

C.2

SEC NEWS DIGEST

Issue 2001-208

October 29, 2001

COMMISSION ANNOUNCEMENTS

SEC AND CFTC EXTEND COMMENT PERIODS FOR JOINT RULES RELATING TO MARGIN REQUIREMENTS AND TREATMENT OF CUSTOMER FUNDS FOR SECURITY FUTURES PRODUCTS TO DECEMBER 5, 2001

On October 25, the Securities and Exchange Commission (SEC) and the Commodity Futures Trading Commission (CFTC) approved a 30-day extension of the public comment periods for proposed rules relating to the implementation of provisions of the Commodity Futures Modernization Act of 2000 (CFMA). The CFMA lifted the 19-year ban on the trading of single-stock and narrow-based stock index futures in this country.

The comment periods are extended until December 5, 2001 for the rules proposed jointly by the CFTC and the SEC that would govern the collection of customer margin for security futures and the proposed rules relating to the applicability of CFTC and SEC Customer Protection, Recordkeeping, Reporting, and Bankruptcy Rules and the Securities Investor Protection Act of 1970 to Accounts Holding Security Futures Products.

Copies of the proposed rules, as well as the release extending the comment periods, are available on the websites of the CFTC and the SEC, at www.cftc.gov and www.sec.gov, respectively. (Press Rel. 2001-125)

INVESTMENT COMPANY ACT RELEASES

NOTICES OF DEREGISTRATIONS UNDER THE INVESTMENT COMPANY ACT

For the month of October, 2001, a notice has been issued giving interested persons until November 19, 2001, to request a hearing on any of the following applications for an order under Section 8(f) of the Investment Company Act declaring that the applicant has ceased to be an investment company:

4 Winds Family of Funds [File No. 811-10099]

First Choice Funds Trust [File No. 811-7681]

Neuberger Berman Equity Trust [File No. 811-7784]

Neuberger Berman Equity Assets [File No. 811-8106]
Neuberger Berman Equity Series [File No. 811-9011]
The Pillar Funds [File No. 811-6509]
Scottish Widows International Fund [File No. 811-6019]
West University Fund, Inc. [File No. 811-9124]
INVESCO Global Health Sciences Fund [File No. 811-6476]
William Penn Interest Income Fund [File No. 811-5177]
Alliance Income Builder Fund, Inc. [File No. 811-6372]
Alliance Limited Maturity Government Fund, Inc. [File No. 811-6627]
Alliance Mortgage Securities Income Fund, Inc. [File No. 811-3829]
Alliance World Income Trust, Inc. [File No. 811-6205]
Alliance Short Term Multi Market Trust, Inc. [File No. 811-5771]
Alliance Tax-Free Shares, Inc. [File No. 811-2717]
Alliance Insured California Tax Exempt Shares, Inc. [File No. 811-4359]
Van Wagoner Private Opportunities Fund, L.P. [File No. 811-10279]
Blanchard Precious Metals Fund, Inc. [File No. 811-5303]
Ameritor Industry Fund [File No. 811-855]
Phoenix-Goodwin Multi-Sector Fixed Income Fund, Inc. [File No. 811-5909]
(Rel. IC-25245 – October 26)

CITIZENS FUNDS AND CITIZENS ADVISERS, INC.

A notice has been issued giving interested persons until November 20, 2001, to request a hearing on an application filed by Citizens Funds and Citizens Advisers, Inc. for an order granting an exemption from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act to permit the applicants to enter into and materially amend subadvisory agreements without shareholder approval. (Rel. IC-25246 – October 26)

HOLDING COMPANY ACT RELEASES

AMERICAN ELECTRIC POWER COMPANY, ET AL.

