

SEC NEWS DIGEST

Issue 2001-245

December 21, 2001

COMMISSION ANNOUNCEMENTS

STATEMENT OF SEC CHAIRMAN HARVEY L. PITT

On December 20, the Securities and Exchange Commission Chairman Harvey L. Pitt issued the following statement:

On behalf of the entire Securities and Exchange Commission, I want to express our deep appreciation to the Congress for its passage earlier today of landmark legislation that reduces excess and unfair fee collections on securities transactions, and offers pay parity to the hardworking, and deserving, staff of the SEC. Those of us who serve at the Commission are privileged to regulate our nation's capital markets, and enforce our federal securities laws. Our staff works tirelessly and enthusiastically to promote and protect our markets and to ensure the confidence of all investors that US capital markets are the fairest and best markets worldwide.

With the passage of this legislation, and assuming its enactment into law as well as its full funding, we believe we will be able to continue to attract and retain outstanding staff. All of us gladly acknowledge that government is a service business, and we are each pledged to having the Commission represent a model of governmental efficiency and effectiveness. Pay parity goes a long way toward making our pledge the public's reality. (Press Rel. 2001-150)

PROGRAM TO MONITOR ANNUAL REPORTS OF FORTUNE 500 COMPANIES

Our Corporation Finance Division has determined to monitor the annual reports filed by all Fortune 500 companies that file periodic reports with the Commission in 2002 as part of its process of reviewing financial and non-financial disclosures made by public companies. Through this process, the Division will focus on disclosure that appears to be critical to an understanding of each company's financial position and results, but which, at least on its face, seems to conflict significantly with generally accepted accounting principles or Commission rules, or to be materially deficient in explanation or clarity. Where problems are identified, the Division will select the filing for expedited review. As always, all companies are encouraged to consult with our staff if there are questions concerning disclosure issues before they file their reports. We and our staff are committed to providing that assistance in a timely fashion; our goal is to address problems before they happen.

RULES AND RELATED MATTERS

ORDER APPROVING AMENDMENT TO JOINT-SRO PLAN ESTABLISHING PROCEDURES UNDER RULE 11Ac1-5

The Commission has issued an order approving an amendment filed by the Chicago Board Options Exchange to join the national market system plan establishing procedures for market centers to follow in making reports available under Rule 11Ac1-5. Publication of the amendment is expected in the Federal Register during the week of December 24. (Rel. 34-45166)

ORDER EXTENDING BROKER-DEALER EXEMPTION FROM SENDING FINANCIAL INFORMATION TO CUSTOMERS

On December 20, the Commission issued an order extending for one year, until December 31, 2002, a conditional broker-dealer exemption from the requirement of Securities Exchange Act of 1934 Section 17(e)(1)(B) and Rule 17a-5(c) to regularly send its balance sheet and certain net capital information to customers. To take advantage of the exemption, a broker-dealer must send its customers the net capital information and must provide its customers instructions for obtaining its balance sheet on its web site or by dialing a toll-free number for a copy. The current order (Exchange Act Release No. 42222, December 10, 1999) granted the exemption for two years as a pilot program ending December 31, 2001. The Commission intends to propose a rule amendment shortly which would make the relief permanent. For further information, please contact Thomas McGowan, Assistant Director, Division of Market Regulation, at (202) 942-4886. (Rel. 34-45179)

ENFORCEMENT PROCEEDINGS

PROCEEDINGS INSTITUTED AGAINST ZION CAPITAL MANAGEMENT LLC AND RICKY LANG

On December 20, the Commission instituted administrative and cease and desist proceedings against Zion Capital Management LLC (Zion) and Ricky A. Lang, Zion's president, owner, and sole employee. In the Order Instituting Proceedings, the Division of Enforcement (Division) alleges that, in 1998, Zion, then a Colorado limited liability company and registered investment adviser, and Lang, a resident of Lakewood, Colorado, allocated more profitable trades to an entity in which Lang had a financial interest and more unprofitable trades to Zion's sole advisory client. As a result, during a nine-month period, Zion's client lost more than sixty percent of its investment while the entity in which Lang had an interest made a substantial profit. The Division also alleges that Zion and Lang misrepresented their trading strategy and methods for resolving conflicts of interest to investors in offering materials and in the Form ADV Zion filed with the Commission.

The Division alleges that Zion's and Lang's conduct violated the antifraud provisions of the Securities Act of 1933, the Securities Exchange Act of 1934, and the Investment Advisers Act of 1940. It also alleges that Zion, aided and abetted by Lang, failed to keep adequate records concerning, among other things, Zion's instructions for the allocation of trades. The

Division is seeking a cease and desist order, disgorgement, civil penalties, and remedial sanctions against Zion and Lang.

A hearing will be scheduled before an administrative law judge to determine whether the allegations contained in the Order are true, to provide Zion and Lang an opportunity to dispute these allegations, and to determine what sanctions, if any, are in the public interest. (Rels. 33-8046; 34-45175; IA-2003; IC-25322; File No. 3-10659)

SEC CHARGES OHIO MAN WITH DEFRAUDING INVESTORS AND OPERATING UNREGISTERED BROKERAGE; SEC ALSO ACTS TO PREVENT BANKRUPTCY DISCHARGE

The Commission announced that today it filed a civil injunctive action in federal district court in Columbus, Ohio accusing Dunyasha M. Yetts and his unregistered broker-dealer, Worldwide Financial Group, Inc., of defrauding at least fourteen investors of approximately \$1.8 million from 1998 through early 2001. In a related action, the Commission also today filed a separate complaint in United States Bankruptcy Court in Columbus, Ohio, where Yetts is a debtor, seeking to prevent the discharge of a substantial portion of Yetts' indebtedness, approximately \$1.8 million, incurred through securities fraud.

According to the district court complaint, Yetts defrauded at least 14 customers of approximately \$1.8 million in investment schemes that continued from 1998 through early 2001. Conducting business individually and later through Worldwide Financial, Yetts fraudulently induced customers to entrust him with funds by falsely representing that he would invest their money in the stock market and other securities. Instead of investing those funds, Yetts misappropriated or diverted the money for other purposes, including paying personal and business expenses, making payments to earlier investors, and buying and selling securities for his own account or for other accounts he controlled, including accounts maintained in the name of his sports agency business, World Wide Sports Group, Inc. In an attempt to conceal his misconduct, Yetts provided customers with materially false and misleading periodic account statements that represented that Worldwide Financial had bought and sold specific securities for their account. Yetts exploited inexperienced and financially unsophisticated customers who relied on Yetts's purported superior knowledge and expertise.

The Commission's district court complaint charges that Yetts and Worldwide Financial acted as unregistered securities broker-dealers in violation of Section 15(a) of the Securities Exchange Act of 1934 (Exchange Act) and that both Yetts and Worldwide Financial defrauded customers in violation of Section 17(a) of the Securities Act of 1933; Sections 10(b) and 15(c) of the Exchange Act and Exchange Act Rules 10b-3, 10b-5 and 15c1-2. The district court complaint further alleges that, as a control person of Worldwide Financial, Yetts is also individually liable for all of that firms' misconduct.

The district court complaint also names two relief defendants: (i) World Wide Sports Group, Inc., an Ohio corporation that Yetts used to operate his sports agency business, and (ii) Gordon L. Yocom, a former business associate of Yetts. Yocom and World Wide Sports each received investor funds as a result of Yetts' misconduct and are liable for the return of those monies. [SEC v. Dunyasha M. Yetts, Worldwide Financial Group, Inc, World Wide Sports Group, Inc. and Gordon Yocom, Civ. Act. No. C2-01-1263 (S.D. Ohio); SEC v. Dunyasha M. Yetts, Adv. Proc. No. 01-0441 (In re: Dunyasha M. Yetts, Case No. 01-58883 (Chapter 7) (S.D. Ohio)] (LR-17286)

MINNESOTA CORPORATION AND OFFICERS SETTLE OFFERING FRAUD CASE

The Commission announced today that it has reached a settlement with Skyline Group, Inc. (Skyline), a Minnesota corporation, its President, Robert L. Sheets (Sheets) and its C.F.O. Mary A. West (West) (collectively, defendants), Sheets and West are married to each other and reside in Shoreview, Minnesota.

