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SEC NEWS DIGEST

Issue 2001-200

October 17, 2001

COMMISSION ANNOUNCEMENTS

COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. Meetings will be scheduled according to the requirements of agenda items under consideration.

Open meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Visitors are welcome at all open meetings, insofar as space is available. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

CLOSED MEETING – TUESDAY, OCTOBER 23, 2001 – 9:30 A.M.

The subject matter of the closed meeting scheduled for Tuesday, October 23, 2001, will be: Institution and settlement of injunctive actions; Institution and settlement of administrative proceedings of an enforcement nature; and Formal orders.

OPEN MEETING – THURSDAY, OCTOBER 25, 2001 – 2:30 P.M.

The subject matter of the open meeting scheduled for Thursday, October 25, 2001, will be:

1. The Commission will consider whether to adopt final amendments to its broker-dealer books and records rules, Rule 17a-3 and Rule 17a-4 under the Securities Exchange Act of 1934. The amendments to Rule 17a-3 would clarify and expand

recordkeeping requirements with respect to purchase and sale documents, customer records, associated person records, customer complaints, and certain other matters. The amendments to Rule 17a-4 would expand the types of records that broker-dealers must maintain and require broker-dealers to maintain or promptly produce certain records at each office to which those records relate. These amendments are designed to assist securities regulators, particularly state securities regulators, when conducting sales practice examinations of broker-dealers. These amendments were originally proposed on October 22, 1996 (see Exchange Act Release No. 37850, 61 FR 55593 (Oct. 28, 1996)), and were repropoed on October 2, 1998 (see Exchange Act Release No. 40518, 63 FR 54404 (Oct. 9, 1998)).

For further information, please contact Michael Macchiaroli, Associate Director, Division of Market Regulation at (202) 942-0132, Thomas McGowan, Assistant Director, Division of Market Regulation at (202) 942-4886, or Bonnie Gauch, Attorney, Division of Market Regulation at (202) 942-0765.

2. The Commission will consider the Pacific Exchange's proposal, filed with the Commission pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, to establish the Archipelago Exchange as its equities trading facility.

For further information, please contact John Polise at (202) 942-0068.

CHANGE IN THE MEETING: ADDITIONAL ITEM

The following item has been added to the closed meeting scheduled for Thursday, October 18, at 10:00 a.m.: Report of an investigation.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

ENFORCEMENT PROCEEDINGS

SEC SETTLES INTERNET TOUTING CASE AGAINST JOHN WESTERGAARD

On October 11, the Honorable Deborah A. Batts entered a Final Judgment by consent concluding the Securities and Exchange Commission's litigation against John Westergaard. The Commission's complaint, filed on December 27, 2000, alleged that Westergaard and his companies, Westergaard.com, Inc. and Westergaard Broadcasting Network.com, Inc., violated Section 17(b) of the Securities Act by failing to fully disclose the compensation they received to promote issuers. The complaint further

alleged that John Westergaard violated Section 10(b) of the Exchange Act and Rule 10b-5 thereunder by falsely claiming his Internet site provided "independent" analysis. Without admitting or denying the Commission's allegations, Westergaard consented to the entry of a permanent injunction against future violations of Section 17(b) of the Securities Act. The Court did not impose a civil penalty on Westergaard based on his sworn Statement of Financial Condition. [SEC v. John Westergaard, et al., USDC, SDNY, Civil Action No. 00-9776, DAB] (LR-17192)

COURT ENTERS FINAL JUDGMENT AGAINST MANHATTAN CAPITAL MANAGEMENT, INC.

The Commission announced today that on October 9 the Honorable Denise Cote of the United States District Court for the Southern District of New York entered a Final Judgment against Manhattan Capital Management, Inc. (MCM), one of three defendants in *SEC v. Michael Berger et al.* MCM consented to the entry of the Final Judgment without admitting or denying the allegations of the Commission's complaint. The Final Judgment holds MCM liable for disgorgement of \$19,874,735.44 that MCM was paid by the Manhattan Investment Fund Ltd. (Fund) in management and incentive fees, and \$132,498.24 in prejudgment interest, for a total of \$20,007,233.68.

Both MCM and the Fund are the subject of Chapter 11 bankruptcy proceedings pending in the United States Bankruptcy Court for the Southern District of New York. The Final Judgment as to MCM provides that any distribution made by the estate of MCM with respect to MCM's disgorgement liability shall be paid to the bankruptcy estate of the Fund for further distribution to the Fund's creditors in accordance with the provisions of the United States Bankruptcy Code.

In its complaint filed January 18, 2000, the Commission charged Michael W. Berger, MCM and the Fund with violations of the antifraud provisions of the federal securities laws. The Fund was a hedge fund organized under the laws of the British Virgin Islands that was open to foreign investors and tax-exempt U.S. investors. MCM was the New York-based investment manager of the Fund. Mr. Berger owned and controlled MCM and was its only officer. Through MCM, Mr. Berger directed the investment activities of the Fund. The Commission has asserted that beginning in September 1996, the Fund began to sustain market losses that ultimately totaled over \$393 million. At the same time the fund was sustaining these huge losses, Berger was reporting to investors that the Fund was realizing profits of between 12 and 27 percent annually. To hide the Fund's losses, Berger created phony account statements that materially overstated the performance and value of the Fund. The information contained in the false account statements was provided to investors in the fund, and was shared with potential investors.

The Commission's claims against the Fund have been stayed. The Commission continues to actively pursue its claims against Mr. Berger.

