

SEC NEWS DIGEST

Issue 2001-193

October 5, 2001

ENFORCEMENT PROCEEDINGS

SEC ALLEGES FRAUD AGAINST GLOBAL ASSET PARTNERS ET AL.

On October 1, the Securities and Exchange Commission (SEC) announced that it obtained permanent injunctive relief in connection with an alleged fraudulent securities offering conducted in Boca Raton, Florida by Global Asset Partners, Ltd., Global Services Group, LLC, and Global Internet Fund Group, Inc. (Global). Among other things, the SEC's complaint, filed on September 28, 2001, alleges that since at least May 1999, Global, through a network of sales agents, made material misrepresentations to investors and prospective investors located worldwide to induce them to purchase so-called pre-initial-public-offering (pre-IPO) stock and exchange-traded securities. Specifically, the SEC's complaint alleges that Global misrepresented when companies could be expected to go public, the price the pre-IPO stock would trade at upon becoming public, the method by which investor accounts were maintained and its track record concerning its operations and expertise. The complaint further alleges that Global and the named individual defendant, William L. Haynes ("Haynes"), raised at least \$7 million from defrauded investors.

Simultaneously with the filing of the complaint, Global, Haynes and Centurion, without admitting or denying the allegations in the complaint, consented to all of the relief requested by the SEC with only the amount of disgorgement and penalties to be determined. Thereafter, the Honorable Donald M. Middlebrooks of the United States District Court for the Southern District of Florida entered an order permanently restraining and enjoining Global and Haynes from future violations of the registration and anti-fraud provisions of the federal securities laws. The Court also entered orders freezing Global's and Haynes' assets, freezing the assets of relief defendant Centurion International, LLC (Centurion), appointing a Receiver over Global, and granting other emergency relief. The SEC's complaint seeks disgorgement from Global, Haynes and Centurion so that funds may be returned to the defrauded investors.

According to the SEC's complaint, filed on September 28, 2001, the defendants have fraudulently raised at least \$7 million from at least 300 investors located in the U.S. and abroad. Among other things, the SEC's complaint alleges that the defendants:

- operated out of their Boca Raton, Florida offices, employing a network of sales agents who used "lead lists" containing the names of professionals in foreign countries and the U.S., to make unsolicited telephone calls to prospective investors during which the sales agents offered investors the opportunity to purchase either well-known, exchange-traded securities or pre-IPO stock;
- misleadingly told investors that the issuers of the private securities would engage in an IPO in the next one to six months at an offering price of two to three times the price defendants were offering the stock;
- sold securities to investors with mark-ups between approximately 800% to 1,600% from the price that defendants paid for the securities;
- misrepresented to investors that it would sell them certain exchange-traded securities and, instead, misappropriated funds that investors sent for the purchase of those securities;
- falsely told investors that they invested in several successful IPOs involving internet companies; and
- significantly exaggerated the expertise of its sales agents.

Upon the SEC's motion, the Court appointed Jane W. Moscovitz, a partner in the law firm of Moscovitz Starkman & Magolnick as Receiver over Global. Among other things, Ms. Moscovitz is responsible for taking control of Global and for marshaling and safeguarding its assets.

The Court's order permanently restrains and enjoins Global and Haynes from from violating Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 [15 U.S.C. §§ 77e(a), 77e(c) and 77q] and Sections 10(b) and 15(a)(1) of the Securities Exchange Act of 1934 [15 U.S.C. §§ 78j(b) and 78o(a)(1)] and Rule 10b-5 thereunder [17 C.F.R. 240.10b-5]. Those sections and rules prohibit certain sales of securities not registered with the Commission, prohibit fraud in the offer and sale, and in connection with the purchase and sale, of securities, and prohibit acting as a broker or dealer while not registered with the Commission.

The SEC acknowledges the assistance of the Central Bank of Ireland and the Securities Commission of the Bahamas with this matter. [SEC v. Global Asset Partners, Ltd., Global Services Group, LLC, Global Internet Fund Group, Inc., William L. Haynes and Relief Defendant, Centurion International, LLC, Case No. 01-8862-CIV, S.D. Fla.] (LR-17173)

SEC COMPLAINT SENDS INTERNET FRAUDSTERS SPINNING

The Securities and Exchange Commission announced today that it filed a complaint in the United States District Court for the Northern District of Texas for securities fraud and registration violations of the federal securities laws against The Balancer Company, Inc. (TBC) as well as TBC's founders and principal officers, Mark Miclette (M. Miclette) and Toni Bonar Miclette (T. Miclette). According to the Commission's complaint, TBC ostensibly developed and marketed a single product known as the Spin Cycle Balancer (Balancer), a washing machine device that purportedly would automatically balance a laundry load during the spin cycle. From February 2000 through April 2001, defendants raised at least \$750,000 from approximately 90 investors located nationwide, in Canada and in the British West Indies. Investment funds were solicited through various means, including the company's internet website, www.spincyclebalancer.com.