An order has been issued authorizing American Electric Power Company (AEP), a registered holding company, and its public utility subsidiary companies, Central Power and Light Company, Southwestern Electric Power Company, West Texas Utilities Company, Columbus Southern Power Company and Ohio Power Company (Applicants), to increase short term borrowing limits until December 31, 2002. Applicants will use the proceeds of the borrowings to assist in corporate restructuring mandated by the states of Texas and Ohio. (Rel. 35-27457)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change and granted accelerated approval of amendments to a proposed rule change filed by the Pacific Exchange (SR-PCX-00-25) under Rule 19b-4 of the Securities Exchange Act of 1934 concerning the establishment of the Archipelago Exchange as the equities trading facility of PCX Equities, Inc. Publication of the proposal is expected in the Federal Register during the week of October 29. (Rel. 34-44983)

ACCELERATED APPROVAL OF A PROPOSED RULE CHANGES

The Commission has approved a proposed rule change filed by the Emerging Markets Clearing Corporation (SR-EMCC-2001-03) under Section 19(b)(2) of the Securities Exchange Act. The rule change will modify EMCC's organizational documents to facilitate its integration with The Depository Trust & Clearing Corporation. Publication of the proposal is expected in the Federal Register during the week of October 29, 2001. (Rel. 34-44987)

The Commission has approved a proposed rule change filed by the MBS Clearing Corporation (SR-MBSCC-2001-01) under Section 19(b)(2) of the Securities Exchange Act. The rule change will modify MBSCC's organizational documents to facilitate its integration with The Depository Trust & Clearing Corporation. Publication of the proposal is expected in the Federal Register during the week of October 29. (Rel. 34-44988)

The Commission has approved a proposed rule change filed by the Government Securities Clearing Corporation (SR-GSCC-2001-11) under Section 19(b)(2) of the Securities Exchange Act. The rule change will modify GSCC's organizational documents to facilitate its integration with The Depository Trust & Clearing Corporation. Publication of the proposal is expected in the Federal Register during the week of October 29. (Rel. 34-44989)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if

applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-9 PANCANADIAN PETROLEUM LTD /ADR/, 150 9TH AVE SW, PANCANADIAN PLAZA, CALGARY ALBERTA CANADA T2P 3H9, AO (403) 290-2000 - 1,500,000,000 (\$1,500,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-14026 - OCT. 19) (BR. 4)
- F-6 GLOBO CABO SA /ADR/, TIMOTHY F KEANEY, 101 BARCLAY ST 22ND FL, NEW YORK, NY 10286 (212) 815-2129 - 50,000,000 (\$2,500,000) FOREIGN PREFERRED STOCK. (FILE 333-14028 - OCT. 19)
- S-3 CALPINE CORP, 50 WEST SAN FERNANDO ST, SAN JOSE, CA 95113 (408) 995-5115 - 2,110,527 (\$57,321,914) COMMON STOCK. (FILE 333-71966 - OCT. 22) (BR. 2)
- S-1 SUNOCO LOGISTICS PARTNERS LP, SUN COMPANY INC, TEN PENN CENTER, 1801 MARKET ST C/O JOHN DIROCCO, PHILADELPHIA, PA 19103 (215) 977-3000 - \$120,750,000 COMMON STOCK. (FILE 333-71968 - OCT. 22) (NEW ISSUE)
- S-8 BAYCORP HOLDINGS LTD, 20 INTERNATIONAL DR, STE 301, PORTSMOUTH, NH 03801 (603) 431-6600 - 500,000 (\$4,550,000) COMMON STOCK. (FILE 333-71976 - OCT. 22) (BR. 2)
- S-8 TESSA COMPLETE HEALTH CARE INC/GA, 35 FULFORD AVE, SUITE 101, BEL AIR, MD 21014 (410) 893-0134 - 3,173,077 (\$206,250) COMMON STOCK. (FILE 333-71978 - OCT. 22) (BR. 9)
- S-8 TESSA COMPLETE HEALTH CARE INC/GA, 35 FULFORD AVE, SUITE 101, BEL AIR, MD 21014 (410) 893-0134 - 3,461,536 (\$225,000) COMMON STOCK. (FILE 333-71980 - OCT. 22) (BR. 9)
- S-8 TESSA COMPLETE HEALTH CARE INC/GA, 35 FULFORD AVE, SUITE 101, BEL AIR, MD 21014 (410) 893-0134 - 3,307,692 (\$215,000) COMMON STOCK. (FILE 333-71982 - OCT. 22) (BR. 9)
- S-8 TESSA COMPLETE HEALTH CARE INC/GA, 35 FULFORD AVE, SUITE 101, BEL AIR, MD 21014 (410) 893-0134 - 20,000,000 (\$600,000) COMMON STOCK. (FILE 333-71984 - OCT. 22) (BR. 9)

