

# sec news digest

Issue 84-2

JAN 5 1984

January 4, 1984

U.S. SECURITIES AND  
EXCHANGE COMMISSION

## ADMINISTRATIVE PROCEEDINGS

### PROCEEDINGS INSTITUTED AGAINST VELMA SHAW MYERS

The Commission instituted public administrative proceedings under the Securities Exchange Act of 1934 against Velma Shaw Myers of Fountain Valley, California, currently a registered representative associated with a broker-dealer registered with the Commission. From October 1979 to July 1981, Myers was associated with the Irvine, California office of a now defunct broker-dealer.

The Order for Proceedings alleges that from at least May 1981 to about July 20, 1981, Myers wilfully violated the antifraud provisions of the securities laws by making misleading statements of material facts and omitting to state material facts concerning: (1) prospective increases in the prices of the common stocks of Aerosonic, Inc. and Bunnington Corp.; (2) the future earnings and business prospects of Aerosonic and Bunnington; (3) the risks involved in the purchase of Aerosonic and Bunnington stock; and (4) purchases and sales of Aerosonic and Bunnington stock by Myers. The Order further alleges that Myers wilfully aided and abetted violations of the antifraud provisions by a registered representative subject to her supervision.

A hearing will be scheduled to determine whether the allegations against Myers are true, and if so, to decide what, if any, remedial action would be appropriate.  
(Rel. 34-20518)

## HOLDING COMPANY ACT RELEASES

### THE CONNECTICUT LIGHT AND POWER COMPANY

An order has been issued regarding The Connecticut Light and Power Company (CL&P) and Western Massachusetts Electric Company (WMECO), subsidiaries of Northeast Utilities, granting continued authorization to incur excess unsecured indebtedness of less than ten years, authorizing an increase in authorized preferred stock, and authorizing creation of a second class of preferred stock by CL&P. Jurisdiction has been reserved over the creation of a second class of preferred stock by WMECO.  
(Rel. 35-23189 - Dec. 29, 1983)

### THE ROCKY RIVER REALTY COMPANY

An order has been issued authorizing The Rocky River Realty Company, subsidiary of Northeast Utilities, to issue and sell secured long-term notes of \$1 million in order to obtain working capital and to repay funds borrowed from Northeast.  
(Rel. 35-23190 - Dec. 29, 1983)

### MIDDLE SOUTH UTILITIES, INC.

An order has been issued authorizing Middle South Utilities, Inc., a registered holding company, and its subsidiaries, Middle South Services, Inc., Arkansas Power & Light Company, Louisiana Power & Light Company, Mississippi Power & Light Company, New Orleans Public Service, Inc., and System Fuels, Inc., to continue a system money pool, to include System Fuels therein, and to sell short-term notes to banks and to a commercial paper dealer from time to time through December 31, 1985. An exception from competitive bidding has been authorized for the sale of commercial paper notes.  
(Rel. 35-23191 - Dec. 30, 1983)

## AEP GENERATING COMPANY

An order has been issued authorizing AEP Generating Company (AEGCo), subsidiary of American Electric Power Company, Inc., to enter into Term Loan Agreements, without requiring any concurrent reduction in the commitments of the banks under its Revolving Credit Agreement in those cases in which borrowings under the Term Loan Agreement mature on or before June 30, 1989. AEGCo is also seeking authorization to enter into "interest rate swap" agreements with respect to notes issued or to be issued under the Revolving Credit Agreement, any such agreement to have a term extending not later than December 31, 1989. (Rel. 35-23192 - Dec. 30, 1983)

---

## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

---

### UNLISTED TRADING GRANTED

Orders have been issued granting the applications of the following stock exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system: Midwest Stock Exchange, Inc. - seven issues. (Rel. 34-20524); Boston Stock Exchange, Inc. - Dunlop Holdings, PLC, ord. reg. (50 pence par value). (Rel. 34-20525), Echo Bay Mines, Ltd., common stock (no par value). (Rel. 34-20526); and Philadelphia Stock Exchange, Inc. - two issues. (Rel. 34-20527)

---

## SELF-REGULATORY ORGANIZATIONS

---

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission approved, on an accelerated basis, a proposed rule change filed by the National Association of Securities Dealers (SR-NASD-83-26) to establish, on a pilot basis, a reduced fee for limited usage subscribers to NASDAQ Level I Service and NMS last sale information. (Rel. 34-20522)

---

## MISCELLANEOUS

---

### ORDER GRANTING APPLICATION

An order has been issued granting the application of Riceland Foods, Inc., under Section 12(h) of the Securities Exchange Act of 1934, for an exemption from certain periodic reporting requirements under Sections 13 and 15(d) of that Act. (Rel. 34-20523)

---

## SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a Rights Offering; File number and date filed; Assigned Branch; If the registration statement is a New Issue; and [S] denoting SHELF REGISTRATION under Rule 415.