Investors or other interested parties should direct any questions to the Chapter 11 Bankruptcy Trustee for the Fund and MCM: Helen Gredd, Esq., c/o Lankler, Siffert & Wohl, 500 Fifth Avenue, 33rd Floor, New York, New York 10110-3398, telephone (212) 921-8399, fax (212) 764-3701. For further information, see Litigation Release 16412, January 19, 2000; and Litigation Release 16414, January 20, 2000. [SEC v. Michael W. Berger, Manhattan Investment Fund Ltd., and Manhattan Capital Management, Inc., Civil Action No. 00 Civ. 0333, DLC, HP, SDNY] (LR-17193)

ALVIS COLIN SMITH HELD IN CONTEMPT AND INCARCERATED

The Commission announced that on October 12 the Honorable Walter S. Smith, Jr., of the United States District Court for the Western District of Texas in Waco, held Alvis Colin Smith, Jr., of Waco, Texas, the former chairman and president of Great White Marine and Recreation, Inc., in contempt and ordered him incarcerated as a result of his failure to disgorge two vehicles and \$3 million in cash as required by a June 19 final judgment.

In its complaint, the Commission had alleged that Great White and Smith had offered and sold unregistered shares of stock through false statements in press releases, in promotional brochures, in internet website postings and in a Form 10-SB filed with the Commission. The complaint also alleged that Smith diverted approximately \$3 million to his own uses and that Great White made a \$1-million "dividend" payment as part of a "Ponzi" scheme.

Although Smith had disgorged a 2000 Jaguar, three lots on Lake Richland Chambers (near Corsicana, Texas), assets in several bank and brokerage accounts and a \$100,000 appearance bond, he had not disgorged other vehicles and cash. Judge Smith ordered Smith incarcerated until he disgorged certain vehicles and his Waco residence. Judge Smith set another hearing for October 24, 2001, to determine if any additional relief should be awarded. [SEC v. Great White Marine and Recreation, Inc., et al., Civil Action No. W-99-CV-0230, USDC, WDTX, Waco Division] (LR-17194)

COMMISSION MOVES TO ENFORCE INVESTIGATIVE SUBPOENAS

On October 16, the Commission filed an application for an order to enforce investigative subpoenas served on Texon Energy Corporation (Texon), Lonestar Petroleum Corporation (Lonestar), and four Texon employees: Tom Miller, Nicholas Bradley, Bill Smith, and Sam Lee. The subpoenas sought documents and testimony from Texon and Lonestar relating to Texon's offering of unregistered securities and sought testimony from Miller, Bradley, Smith and Lee related to their activities as sales agents for securities issued by Texon, a Nevada corporation headquartered in Las Vegas.

Texon, Lonestar and the four individuals have not complied with the subpoenas issued by the Commission. In its motion, the Commission argues, among other things, that Texon, Lonestar and the four individuals have no valid justification for their failure to comply. A hearing on the Commission's motion has not yet been scheduled. [SEC v. Texon Energy

Corporation, Lonestar Petroleum Corporation, Tom Miller, Nicholas Bradley, Bill Smith, and Sam Lee, CV-01-08921 MMM, RZx] (LR-17195)

INVESTMENT COMPANY ACT RELEASES

MET INVESTORS SERIES TRUST AND METROPOLITAN LIFE INSURANCE COMPANY

An order has been issued on an application filed by Met Investors Series Trust (MIT) and Metropolitan Life Insurance Company, pursuant to Section 17(b) of the Investment Company Act granting an exemption from the provisions of Section 17(a) of the Act, to permit certain series of MIT to acquire all of the assets and liabilities of certain other series of MIT. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-25210 – October 15)

MASSMUTUAL INSTITUTIONAL FUNDS, ET AL.

A notice has been issued giving interested persons until November 8, 2001, to request a hearing on an application filed by MassMutual Institutional Funds, et al. for an order under Section 6(c) of the Investment Company Act for an exemption from Section 15(a) of the Act, and Rule 18f-2 under the Act. The order will permit applicants to enter into and materially amend sub-advisory agreements without shareholder approval. (Rel. IC-25211 – October 16)

HOLDING COMPANY ACT RELEASES

CONNECTICUT LIGHT AND POWER COMPANY

An order has been issued authorizing Connecticut Light and Power Company, (CL&P) a wholly owned electric utility subsidiary of Northeast Utilities, a public utility holding company, and CL&P Receivables Corporation (CRC), a wholly owned special purpose subsidiary of CL&P to extend an accounts receivable and sale program (Program), which was suspended on July 11, 2001, through July 8, 2004. Extension of the Program will enable CRC to dividend to CL&P excess cash collected as part of the sale of accounts receivable, which will enable CL&P to accelerate its receipt of anticipated cash collections. (Rel. 35-27453)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED EIGHTEENTH AMENDMENT TO THE ITS PLAN

The Commission approved a proposed amendment to the ITS Plan (File No. 4-208) submitted under Rule 11Aa3-2 of the Securities Exchange Act of 1934 relating to the Pacific Exchange's implementation of the ARCA Facility. Publication of the order is expected in the Federal Register during the week of October 15. (Rel. 34-44923)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The Commission granted accelerated approval to a proposed rule change (SR-Amex-2001-84) submitted by the American Stock Exchange to extend for an additional 90 days its pilot program relating to facilitation cross transactions. Publication of the proposal is expected in the Federal Register during the week of October 15. (Rel. 34-44924)

The Commission granted accelerated approval to a proposed rule change (SR-BSE-2001-05) and Amendment Nos. 1 and 2 thereto filed by the Boston Stock Exchange relating to generic listing standards applicable to the generic listing and trading standards of Trust Issued Receipts pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 15. (Rel. 34-44928)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change filed by the International Securities Exchange (SR-ISE-2001-25) modifying certain monthly fees relating to servers and cabinets located on members' sites and imposing a fee for the production of certain reports has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of October 15. (Rel. 34-44927)