S-1 UNITED DEFENSE INDUSTRIES INC, 1525 WILSON BLVD, SUITE 700,
ARLINGTON,
VA 22209 (703) 312-6100 - \$300,000,000 COMMON STOCK. (FILE 333-71986 -
OCT. 22) (BR. 5)

S-3 SOUTHERN UNION CO, 504 LAVACA ST 8TH FL, AUSTIN, TX 78701 (512) 477-
5852
- 400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-71988 - OCT.
19)
(BR. 2)

S-8 WPS RESOURCES CORP, 700 N ADAMS ST, PO BOX 19001, GREEN BAY, WI
54307
(920) 433-4901 - 5,300,000 (\$5,300,000)
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-71990 - OCT. 22)
(BR. 2)

S-8 WPS RESOURCES CORP, 700 N ADAMS ST, PO BOX 19001, GREEN BAY, WI
54307
(920) 433-4901 - 1,000,000 (\$1,000,000)
OTHER SECURITIES INCLUDING VOTING TRUST. 30,000 (\$1,039,200) COMMON
STOCK.
(FILE 333-71992 - OCT. 22) (BR. 2)

S-3 LEXINGTON CORPORATE PROPERTIES TRUST, 355 LEXINGTON AVE, NEW YORK,
NY
10017 (212) 692-7260 - 2,000,000 (\$29,630,000) PREFERRED STOCK. (FILE
333-71998 - OCT. 22) (BR. 8)

S-8 PRINCIPAL FINANCIAL GROUP INC, 711 HIGH STREET, DES MOINES, IA 50392
(515) 247-5111 - 14,000,000 (\$14,000,000)
OTHER SECURITIES INCLUDING VOTING TRUST. 5,000,000 (\$100,000,000)
COMMON STOCK. (FILE 333-72002 - OCT. 22) (BR. 1)

S-8 VIEW SYSTEMS INC, 825 W KENYON AV, SUITE 15, ENGLEWOOD, CO 80110
(303) 295-7200 - 350,000 (\$294,000) COMMON STOCK. (FILE 333-72004 -
OCT. 22) (BR. 8)

S-8 PRINCIPAL FINANCIAL GROUP INC, 711 HIGH STREET, DES MOINES, IA 50392
(515) 247-5111 - 20,000,000 (\$400,000,000) COMMON STOCK. (FILE 333-
72006 -
OCT. 22) (BR. 1)

S-8 THERMOELASTIC TECHNOLOGIES INC /CO/, 5446 CANVASBACK ROAD, BLAINE,
WA
98230 (360) 371-5061 - 3,265,000 (\$222,875) COMMON STOCK. (FILE 333-
72008
- OCT. 22) (BR. 9)

S-8 SPARTAN STORES INC, 850 76TH ST SW, P O BOX 8700, GRAND RAPIDS, MI
49518
(616) 878-2000 - 200,000 (\$2,680,000) COMMON STOCK. (FILE 333-72010 -
OCT. 22) (BR. 4)

S-3 CONCURRENT COMPUTER CORP/DE, 4375 RIVER GREEN PARKWAY, DULUTH, GA
30097
(678) 258-4000 - 2,054,431 (\$19,373,285) COMMON STOCK. (FILE 333-72012
-
OCT. 22) (BR. 3)

S-8 ACTIVISION INC /NY, 3100 OCEAN PARK BLVD, STE 1000, SANTA MONICA, CA
90405 (310) 255-2000 - 1,500,000 (\$48,607,500) COMMON STOCK. (FILE
333-72014 - OCT. 22) (BR. 3)