- (S-18) TOUCHSTONE SOFTWARE CORPORATION, 909 Electric Ave., Suite 207, Seal Beach, CA 90740 (213) 598-7746 - 2,300,000 shares of common stock. Underwriter: Blinder, Robinson & Co., Inc., 6455 South Yosemite St., Englewood, CO 80111 (303) 773-8200. The company designs, develops, markets and supports computer software products which provide compatibility between different types of computers. (File 2-88365-LA - Dec. 9, 1983) (Br. 9 - New Issue)
- (S-18) JUMBO BARGE TECHNOLOGY, LTD., 3435 Colville Pl., Encino, CA 91436 (213) 986-9712 - 3,000 Class A units of limited partnership interest. Underwriter: American Pacific Securities Corporation, 301 East Colorado Blvd., Suite 426, Pasadena, CA 91105. The company will conduct research and development of technology in the engineering design and laboratory testing of a new type of barge transportation system. (File 2-88368-LA - Dec. 12, 1983) (Br. 10 - New Issue) [S]

- (S-3) SECURITY PACIFIC CORPORATION, 333 South Hope St., Los Angeles, CA 90071 (213) 613-4540 - 92,529 shares of common stock. (File 2-88659 - Dec. 29, 1983) (Br. 2) [S]
- (S-14) CITIZENS NATIONAL CORPORATION, 75 Main St., Paintsville, KY 41240 (606) 789-4001 - 200,000 shares of common stock. (File 2-88662 - Dec. 29, 1983) (Br. 1)
- (S-8) VALMONT INDUSTRIES, INC., Valley, NB 68064 (402) 359-2201 - 175,000 shares of common stock. (File 2-88663 - Dec. 29, 1983) (Br. 6)
- (S-6) HUTTON TELEPHONE TRUST, SECOND TAX-FREE EXCHANGE SERIES (A UNIT INVESTMENT TRUST), One Battery Park Plaza, New York, NY 10004 - an indefinite number of units of beneficial interest. Depositor: E.F. Hutton & Company Inc. (File 2-88665 - Dec. 29, 1983) (Br. 18 - New Issue)
- (S-8) ROCKWELL INTERNATIONAL CORPORATION, 600 Grant St., Pittsburgh, PA 15219 (412) 565-2902 - 5,904,059 shares of common stock. (File 2-88667 - Dec. 29, 1983) (Br. 2)
- (S-15) MERCANTILE BANCORPORATION INC., P.O. Box 524, St. Louis, MO 63166 (314) 425-2525 - \$3 million of 9% installment notes, due 1990. (File 2-88668 - Dec. 27, 1983) (Br. 2 - New Issue)
- (S-8) NASHUA CORPORATION, 44 Franklin St., Nashua, NH 03061 (603) 880-2952 - 280,000 shares of common stock. (File 2-88669 - Dec. 27, 1983) (Br. 4)
- (S-8) PENTAIR, INC., 1700 West Highway 36, St. Paul, MN 55113 (612) 636-7920 - 375,000 shares of common stock. (File 2-88670 - Dec. 27, 1983) (Br. 8)
- (S-14) LNB BANCORP, INC., 457 Broadway, Lorain, OH 44052 (216) 244-6000 - 1,100,000 shares of common stock. (File 2-88671 - Dec. 27, 1983) (Br. 1 - New Issue)
- (S-1) MAGNETIC RESONANCE IMAGING CORP., 59 East 54th St., Suite 71, New York, NY 10022 (212) 751-6060 - 500,000 units. Underwriters: Bunker Securities Corp. and Jerold Securities & Co., Inc. (File 2-88672 - Dec. 29, 1983) (Br. 5 - New Issue) [S]
- (S-14) ELAN CORPORATION LIMITED, Monksland, Athlone, County Westmeath, Republic of Ireland (353) 902-2843 - 1,553,944 ordinary shares. (File 2-88673 - Dec. 29, 1983) (Br. 8 - New Issue)
- (S-2) NEW GENERATION FOODS, INC., 2300 East Devon, Des Plaines, IL 60018 (312) 299-8656 - 736,425 shares of common stock. The company develops, manufactures and sells food products made from high protein whole wheat. (File 2-88674 - Dec. 29, 1983) (Br. 3) [S]
- (S-8) MGM/UA HOME ENTERTAINMENT GROUP, INC., 1350 Avenue of the Americas, New York, NY 10019 (212) 408-0600 - 300,000 shares of common stock. (File 2-88676 - Dec. 30, 1983) (Br. )
- (S-14) HNB FINANCIAL GROUP, 16531 Bolsa Chica St., Huntington Beach, CA 92649 (714) 840-1354 - 390,000 shares of common stock. (File 2-88677 - Dec. 30, 1983) (Br. 1 - New Issue)
- (S-3) NEW WORLD COMPUTER COMPANY, INC., 6624 Owens Dr., Pleasanton, CA 94566 (415) 463-0330 - 5,078,961 shares of common stock. (File 2-88680 - Dec. 30, 1983) (Br. 9) [S]
- (S-8) BARNES ENGINEERING COMPANY, 44 Commerce Rd., P.O. Box 53, Stamford, CT 06904 (203) 348-5381 - 100,000 shares of common stock. (File 2-88681 - Dec. 30, 1983) (Br. 8)
- (S-8) TEXAS FEDERAL FINANCIAL CORPORATION, 8300 Preston Rd., Dallas, TX 75225 (214) 739-8339 - 174,360 shares of common stock. (File 2-88682 - Dec. 30, 1983) (Br. 2)
- (S-3) KINDER-CARE LEARNING CENTERS, INC., 4505 Executive Park Dr., Montgomery, AL 36116 (205) 277-5090 - 35,520 shares of common stock. The company is an operator of proprietary day care centers. (File 2-88683 - Dec. 30, 1983) (Br. 5)
- (S-14) TEXAS EAST BANCORP, INC., P.O. Box 951, 200 E. Commerce St., Jacksonville, TX 75766 (214) 586-1526 - 50,000 shares of common stock. (File 2-88685 - Dec. 30, 1983) (Br. 2 - New Issue)
- (S-15) FIRST VIRGINIA BANKS, INC., One First Virginia Plaza, 6400 Arlington Blvd., Falls Church, VA 22046 (703) 241-3655 - 240,000 shares of common stock. (File 2-88686 - Dec. 30, 1983) (Br. 1)