In the course of offering and selling the unregistered common stock of TBC, the complaint alleges, defendants engaged in numerous material misrepresentations and omissions concerning, among other matters, the expected rate of return on the investment, the status of the Balancer's development, TBC's business operations, and the use of investor funds. According to the complaint, the defendants represented that TBC was poised to provide immediate and substantial profits to investors. In fact, however, TBC never developed a finished prototype of its product, nor did it achieve any sales or agreements to sell its product in the future. Additionally, the complaint charges, defendants stated that investor funds would be used to develop and market the Balancer, while, in fact, only a fraction of investor funds were used for legitimate purposes. Instead, defendants M. Miclette and T. Miclette diverted a large portion of these investor funds for unauthorized personal and business expenditures. Specifically, the complaint contends that defendants misappropriated as much as \$400,000.00 to pay for personal expenses, such as mortgage and car payments and tuition for the Miclette children's private school, and for wire transfers to persons unrelated to TBC or persons affiliated with TBC who were owed no compensation by the company.

The Commission alleges that, by engaging in the conduct described in the complaint, defendants violated Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (Securities Act) and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10(b)-5 thereunder. The Commission requests that the court permanently enjoin defendants from violating the securities registration and antifraud provisions of the federal securities laws, impose a civil money penalty against them, and order them to account for and disgorge all monies derived from their fraud, plus pay prejudgment interest on that amount. The Commission further requests that the Court issue an order barring M. Miclette and T. Miclette from acting as officer or directors pursuant to Section 20(e) of the Securities Act.

For tips on how to avoid Internet investment schemes, visit <http://www.sec.gov/investor/pubs/cyberfraud.htm>. For more information about Internet fraud, visit <http://www.sec.gov/divisions/enforce/internetenforce.htm>. To report

suspicious activity involving possible Internet fraud, visit <http://www.sec.gov/complaint.shtml>. [SEC v. The Balancer Company, Inc., Mark Miclette and Toni Bonar Micelette] Civ. Action No. 3:01-CV-1975-P, (N.D. Tex., Fort Worth Division) (LR-17174)

HOLDING COMPANY ACT RELEASES

APPALACHIAN POWER COMPANY

An order has been issued authorizing Appalachian Power Company, a wholly owned electric utility subsidiary of American Electric Power Company, Inc., a registered holding company, to enter into a sales agreement with Mason County, West Virginia, to purchase certain pollution control revenue bonds and pollution control refunding bonds. (35-27446)

APPALACHIAN POWER COMPANY

An order has been issued authorizing a proposal filed by Appalachian Power Company, a wholly owned electric utility subsidiary of American Electric Power Company, Inc., a registered holding company, to enter into a sales agreement with the Industrial Development Authority of Russell County, Virginia concerning the financing of certain pollution control facilities.

ALLIANT ENERGY CORPORATION

An order has been issued authorizing a proposal filed by Alliant Energy Corporation (Alliant), a registered holding company, and Alliant Energy Resources, Inc. (AER), its direct nonutility subsidiary, on behalf of itself and AER's nonutility subsidiaries, to issue and sell, directly or indirectly, common stock, preferred stock, long-term debt and other preferred or equity-linked securities. Alliant is also authorized to engage in various additional external and intrasystem financing transactions, to extend to December 31, 2004 the time Alliant may effect certain financing transactions, and to use the proceeds of proposed financings to make investments in exempt wholesale generators and foreign utility companies. The Commission has reserved jurisdiction, pending completion of the record, over Applicant's request for: (1) the issuance by Alliant Energy of any securities that are rated below investment grade; (2) energy marketing activities outside the United States and Canada; (3) other energy-related activities outside the United States; and (4) the use by Alliant Energy of the financing proceeds for investments in EWGs and FUCOs in excess of \$805.7 million, up to \$1.75 billion. (Rel. 35-27448)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change (SR-DTC-2001-11) filed by the Depository Trust Company (DTC). The rule change allows DTC to act upon instructions provided by a Central Matching Service Provider to (1) accept deliver order instructions to settle transactions between DTC participants and (2) collect service fees on behalf of a Central Matching Service Provider from DTC participants. Publication of the order is expected in the Federal Register during the week of October 8. (Rel. 34-44905)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed with the Commission by the American Stock exchange LLC amending Exchange Rule 220 to allow floor brokers to accept orders at the specialist's post (SR-Amex-2001-83) has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 8. (Re. 34-44889)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Government Securities Clearing Corporation (GSCC) filed a proposed rule change (SR-GSCC-2001-09) under Section 19(b)(1) of the Exchange Act that will require GSCC's netting members to pay a funds-only settlement amount owed to GSCC no later than 10:00 a.m. and require GSCC to pay its netting members funds-only settlement payment that it owes by 11:00 a.m. Publication of the proposal is expected in the Federal Register during the week of October 8. (Rel. 34-44907)