S-8 HOME DEPOT INC, 2455 PACES FERRY ROAD, ATLANTA, GA 30339 (770) -43-
3-82
- 250,000 (\$10,155,000) COMMON STOCK. (FILE 333-72016 - OCT. 22) (BR.
6)

S-3 XL CAPITAL LTD, XL HOUSE, ONE BERMUDIANA ROAD, HAMILTON HM11 BERMUD,
D2
(441) 292-8515 - 1,500,000,000 (\$1,500,000,000) FOREIGN COMMON STOCK.
(FILE 333-72018 - OCT. 22) (BR. 1)

S-8 PHARMACYCLICS INC, 995 EAST ARQUES AVE, SUNNYVALE, CA 94086
(408) 774-0330 - 200,000 (\$4,054,000) COMMON STOCK. (FILE 333-72020 -
OCT. 22) (BR. 1)

S-4 CERNER CORP /MO/, 2800 ROCKCREEK PKWY-STE 601, KANSAS CITY, MO 64117
(816) 221-1024 - 362,791 (\$16,285,244) COMMON STOCK. (FILE 333-72024 -
OCT. 22) (BR. 3)

S-8 METRO INFORMATION SERVICES INC, P O BOX 8888, VIRGINIA BEACH, VA
23450
(757) 486-1900 - 50,000 (\$347,500) COMMON STOCK. (FILE 333-72026 -
OCT. 22) (BR. 2)