(S-11) CARLYLE REAL ESTATE LIMITED PARTNERSHIP-XIV, 875 North Michigan Ave., Chicago, IL 60611 - 250,000 limited partnership interests, \$1,000 per interest. Underwriter: JMB Securities Corporation. (File 2-88687 - Dec. 30, 1983) (Br. 6 - New Issue)

(S-11) PUBLIC STORAGE PROPERTIES XII, LTD., 990 South Fair Oaks Ave., Pasadena, CA 91105 - 40,000 units at \$500 per unit. (File 2-88688 - Dec. 30, 1983) (Br. 4 - New Issue) [S]

#### REGISTRATIONS EFFECTIVE

Dec. 12, 1983: The Ace High Limited Partnership, 2-86563-NY; Apogee Robotics, Inc., 2-87181-D; Gateway Communications, Inc., 2-86033-LA; Gateway Pizza, Inc., 2-87698-C; Pioneer Environments, Inc., 2-87180-D.

Dec. 13, 1983: Commodity Growth Fund, Ltd., 2-85707-C; Enter-Tronics Management, 2-86156-NY; Terayco International, Ltd., 2-86705-D.

Dec. 14, 1983: Baker Communications, Inc., 2-86847; Bench Craft, Inc., 2-85577; Businessland, Inc., 2-86410; CCX Network Inc., 2-87802-FW; Central Service Corporation, 2-87305; CIRO, Inc., 2-86155; Collins Foods International, Inc., 2-88132; Computer Identics Corp., 2-85807; Concept Development, Inc., 2-86897; The Dow Chemical Company, 2-88364; Eaton Corporation, 2-88369; Fenwick Financial Corporation, 2-87345; Imre Corporation, 2-84528-S; IntelliGenetics, Inc., 2-87037; International Technology Corporation, 2-87359; Mediq Incorporated, 2-88029; Moore McCormack Resources, Inc., 2-88229; Northern Indiana Public Service Company, 2-88260; Norwest Mortgage Insured 1, Inc., 2-87499; Nuveen Tax-Exempt Bond Fund Multi-State, Series 113, 2-87369; The Swan Brewery Company Limited, 2-87301; TCD Inc., 2-86107-FW; Western Star Business Systems, Inc., 2-86360-S.

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

	FORM	EVENT DATE	SHRS (000) / % OWNED	CUSIP / PRIOR %	FILING STATUS
AAR CORP COHEN BARRY F	COM	13D	155 3.9	00036110 10.9	UPDATE
ALFA RES INC ROEBLING PAUL	COM	13D	2,330 6.4	01539610 0.0	NEW
APCO ARGENTINA INC CAYMAN IS NORTHWEST ENERGY CO	ORD	13D	2,202 32.1	03748910 0.0	NEW
BUSINESS EXCHANGE INC ADLER HARRY	COM	13D	138 8.5	12325010 7.4	UPDATE
CENTRAL POWER AND LIGHT CO CUIC LEASING INC	PFD	13D	141 7.7	15503360 0.0	NEW
CENTRAL POWER AND LIGHT CO MIC SERVICES CORP ET AL	PFD	13D	141 7.7	15503360 0.0	NEW