The Commission filed a complaint in the U.S. District Court for the District of Minnesota against the defendants on June 1, 2000, alleging that they violated the registration and antifraud provisions of the federal securities laws while raising funds to finance a Native American tribe's request to the Department of the Interior for return of land in the Chicago area for the possible development of a casino.

The complaint alleged that Skyline issued unregistered promissory notes to investors pursuant to private placement memoranda which provided that substantially all of the investment proceeds would be expended on project expenses, including land reclamation, casino development and working capital needs. In return, Skyline claimed it would share any profits generated by a casino with investors. The complaint also alleged that over the four-year period from August 1995 to at least November 1999, Skyline, through Sheets and West, raised over \$3 million by selling unregistered promissory notes to the investing public. The complaint further alleged that instead of using all of the offering proceeds to finance the tribe's application, Sheets and West purportedly borrowed over 40% of the \$3 million raised to cover their own personal expenses. Sheets and West claim to have taken the loans from Skyline in lieu of compensation. The Commission did not allege that the Native American tribe engaged in any wrongdoing, that the tribe was a victim of the alleged fraud, or that there was any wrongdoing associated with the tribe's application to the Department of Interior.

The defendants have agreed to withdraw their denials and defenses to the complaint and to settle the Commission's action. The defendants have consented, without admitting or denying the allegations of the complaint, to the entry of a judgment that permanently enjoins them from any future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 promulgated thereunder. The judgment orders the defendants to pay \$1.43 million in disgorgement and prejudgment interest, but waives payment of such disgorgement and prejudgment interest, and does not assess a civil penalty, based upon the defendants' sworn statements demonstrating their inability to pay. The judgment also orders Sheets and West to pay Skyline investors back their investment fully from any future profits Sheets and West receive from the casino development stage of the Skyline project. [SEC v. Skyline Group, Inc., Robert L. Sheets, and Mary A. West, D. Minnesota, Case No. 1355 JMR-FLN] (LR-17287)

SEC HALTS FRAUDULENT CD OFFERING BY MEN IN HOUSTON AND DALLAS

On December 20, at the Commission's request, Judge Kendall of the United States District Court in Dallas, issued a temporary restraining order halting an on-going securities scam which targeted senior citizens and involved the sale of bogus certificates of Deposit (CDs). The Court also issued an order freezing the assets of one of the defendants and a company which he controlled.

In its action, the Commission accuses Richard T. Taylor, doing business in Houston as Transworld Bankers, and Kevin M. Devoto, doing business in Dallas as First Fidelity Financial of offering bogus CDs to the general public through newspaper advertisements. Taylor and Devoto lured their investors with promises of above-market rates on 30-month CDs purportedly issued by either Chase Bank (now J.P. Morgan Chase) or NationsBank (now Bank of America). In fact, Taylor either did not purchase the CDs or he purchased short-term

CDs with much lower interest rates, which he subsequently liquidated, transferring the funds into accounts that he controlled. At least \$100,000 was transferred into the bank account of GRI Group, Inc. (GRI), a company owned by Taylor. Taylor used investor funds to make Ponzi payments of interest and principal to investors. Taylor owes at least \$1 million to investors.

The Commission's complaint charges Taylor with antifraud violations of Section 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities and Exchange Act of 1934 and Rule 10b-5 thereunder. Taylor and Devoto are charged with securities registration violations of Sections 5(a) and 5(c) of the Securities Act. Devoto is further charged with violations of Section 15(a) of the Exchange Act, by acting as unregistered broker. The complaint seeks a temporary restraining order, preliminary and permanent injunctions, an asset freeze (as to Taylor and GRI), interim accounting, disgorgement with prejudgment interest and a civil money penalty against each defendant and the relief defendant. Finally, the Commission seeks an order prohibiting the destruction of books and records, and expedited discovery - all of which the Court granted. [SEC v. Richard T. Taylor, individually and doing business as Transworld Bankers, and Kevin M. Devoto, individually and doing business as First Fidelity Financial, et al., Civil Action No. 3:01-CV-2683-X, Northern District of Texas, Dallas Division] (LR-17288)

INVESTMENT COMPANY ACT RELEASES

ROBERT W. BAIRD & CO. INCORPORATED

A notice has been issued giving interested persons until January 14, 2002, to request a hearing on an application filed by Robert W. Baird & Co. Incorporated (Baird) for an order that would amend a prior order that exempts Baird from the provisions of Section 9(a) of the Investment Company Act. (Rel. IC-25321 - December 19)

AXA PREMIER FUNDS TRUST, ET AL.

A notice has been issued giving interested persons until January 15, 2002, to request a hearing on an application filed by AXA Premier Funds Trust, et al. for an order that would grant relief from Sections 10(f), 12(d)(3), 17(a), and 17(e) of the Investment Company Act and from Rule 17e-1 under the Act. The order would permit certain registered open-end management investment companies advised by several investment advisers to engage in principal and brokerage transactions with a broker-dealer affiliated with one of the investment advisers and to purchase securities in certain underwritings. The transactions would be between the broker-dealer and a portion of the investment company's portfolio not advised by the adviser affiliated with that broker-dealer. The order also would permit these investment companies not to aggregate certain purchases from an underwriting syndicate in which an affiliated person of one of the investment advisers is a principal underwriter. Further, applicants request relief to permit a portion of an investment company's portfolio to purchase securities issued by a broker-dealer, which is an affiliated person of an investment adviser to another portion, subject to the limits in Rule 12d3-1 under the Act. (Rel. IC-25323 - December 20)

HOLDING COMPANY ACT RELEASES

SCANA CORPORATION

A supplemental order has been issued authorizing a proposal by SCANA Corporation (SCANA), a registered holding company, SCANA's public utility subsidiary companies, Public Service Company of North Carolina, Inc. (PSNC), South Carolina Electric & Gas Company, and South Carolina Generating Company, Inc.; and SCANA's nonutility subsidiary companies, through February 11, 2003, to increase the SCANA system's overall financing authority to \$3.85 billion, by increasing PSNC's authority to issue and sell long-term debt from \$150 million to \$450 million. (Rel. 35-27476)

AMERICAN ELECTRIC POWER COMPANY, INC.

A supplemental order has been issued authorizing a proposal by American Electric Power Company, Inc. (AEP), a registered holding company, to extend, through September 30, 2006, the time in which it may issue and sell up to approximately 6.1 million shares of its common stock to AEP's employee savings plan. (Rel. 35-27477)

EXELON CORPORATION, ET AL.

A supplemental order has been issued authorizing a proposal by Exelon Corporation (Exelon), a registered holding company, Exelon's nonutility subsidiaries, Exelon Infrastructure Services, Inc. and Exelon Services, Inc., and Exelon's utility subsidiaries, Commonwealth Edison Company, PECO Energy Corporation, and Exelon Generation Company, LLC, to extend the interim "at cost" exemption in Section 13(b) from December 31, 2001 until June 30, 2002. (Rel. 35-27480)

SELF-REGULATORY ORGANIZATIONS

EXTENSION OF TEMPORARY REGISTRATION AS A CLEARING AGENCY

The Commission extended the Government Securities Clearing Corporation's temporary registration as a clearing agency through June 30, 2002. Publication of the notice and order is expected in the Federal Register during the week of December 24. (Rel. 34-45164)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-PCX-2001-49) filed by the Pacific Exchange to adopt a new schedule of marketing fees, has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication is expected in the Federal Register during the week of December 24. (Rel. 34-45167)

PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed a proposed rule change (SR-MSRB-2001-07) concerning minimum denominations consisting of an amendment to its Rule G-15, on confirmation, clearance and settlement of transactions with customers, an amendment to its Rule G-8, on books and records to be made by brokers, dealers and municipal securities dealers, and an interpretation of its Rule G-17, on conduct of municipal securities activities. Publication of the proposal is expected in the Federal Register during the week of December 24. (Rel. 34-45174)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 ST ASSEMBLY TEST SERVICES LTD, 5 YISHUN ST 23, SINGAPORE, UO
76844 (657) 555-885 - 50,000,000 (\$56,000,000) FOREIGN COMMON
STOCK. (FILE 333-75080 - DEC. 14) (BR. 5)
- S-8 RAVEN MOON INTERNATIONAL INC, 120 INTERNATIONAL PARKWAY,
SUITE 220, HEATHROW, FL 32746 - 74,074 (\$1,000) COMMON STOCK.
(FILE 333-75096 - DEC. 14) (BR. 5)
- S-8 RAVEN MOON INTERNATIONAL INC, 120 INTERNATIONAL PARKWAY,
SUITE 220, HEATHROW, FL 32746 - 296,296 (\$4,000) COMMON STOCK.
(FILE 333-75098 - DEC. 14) (BR. 5)
- S-8 WESTSPHERE ASSET CORP INC, 210, 214-11 AVENUE S.E., CALGARY
PROVINCE ALBERTA T2G OX8, (403) 290-0264 - 500,000 (\$250,000)
COMMON STOCK. (FILE 333-75100 - DEC. 14) (BR. 7)
- S-8 OMNICARE INC, 100 E RIVERCENTER BLVD, STE 1600, COVINGTON, KY
41101 (606) 392-3300 - 100,000 (\$2,337,000) COMMON STOCK.
(FILE 333-75102 - DEC. 14) (BR. 1)
- S-8 HARRIS CORP /DE/, 1025 W NASA BLVD, MELBOURNE, FL 32919
(321) 727-9100 - 1,500,000 (\$46,275,000) COMMON STOCK.
(FILE 333-75114 - DEC. 14) BR. 7)
- S-8 ROYAL FINANCE INC, 1481 S. MILLING TRAIL, SUITE 14, WEST PALM
BEACH, FL 33415 (561) 649-3901 - 54,500 (\$54,500) COMMON STOCK.
(FILE 333- 75116 - DEC. 14) (BR. 7)
- S-8 VIZARIO INC, 1313 LAUREL ST., SUITE 4, SAN CARLOS, CA 94070
(650) 596-9300 - 2,552,000 (\$1,071,840) COMMON STOCK.

- (FILE 333-75118 - DEC. 14) (BR. 9)
- S-4 SAKS INC, 750 LAKESHORE PARKWAY, BIRMINGHAM, AL 35211
(205) 940-4000 - 141,557,000 (\$141,557,000) STRAIGHT BONDS.
(FILE 333-75120 - DEC. 14) (BR. 2)
- S-8 PACIFICARE HEALTH SYSTEMS INC /DE/, 3120 LAKE CENTER DRIVE,
SANTA ANA, CA 92704 (714) 825-5200 - 2,000,000 (\$28,460,000)
COMMON STOCK. (FILE 333-75122 - DEC. 14) (BR. 1)
- S-8 CAREER WORTH INC, 385 EAST 800 SOUTH, OREM, UT 84097
(801) 426-4600 - 12,000,000 (\$84,000) COMMON STOCK.
(FILE 333-75124 - DEC. 14) (BR. 5)
- S-8 NOVA COMMUNICATIONS LTD, 6033 WEST CENTURY BLVD, SUITE 280,
LOS ANGELES, CA 90045 (310) 642-0200 - 500,000 (\$130,000) COMMON
STOCK. (FILE 333-75128 - DEC. 14) (BR. 1)
- S-8 NOVA COMMUNICATIONS LTD, 6033 WEST CENTURY BLVD, SUITE 280,
LOS ANGELES, CA 90045 (310) 642-0200 - 100,000 (\$26,000) COMMON
STOCK. (FILE 333-75130 - DEC. 14) (BR. 1)
- S-3 LCA VISION INC, 7840 MONTGOMERY RD, CINCINNATI, OH 45236
(513) 792-9292 - 6,602,226 (\$5,413,825.31) COMMON STOCK.
(FILE 333-75132 - DEC. 14) (BR. 1)
- S-3 GENTA INCORPORATED /DE/, 99 HAYDEN AVE, SUITE 200, LEXINGTON, MA
02421 (781) 860-5150 - 2,558,333 (\$34,821,746) COMMON STOCK.
(FILE 333-75134 - DEC. 14) (BR. 1)
- S-8 ACCESS PHARMACEUTICALS INC, 2600 N STEMMONS FRWY, STE 176,
DALLAS, TX 75207 (214) 905-5100 - 200,000 (\$820,000) COMMON STOCK.
(FILE 333-75136 - DEC. 14) (BR. 1)
- S-8 AUTOZONE INC, 123 SOUTH FRONT ST, MEMPHIS, TN 38103
(901) 495-6500 - 300,000 (\$22,152,000) COMMON STOCK.
(FILE 333-75140 - DEC. 14) (BR. 2)
- S-8 AUTOZONE INC, 123 SOUTH FRONT ST, MEMPHIS, TN 38103
(901) 495-6500 - 70,000 (\$5,168,800) COMMON STOCK.
(FILE 333-75142 - DEC. 14) (BR. 2)
- S-8 LENDINGTREE INC, 11115 RUSHMORE DRIVE, STE 205, CHARLOTTE, NC
28277 (704) 541-5351 - 500,000 (\$2,440,000) COMMON STOCK.
(FILE 333-75144 - DEC. 14) (BR. 8)
- S-3 INTELIDATA TECHNOLOGIES CORP, 11600 SUNRISE VALLEY DRIVE,
SUITE 100, RESTON, VA 20191 (703) 834-8320 - 4,630,364
(\$13,891,092) COMMON STOCK. (FILE 333-75146 - DEC. 14) (BR. 3)
- S-3 UNITED STATES STEEL LLC, 600 GRANT ST, ROOM 1500, PITTSBURGH, PA
15219 (415) 433-2967 - 2,285,777 (\$39,783,949) COMMON STOCK.
(FILE 333-75148 - DEC. 14)
- S-3 ADELPHIA COMMUNICATIONS CORP, 1 NORTH MAIN STREET, COUDERSPORT,
PA 16915 (814) 274-9830 - 157,233 (\$4,150,951.20) COMMON STOCK.
(FILE 333-75150 - DEC. 14) (BR. 7)
- S-4 CAREY INSTITUTIONAL PROPERTIES INC /MD/, 50 ROCKEFELLER PLAZA,