S-4 GLOBESPAN INC/DE, 100 SCHULZ DRIVE, RED BANK, NJ 07701 (732) 345-
7500 -
73,005,500 (\$748,664,040) COMMON STOCK. (FILE 333-72028 - OCT. 22)
(BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES CAPITAL CORP MORT PASS THR C ERTS SER 2001 3	CA						X	X			10/26/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 6	DE									X	10/01/01	
ACACIA RESEARCH CORP	DE							X	X		10/23/01	
ACCELIO CORP	AI					X	X				10/25/01	
ACOLA CORP	DE	X	X		X	X	X				10/15/01	
ADAPTIVE BROADBAND CORP	DE					X	X				10/20/01	
AES CORPORATION	DE									X	10/25/01	
AIRGAS CARBONIC INC	DE					X	X				10/25/01	
AIRGAS DATA LLC	DE					X	X				10/25/01	
AIRGAS DIRECT INDUSTRIAL VESSEL LLC	DE					X	X				10/25/01	
AIRGAS EAST INC	DE					X	X				10/25/01	
AIRGAS GREAT LAKES INC	DE					X	X				10/25/01	
AIRGAS GULF STATES INC	DE					X	X				10/25/01	
AIRGAS INC	DE					X	X				10/25/01	
AIRGAS INTERMOUNTAIN INC	DE					X	X				10/25/01	
AIRGAS MID AMERICA INC	DE					X	X				10/25/01	
AIRGAS MID SOUTH INC	DE					X	X				10/25/01	
AIRGAS NOR PAC INC	DE					X	X				10/25/01	
AIRGAS NORTH CENTRAL INC	DE					X	X				10/25/01	
AIRGAS NORTHERN CALIFORNIA & NEVADA INC	DE					X	X				10/25/01	
AIRGAS REALTY INC	DE					X	X				10/25/01	
AIRGAS SAFETY INC	DE					X	X				10/25/01	
AIRGAS SOUTH INC	DE					X	X				10/25/01	
AIRGAS SOUTHWEST INC	DE					X	X				10/25/01	
AIRGAS SPECIALTY GASES INC	TX					X	X				10/25/01	
AIRGAS WEST INC	CA					X	X				10/25/01	
ALLEGHENY ENERGY INC	MD									X	09/30/01	
ALLIANT TECHSYSTEMS INC	DE					X	X				10/26/01	
AMERIGON INC	CA					X	X				10/25/01	
ANGSTROM TECHNOLOGIES INC	DE					X					10/23/01	
ATNL INC	DE					X	X				10/25/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE					X	X				10/23/01	AMEND
BELO CORP	DE					X	X				10/24/01	
BERKLEY W R CORP	DE					X	X				10/25/01	
BIODELIVERY SCIENCES INTERNATIONAL INC	IN					X					10/01/01	
BIOMARIN PHARMACEUTICAL INC	DE					X					10/26/01	
BLOUNT INTERNATIONAL INC	DE					X	X				10/25/01	
BOWATER INC	DE		X				X				09/24/01	AMEND
BROOKS AUTOMATION INC	DE					X	X				10/23/01	
CALIBER LEARNING NETWORK INC	MD						X				10/22/01	
CALIBER LEARNING NETWORK INC	MD		X				X				10/25/01	
CASH SYSTEMS INC	DE	X	X		X		X				10/12/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CENTRAL HUDSON GAS & ELECTRIC CORP	NY					X					10/26/01	
CH ENERGY GROUP INC	NY					X					10/26/01	
CHARTER MUNICIPAL MORTGAGE ACCEPTAN CE CO	DE								X		10/26/01	
CHESAPEAKE ENERGY CORP	OK					X				X	10/26/01	AMEND
CIRCUIT RESEARCH LABS INC	AZ					X		X			10/01/01	
CMS ENERGY CORP	MI					X					09/30/01	
COLLINS & AIKMAN CORP	DE					X					10/26/01	
COMMERCIAL NATIONAL FINANCIAL CORP /MI	MI							X			09/20/01	
CONCENTRA OPERATING CORP	NV					X		X			10/25/01	
CONCEPT DIGITAL INC /DE/	DE	X	X		X						09/26/01	AMEND
CONSECO FINANCE CORP	DE								X		10/24/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE								X		10/24/01	
CONSTELLATION ENERGY GROUP INC	MD					X					10/26/01	
CONSUMERS ENERGY CO	MI					X					09/30/01	
CONSUMERS FUNDING LLC						X		X			10/26/01	
CREDIT SUISSE FIR BO MO SEC CORP CD MC MO BK PA TH CER 2001-2	DE	X									09/25/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			10/25/01	