CENTRAL POWER AND LIGHT CO ROYAL INS CO AMER	PFD	13D	90 11.9	15503360 0.0	NEW
DATA PROBE CORP FRIEDMAN ABRAHAM D ET AL	COM	13D	12 11.0	23780099 0.0	NEW

## ACQUISITION REPORTS CONT.

FIRST MD BANCORP	COM			2,600	32080610	
ALLIED IRISH BANKS LIMITED		14D-1	12/28/83	43.0	0.0	UPDATE
GEORGE WASHINGTON CORP	COM			0	37248710	
BARNETTE LARRY DAVID ET AL		13D	12/22/83	N/A	N/A	UPDATE
HAMILTON BROS PETE CORP	COM PAR \$0.25			3,348	40702720	
VOLVO NORTH AMERICA CORP		13D	12/17/83	24.7	24.7	UPDATE
HOMAC INC	SH BEN INT			271	43685710	
NEAL KENNETH A		13D	11/30/83	12.9	10.4	UPDATE
KEBA OIL & GAS CO	COM			0	48722510	
LADD J BERTRAM		13D	12/19/83	N/A	N/A	UPDATE
KEBA OIL & GAS CO	COM			0	48722510	
ROSSMAN EARL E		13D	12/19/83	N/A	N/A	UPDATE
KEBA OIL & GAS CO	COM			0	48722510	
WHITING KENNETH R		13D	12/19/83	N/A	N/A	UPDATE
MGM UA ENTMT CO	COM			24,905	55301310	
KERKORIAN KIRK		13D	12/19/83	50.1	54.1	UPDATE
MGM UA ENTMT CO	COM			22,974	55301310	
TRACINDA CORP		13D	12/19/83	46.2	28.0	UPDATE
OPELIKA MFG CORP	COM PAR \$5.00			214	68357420	
TECH EQ LEASING CORP ET AL		13D	12/15/83	37.5	25.0	UPDATE
PENN REAL ESTATE INVT TR	SH BEN INT			215	70910210	
NEW PLAN REALTY TRUST		13D	12/14/83	9.2	7.6	UPDATE
PORTSMOUTH SQUARE INC	COM			403	73721210	
GOULD R N, TRUSTEE		13D	12/19/83	53.7	53.5	UPDATE
PURITAN FASHIONS CORP	COM			3,458	74631610	
CK ACQUISITION CORP		14D-1	12/29/83	94.7	7.6	UPDATE
SECURITY CHICAGO CORP	COM			12	81418099	
BORDK LEONARD K		13D	11/30/83	5.0	0.0	NEW
SECURITY CHICAGO CORP	COM			12	81418099	
KAHME LAWRENCE		13D	11/30/83	5.0	0.0	NEW
SECURITY CHICAGO CORP	COM			13	81418099	
POLIVKA JAMES D		13D	11/30/83	5.3	0.0	NEW
SHAER SHOE CORP	COM			93	81886910	
DONBARN INVESTMENT LTD ET AL		13D	12/ 9/83	9.1	9.1	UPDATE
STARCH/INRA/HOOPER INC	COM			81	85525210	
GLASSMAN DANIEL		13D	12/27/83	8.1	7.1	UPDATE
TELECO DILFIELD SVC INC	COM			4,807	87927210	
SONAT INC		13D	12/19/83	57.9	0.0	NEW
TIGER INTL INC	COM			3,920	88673510	
RELiance FINANCIAL SVCS CORP		13D	10/31/83	19.5	19.2	UPDATE
TRANE CO	COM			0	89289210	
IC INDUSTRIES INC		13D	12/28/83	0.0	24.5	UPDATE
WHITING PETROLEUM CORP	COM			1,460	96638210	
WHITING KENNETH R		13D	12/19/83	18.3	0.0	NEW