- NEW YORK, NY 10020 (212) 492-1100 - 7,621,656 (\$85,591,196.88)
COMMON STOCK. (FILE 333-75154 - DEC. 14) (BR. 8)
- S-4 ROADWAY CORP, 1077 GORGE BLVD., AKRON, OH 44310 (330) 384-9000
- 225,000,000 (\$225,000,000) STRAIGHT BONDS.
(FILE 333-75156 - DEC. 14) (BR. 9)
- S-3 BROWN & BROWN INC, 220 S RIDGEWOOD AVE, DAYTONA BEACH, FL 32114
(904) 252-9601 (FILE 333-75158 - DEC. 14) (BR. 1)
- S-8 ODYSSEY RE HOLDINGS CORP, 140 BROADWAY 39TH FL, NEW YORK, NY
10005 (212) 978-4700 - 500,000 (\$7,555,000) COMMON STOCK.
(FILE 333-75162 - DEC. 14) (BR. 1)
- S-8 APPLIED FILMS CORP, 9586 I-25 FRONTAGE ROAD, LONGMONT, CO 80504
(303) 530-1411 - 100,000 (\$2,992,000) COMMON STOCK.
(FILE 333-75164 - DEC. 14) (BR. 5)
- S-8 PENTAIR INC, 90 SOUTH 7TH STREET 36TH FL, MINNEAPOLIS, MN 55402
(612) 338-5100 - 2,400,000 (\$85,443,720) COMMON STOCK.
(FILE 333-75166 - DEC. 14) (BR. 5)
- S-3 MILLENNIUM PHARMACEUTICALS INC, 75 SIDNEY STREET, CAMBRIDGE, MA
02139 (617) 679-7000 - 1,071,705 (\$30,897,255) COMMON STOCK.
(FILE 333-75168 - DEC. 14) (BR. 1)
- S-8 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062
(718) 329-4700 - 50,000,000 (\$2,199,000,000) COMMON STOCK.
(FILE 333-75170 - DEC. 14) (BR. 5)
- S-4 RESOLUTION PERFORMANCE PRODUCTS LLC, 1600 SMITH STREET,
SUITE 2418, HOUSTON, TX 77002 (888) 949-2502 - 75,000,000
(\$75,000,000) STRAIGHT BONDS. (FILE 333-75172 - DEC. 14) (BR. 6)
- S-8 FIDELITY NATIONAL INFORMATION SOLUTIONS INC, 5060 SHOREHAM PL.
#300, SAN DIEGO, CA 92122 (619) 450-6100 - 16,270,000 (\$147,812,950)
COMMON STOCK. (FILE 333-75174 - DEC. 14) (BR. 8)
- S-8 WRIGHT MEDICAL GROUP INC, 5677 AIRLINE ROAD, ARLINGTON, TN 38002
(901) 867-9971 - 4,767,051 (\$84,451,168.70) COMMON STOCK.
(FILE 333-75176 - DEC. 14) (BR. 5)
- S-4 FIRST CITIZENS BANC CORP /OH, 100 EAST WATER ST, P O BOX 5016,
SANDUSKY, OH 44870 (419) 625-4121 - 1,080,000 (\$12,507,012)
COMMON STOCK. (FILE 333-75180 - DEC. 14) (BR. 7)
- S-3 INTER TEL INC, 120 N 44TH ST, STE 200, PHOENIX, AZ 85034
(602) 302-8900 - 460,851 (\$8,972,768.97) COMMON STOCK.
(FILE 333-75182 - DEC. 14) (BR. 7)
- S-8 COTTAGE INVESTMENTS INC, 505 PARK AVENUE, 20TH FLOOR, NEW YORK,
NY 10022 (212) 888-1396 - 16,226,000 (\$162,260) COMMON STOCK.
(FILE 333-75184 - DEC. 14) (BR. 8)
- S-8 AT&T CANADA INC, SUITE 1600, 200WELLINGTON STREET WEST, TORONTO
ONTARIO CANADA, CALGARY ALBERTA, A0 (403) 262-2255 - 15,000
(\$712,800) FOREIGN COMMON STOCK. (FILE 333-75186 - DEC. 14)
(BR. 7)

- S-8 AMERICAN EAGLE OUTFITTERS INC, 150 THORN HILL DR, PO BOX 788, WARRENDALE, PA 15086 (412) 776-4857 - 5,000,000 (\$116,750,000) COMMON STOCK. (FILE 333-75188 - DEC. 14) (BR. 2)
- S-8 FINX GROUP INC, 249 NORTH SAW MILL RIVER ROAD, ELMSFORD, NY 10523 (914) 592-5930 - 5,000,000 (\$1,500,000) COMMON STOCK. (FILE 333-75192 - DEC. 14) (BR. 3)
- S-3 FENTURA BANCORP INC, ONE FENTON SQUARE, P O BOX725, FENTON, MI 48430 (810) 629-2263 - 100,000 (\$2,550,000) COMMON STOCK. (FILE 333-75194 - DEC. 14) (BR. 7)
- S-3 PARTNERRE LTD, 106 PITTS BAY RD, BELVEDERE BLDG, PEMMBROKE PARISH BER, DO 00000 (809) 292-0888 - 600,000,000 (\$600,000,000) PREFERRED STOCK. (FILE 333-75196 - DEC. 14) (BR. 1)
- S-4 CITY NATIONAL CORP, 400 N ROXBURY DR, BEVERLY HILLS, CA 90210 (310) 888-6000 - 1,397,600 (\$51,889,122) COMMON STOCK. (FILE 333-75198 - DEC. 14) (BR. 7)
- S-8 AXCAN PHARMA INC, 597 LAURIER BLVD MONT ST HILAIRE, QUEBEC CANADA J3H 6C, A8 00000 (450) 467-5138 - 2,590,000 (\$34,524,700) COMMON STOCK. (FILE 333-75200 - DEC. 14) (BR. 1)
- S-8 RAINING DATA CORP, 17500 CARTWRIGHT ROAD, IRVINE, CA 92614 (650) 632-7100 - 1,000,000 (\$3,410,000) COMMON STOCK. (FILE 333-75202 - DEC. 14) (BR. 3)
- S-8 PC TEL INC, 70 RIO ROBLES, 408-383-0452, SAN JOSE, CA 95134 (408) 965-2100 - 300,000 (\$2,400,000) COMMON STOCK. (FILE 333-75204 - DEC. 14) (BR. 3)
- S-4 DEVON ENERGY CORP/DE, 20 N BROADWAY, STE 1500, OKLAHOMA CITY, OK 73102 (405) 235-3611 - 3,000,000,000 (\$3,000,000,000) STRAIGHT BONDS. (FILE 333-75206 - DEC. 14) (BR. 4)
- S-3 AMC ENTERTAINMENT INC, 106 WEST 14TH STREET, PO BOX 219615, KANSAS CITY, MO 64121 (816) 221-4000 - 10,350,000 (\$142,209,000) COMMON STOCK. (FILE 333-75208 - DEC. 14) (BR. 5)
- S-3 FORD MOTOR CO, ONE AMERICAN ROAD, DEARBORN, MI 48126 (313) 322-3000 (FILE 333-75214 - DEC. 14) (BR. 5)
- S-8 LASERSIGHT INC /DE, 3300 UNIVERSITY BLVD, SUITE 140, WINTER PARK, FL 32792 (407) 678-9900 - 1,500,000 (\$975,000) COMMON STOCK. (FILE 333-75216 - DEC. 14) (BR. 5)
- S-3 LEHMAN ABS CORP, LEHMAN ABS CORP, 3 WORLD FINANCIAL CENTER, NEW YORK, NY 10285 (212) 526-7000 - EQUIPMENT TRUST CERTIFICATES. (FILE 333-75218 - DEC. 14) (BR. 8)
- S-8 FIRST COMMUNITY BANCSHARES INC /NV/, PO BOX 989, BLUEFIELD, VA 26406 (304) 487-9000 - 90,000 (\$2,367,000) COMMON STOCK. (FILE 333-75222 - DEC. 14) (BR. 7)
- S-8 ARTISAN COMPONENTS INC, 1195 BORDEAUX DRIVE, SUNNYVALE, CA 94089 (408) 734-5600 - 1,591,052 (\$24,255,587.74) COMMON STOCK. (FILE 333-75224 - DEC. 14) (BR. 5)