CREDIT SUISSE FIRST BOSTON MR_SC CR MR BK PA TH CR SR 2001-4	DE					X		X			03/26/01	
CREDIT SUISSE FRST BOSTON MR SC CR MR BK PA TH CR SR 2001-1	DE					X		X			02/26/01	
CREDIT SUISSE FRT BOSTON MR SC CR M R BK PA TH CR SR 2001-AR7	DE							X			08/28/01	
CROWN MEDIA HOLDINGS INC	DE					X		X			10/25/01	
CSFB MORTGAGE BACKED PASS THROUGH C ERTIFICATES SERIES 2001 9	DE					X		X			04/25/01	
CSX CORP	VA					X		X			10/24/01	
CWMBS INC	DE					X		X			10/25/01	
CWMBS INC	DE							X			10/26/01	
CYLINDER LEASING CORP	DE					X		X			10/25/01	
D&E COMMUNICATIONS INC	PA		X					X			10/25/01	
DELTA FUNDING CORP /DE/	NY					X		X			10/26/01	
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE					X		X			09/30/01	
DEVON ENERGY CORP/DE	DE		X					X			10/12/01	
DICOM IMAGING SYSTEMS INC	NV		X			X		X			10/26/01	
DIGITALTHINK INC	DE							X			08/22/01	AMEND
DISCOUNT MORTGAGE SOURCE INC	TX					X					10/26/01	
DISTINCTIVE DEVICES INC	NY				X			X			10/23/01	AMEND
DORCHESTER HUGOTON LTD	TX					X		X			10/25/01	
DUTCHFORK BANCSHARES INC	DE					X		X			10/26/01	
E SYNERGIES INC	CA				X			X			09/28/01	AMEND
ECOMETRY CORP	FL					X		X			10/25/01	
ENEX RESOURCES CORP	DE					X		X			10/25/01	
EQUITY ONE ABS INC	DE					X		X			10/26/01	
EVANS SYSTEMS INC	TX					X					10/26/01	
EXPEDITORS INTERNATIONAL OF WASHING TON INC	WA								X		10/23/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
EXTENDICARE HEALTH SERVICES INC	DE					X	X					10/01/01	
FAIRCHILD SEMICONDUCTOR INTERNATION AL INC	DE					X	X					10/25/01	
FGIC SECURITIES PURCHASE INC	DE					X	X					10/25/01	
FIELDPOINT PETROLEUM CORP	CO		X					X				10/17/01	
FINAN AS SEC CP SDVW HM EQ LN TR 20 01-2 AS BK CER SER 2001-2	DE					X						09/25/01	
FINANCIAL ASSET SEC CORP NEW SOUTH HOME EQUITY TRUST 2001-1	DE	X										09/25/01	
FIRST HORIZON ASSET SECURITIES INC	DE					X	X					10/24/01	
FIRST HORIZON ASSET SECURITIES INC	DE					X	X					10/24/01	
FIRSTFED FINANCIAL CORP	DE							X	X			09/30/01	
FIRSTFED FINANCIAL CORP	DE					X						09/30/01	
FLEETWOOD ENTERPRISES INC/DE/	DE					X	X					10/24/01	
FLEXPOINT SENSOR SYSTEMS INC	DE		X					X				10/17/01	
FLICKERING STAR FINANCIAL INC	NV					X	X					10/23/01	
FREEMPORT MCMORAN OIL & GAS ROYALTY TRUST	TX		X					X				10/11/01	
FRESH BRANDS INC										X		10/25/01	
GARMIN LTD						X	X					10/24/01	
GASCO ENERGY INC	NV							X	X			10/26/01	
GEHL CO	WI							X	X			10/26/01	
GLOBAL ENERGY GROUP INC	DE	X	X			X	X					10/12/01	
GOURMET GIFTS INC	NV		X									10/24/01	
GRAND CENTRAL FINANCIAL CORP	DE					X	X					10/25/01	
GREATER BAY BANCORP	CA					X	X					10/23/01	
GREENPOINT HOME EQ LN TR 2001-1 HM EQ LN AB NOTES SER 2001-1	DE					X						09/17/01	
GREENPOINT HOME EQ LN TR 2001-1 HM EQ LN AB NOTES SER 2001-1	DE					X						10/15/01	
GREENWICH CAP ACC INC HARBORVW MOR LN TR 2001-1 MOR LN PS TH	DE					X						09/19/01	
GREENWICH CAP ACC INC HARBORVW MOR LN TR 2001-1 MOR LN PS TH	DE					X						10/19/01	
HARRELL HOSPITALITY GROUP INC	DE	X										12/31/01	
HENDERSON CITIZENS BANCSHARES INC	TX					X		X				10/12/01	