A proposed rule change (SR-Amex-2001-86) filed by the American Stock Exchange relating to an extension of the effectiveness of temporary Amex Rule 220T through and including November 9, 2001, has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of October 15. (Rel. 34-44929)

The Commission issued a notice of filing and immediate effectiveness of a proposed rule change (SR-PCX-2001-35) filed by the Pacific Exchange relating to split-price executions on the PCX's Auto-Ex system. Publication of the notice is expected in the Federal Register during the week of October 22. (Rel. 34-44938)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-Phlx-2001-77) submitted by the Philadelphia Stock Exchange amending Exchange Rule 625, Trading Floor Training,

Equity Floor Procedure Advice F-30, and Options Floor Procedure Advice F-30. (Rel. 34-44930)

PROPOSED RULE CHANGES

The National Association of Securities Dealers, through its subsidiary The Nasdaq Stock Market, Inc., has filed a proposed rule change (SR-NASD-2001-72) relating to Nasdaq National Market System fees charged to non-members. Publication of the proposal is expected in the Federal Register during the week of October 15. (Rel. 34-44931)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-2001-59) relating to fees for historical research reports and licensing the redistribution of information from such reports. Publication of the notice in the Federal Register is expected during the week of October 22. (Rel. 34-44940)

JOINT INDUSTRY PLAN

The Commission approved an extension until November 19, 2001, to the Reporting Plan for Nasdaq/National Market Securities Traded on an Exchange on an Unlisted or Listed Basis (S7-24-89), submitted by the National Association of Securities Dealers, the Pacific Exchange and the Boston, Chicago, Philadelphia and Cincinnati Stock Exchanges. (Rel. 34-44937)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-4 SYNOVUS FINANCIAL CORP, 901 FRONT AVENUE, STE 301, COLUMBUS, GA
31901
(706) 649-2267 - 3,579,157 (\$27,266,828) COMMON STOCK. (FILE 333-71288
-
OCT. 10) (BR. 7)

S-3 NORTHROP GRUMMAN CORP /DE/, 1840 CENTURY PK E,
C/O NORTHROP GRUMMAN CORP, LOS ANGELES, CA 90067 (310) 553-6262 (FILE
333-71290 - OCT. 10) (BR. 5)

S-2 PRO FAC COOPERATIVE INC, 90 LINDEN OAKS, P O BOX 682, ROCHESTER, NY
14625 (716) 383-1850 - 1,500,000 (\$1,500,000) RETAINS. (FILE 333-71292
OCT. 10) (BR. 4)

SB-2 DLD GROUP INC, 140 SOUTH ROOSEVELT AVENUE, STE 2300, PASADENA, CA
91107
(626) 796-4337 - 8,163,266 (\$714,286) COMMON STOCK. (FILE 333-71294 -
OCT. 10) (BR. 9)

S-3 METROMEDIA FIBER NETWORK INC, 360 HAMILTON AVE, 1 NORTH LEXINGTON
AVE,
WHITE PLAINS, NY 10601 (914) 421-6700 - 990,241,383 (\$668,412,934)
COMMON STOCK. (FILE 333-71296 - OCT. 10) (BR. 7)

S-8 TESSA COMPLETE HEALTH CARE INC/GA, 35 FULFORD AVE, SUITE 101, BEL
AIR,
MD 21014 (410) 893-0134 - 20,000,000 (\$600,000) COMMON STOCK. (FILE
333-71298 - OCT. 10) (BR. 9)

S-4 UNION COMMUNITY BANCORP, 221 E MAIN ST, PO BOX 151, CRAWFORDSVILLE,
IN
47933 (765) 362-2400 - 678,967 (\$8,095,351) COMMON STOCK. (FILE 333-
71302
- OCT. 10) (BR. 7)

S-3 STRATUS SERVICES GROUP INC, 500 CRAIG ROAD, 3RD FL, MANALAPAN, NJ
07726
(732) 866-0300 - 5,279,937 (\$5,279,937) COMMON STOCK. (FILE 333-71306
OCT. 10) (BR. 9)

S-3 VISUAL DATA CORP, 1291 SW 29 AVE, STE 3A, POMPANO BEACH, FL 33069
(954) 917-6655 - 2,108,005 (\$1,855,088) COMMON STOCK. (FILE 333-71308
OCT. 10) (BR. 5)

S-8 PAYSTAR CORP, 1110 W KETTLEMAN LN, STE 48, LODI, CA 95240 (209) 339-
0484
- 7,000,000 (\$3,202,500) COMMON STOCK. (FILE 333-71314 - OCT. 10) (BR.
9)

S-4 PSI ENERGY INC, 1000 EAST MAIN STREET, PO BOX 960, PLAINFIELD, IN
46168
(317) 839-9611 - 325,000,000 (\$325,000,000) STRAIGHT BONDS. (FILE
333-71316 - OCT. 10) (BR. 2)

S-3 ALLETE INC, 30 W SUPERIOR ST, DULUTH, MN 55802 (218) 722-2641 -
310,878
(\$7,498,377.36) COMMON STOCK. (FILE 333-71320 - OCT. 10) (BR. 2)

S-8 SCHWAB CHARLES CORP, 120 KEARNEY STREET, SAN FRANCISCO, CA 94104
(415) 627-7000 - 1,275,000 (\$13,655,250) COMMON STOCK. (FILE 333-71322
-
OCT. 10) (BR. 7)

S-3 NIKE INC, ONE BOWERMAN DR, BEAVERTON, OR 97005 (503) 641-6453 -
\$750,000,000 STRAIGHT BONDS. (FILE 333-71324 - OCT. 10) (BR. 6)