- S-8 PRUDENTIAL FINANCIAL INC, 751 BROAD ST, NEWARK, NJ 07102
(973) 802-6000 - 20,000,000 (\$20,000,000) OTHER SECURITIES
INCLUDING VOTING TRUST. (FILE 333-75226 - DEC. 14) (BR. 1)
- S-3 OSI SYSTEMS INC, 12525 CHADRON AVE, HAWTHORNE, CA 90250
(310) 978-0516 - 2,691,000 (\$53,389,440) COMMON STOCK.
(FILE 333-75228 - DEC. 14) (BR. 5)
- S-8 DSL NET INC, 545 LONG WHARF DR, NEW HAVEN, CT 06511
(203) 772-1000 - 4,000,000 (\$2,283,620.25) COMMON STOCK.
(FILE 333-75230 - DEC. 14) (BR. 7)
- S-3 LEARN2 CORP, 2855 CAMPUS DRIVE, SUITE 100, SAN MATEO, CA 94403
(650) 554-8454 - 12,609,323 (\$1,639,212) COMMON STOCK.
(FILE 333-75232 - DEC. 14) (BR. 8)
- S-3 FORD MOTOR CREDIT CO, ONE AMERICAN ROAD, DEARBORN, MI 48126
(313) 594-9876 - 1,000,000 (\$1,000,000) STRAIGHT BONDS.
(FILE 333-75234 - DEC. 14) (BR. 7)
- S-8 VERISIGN INC/CA, 1350 CHARLESTON RD, MOUNTAIN VIEW, CA 94043
(650) 961-7500 - 3,458,332 (\$48,736,584.40) COMMON STOCK.
(FILE 333-75236 - DEC. 14) (BR. 3)
- S-8 PRUDENTIAL FINANCIAL INC, 751 BROAD ST, NEWARK, NJ 07102
(973) 802-6000 - 10,000,000 (\$275,000,000) COMMON STOCK.
(FILE 333-75238 - DEC. 14) (BR. 1)
- S-8 BIOMASSE INTERNATIONAL INC, 721 SE 17TH ST, FORT LAUDERDALE, FL
33316 (754) 524-0558 - 325,000 (\$279,500) COMMON STOCK.
(FILE 333-75246 - DEC. 14) (BR. 9)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
A CONSULTING TEAM INC	NY					X		X			12/20/01	
ABC FAMILY WORLDWIDE INC	DE					X		X			12/12/01	
ACCELIO CORP	AI					X		X			12/18/01	
ACE SECURITIES CORP	DE							X			12/12/01	AMEND
ACT MANUFACTURING INC	MA					X		X			12/19/01	
ADM TRONICS UNLIMITED INC/DE	DE					X					12/18/01	
ADVANTA BUSINESS RECIEVABLES CORP									X		12/20/01	
AFC ENTERPRISES INC	MN								X		12/20/01	
AFG INVESTMENT TRUST A	DE					X					12/06/01	
AFG INVESTMENT TRUST A	DE					X					12/06/01	
AFG INVESTMENT TRUST B	DE					X					12/06/01	
AFG INVESTMENT TRUST B	DE					X					12/06/01	
AIRGATE PCS INC /DE/	DE					X		X			12/19/01	
AK STEEL HOLDING CORP	DE					X		X			12/18/01	
ALLIED HOLDINGS INC	GA		X					X			12/10/01	
ALLIED PRODUCTS CORP /DE/	DE					X		X			11/12/01	
ALLOS THERAPEUTICS	DE					X		X			12/17/01	
ALPHA INDUSTRIES INC	DE					X		X			12/19/01	
ALPNET INC	UT					X		X			12/12/01	
AMC ENTERTAINMENT INC	DE					X		X			12/27/01	
AMERICAN AIRLINES INC	DE								X		12/19/01	
AMERICAN HEALTHWAYS INC	DE								X		12/07/01	
AMERICAN RESOURCES OFFSHORE INC	DE					X		X			12/20/01	
AMERIQUEST MORTGAGE SECURITIES INC	DE							X			12/07/01	
AMERIQUEST MORTGAGE SECURITIES INC	DE					X		X			12/10/01	
AMR CORP	DE								X		12/19/01	
AMTRAN INC	IN					X					12/20/01	
AP PHARMA INC /DE/	DE							X			12/12/01	
APW LTD						X		X			12/13/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X		X			12/17/01	
ARDEN GROUP INC	DE				X			X			12/12/01	
AREMISSOFT CORP /DE/	DE					X					12/07/01	
ASSISTED LIVING CONCEPTS INC	NV							X			12/05/01	
AT&T WIRELESS SERVICES INC	DE		X			X					12/12/01	
ATC HEALTHCARE INC /DE/	DE					X		X			12/19/01	
ATHEY PRODUCTS CORP	DE					X		X			12/20/01	
AVIALL INC	DE					X					12/17/01	
AVOCA INC	LA								X		12/12/01	
BANC OF AMERICA COMMERCIAL MORT PAS S THROUGH CERT SER 2001-1	DE	X									12/17/01	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X		X			12/20/01	
BANCWEST CORP/HI	DE	X						X			12/20/01	

BANK OF AMERICA MORTGAGE SECURITIES INC	DE	X	X	12/20/01
BARR LABORATORIES INC	NY	X		12/20/01
BAY VIEW SECURITIZATION CORP	DE		X	12/17/01
BE AEROSPACE INC	DE	X	X	X 12/17/01
BEAR STEARNS COMMERCIAL MORTGAGE SECURITIES INC	DE		X	12/01/01
BEAR STEARNS COMMERCIAL MORTGAGE SECURITIES INC	DE		X	12/01/01
BEAR STEARNS HOME LN OWN TR 2001-A HOME LN BCKD NT SR 2001-A	DE	X	X	12/17/01
BEAR STEARNS MORT SEC INC COM MORT PAS THR CER SER 1999-WF2	NY	X	X	12/17/01
BEAR STEARNS MORT SEC INC COMM MORT PASS THR CER SE 2000-WF1	NY	X		12/17/01
BERKLEY W R CORP	DE	X	X	12/18/01
BLUE DOLPHIN ENERGY CO	DE	X	X	12/20/01
BLUE RIDGE ENERGY INC		X		12/14/01
BOC FINANCIAL CORP	NC	X		12/11/01
BROWN & BROWN INC	FL	X		12/14/01
CALICO COMMERCE INC/	DE	X	X	12/12/01
CALIPER TECHNOLOGIES CORP	DE	X	X	12/19/01
CALPINE CORP	DE	X	X	11/14/01
CAPITAL ONE AUTO RECEIVABLES TRUST 2001-B	DE	X	X	12/20/01
CARAUSTAR INDUSTRIES INC	NC	X	X	12/20/01
CARCO AUTO LOAN MASTER TRUST	DE	X	X	12/07/01
CARNIVAL CORP	DE	X	X	12/20/01
CARRAMERICA REALTY L P	DE	X		12/09/01
CENTENNIAL MORTGAGE INCOME FUND	CA	X		12/04/01
CERNER CORP /MO/	DE		X	X 12/17/01
CHAMPION ENTERPRISES INC	MI	X	X	12/19/01
CHASE FUNDING INC	NY	X	X	12/20/01
CIMNET INC/PA	DE	X	X	12/06/01
CINERGY CORP	DE	X	X	12/18/01
CITIBANK CREDIT CARD ISSUANCE TRUST	DE	X		11/27/01
CITIBANK CREDIT CARD MASTER TRUST I	DE	X		11/27/01
CITIBANK NEVADA NATIONAL ASSOCIATION	DE	X		11/27/01
CITIBANK SOUTH DAKOTA N A	DE	X		11/27/01
CITIBANK SOUTH DAKOTA N A	DE	X		11/27/01
CITIGROUP INC	DE	X	X	12/19/01
CITY INVESTING CO LIQUIDATING TRUST	DE	X	X	12/20/01
COGNIGEN NETWORKS INC	CO	X		12/07/01
COMCAST CORP	PA	X	X	12/19/01
COMMERCIAL MORTGAGE PASS THR CERT SER 2001-CKN5	DE	X	X	12/17/01
COMMERCIAL MORTGAGE PASS THR CERT SER 2001-PB1	DE		X	12/01/01
COMMUNITY FINANCIAL CORP /IL/	IL	X	X	12/19/01
COMPUTERIZED THERMAL IMAGING INC	NV	X	X	X 12/20/01
COMPUTONE CORPORATION	DE	X	X	12/19/01
CONAGRA FOODS INC /DE/	DE	X	X	12/20/01
CONEXANT SYSTEMS INC	DE	X	X	12/19/01
CONSECO FINANCE SECURITIZATIONS CORP	DE	X	X	12/17/01
CONSECO FINANCE SECURITIZATIONS CORP	DE	X	X	12/17/01