HONDA AUTO RECEIVABLES 2001-3 OWNER TRUST	CA		X					X				10/17/01	
IFS INTERNATIONAL HOLDINGS INC	DE					X						10/26/01	
IMAGEMAX INC	PA					X						10/26/01	
IMAX CORP	A6					X	X					10/03/01	
IMPAC ASSETS SECURED CORP MORT PASS THR CERT SER 2001-4	CA					X						09/25/01	
IMPAC CMB TRUST SERIES 2001-1	DE					X						09/25/01	
IMPAC MORTGAGE HOLDINGS INC	MD					X	X					10/25/01	
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-1	CA					X						09/25/01	
IMPAC SECURED ASSETS CORP MORT PASS THROUGH CERT SER 2001-3	CA					X						09/25/01	
INDYMAC ABS INC HM EQ MORT LN ASSET BACK TR SER SPMD 2001-B	DE					X						09/25/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
INDYMAC INC HOME EQ MORT LOAN AS-BC K TR SER SPMD 2001-A	DE					X					09/25/01	
INFORMATICA CORP	DE					X	X				10/29/01	
INKTOMI CORP	DE					X	X				10/26/01	
INTERMEDIA COMMUNICATIONS INC	DE					X	X				10/24/01	
INTERNATIONAL BANCSHARES CORP	TX					X	X				10/26/01	
INTERPLAY ENTERTAINMENT CORP	DE					X					10/19/01	
INTEST CORP	DE					X	X				10/24/01	
IVAX CORP /DE	FL						X				07/05/01	AMEND
KEYCORP STUDENT LOAN TRUST 2000-B	DE					X	X				10/25/01	
KOALA CORP /CO/	CO					X	X				10/19/01	
KRONOS INC	MA					X	X				10/25/01	
KRUPP REALTY LTD PARTNERSHIP VII	MA					X	X				08/27/01	
LINCOLN NATIONAL CORP	IN								X		09/30/01	
LOCAL FINANCIAL CORP /NV	DE					X	X				10/17/01	
LONG BEACH MORTGAGE LOAN TRUST 2001 -1 AS BK CERT SER 2001-1	DE					X					09/21/01	
LONG BEACH MORTGAGE LOAN TRUST 2001 -1 AS BK CERT SER 2001-1	DE					X					09/22/01	
LORAL CYBERSTAR INC	DE					X	X				10/24/01	
LORAL SPACE & COMMUNICATIONS LTD	DO					X	X				10/24/01	
MACROMEDIA INC	DE					X	X				10/18/01	
MAII HOLDINGS INC	TX						X				08/16/01	AMEND
MARKETU INC	NV					X	X				10/12/01	
MASSACHUSETTS FINCORP INC	DE					X	X				10/25/01	
MEDICINES CO/ MA	DE					X	X				10/24/01	
METROPOLITAN MORTGAGE & SECURITIES CO INC	WA					X					10/22/01	
MID ATLANTIC REALTY TRUST	MD						X	X			09/30/01	
MORGAN STANLEY ABS CAP I INC MOR LO AN AS BK CER SER 2001-WF1	DE					X					09/25/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC TRUST 2001-NC1						X					09/25/01	
NATIONAL FUEL GAS CO	NJ					X					10/25/01	
NATIONAL STEEL CORP	DE					X	X				10/26/01	
NISSAN AUTO RECEIVABLES II 2001-C O WNER TRUST	DE					X					09/17/01	
NISSAN AUTO RECEIVABLES 2001-B OWNE R TRUST	DE					X					10/15/01	
NITROUS OXIDE CORP	DE					X	X				10/25/01	
OAKWOOD MORTGAGE INVESTORS INC	NV					X					10/25/01	
OFFICE DEPOT INC	DE					X					10/26/01	
OPTION ONE MORTGAGE ACCEPTANCE CORP	DE					X	X				10/26/01	
OSTEOTECH INC	DE					X					10/24/01	
PACIFIC CART SERVICES LTD	NV	X				X					10/26/01	
PACIFIC CART SERVICES LTD	NV	X				X					10/26/01	
PANHANDLE EASTERN PIPE LINE CO	DE					X					09/30/01	
PERKINELMER INC	MA								X		10/26/01	
PHARMACEUTICAL FORMULATIONS INC	DE					X					10/23/01	
PLAINS ALL AMERICAN PIPELINE LP	DE					X	X				10/25/01	
PREFORMED LINE PRODUCTS CO	OH					X	X				10/24/01	
PRI AUTOMATION INC	MA					X	X				10/23/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
PROVELL INC	MN					X	X				10/25/01	
PURITAN MEDICAL PRODUCTS INC	DE					X	X				10/25/01	
