,000 (\$4,440,625) COMMON STOCK 20,000 (\$70,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-27569 - MAY 21) (BR 9)
- S-4 WEBSTER FINANCIAL CORP, WEBSTER PLAZA, 145 BANK ST, WATERBURY, CT 06702 (203) 753-2921 - 2,157,261 (\$70,380,640 12) COMMON STOCK (FILE 333-27571 - MAY. 21) (BR 7)
- S-8 ALASKA APOLLO RESOURCES INC, 131 PROSPEROUS PL, STE 17-A, LEXINGTON, KY 40509 (602) 279-2070 - 300,000 (\$150,000) COMMON STOCK (FILE 333-27573 - MAY 21) (BR 4)
- S-3 COACH USA INC, ONE RIVERWAY, STE 600, HOUSTON, TX 77056 (888) 262-2487 - \$53,959,347 75 COMMON STOCK (FILE 333-27575 - MAY. 21) (BR 5)
- S-4 GREAT ATLANTIC & PACIFIC TEA CO INC, 2 PARAGON DR, MONTVALE, NJ 07645 (201) 573-9700 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-27577 - MAY. 21) (BR 2)
- S-8 CEL SCI CORP, 66 CANAL CENTER PLZ STE 510, ALEXANDRIA, VA 22314 (703) 549-5293 - 1,000,000 (\$3,340,000) COMMON STOCK (FILE 333-27579 - MAY. 22) (BR 1)
- S-4 RELIASTAR FINANCIAL CORP, 20 WASHINGTON AVENUE SOUTH, MINNEAPOLIS, MN 55401 (612) 372-5432 - 6,650,577 (\$412,750,193 80) COMMON STOCK. (FILE 333-27583 - MAY. 21) (BR 1)
- S-1 ALPHANET SOLUTIONS INC, 7 RIDGEDALE AVE, CEDAR KNOLLS, NJ 07927 (201) 267-0088 - 2,300,000 (\$41,262,000) COMMON STOCK. (FILE 333-27585 - MAY 22) (BR 3)

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**RECENT 8K FILINGS**

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.

- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES CAPITAL ACCEPTANCE CORP	DE					X					04/18/97	
AAMES CAPITAL CORP	CA					X					04/18/97	
ADVANCED DEPOSITION TECHNOLOGIES IN C	DE					X	X				05/27/97	
ALTERNATIVE LIVING SERVICES INC	DE					X	X				05/14/97	
BANC ONE HOME EQUITY LOAN TRUST 199 6-A	DE	X									05/31/97	
BANKAMERICA CORP	DE					X					05/22/97	
BASSETT FURNITURE INDUSTRIES INC	VA					X					05/27/97	
BERNSTEIN LEIBSTONE ASSOCIATES INC /NY/	NY					X					05/17/97	
BRITTON & KOONTZ CAPITAL CORP	MS					X	X				05/21/97	
CAPTIAL GROWTH HOLDINGS LTD	CO						X				03/14/97	AMEND
CASCADE CORP	OR						X				03/11/97	AMEND
CASTLE ENERGY CORP	DE					X					05/16/97	
CATERPILLAR FINANCIAL FUNDING CORP	NV						X				05/25/97	
CCB FINANCIAL CORP	NC					X	X				05/13/97	
CENTURA BANKS INC	NC					X	X				05/21/97	
CETACEAN INDUSTRIES INC	NV					X					04/16/97	
CHAMPION INDUSTRIES INC	WV	X						X			05/21/97	
CNF TRANSPORTATION INC	DE					X					05/27/97	
CNL INCOME FUND XVIII LTD	FL	X						X			05/08/97	
COCA COLA ENTERPRISES INC	DE					X	X				05/27/97	
CONNECTISYS CORP	CO					X					05/21/97	
CONTINENTAL AIRLINES INC /DE/	DE	X									05/27/97	
CORONADO INDUSTRIES INC	NY					X					05/20/97	
DIME COMMUNITY BANCORP INC	NY					X					05/16/97	
ECOMAT INC	DE	X									06/30/97	
EQCC ASSET BACKED CORP	DE					X	X				05/27/97	
EQCC RECEIVABLES CORP	DE					X	X				05/27/97	
FIRST ALERT INC	DE					X	X				05/14/97	
FIRST COLONIAL VENTURES LTD	UT	X									09/01/96	
FIRST DATA CORP	DE					X					05/22/97	
FIRST SECURITY AUTO GRANTOR TRUST 1 995-A	UT	X									04/25/97	
FIRST SECURITY AUTO GRANTOR TRUST 1 997-A	UT	X									04/25/97	
FIRST USA CREDIT CARD MASTER TRUST	DE					X	X				05/27/97	
FISCHER WATT GOLD CO INC	NV	X					X				10/29/95	AMEND
GEON CO	DE					X	X				05/21/97	
GREAT WESTERN FINANCIAL CORP	DE						X				05/27/97	AMEND
GREEN TREE FINANCIAL CORP	MN						X				05/22/97	
HORIZON CMS HEALTHCARE CORP	DE					X	X				05/12/97	
ICE HOLDINGS INC	DE	X									05/05/97	
IMH ASSETS CORP	CA					X	X				05/22/97	
INCOME OPPORTUNITY REALTY INVESTORS INC /TX/	CA	X					X				05/14/97	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
INTERCHANGE FINANCIAL SERVICES CORP /NJ/	NJ					X						05/15/97	
INTERMAGNETICS GENERAL CORP	NY								X			03/11/97	AMEND
INTERNET COMMUNICATIONS CORP	CO	X							X			12/03/96	AMEND
JACKAL INDUSTRIES INC	NV					X						04/16/97	
KENTEK INFORMATION SYSTEMS INC \DE\	DE								X			05/09/97	AMEND
KEYSTONE INTERNATIONAL INC	TX					X			X			05/20/97	
LEVEL BEST GOLF INC /FL/	FL				X				X			05/20/97	
LHS GROUP INC	DE								X			05/15/97	
LIFE FINANCIAL SER AD RT MT PAS THR CT SE 1997 1A & 97 1B TR	NY								X			05/15/97	
LONGHORN INC	NV					X						04/16/97	
METROPOLITAN HEALTH NETWORKS INC	FL	X										03/12/97	AMEND
MOSSIMO INC	DE			X	X	X						05/21/97	
NORWEST ASSET SECURITIES CORP	DE					X	X					05/27/97	
OUTDOOR SYSTEMS INC	DE					X	X					05/22/97	
PENSKE MOTORSPORTS INC	DE	X							X			05/15/97	
PERMANENT BANCORP INC	DE					X						05/19/97	
PRIME RECEIVABLES CORP	DE								X			05/15/97	
QUIZNOS CORP	CO								X			05/16/97	
RACOM SYSTEMS INC	DE					X						05/22/97	
RADAR RESOURCES INC	NV					X						04/16/97	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X					05/27/97	
ROYAL GRIP INC	NV					X	X					05/14/97	
RYDER SYSTEM INC	FL					X						05/27/97	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE								X			05/27/97	
SPEEDFAM INTERNATIONAL INC	IL					X	X					05/23/97	
STAGE STORES INC	DE					X	X					05/21/97	
SUPERIOR CONSULTANT HOLDINGS CORP	DE								X			03/12/97	AMEND
TCF FINANCIAL CORP	DE					X	X					05/12/97	
THIOKOL CORP /DE/	DE					X						05/22/97	
TRIANGLE BANCORP INC	NC					X	X					05/20/97	
UCFC FUNDING CORP	LA					X	X					04/15/97	
UNITED STATIONERS INC	DE					X						05/27/97	
UNITED STATIONERS SUPPLY CO	DE					X						05/27/97	
VALUE CITY DEPARTMENT STORES INC /O H	OH					X			X			05/20/97	
VERITAS DGC INC	DE					X	X					05/23/97	
WALL STREET DELI INC	DE					X						05/21/97	
WESTINGHOUSE ELECTRIC CORP	PA					X	X					05/27/97	
WESTON ROY F INC	PA					X	X					05/22/97	