CONSECO FINANCE SECURITIZATIONS CORP	DE		X	X	12/17/01
P					
CONSECO FINANCE SECURITIZATIONS CORP	DE		X	X	12/17/01
P					
CONSECO FINANCE SECURITIZATIONS CORP	DE		X	X	12/17/01
P					
CONSECO FINANCE SECURITIZATIONS CORP	DE		X	X	12/17/01
P					
CONSECO FINANCE SECURITIZATIONS CORP	DE		X	X	12/17/01
P					
CONSYGEN INC	TX	X	X	X	11/30/01
COTT CORP /CN/			X	X	12/20/01
CPI CORP	DE		X		12/20/01
CPS AUTO RECEIVABLES TRUST 1998-4	CA			X	11/30/01
CREDIT SUISSE FIRST BOSTON FNT MOR	DE		X	X	10/25/01
BK PAS THR CERTS SER 01 5					
CREDIT SUISSE FIRST BOSTON MORTGAGE	DE		X	X	12/17/01
SEC CORP 2001-CK6					
CREDIT SUISSE FIRST BOSTON MORTGAGE	DE		X	X	12/20/01
SECURITIES CORP					
CRESTED CORP	CO		X		12/19/01
CRIIMI MAE INC	MD		X		12/17/01
CRYO CELL INTERNATIONAL INC	DE		X		12/19/01
CSB BANCORP INC /OH	OH		X	X	12/20/01
DAIMLERCHRYSLER WHOLESALE RECEIVABLES	DE		X	X	12/07/01
ES LLC					
DANA CORP	VA		X		12/19/01
DELHAIZE AMERICA INC	NC		X	X	12/17/01
DELTA PETROLEUM CORP/CO	CO		X	X	10/25/01
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE		X	X	11/30/01
DEUTSCHE RECREATIONAL ASSET FUNDING	NV		X	X	11/30/01
CORP					
DISCOVERY LABORATORIES INC /DE/	DE		X	X	12/10/01
DISTRIBUTION FINANCIAL SERVICES MAR	NY		X	X	11/30/01
INE TRUST 1999-2					
DISTRIBUTION FINANCIAL SERVICES RV	NV		X	X	11/30/01
MARINE TR 2001-1					
DISTRIBUTION FINANCIAL SERVICES RV	NY		X	X	11/30/01
TRUST 1999-1					
DISTRIBUTION FINANCIAL SERVICES RV	NY		X	X	11/30/01
TRUST 1999-3					
DOBSON COMMUNICATIONS CORP	OK		X		12/20/01
DQE INC	PA		X	X	12/18/01
DUQUESNE LIGHT CO	PA		X	X	12/18/01
DURATEK INC	DE		X		12/12/01
DVI RECEIVABLES CORP	DE			X	11/30/01
DVI RECEIVABLES CORP VIII	DE			X	11/30/01
ECHOSTAR COMMUNICATIONS CORP	NV		X		10/28/01
EDUCATION LOANS INC /DE	DE		X	X	12/18/01
ELECSYS CORP	KS		X	X	12/20/01
ELOYALTY CORP	DE		X	X	12/18/01
EMCEE BROADCAST PRODUCTS INC	DE		X		12/17/01
EMERSON ELECTRIC CO	MO			X	12/20/01
EMTEC INC/NJ	UT	X		X	12/05/01
ENERGY PARTNERS LTD	DE		X	X	12/16/01
ENTREMED INC	DE		X	X	12/18/01
EPICEDGE INC	TX		X	X	11/26/01
EPIMMUNE INC	DE		X	X	12/19/01

EQUITY OFFICE PROPERTIES TRUST	MD		X	X	12/20/01
EQUIVEST FINANCE INC	DE		X	X	12/16/01
ESTEE LAUDER COMPANIES INC	DE		X		12/19/01
EXELIXIS INC	DE		X	X	12/21/01
EXELON CORP	PA		X		X 12/20/01
EXPEDITORS INTERNATIONAL OF WASHING TON INC	WA				X 12/15/01
FEDERAL EXPRESS CORP	DE		X	X	12/13/01
FEDEX CORP	DE		X	X	12/13/01
FIFTH THIRD BANCORP	OH		X	X	12/18/01
FIREBRAND FINANCIAL GROUP INC	DE		X	X	12/19/01
FIRST BANK CORPORATE CARD MASTER TR UST	NY		X	X	12/20/01
FIRST INDIANA CORP	IN		X		12/19/01
FIRST SENTINEL BANCORP INC	DE		X	X	12/19/01
FIRST SENTINEL BANCORP INC	DE		X	X	12/19/01
FIRST UNION COMMERCIAL MORTGAGE PAS S THR CER SER 2001-C2	NC	X			12/14/01
FIRST UNION COMMERCIAL MORTGAGE PAS S THR CER SER 2001-C3	NC			X	12/01/01
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC			X	12/01/01
FIRSTFED FINANCIAL CORP	DE			X	X 11/30/01
FITZGERALDS GAMING CORP	NV	X	X	X	12/06/01
FLEET HOME EQUITY LOAN CORP	DE	X			12/19/01
FLEETBOSTON FINANCIAL CORP	RI		X	X	12/19/01
FLORIDA PUBLIC UTILITIES CO	FL		X		12/31/01
GAMOGEN INC	NY	X		X	12/07/01
GE CAPITAL COMMERCIAL MORT CORP PAS THRU CERTS SERIES 2001-2	DE	X			12/11/01
GENERAL BEARING CORP	DE		X	X	12/12/01
GLOBAL CAPITAL PARTNERS INC	DE		X	X	12/05/01
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE			X	12/01/01
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE			X	12/01/01
GOLDEN CHIEF RESOURCES INC	KS		X		12/17/01
GOLDFIELD CORP	DE		X		12/31/01
GOLDMAN SACHS GROUP INC/ GRANITE BROADCASTING CORP	DE		X	X	12/20/01
GRANITE BROADCASTING CORP	DE		X	X	12/14/01
GREAT ATLANTIC & PACIFIC TEA CO INC	MD			X	12/20/01
GREATER BAY BANCORP	CA		X	X	X 12/18/01
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN		X	X	12/17/01
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN		X	X	12/17/01
GREENWICH CAPITAL ACCEPTANCE INC	DE		X	X	12/19/01
GREENWICH CAPITAL ACCEPTANCE INC MO RT PAS THR CT S 2000 FTB1	DE		X	X	12/18/01
GS MORTGAGE SECURITIES CORP	DE		X	X	11/27/01
HALL KINION & ASSOCIATES INC	DE		X	X	12/20/01
HALLIBURTON CO	DE		X	X	12/17/01
HANDSPRING INC	CA		X	X	12/18/01
HANOVER DIRECT INC	DE	X	X	X	12/19/01
HARLEY DAVIDSON CUSTOMER FUNDING CO RP	NV		X	X	12/15/01
HARLEY DAVIDSON CUSTOMER FUNDING CO RP	NV		X	X	12/15/01