Q COMM INTERNATIONAL INC	UT					X					10/26/01	
QUOVADX INC	DE		X				X				08/13/01	AMEND
RADIANT SYSTEMS INC	GA					X	X				10/25/01	
RED D ARC INC	NV					X	X				10/25/01	
RELIABLE POWER SYSTEMS INC	CO	X					X				10/05/01	AMEND
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				10/24/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X				10/26/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				10/24/01	
RETRACTABLE TECHNOLOGIES INC	TX					X					10/25/01	
RUTLAND TOOL & SUPPLY CO INC	CA					X	X				10/25/01	
SAXON ASSET SEC TRUST 2001-1 MTG LN ASSET BK CERT SER 2001-1						X					09/25/01	
SAXON ASSET SECURITIES TRUST 2001-3	VA					X	X				10/11/01	
SCHULER HOMES INC	DE					X	X				10/25/01	
SHENANDOAH TELECOMMUNICATIONS CO/VA /	VA					X					10/22/01	
SILGAN HOLDINGS INC	DE								X		10/24/01	
SOFTSTONE INC /DE/	DE	X									07/24/01	AMEND
SOUTHERN HERITAGE BANCORP	GA						X				09/24/01	
STILWELL FINANCIAL INC	DE						X	X			10/26/01	
STRATEGIC DIAGNOSTICS INC/DE/	DE					X	X				10/25/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERTS SER 2001 3A	DE					X	X				10/25/01	
STRUCTURED ASSET SECURITIES CORP MO RT PA TH CERT SER 2001-8A	DE					X	X				10/25/01	
STRUCTURED ASSET SECURITIES CORP MO RT PAS THR CERT SER 01 7A	DE					X	X				10/25/01	
STRUCTURED SECURITIES ASSET CORP MO RT PAS THR CERT SER 01 4A	DE					X	X				10/25/01	
SUNTERRA CORP	MD					X	X				10/25/01	
SURETY CAPITAL CORP /DE/	DE				X		X				10/24/01	
T NETIX INC	CO					X	X				09/07/01	
TAM RESTAURANTS INC	DE					X					10/22/01	
TELECORP PCS INC /VA/						X	X				10/26/01	
TELEX COMMUNICATIONS INC	DE					X	X	X			10/24/01	
TENET HEALTHCARE CORP	NV					X	X				10/26/01	
TRANS GLOBAL SERVICES INC	DE					X					12/31/01	
TRC COMPANIES INC /DE/	DE		X				X				10/15/01	
TRI NATIONAL DEVELOPMENT CORP	WY		X				X				10/24/01	
TRICO MARINE SERVICES INC	DE					X	X				10/25/01	
U S AGGREGATES INC	DE					X	X				10/26/01	
UNITED RENTALS INC /DE/	DE						X	X			10/25/01	
UNITED RENTALS NORTH AMERICA INC	DE						X	X			10/25/01	
UNIVERSAL COMPRESSION HOLDINGS INC	DE					X	X	X			10/25/01	
UNIVERSAL COMPRESSION INC	TX					X	X	X			10/25/01	
UNIVERSAL HOSPITAL SERVICES INC	MN					X	X				10/26/01	
USAA FEDERAL SAVINGS BANK							X				08/15/01	
USAA FEDERAL SAVINGS BANK							X				09/15/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
VICOM INC	MN				X						10/22/01	
VISHAY INTERTECHNOLOGY INC	DE							X			10/25/01	
WASHINGTON MUTUAL MOR LOAN TRU MOR PASS THRU CERT SER 2001-7	DE				X						09/25/01	
WAUSAU MOSINEE PAPER MILLS CORP	WI				X		X				10/19/01	
WAVERIDER COMMUNICATIONS INC	NV					X					10/19/01	
WEINGARTEN REALTY INVESTORS /TX/	TX		X				X				06/30/01	
WEINGARTEN REALTY INVESTORS /TX/	TX		X				X				09/30/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X				10/26/01	
WELLS REAL ESTATE INVESTMENT TRUST INC	MD						X				09/27/01	AMEND
WESTERN MEDIA GROUP CORP	MN				X						10/22/01	
WESTERN RESOURCES INC /KS	KS					X					10/26/01	
WILLIAMS COMPANIES INC	DE					X	X				10/25/01	
WILLIAMS ENERGY PARTNERS L P	DE					X	X				10/25/01	
3TEC ENERGY CORP	DE					X	X				10/25/01	