The Commission granted accelerated approval to a proposed rule change submitted by the Chicago Board Options Exchange (SR-CBOE-2001-48) under Rule 19b-4 of the Securities Exchange Act of 1934 adopting generic listing standards for Trust Issued Receipts, providing alternate eligibility requirements for component securities of Trust Issued Receipts in certain limited situations, and increasing the permissible weight of the most heavily weighted component stock of Index Portfolio Shares and Index Portfolio Receipts. Publication of the proposal is expected in the Federal Register during the week of October 8. (Rel. 34-44908)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 KEYWORLD INVESTMENTS PLC /ADR/, TIMOTHY F KEANEY 101 BARCLAY ST,
22ND FL, NEW YORK, NY 10286 (212) 815-2129 - 10,000,000 (\$500,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13934 - SEP. 25) (BR. 99
- NEW ISSUE)

S-8 DASSAULT SYSTEMES SA, 9 QUAI MARCEL DASSAULT, B P 310,
92156 SURESNES CEDEX, IO - 2,000,000 (\$66,580,000) FOREIGN COMMON STOCK.
(FILE 333-13938 - SEP. 26) (BR. 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES MORTGAGE TRUST 2001 1 MORTGAG E PASS THR CERTS SE 01 1	DE					X					09/25/01	
AAMES MORTGAGE TRUST 2001 1 MORTGAG E PASS THR CERTS SE 01 1	DE					X					09/25/01	
AAMES MORTGAGE TRUST 2001-2 MORT PA SS THR CERT SER 2001-2	DE					X					09/25/01	
ABRAXAS PETROLEUM CORP	NV					X					10/03/01	
ACTIVISION INC /NY	DE					X	X	X			09/27/01	
ADAPTIVE BROADBAND CORP	DE					X	X				09/20/01	
ADVANCED MICRO DEVICES INC	DE					X	X				09/25/01	
ADVANCED TISSUE SCIENCES INC	DE					X					10/03/01	
AERO SYSTEMS ENGINEERING INC	MN	X				X	X				09/25/01	
AEROVOX INC	DE					X	X				09/19/01	
AF BANKSHARES INC						X	X				08/03/01	AMEND
ALASKA AIR GROUP INC	DE							X			10/03/01	
ALLOS THERAPEUTICS	DE					X					09/24/01	
AMB PROPERTY CORP	MD					X	X				09/21/01	
AMB PROPERTY LP	DE					X	X				09/21/01	
AMERICAN BUSINESS FINANCIAL SERVICE S INC /DE/	DE					X	X				10/01/01	
AMERICAN FINANCIAL HOLDING INC /DE	DE							X			08/10/01	AMEND
AMERICAN TOWER CORP /MA/	DE	X						X			10/02/01	
AMERIQUEST MORT SEC INC FLOAT RATE MORT PA TH CER SER 2001-1	DE					X					09/25/01	
AMERIQUEST MORTGAGE SECURITIES INC	DE							X			09/27/01	
AMTRAN INC	IN					X	X				10/04/01	
ANGSTROM TECHNOLOGIES INC	DE					X					09/20/01	
ANTEON CORP	VA							X			10/03/01	AMEND
APOGENT TECHNOLOGIES INC	WI					X	X				10/03/01	
ARIZONA PUBLIC SERVICE CO	AZ							X			10/02/01	
ASIA GLOBAL CROSSING LTD						X	X				10/04/01	
ASSET BACKED FUND CORP MORT LN ASSE T BCKD CERT SER 2001-AQ1	DE					X					09/20/01	
ASTA FUNDING INC	DE					X	X				08/31/01	
ATCHISON CASTING CORP	KS					X	X				10/03/01	
ATMEL CORP	DE					X	X				09/28/01	
AVALON CABLE HOLDINGS FINANCE INC	DE					X	X				09/24/01	
AVALON CABLE LLC	DE					X	X				09/24/01	