HAWAIIAN AIRLINES INC/HI	HI		X	X	12/19/01		
HEALTHCARE REALTY TRUST INC	MD		X	X	12/18/01		
HEARTLAND BANCSHARES INC /IN/	IN		X		12/18/01		
HELLER EQUIPMENT ASSET RECEIVABLES TRUST 1999-1	DE		X	X	12/13/01		
HELLER EQUIPMENT ASSET RECEIVABLES TRUST 1999-2	DE		X	X	12/14/01		
HEROES INC	NV	X			12/04/01		
HESKA CORP	DE		X		12/18/01		
HOLLYWOOD MEDIA CORP	FL		X	X	12/19/01		
HONDA AUTO LEASE TRUST 1999A	DE		X	X	11/30/01		
HONDA AUTO RECEIVABLES 1999-1 OWNER TRUST	DE		X	X	11/30/01		
HONDA AUTO RECEIVABLES 2000-1 OWNER TRUST	DE		X	X	11/30/01		
HONDA AUTO RECEIVABLES 2000-1 OWNER TRUST	DE		X	X	11/30/01		
HONDA AUTO RECEIVABLES 2001-1 OWNER TRUST	DE		X	X	11/30/01		
HONDA AUTO RECEIVABLES 2001-2 OWNER TRUST	DE		X	X	11/30/01		
HONDA AUTO RECEIVABLES 2001-3 OWNER TRUST	CA		X	X	11/30/01		
HPL TECHNOLOGIES INC	DE	X		X	12/05/01		
HUNTINGTON BANCSHARES INC/MD	MD		X	X	12/18/01		
ICG COMMUNICATIONS INC /DE/	DE		X	X	12/19/01		
ICG FUNDING LLC	DE		X	X	12/19/01		
ICG HOLDINGS CANADA CO /CO/	DE		X	X	12/19/01		
ICG HOLDINGS INC	CO		X	X	12/19/01		
ICG SERVICES INC	DE		X	X	12/19/01		
IGEN INTERNATIONAL INC /DE	DE		X	X	12/07/01		
IMC GLOBAL INC	DE		X	X	11/01/01		
IMPAC SECURED ASSETS CORP	CA		X	X	12/20/01		
INDEPENDENT BANK CORP	MI		X		12/19/01		
INFINITY INC	CO			X	12/14/01		
INTEGRATED ELECTRICAL SERVICES INC	DE		X		12/20/01		
INTERLOGIX INC	DE		X	X	12/17/01		
INTERNEURON PHARMACEUTICALS INC	DE		X	X	12/18/01		
IPCS INC	DE			X	12/20/01		
J P MORGAN CHASE & CO	DE		X	X	12/21/01		
JDS UNIPHASE CORP /CA/	DE			X	X	12/29/01	
JOSHUA TREE CONSTRUCTION INC	NV			X	X	11/20/01	AMEND
KEY ENERGY SERVICES INC	MD		X	X	12/13/01		
KEYCORP /NEW/	OH		X	X	X	12/20/01	
KEY3MEDIA GROUP INC	DE			X	12/20/01		
KOGER EQUITY INC	FL	X		X	12/12/01		
KOGER EQUITY INC	FL			X	X	12/17/01	
L 3 COMMUNICATIONS CORP	DE		X	X	11/09/01		
L 3 COMMUNICATIONS HOLDINGS INC	DE		X	X	11/09/01		
LANDMARK BANCORP INC	DE			X	10/09/01	AMEND	
LANDSTAR SYSTEM INC	DE		X	X	12/20/01		
LAWGIBB GROUP INC	GA		X		12/19/01		
LB UBS COM MOR TR 2001 C2 COM MOR P ASS THRU CER SER 2001 C2	DE			X	12/01/01		
LB UBS COMMERCIAL MORTGAGE TRUST 2001 C3	DE			X	12/01/01		
LEAPFROG SMART PRODUCTS INC	CO		X		12/31/01		
LEATHER FACTORY INC	DE		X		X	12/20/01	

LEHMAN ABS CORP ASSET BACKED CERTIF ICATES SERIES 2001-B	DE		X	X	12/31/01	
LEHMAN BROTHERS HOLDINGS INC	DE		X	X	12/20/01	
LEVEL 3 COMMUNICATIONS INC	DE		X		12/19/01	
LEXINGTON B & L FINANCIAL CORP	MO		X	X	12/17/01	
LIBBEY INC	DE		X		12/18/01	
LIBERTY SATELLITE & TECHNOLOGY INC	DE		X	X	12/17/01	
LONGVIEW FIBRE CO	WA		X	X	12/19/01	
LONGVIEW FIBRE CO	WA		X	X	12/19/01	AMEND
LOOKSMART LTD	DE		X	X	12/07/01	
LOTUS PACIFIC INC	DE		X		12/18/01	
LTV CORP	DE		X	X	12/20/01	
LUCAS EDUCATIONAL SYSTEMS INC	DE		X	X	12/19/01	AMEND
M&I AUTO LOAN TRUST 2001-1	DE		X	X	12/20/01	
MAKE YOUR MOVE INC	NV	X	X	X	12/31/01	AMEND
MARTEK BIOSCIENCES CORP	DE		X	X	12/19/01	
MATRITECH INC/DE/	DE		X	X	12/17/01	
MEDICAL TECHNOLOGY & INNOVATIONS IN C /FL/	FL		X		12/04/01	
METRICOM INC / DE	DE		X	X	12/19/01	
METROPOLITAN MORTGAGE & SECURITIES CO INC	WA			X	12/19/01	
MICRO GENERAL CORP	DE	X		X	12/19/01	
MIDWAY GAMES INC	DE		X	X	12/19/01	
MILLENNIUM CHEMICALS INC	DE		X		12/14/01	
MMCA AUTO OWNER TRUST 1999-2	DE		X	X	12/15/01	
MMCA AUTO OWNER TRUST 2000-1	DE		X	X	12/15/01	
MMCA AUTO OWNER TRUST 2000-2	DE		X	X	12/15/01	
MMCA AUTO OWNER TRUST 2001 2	DE		X	X	12/15/01	
MMCA AUTO OWNER TRUST 2001-1	DE		X	X	12/15/01	
MMCA AUTO OWNER TRUST 2001-3	DE		X	X	12/15/01	
MONEY STORE COMMERCIAL MORTGAGE INC	NJ		X	X	12/17/01	
MONEY STORE INVESTMENT CORP	NJ		X	X	12/17/01	
MONEY STORE SBA ADJUSTABLE RATE CER TIFICATES 1998-1	NJ		X	X	12/17/01	
MOORE CORPORATION LTD				X	12/19/01	
MORGAN JP COMMERCIAL MOR FIN CORP M OR PAS THR CE SER 2000-C9	DE			X	12/01/01	
MORGAN STANLEY DEAN WITTER & CO	DE		X	X	12/19/01	
MORGAN STANLEY DEAN WITTER CAP I IN C DEP FOR SERIES 2001-TOP	DE		X	X	12/19/01	
MORGAN STANLEY DEAN WITTER CAP I IN C DEP FOR SERIES 2001-TOP	DE		X	X	12/19/01	
MSC SOFTWARE CORP	DE		X		12/13/01	
MSDW STRUCTURED ASSET CORP	DE			X	12/20/01	
MSDW STRUCTURED SATURNS SERIES 2001	DE			X	12/20/01	
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NATIONAL DATA CORP	DE			X	X	12/19/01
NATIONAL GOLF PROPERTIES INC	MD		X	X	12/20/01	
NATIONAL MERCANTILE BANCORP	CA	X		X	12/14/01	
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE			X	12/01/01	
NATIONSRENT INC	DE	X		X	12/17/01	
NATUREWELL INC	CA		X	X	06/07/01	AMEND
NEOTHERAPEUTICS INC	DE		X	X	12/20/01	
NEW SKY COMMUNICATIONS INC	NY	X	X	X	12/20/01	
NEW WORLD RESTAURANT GROUP INC	DE		X	X	12/20/01	
NOBLE DRILLING CORP	DE			X	X	12/19/01