S-8 RAD SOURCE TECHNOLOGIES INC, 475 RAMBLEWOOD DR207, CORAL SPRINGS, FL
33071 (954) 755-1827 - 800,000 (\$200,000) COMMON STOCK. (FILE 333-
71326 -
OCT. 10) (BR. 5)

S-8 I FLOW CORP /CA/, 20202 WINDROW DRIVE, LAKE FOREST, CA 92630
(929) 206-2700 - 750,000 (\$1,642,500) COMMON STOCK. (FILE 333-71328 -
OCT. 10) (BR. 5)

S-3 ASK JEEVES INC, 5858 HORTON ST, SUITE 350, EMERYVILLE, CA 94608
(925) 603-9071 - 2,085,271 (\$2,262,519.04) COMMON STOCK. (FILE 333-
71330 -
OCT. 10) (BR. 8)

S-8 EDT LEARNING INC, 2999 NORTH 44TH STREET, SUITE 650, PHOENIX, AZ
85018
(602) 952-1200 - 1,500,000 (\$705,000) COMMON STOCK. (FILE 333-71332 -
OCT. 10) (BR. 1)

S-8 SJNB FINANCIAL CORP, ONE N MARKET ST, SAN JOSE, CA 95113 (408) 947-
7562
- 30,000 (\$1,117,500) COMMON STOCK. (FILE 333-71334 - OCT. 10) (BR. 7)

S-8 ON SEMICONDUCTOR CORP, 5005 EAST MCDOWELL ROAD, PHOENIX, AZ 85008
(602) 244-6600 - 7,000,000 (\$12,110,000) COMMON STOCK. (FILE 333-71336
-
OCT. 10) (BR. 5)

S-8 BROADCOM CORP, 16215 ALTON PARKWAY, IRVINE, CA 92618 (949) 450-8700
-
25,000,000 (\$535,875,000) COMMON STOCK. (FILE 333-71338 - OCT. 10)
(BR. 5)

S-3 AT TRACK COMMUNICATIONS INC, 1155 KAS DRIVE, STE 710, RICHARDSON, TX
75081 (972) 732-2500 - 4,729,737 (\$5,439,197.55) COMMON STOCK. (FILE
333-71340 - OCT. 10) (BR. 7)

S-3 BRUNSWICK CORP, ONE N FIELD CT, C/O MICHAEL SCHMITZ, LAKE FOREST, IL
60045 (847) 735-4700 (FILE 333-71344 - OCT. 10) (BR. 5)

S-3 NEWFIELD EXPLORATION CO /DE/, 363 N SAM HOUSTON PKWY E, STE 2020,
HOUSTON, TX 77060 (281) 847-6000 - \$575,000,000 STRAIGHT BONDS. (FILE
333-71348 - OCT. 10) (BR. 4)