RECENT 8K FILINGS CONT.

ELM BANCSHARES INC	7	08/30/83	AMEND
FNSTAR INDONESIA INC	5	09/30/83	
ENTEC PRODUCTS CORP	5	12/08/83	
FALCON OIL & GAS CO INC	5	12/02/83	
FRANKLIN ELECTRIC CO INC	5	12/12/83	
FREMONT ENERGY CORP	5	07/09/83	
FREMONT ENERGY CORP	5	09/17/83	
FREMONT ENERGY CORP	5	10/06/83	
FREMONT ENERGY CORP	5	10/18/83	
GENERAL ELECTRONICS INC	5	12/01/83	
GRALY RESOURCES INC/NV	5	12/05/83	
GRANT INDUSTRIES INC	5,7	10/06/83	
GTS CORP/DE/	5,7	11/15/83	
HOME STATE FINANCIAL SERVICES INC	5	11/30/83	
IMM ENERGY SERVICES & TECHNOLOGY INC	2,7	12/02/83	
IMPERIAL CORP OF AMERICA	5	12/19/83	
INTERNATIONAL LEASE FINANCE CORP	5,7	12/15/83	
KEE EXPLORATION INC	2,7	12/06/83	
LONGLEAF INC	2,6	12/09/83	
LUMBERMANS ACCEPTANCE CO	2,5,7	12/01/83	
MEDICAL 21 CORP	2,7	10/21/83	AMEND
MIDLAND GLASS CO INC	1,7	12/12/83	
MIW INVESTORS OF WASHINGTON	5,7	12/16/83	
MOUNTAINS WEST EXPLORATION INC	4	12/14/83	
NATIONAL MEDPLEX CORP	5	12/02/83	
NATIONAL MEDPLEX CORP	2	12/06/83	
NORTHGATE EXPLORATION LTD	5	12/12/83	
NORTHWEST INDUSTRIES INC	5	12/07/83	
OLYMPIC NATIONAL BANCORP	5	12/08/83	
ONEIDA LTD	2,5	12/02/83	
ORION BROADCAST GROUP INC	5,7	11/21/83	
PACIFIC EXPRESS HOLDING INC	5	12/19/83	
PIERCE S S CO INC	5	12/01/83	
PITTOCK FINANCIAL SERVICES INC	4,7	12/07/83	
PRUDENTIAL ACQUISITION FUND I LP	7	08/29/83	AMEND
QUANTECH ELECTRONICS CORP	2,7	12/04/83	
ROBESON INDUSTRIES CORP	4,7	12/05/83	
ROMLOCK INC	1,2,5,7	12/08/83	
RYKOFF S E & CO	2,7	12/05/83	
SAMSON PROPERTIES 1983 C DRILLING PROGRA	5	12/07/83	
SB PARTNERS	2	11/17/83	
SB PARTNERS	2,7	12/07/83	
SCOTCO DATA COM INC/DE/	1	12/14/83	
SOUTHLAND ROYALTY CO	5	12/07/83	
SUNLITE INC	5,7	12/13/83	
THOMSON MCKINNON INC	5,7	12/13/83	
TIERCO GROUP INC/DE	5	12/01/83	
TRANSWORLD ENERGY CORP	5	12/05/83	
TRI CITY BANCORP INC	5	11/23/83	
TRI VALLEY OIL & GAS CORP	5,7	12/08/83	
UNITED STATES TOBACCO CO	5,7	12/08/83	
VIRGINIA INTERNATIONAL CO	5	09/30/83	AMEND
VISA ENERGY CORP	2,3,7	12/17/83	
WARNER NATIONAL CORP	6	11/30/83	
WRATHER CORP	5,7	12/01/83	
YELLOWSTONE RESOURCES INC	5	12/07/83	