NORTH PACIFIC CAPITAL CORP	NV	X X	X X X	09/10/01	
NORTHWESTERN CORP	DE		X	12/18/01	AMEND
NOVADIGM INC	DE		X X	12/04/01	
OAKWOOD MORTGAGE INVESTORS INC	NV		X	12/19/01	
OAKWOOD MORTGAGE INVESTORS INC OMI TRUST 2001-E	NC		X X	12/15/01	
OLIN CORP	VA		X X	12/20/01	
ON LINE PRODUCTION SERVICES INC	NV	X	X	11/29/01	AMEND
ONYX ACCEPTANCE OWNER TRUST 2001 C	DE		X	11/30/01	
ONYX ACCEPTANCE OWNER TRUST 2001 D	DE		X	10/31/01	
ONYX ACCEPTANCE OWNER TRUST 2001-A	DE		X	11/30/01	
ONYX ACCEPTANCE OWNER TRUST 2001-B	DE		X	11/30/01	
OPTIO SOFTWARE INC	GA	X	X	12/04/01	
ORCHID BIOSCIENCES INC		X	X	12/05/01	
ORIGEN MANUFACTURED HOUS CONT SEN/S UB AS BCKD CRT SR 2001-A	DE		X	12/01/01	
PACEL CORP	VA		X	09/18/01	AMEND
PANAGRA INTERNATIONAL CORP/	NY		X X	12/11/01	
PAYCHEX INC	DE		X	12/19/01	
PEERLESS SYSTEMS CORP	DE		X X	12/19/01	
PEN HOLDINGS INC	TN		X	12/17/01	
PEOPLES BANCORP OF NORTH CAROLINA I NC	NC		X X	12/20/01	
PEOPLES BANK CREDIT CARD MASTER TRUST	CT		X X	11/30/01	
PIONEER COMPANIES INC	DE		X	12/19/01	
PNC FINANCIAL SERVICES GROUP INC	PA	X	X	12/18/01	
PNC MORT SEC CORP COM MORT PASS THR CERT SER 2000-C1	MO		X X	12/17/01	
PNW CAPITAL INC	DE		X	12/20/01	
PRECISION PARTNERS INC	DE		X X	12/20/01	
PRESERVER GROUP INC	NJ		X X	12/18/01	
PRINCETON VIDEO IMAGE INC	NJ		X	12/12/01	
PROVIDIAN MASTER TRUST			X	12/17/01	
PROVIDIAN NATIONAL BANK /NEW/			X	12/17/01	
PRUDENTIAL SECURITIES SEC FIN CORP MOR PAS THR CER 2001-C1	DE		X	12/01/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM		X	12/20/01	
QUIKSILVER INC	DE		X	12/19/01	
R&G FINANCIAL CORP	PR		X X X	12/19/01	
RACI HOLDING INC	DE		X X	12/06/01	
RELIABLE POWER SYSTEMS INC	CO	X	X	11/27/01	
REMEC INC	CA		X	12/07/01	
RENT A CENTER INC DE	DE		X X	12/19/01	
RENT WAY INC	PA		X	12/19/01	
REPUBLIC FIRST BANCORP INC	PA		X	12/10/01	
RESIDENTIAL ASSET SECURITIES CORP	DE		X X	12/18/01	
RIDGEWOOD ELECTRIC POWER TRUST I	DE		X	12/31/01	
RIDGEWOOD ELECTRIC POWER TRUST III	DE		X	12/31/01	
RIDGEWOOD POWER GROWTH FUND /NJ	DE		X	12/31/01	
RTW INC /MN/	MN		X X	12/12/01	
RVM INDUSTRIES INC	DE	X	X	12/17/01	
SALOMON BROTHERS COMMERCIAL MORT TR 2000-C3	DE		X	12/01/01	
SALOMON BROTHERS COMMERCIAL MORTGAG E TRUST 2001-C1	DE		X X	12/20/01	

SALOMON BROTHERS COMMERCIAL MORTGAG E TRUST 2001-C2	DE		X	X	12/20/01	
SANDISK CORP	DE		X		12/17/01	
SAXON ASSET SECURITIES CO	VA		X	X	12/18/01	
SAXON ASSET SECURITIES TRUST 2001-3	VA		X	X	12/18/01	
SCOTIA PACIFIC CO LLC	DE				X 12/20/01	
SECURITY FEDERAL CORPORATION	SC		X	X	12/02/01	
SEEBEYOND TECHNOLOGY CORP			X		12/20/01	
SENIOR HOUSING PROPERTIES TRUST	MD			X	12/18/01	
SEROLOGICALS CORP	DE	X		X	12/13/01	
SONIC SOLUTIONS/CA/	CA		X	X	12/10/01	
SONIC SOLUTIONS/CA/	CA		X	X	12/11/01	
SONOMAWEST HOLDINGS INC	CA		X	X	12/20/01	
SOUTHWEST SECURITIES GROUP INC	DE		X	X	12/14/01	
ST PAUL COMPANIES INC /MN/	MN		X		12/04/01	
STEEL CITY PRODUCTS INC	DE		X		12/01/01	
STEELCASE INC	MI		X		12/19/01	
STERLING BANCSHARES INC	TX		X	X	12/20/01	
STERLING FINANCIAL CORP /PA/	PA		X	X	12/13/01	
STEWART ENTERPRISES INC	LA		X		12/18/01	
STEWART ENTERPRISES INC	LA		X		12/19/01	
STONEPATH GROUP INC	DE			X	12/31/01	AMEND
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE			X	10/18/01	
STRUCTURED ASSET SEC CORP COMM MORT PAS THR CERT SER 2000 C5	DE			X	12/01/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-SB1	DE		X	X	12/25/01	
SUMMIT SECURITIES INC /ID/	ID				X 12/19/01	
SVI SOLUTIONS INC	NV				X 12/20/01	
TEDA TECHNOLOGIES INTERNATIONAL INC	NV		X	X	12/19/01	
TELIGENT INC	DE		X	X	12/19/01	
TELLIUM INC	DE		X	X	12/20/01	
TERAGLOBAL COMMUNICATIONS CORP	DE		X	X	12/21/01	
TEREX CORP	DE		X		12/19/01	
THERMA WAVE INC	DE		X	X	12/17/01	
THINKA WEIGHT LOSS CORP	NV	X X			X 12/31/01	
THOMAS & BETTS CORP	TN		X	X	X 12/18/01	
TIDEWATER INC	DE				X 12/19/01	
TIMKEN CO	OH		X		12/19/01	
TRENDWEST RESORTS INC	OR				X 12/19/01	
TRICON GLOBAL RESTAURANTS INC	NC		X		12/19/01	
TXU CORP /TX/	TX		X		12/20/01	
TXU ELECTRIC CO	TX		X		12/20/01	
UNICAPITAL CORP /DE/	DE		X	X	12/12/01	
UNISYS CORP	DE		X	X	12/14/01	
UNITED DOMINION REALTY TRUST INC	VA		X		12/18/01	
UNITED PARCEL SERVICE INC	DE			X	12/20/01	
UNITED STATES CELLULAR CORP	DE		X	X	12/19/01	
US ENERGY CORP	WY		X		12/19/01	
USAA FEDERAL SAVINGS BANK				X	12/15/01	
VHS NETWORK INC/CA	FL	X			12/19/01	
VIASAT INC	CA		X	X	12/12/01	
VIASAT INC	CA		X	X	12/12/01	AMEND
VICINITY CORP	DE		X	X	12/18/01	
VIISAGE TECHNOLOGY INC	DE		X	X	12/14/01	
WEIRTON STEEL CORP	DE		X		12/04/01	
WELLS FARGO ASSET SECURITIES CORP	DE		X	X	12/19/01	

WELLS FARGO ASSET SECURITIES CORP	DE		X	X	12/19/01
WELLS FARGO ASSET SECURITIES CORP	DE		X	X	12/19/01
WELLS FARGO ASSET SECURITIES CORP	DE		X	X	12/19/01
WELLS FARGO ASSET SECURITIES CORP	DE		X	X	12/19/01
WESTMORELAND COAL CO	DE		X	X	12/14/01
WESTVACO CORP	DE		X	X	12/20/01
WFN CREDIT CO LLC	DE			X	12/17/01
WHITEWING LABS INC	CA	X	X	X	12/10/01
WHX CORP	DE		X	X	12/20/01
WILLIAMS COMPANIES INC	DE		X	X	12/19/01
WINSLOEW FURNITURE INC	FL		X		12/14/01
WOODHEAD INDUSTRIES INC	DE		X	X	12/18/01
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH			X	12/17/01
WORLD WIRELESS COMMUNICATIONS INC	NV		X		12/20/01
WORLDWIDE FLIGHT SERVICES INC	DE		X	X	12/20/01
XEROX CORP	NY		X		12/20/01
XTO ENERGY INC	DE		X	X	12/17/01
ZAXIS INTERNATIONAL INC	DE		X		12/20/01
800AMERICA COM INC	NV	X		X	12/05/01