S-3 WASHINGTON POST CO, 1150 15TH ST NW, WASHINGTON, DC 20071 (202) 334-
6000

- 420,000 (\$210,277,200) COMMON STOCK. (FILE 333-71350 - OCT. 10) (BR. 5)
- S-8 OPTICAL SENSORS INC, 7615 GOLDEN TRIANGLE DRIVE, STE A, EDEN PRARIE, MN
55344 (617) 944-5857 - 2,838,964 (\$1,192,364.90) COMMON STOCK. (FILE 333-71354 - OCT. 10) (BR. 5)
- S-8 CDKNET COM INC, 595 STEWART AVE, STE 710, GARDEN CITY, NY 11530
(516) 222-2345 - 500,000 (\$70,000) COMMON STOCK. (FILE 333-71356 - OCT. 10) (BR. 8)
- S-3 SEARS CREDIT ACCOUNT MASTER TRUST II, 3711 KENNETT PIKE,
C/O SEARS RECEIVABLE FINANCING GROUP INC, GREENVILLE, DE 19807
(302) 434-3176 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES.
(FILE 333-71358 - OCT. 10) (BR. 8)
- S-3 VALUECLICK INC/CA, 4360 PARK TERRACE DR, SUITE 100, WESTLAKE
VILLAGE, CA
91361 (805) 684-6060 - 1,890,000 (\$3,742,200) COMMON STOCK. (FILE 333-71360 - OCT. 10) (BR. 2)
- S-3 IKON RECEIVABLES FUNDING LLC, 1733 BASS ROAD, PO BOX 9115, MACON, GA
31208 (212) 259-6338 - 300,000,000 (\$300,000,000) STRAIGHT BONDS.
(FILE 333-71362 - OCT. 10) (NEW ISSUE)
- S-8 CIRRUS LOGIC INC, 4210 SOUTH INDUSTRIAL DR, AUSTIN, TX 78744
(510) 623-8300 - 206,590 (\$1,834,519.20) COMMON STOCK. (FILE 333-71366
OCT. 10) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCENTURE LTD							X	X			10/11/01	AMEND
ACCIDENT PREVENTION PLUS INC	NV						X	X			10/15/01	
ADAPTIVE BROADBAND CORP	DE						X				10/10/01	
ADMIRALTY BANCORP INC	DE						X	X			10/11/01	
ADVANTA BUSINESS SERVICES CORP	DE							X			10/10/01	
ADVANTA BUSINESS SERVICES CORP	DE							X			10/10/01	
ADVANTA EQUIPMENT LEASING RECEIVABL ES SERIES 2000-1 LLC	NV							X			10/10/01	
ADVANTA LEASING RECEIVABLES CORP IV	NV							X			10/10/01	
ADVANTA LEASING RECEIVABLES CORP IX	NV							X			10/10/01	
ADVANTA LEASING RECEIVABLES CORP V	NV							X			10/10/01	
ADVANTA LEASING RECEIVABLES CORP VI	NV							X			10/10/01	
II												
ADVANTICA RESTAURANT GROUP INC	DE						X				10/11/01	
AFFILIATED COMPUTER SERVICES INC	DE						X	X	X		10/03/01	
AIRCRAFT FINANCE TRUST	DE						X				10/15/01	
ALLOY INC	DE		X					X			09/28/01	
ALLSTATE CORP	DE						X	X			10/10/01	
AM COMMUNICATIONS INC	DE		X					X			10/01/01	
AMB PROPERTY CORP	MD						X	X			10/08/01	
AMERICAN EXPRESS CENTURION BANK	UT						X	X			10/15/01	
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE						X	X			10/15/01	
AMERICAN EXPRESS MASTER TRUST	DE						v	X			10/15/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE						X	X			10/15/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE						X	X			10/15/01	
AMERICAN HONDA RECEIVABLES CORP	CA						X				10/17/01	
AMERICREDIT FINANCIAL SERVICES INC	DE						X	X			10/15/01	
AMM HOLDINGS INC	DE						X	X			10/11/01	
ANCHOR HOLDINGS INC	DE						X	X			10/11/01	
APPLE HOSPITALITY TWO INC	VA		X								09/28/01	
ARC WIRELESS SOLUTIONS INC	UT						X	X			08/21/01	
ASCENT ENERGY INC			X					X			09/28/01	
ATPOS COM INC	DE		X					X			08/28/01	
ATPOS COM INC	DE		X					X			09/28/01	AMEND
BANC ONE CREDIT CARD MASTER TRUST	NY						X	X			10/15/01	
BANK OF AMERICA CORP /DE/	DE						X	X	X		10/15/01	
BANKATLANTIC BANCORP INC	FL						X				10/16/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE C INC SERIES 2001-TOP2	NY	X									10/15/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE	X									10/15/01	
BEAZER HOMES USA INC	DE							X			08/01/01	AMEND
BETHLEHEM STEEL CORP /DE/	DE		X				X	X			10/15/01	
BICO INC/PA	PA						X				10/15/01	
BICO INC/PA	PA						X				10/15/01	AMEND
BICO INC/PA	PA						X				10/16/01	
BTI TELECOM CORP	NC						X	X			10/12/01	
CAPITAL ONE AUTO FINANCE TRUST 2001	DE						X	X			10/11/01	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CAPRI CORP	MN					X		X			10/15/01	
CARRINGTON LABORATORIES INC /TX/	TX					X		X			10/15/01	
CATERPILLAR INC	DE					X					10/16/01	
CC MASTER CREDIT CARD TRUST II	MD							X			10/15/01	
CEL SCI CORP	CO					X					10/09/01	
CENDANT CORP	DE		X			X		X			10/01/01	
CHASE FUNDING INC	NY					X		X			10/15/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2	MD					X					08/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD					X					08/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD					X					08/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD					X					08/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD					X					08/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-1	MD					X					08/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2000-2	MD					X					08/31/01	
CHEVY CHASE AUTO RECEIVABLES TRUST 2001-1	MD					X					08/31/01	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD					X					08/31/01	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD					X					08/31/01	
CHITTENDEN CORP /VT/	VT					X					10/05/01	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE					X		X			09/30/01	
CITIBANK CREDIT CARD ISSUANCE TRUST	DE					X					09/25/01	
CITIBANK CREDIT CARD MASTER TRUST I	DE					X					09/25/01	
CITIBANK NEVADA NATIONAL ASSOCIATIO N	DE					X					09/25/01	
CITIBANK SOUTH DAKOTA N A	DE					X					09/25/01	
CITIBANK SOUTH DAKOTA N A	DE					X					09/25/01	
CLEVELAND CLIFFS INC	OH								X		10/16/01	
COCA COLA ENTERPRISES INC	DE								X		10/15/01	
COLONIAL BANCGROUP INC	DE								X		10/16/01	
COLORADO MEDTECH INC	CO					X		X			10/15/01	
COM GUARD COM INC	NV					X					10/05/01	
COMMERCIAL MORTGAGE PASS-THROUGH CE RTIFICATES SERIES 2000-C2	MO					X		X			10/12/01	
COMMODORE MINERALS INC	NV	X			X	X		X			10/01/01	
COMPUTER TASK GROUP INC	NY								X		10/15/01	