**EXEMPT FILINGS PURSUANT TO REGULATIONS A AND F**

The following Offering Statements (Form 1-A) and Notifications (Form 1-F) have been filed with the SEC pursuant to Regulation A and Regulation F, respectively, under the Securities Act of 1933. The information noted below has been taken from the offering statement or notification and will appear as follows: Form; name, address and phone number (if available) of the issuer of the security; title and the number or face amount of the securities being offered; name of the managing underwriter (if applicable); jurisdictions in which the offering is proposed to be made indicated by the two letter abbreviation for each state; and file number and the date filed.

- 1-A Linear Technology Corp., 1630 McCarthy Blvd., Milpitas, CA 95035 - 1,260,220 shares common stock under company's 1981 Incentive Stock option Plan - Jurisdictions: CA - (24LA-0240 - Nov 7)
- 1-A Action Service, Inc., Suite 222, 2880 LBJ Freeway, Dallas, TX 75234 - 10,000,000 shares common stock - Jurisdictions: CO, NV, UT, NY and NJ - (24FW-1755 - Nov 17)
- 1-A Decade 80-X, A Ltd. Partnership, 933 North Mayfair Road, Milwaukee, WI 53226 - \$1,500,000 in Ltd Partnership Interests - Underwriters: Decade Securities Corp 933 North Mayfair RD, Milwaukee, WI 53226 - Jurisdictions: WI, IL, MN, IN and FL - (24C-4227 - Nov 16)
- 1-A PIMS Inc, 89 N. Princeton Circle, Lynchburg, VA 24503 - 750,000 shares common stock - Jurisdictions: All States - (24W-3350-Nov 22)
- 1-A JB's Restaurants, Inc., 1010 West 2610 South, Salt Lake City, Utah 84119 - 100,000 shares common stock - Jurisdictions: UT - (24D-3693 - Nov 25)
- 1-A The Collections Co., A Ltd. Partnership, 39 West 55th Street, New York, NY 10019 - \$350,000 in limited Partnership Interests - Jurisdictions: NY, NJ, CT, MA, CA & PA - (24NY - 8586 - Nov 21)
- 1-A Associated Faculty Press, Inc. 90 South Bayles Avenue, Port Washington, NY 11050 - 300,000 Shares Common Stock - Underwriter: Kanan Securities Inc. 50 Broad Street, New York, NY - Jurisdictions: NY & NJ (24NY - 8588 - Nov 28)
- 1-A First Glen Bancorp, Inc., 237 Glen St., Glen Falls, NY 12801 - 28,571 shares common stock to shareholders of Keeseville National Bank - Jurisdictions: CA, CT, FL, IL, LA MD, MA NH, NJ, NY, OK, PA, VT, SC - (24NY-8587 - Nov 25)
- 1-A Alpha Omega Publications Inc., 2316 W. Huntington Drive, Tempe, AZ 85281 - 56,000 shares common stock - Jurisdictions: TX, AZ, NY, VA, GA, FL, MD, MN, AR, OK, CO, NM, CA, OR & WA - (24LA -0241 - Nov 29)
- 1-A Charter Resources Inc, 433 West 300 South, Salt Lake City, Utah 84101 - 5,000,000 shares common stock - Jurisdictions: UT, NY & NJ - (24D - 3694 - Nov 25)
- 1-A Brunswick Pulp & Paper Co. Employee's Stock Purchase Plan, P.O. Box 1438, Brunswick, GA 31521 - Jurisdictions: GA, FL & SC - (24A-2539-Nov 17)
- 1-A The Mead Corp, Courthouse Plaza Northeast, Dayton, Ohio 45463 - \$50,000 common shares - Jurisdictions: GA, FL & SC - (24A - 2540 - Nov 17)
- 1-A Scott Paper Co., Scott Plaza, Philadelphia, PA 19113 - \$50,000 of common shares - Jurisdictions: GA, FL & SC - (24A-2538 - Nov. 16)