CONSECO FINANCE CORP	DE							X			10/11/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE							X			10/11/01	
CONSOLIDATED NATURAL GAS CO/VA	DE					X		X			10/16/01	
CONTINENTAL AIRLINES INC /DE/	DE					X		X			10/15/01	
CORNERSTONE REALTY INCOME TRUST INC	VA		X					X			10/01/01	
CREATIVE HOST SERVICES INC	CA		X					X			10/09/00	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X		X			10/12/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
CRITICAL PATH INC	CA					X	X					10/09/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001 AR14	DE					X	X					09/25/01	
CWABS INC REVOLVING HOME EQ LOAN AS SET BKD NOTES SER 2001-B	DE					X	X					10/15/01	
CYBER CARE INC	FL					X	X					10/11/01	
DBS HOLDINGS INC	NV					X						09/14/01	
DEALER AUTO RECEIVABLES CO LLC	DE					X	X					10/15/01	
DECORIZE INC	TX		X			X	X					07/31/01	AMEND
DELTA AIR LINES INC /DE/	DE					X	X					09/17/01	
DIAMOND OFFSHORE DRILLING INC	DE							X	X			10/15/01	
DIME BANCORP INC	DE					X	X					10/15/01	
DIME COMMUNITY BANCSHARES INC	DE							X	X			07/16/01	
DIMON INC	VA					X	X					10/12/01	
DIRECT III MARKETING INC							X					08/17/01	
DISC INC/CA	CA							X				07/30/01	AMEND
DISCOVER BANK	DE					X	X					10/15/01	
DISCOVER CARD MASTER TRUST I	DE					X	X					10/15/01	
DOUBLECLICK INC	DE					X	X					10/10/01	
DOWNNEY FINANCIAL CORP	DE					X						09/30/01	
DRAGON PHARMACEUTICALS INC									X			10/15/01	
DYNAMIC I-T INC	CO						X					10/15/01	
E TRADE GROUP INC	DE		X					X				10/01/01	
EATON CORP	OH								X			10/15/01	
EDISON BROTHERS STORES INC	DE					X	X					10/11/01	
EDT LEARNING INC	DE		X					X				10/01/01	
EMB CORP	HI		X					X				09/30/01	
EMPIRE DISTRICT ELECTRIC CO	KS								X			10/16/01	
ENDOCARE INC	DE					X	X					10/08/01	
ENTERGY GULF STATES INC	TX					X	X					10/15/01	
EXACT SCIENCES CORP	DE					X	X					10/16/01	
EXCHANGE BANCSHARES INC	OH						X					10/15/01	
EXPRESSO EXPRESS INC	TX		X	X			X	X	X			12/31/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X				10/15/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X				10/15/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X				10/25/01	
FARMER MAC MORTGAGE SECURITIES CORP	DE							X				10/25/01	
FGIC SECURITIES PURCHASE INC	DE					X	X					10/11/01	
FGIC SECURITIES PURCHASE INC	DE						X	X				10/12/01	
FIDELITY NATIONAL INFORMATION SOLUT IONS INC	DE		X					X				08/01/01	AMEND
FINGERHUT RECEIVABLES INC	DE							X				10/15/01	
FIRST CHICAGO MASTER TRUST II	DE					X	X					10/15/01	
FIRST INDIANA CORP	IN					X						09/30/01	
FIRST MERCHANTS CORP	IN					X	X					10/15/01	
FIRST NBC CREDIT CARD MASTER TRUST								X				10/10/01	
FIRST TEAM SPORTS INC	MN					X	X					10/10/01	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC SER 1997 C-1	NC					X						09/18/01	
FIRST USA BANK NA AS SERV ON BEHALF PART CREDIT CARD MAS TR	DE							X				10/15/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FIRST USA BANK NA AS SERVIC ON BEHA LF WACH CRED CARD MAS TR										X	10/15/01	
FIRST USA BANK NATIONAL ASSOCIATION										X	10/15/01	
FIRST USA CREDIT CARD MASTER TRUST	DE				X	X					10/10/01	
FLEET BANK NATIONAL ASSOCIATION /RI	RI				X	X					10/15/01	
/												
FLEET BANK NATIONAL ASSOCIATION /RI	RI				X	X					10/16/01	
/												
FLEET CREDIT CARD MASTER TRUST II	NY				X	X					10/16/01	
FLEMING COMPANIES INC /OK/	OK				X	X					09/05/01	
FNANB CREDIT CARD MASTER TRUST					X	X					09/30/01	
FOG CUTTER CAPITAL GROUP INC	MD				X	X					10/16/01	
FORTUNE BRANDS INC	DE				X	X					10/16/01	
FOURTHSTAGE TECHNOLOGIES INC	DE				X	X					10/16/01	
FOX ENTERTAINMENT GROUP INC	DE		X			X					07/31/01	AMEND
FRD ACQUISITION CO	DE				X						10/11/01	
FRONTLINE CAPITAL GROUP	DE				X						10/16/01	
F2 BROADCAST NETWORK INC	NV				X	X					10/09/01	
G&L REALTY CORP	MD				X	X					10/12/01	
GALAGEN INC	DE					X					08/03/01	AMEND
GAMA COMPUTER CORP	DE	X	X			X					10/01/01	
GENERAL MOTORS ACCEPTANCE CORP	DE				X						10/16/01	
GENERAL MOTORS CORP	DE				X						01/15/01	
GENEVA STEEL HOLDINGS CORP					X	X					10/09/01	
GENOME THERAPEUTICS CORP	MA				X						10/15/01	
GLOBAL MARINE INC	DE						X	X			10/15/01	
GRAND COURT LIFESTYLES INC	DE				X						07/19/01	
GRAPHON CORP/DE	DE				X						12/31/01	
GREENPOINT CREDIT MANUFACTURED HOUS ING CONT TRU SER 2001-2					X	X					10/02/01	
HARLEYSVILLE NATIONAL CORP	PA						X				09/30/01	
HARTFORD FINANCIAL SERVICES GROUP I NC/DE	DE							X			10/16/01	
HEILIG MEYERS CO	VA					X					08/31/01	
HIBERNIA CORP	LA						X	X			09/30/01	
HOT PRODUCTS INC COM	AZ		X				X				10/12/01	
IASIAWORKS INC	DE		X			X	X				10/15/01	
IMAGIS TECHNOLOGIES INC	AL				X	X					09/18/01	
INDIAN RIVER BANKING COMPANY	FL				X	X					10/15/01	
INGERSOLL RAND CO	NJ						X				09/30/01	
INGERSOLL RAND CO	NJ						X				10/16/01	
INTEGRA INC	DE						X				07/27/01	AMEND
INTEGRATED SECURITY SYSTEMS INC	DE				X	X					09/28/01	
INTERNATIONAL BUSINESS MACHINES COR P	NY				X						10/16/01	
INTERNATIONAL ENERGY CONSULTANTS IN C	NV	X					X				10/03/01	
INTERNATIONAL ISOTOPES INC	TX				X	X					10/15/01	
INTERNATIONAL LEASE FINANCE CORP	CA						X				10/12/01	
INTERNETSTUDIOS COM INC	NV				X	X					10/09/01	
INTERSTATE NATIONAL DEALER SERVICES INC	DE				X	X					10/15/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
K TRON INTERNATIONAL INC	NJ					X	X				10/16/01	
KAYDON CORP	DE						X		X		10/15/01	
KFX INC	DE						X				07/31/01	AMEND
KLAMATH FIRST BANCORP INC	OR					X	X				10/11/01	
KOSAN BIOSCIENCES INC	CA					X	X				10/05/01	
LACLEDE STEEL CO /DE/	DE					X	X				10/11/01	
LAFAYETTE BANCORPORATION	IN					X	X				10/15/01	
LASERLOCK TECHNOLOGIES INC	NV					X					12/31/01	
LBP INC	DE					X	X				09/30/01	
LCC INTERNATIONAL INC	DE					X					10/16/01	
LEHMAN ABS CORP	DE					X	X				10/01/01	
LEVEL 8 SYSTEMS INC	DE		X					X			10/01/01	
LIBERTY FINANCIAL COMPANIES INC /MA /	MA					X	X				10/15/01	
LIFEPOINT HOSPITALS INC	DE							X	X		10/15/01	
LONE STAR TECHNOLOGIES INC	DE					X	X				10/15/01	
MAREX COM INC	FL		X				X				10/02/01	
MATLACK SYSTEMS INC	DE		X				X				10/16/01	
MEMC ELECTRONIC MATERIALS INC	DE		X				X				09/30/01	
METRISA INC	DE		X				X				09/30/01	
METTLER TOLEDO INTERNATIONAL INC/ /	DE							X			10/15/01	
MICROFINANCIAL INC	MA					X	X				10/15/01	
MID AMERICA APARTMENT COMMUNITIES I NC	TN								X		10/16/01	
MIDWEST BANC HOLDINGS INC	DE					X					10/15/01	
MILACRON INC	DE					X	X				10/16/01	
MILLINX BUSINESS GROUP INC	DE		X								10/12/01	
MILLS MUSIC TRUST	NY					X					10/10/01	
MOHAWK INDUSTRIES INC	DE					X	X		X		10/15/01	
MOLL INDUSTRIES INC	DE					X	X				10/11/01	
MORGAN STANLEY DEAN WIT CAP I INC C OMM MORT CERT 2001-TOP3			X								10/15/01	
MORGAN STANLEY DEAN WITTER CAPITAL I TRUST 2001-PPM	DE		X								10/15/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001 E	DE					X	X				08/28/01	
MOTIENT CORP	DE					X					10/12/01	
MOTOR CARGO INDUSTRIES INC	UT					X	X				10/15/01	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE					X	X				10/15/01	
NAVISTAR INTERNATIONAL CORP	DE					X					10/16/01	
NEW CENTURY EQUITY HOLDINGS CORP	DE					X	X				10/15/01	
NEW FOCUS INC	CA					X	X				10/15/01	
NEW YORK HEALTH CARE INC	NY					X	X				10/11/01	
NORTH BANCSHARES INC	DE					X					09/30/01	
NORTHERN BORDER PARTNERS LP	DE					X	X				10/15/01	
NORTHERN TRUST CORP	DE					X	X				10/15/01	
NOVO NETWORKS INC	DE					X	X				10/04/01	
NRG ENERGY INC	DE					X	X				10/11/01	
NX NETWORKS INC	DE				X		X				07/16/01	AMEND
OCCIDENTAL PETROLEUM CORP /DE/ /	DE								X		10/16/01	
OCCIDENTAL PETROLEUM CORP /DE/ /	DE						X		X		10/17/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
OMNINET MEDIA CORP	NV	X									09/30/01	
ONSPAN NETWORKING INC	NV				X	X					10/09/01	
OREGON TRAIL FINANCIAL CORP	OR				X	X					10/12/01	
OUTBACK STEAKHOUSE INC	DE							X			10/16/01	
OWENS & MINOR INC/VA/	VA					X	X				10/16/01	
PANHANDLE ROYALTY CO	OK	X					X				10/01/01	
PAREXEL INTERNATIONAL CORP	MA				X		X				10/04/01	AMEND
PARK ELECTROCHEMICAL CORP	NY					X					10/15/01	
PERFORMANCE FOOD GROUP CO	TN	X					X				09/10/01	AMEND
PETROQUEST ENERGY INC	DE					X					10/01/01	
PHARMACEUTICAL PRODUCT DEVELOPMENT INC	NC					X	X				10/16/01	
PHARMOS CORP	NV	X									10/09/01	
PILLOWTEX CORP	TX						X				09/06/01	
PLANET411 COM INC	DE						X				12/12/01	
PNC MORT ACCEPT CORP COMMERC MORT P ASS THR CERT SER 1999 CMI	MO					X	X				10/11/01	
POLAROID CORP	DE					X	X				10/12/01	
POWER EXPLORATION INC	NV					X	X				09/25/01	
PRECISION OPTICS CORPORATION INC	MA					X					10/16/01	
PROMEDCO MANAGEMENT CO	DE						X				08/31/01	
PROVINCE HEALTHCARE CO	DE					X					10/10/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM					X					10/16/01	
QLT INC/BC	Al					X	X				10/01/01	
QUOVADX INC	DE					X	X				09/24/01	
RCS HOLDINGS INC	CO					X	X				07/31/01	
RECKSON ASSOCIATES REALTY CORP	MD						^				10/16/01	
RECKSON OPERATING PARTNERSHIP LP	DE					X					10/16/01	
REDDING BANCORP	CA					X	X				10/14/01	
REGENCY AFFILIATES INC	DE					X	X				10/01/01	
RENAISSANCERE HOLDINGS LTD						X	X				10/15/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			09/26/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/12/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/15/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			10/15/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X	X				10/16/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE							X			09/27/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE							X			09/27/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE							X			09/27/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X				09/27/01	
REVOLVING HOME EQUITY LOAN ASSET BA CKED NOTES SERIES 2001-A	DE					X	X				10/15/01	
RHINO ENTERPRISES GROUP INC	NV	X									10/15/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
RHINO ENTERPRISES GROUP INC	NV	X									10/15/01	
RIVIERA HOLDINGS CORP	NV				X	X					10/16/01	
ROADWAY CORP	DE				X	X					09/24/01	
RSA SECURITY INC/DE/	DE					X					09/04/01	AMEND
RSA SECURITY INC/DE/	DE				X	X					10/11/01	
S&T BANCORP INC	PA				X						09/30/01	
SAF T LOK INC	FL				X	X					10/11/01	
SAKS CREDIT CARD MASTER TRUST	NV				X	X					10/15/01	
SALEM COMMUNICATIONS CORP /DE/	DE	X			X	X					08/24/00	AMEND
SCIOTO DOWNS INC	OH					X					08/01/01	AMEND
SEARS CREDIT ACCOUNT MASTER TRUST I	IL				X	X					10/15/01	
I												
SEITEL INC	DE				X	X					10/16/01	
SELECT COMFORT CORP	MN							X			10/15/01	
SENSORMATIC ELECTRONICS CORP	DE	X			X	X					10/11/01	
SOFTLOCK COM INC	DE				X	X					10/11/01	
SONIC JET PERFORMANCE	CO				X	X					10/08/01	AMEND
SONICBLUE INC	DE					X					08/01/01	AMEND
SPARTA SURGICAL CORP	DE				X						10/16/01	
SPINNAKER INDUSTRIES INC	DE				X	X					10/15/01	
SPORTING MAGIC INC	DE				X						10/10/01	
ST PAUL COMPANIES INC /MN/	MN	X			X	X					09/28/01	
STAFF LEASING INC	FL				X	X					10/10/01	
STERICYCLE INC	DE				X						10/10/01	
STORAGE TECHNOLOGY CORP	DE				X						10/15/01	
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X						09/25/01	
TS INC												

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		1	2	3	4	5	6	7	8	9			
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						09/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						09/25/01	
STRUCTURED PRODUCTS CORP	DE								X			10/01/01	
SUNSOURCE INC	DE		X						X			09/28/01	
SUPREME INDUSTRIES INC	DE				X							10/15/01	
TAG IT PACIFIC INC	DE					X						09/20/01	
TAKE TO AUCTION COM INC						X		X				09/26/01	
TECHSYS INC	NJ								X			10/15/01	AMEND
TELEX COMMUNICATIONS INC	DE					X		X				10/15/01	
TEPPCO PARTNERS LP	DE		X						X			09/30/01	
TERRA INDUSTRIES INC	MD					X		X				10/10/01	
TOLLGRADE COMMUNICATIONS INC \PA\	PA		X						X			09/30/01	
TOTAL SYSTEM SERVICES INC	GA					X		X				10/16/01	
TRAVLANG INC	DE								X			02/15/00	AMEND
TRUSERV CORP	DE					X		X				10/11/01	
TRUSTCO BANK CORP N Y	NY					X						10/16/01	
U S AGGREGATES INC	DE					X						10/15/01	
U S WIRELESS DATA INC	CO					X		X				10/09/01	
UGI CORP /PA/	PA							X				10/15/01	
UNION NATIONAL FINANCIAL CORP / PA	PA		X									09/30/01	
UNION PACIFIC CORP	UT					X		X				10/15/01	
UNITED THERAPEUTICS CORP	DE							Y	X			10/15/01	
UTEK CORP				X					X			09/28/01	
UTG COMMUNICATIONS INTERNATIONAL IN C	DE		X						X			08/15/01	
VALUESTAR CORP	CO					X		X				10/15/01	
VERITAS SOFTWARE CORP /DE/	DE					X		X				10/16/01	
VERTICALNET INC	PA					X		X				10/12/01	
VISION TWENTY ONE INC	FL					X						10/15/01	
WALT DISNEY CO/	DE					X		X				10/15/01	
WASHINGTON GROUP INTERNATIONAL INC	DE					X						10/15/01	
WASHINGTON GROUP INTERNATIONAL INC	DE					X						10/15/01	
WASHINGTON MUTUAL MSC MORT PASS THR OUGH CERT SER 2001-MS11	DE								X		X	10/12/01	
WEBMD CORP /NEW/	DE								X			10/16/01	
WELLS FARGO & CO/MN	DE							X	X			10/16/01	
WELLS REAL ESTATE FUND I	GA		X									10/01/01	
WELLS REAL ESTATE FUND II	GA		X									10/01/01	
WELLS REAL ESTATE FUND II-OW	GA		X									10/01/01	
WESTERN RESOURCES INC /KS	KS							X	X			10/12/04	
WOLFPACK CORP	DE		X	X					X			09/28/01	
WOLFPACK CORP	DE					X						10/15/01	
XETA TECHNOLOGIES INC	OK							X				09/30/01	
5B TECHNOLOGIES CORP	DE		X						X			10/01/01	