AVANT CORP	DE	X				X					10/03/01	
AVNET INC	NY							X	X		10/03/01	
AWARE INC /MA/	MA					X	X				10/02/01	
BARR LABORATORIES INC	NY					X					09/21/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X		X				09/28/01	
BIOSOURCE INTERNATIONAL INC	DE					X		X				09/26/01	
BLACKHAWK BANCORP INC	WI	X										09/27/01	
CALIBER LEARNING NETWORK INC	MD					X		X				09/24/01	
CARDINAL FINANCIAL CORP	VA					X		X				10/01/01	
CARMIKE CINEMAS INC	DE					X		X				09/28/01	
CELLEGY PHARMACEUTICALS INC	CA					X		X				10/02/01	
CELSION CORP	MD					X						08/09/01	
CENTRE CAPITAL CORP /NV/	NV			X								10/01/01	
CHARTER COMMUNICATIONS HOLDINGS CAP ITAL CORP	DE					X		X				10/01/01	
CHARTER COMMUNICATIONS HOLDINGS LLC	DE					X		X				10/01/01	
CHASE FUNDING INC	NY					X		X				10/03/01	
CHEC FUNDING LLC	DE					X		X				10/02/01	
CHEROKEE INTERNATIONAL LLC	CA					X		X				09/26/01	
CHESAPEAKE ENERGY CORP	OK					X		X				10/02/01	
CIRRUS LOGIC INC	DE					X		X				10/01/01	
CITIZENS CAPITAL CORP	TX		X					X				10/02/01	
COAST FEDERAL LITIGATION CONTINGENT PAYMENT RIGHTS TRUST	DE					X		X				10/03/01	
COASTAL CARIBBEAN OILS & MINERALS L TD	DO					X						10/01/01	
COLLINS & AIKMAN CORP	DE		X					X				09/21/01	
COMMUNITY WEST BANCSHARES /	CA					X		X				10/04/01	
CONESTOGA ENTERPRISES INC	PA					X						10/03/01	
CONSECO INC	IN					X		X				10/02/01	
CORECOMM LTD /DE/	DE					X		X				10/02/01	
CORECOMM LTD /DE/	DE					X		X				10/05/01	
CORNING INC /NY	NY					X						10/03/01	
COTT CORP /CN/						X		X				09/25/01	
COUNTRYWIDE CREDIT INDUSTRIES INC	DE								X			10/04/01	
CROWN MEDIA HOLDINGS INC	DE		X			X		X				09/28/01	
CWMBS INC	DE					X						09/19/01	
CWMBS INC	DE					X						09/19/01	
DATASTREAM SYSTEMS INC	DE					X						12/31/01	
DEAN WITTER REALTY INCOME PARTNERSH IP III LP	DE					X						09/11/01	
DEAN WITTER REALTY YIELD PLUS II LP	DE					X						09/11/01	
DEAN WITTER REALTY YIELD PLUS L P	DE					X						09/11/01	
DEERE & CO	DE							X				10/03/01	
DEVON ENERGY CORP/DE	DE					X		X				10/03/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
DICOM IMAGING SYSTEMS INC	NV					X		X			10/02/01	
DIGEX INC/DE	DE					X		X			10/01/01	
DIRECT FOCUS INC	WA		X					X			09/20/01	
DISCOVER CARD MASTER TRUST I	DE					X					09/28/01	
DONINI INC	NJ					X		X			09/05/01	AMEND
DURA AUTOMOTIVE SYSTEMS INC	DE								X		10/04/01	
E REX INC	NV								X		09/30/01	
E SYNERGIES INC	CA		X					X			07/19/01	AMEND
E SYNERGIES INC	CA					X		X			09/28/01	
EAGLE FAMILY FOODS HOLDINGS INC	DE		X					X			09/19/01	
EAGLE FAMILY FOODS INC	DE		X					X			09/19/01	
EDISON INTERNATIONAL	CA						X	X			10/03/01	
EL PASO ENERGY PARTNERS LP	DE						X	X			10/03/01	
ENTERASYS NETWORKS INC /DE/	DE								X		09/28/01	
EQUITY ONE INC	MD						X				09/30/01	
ETOYS INC	DE						X	X			09/20/01	
EVOLVE SOFTWARE INC	DE						X	X			09/24/01	AMEND
EXCELON CORP	DE		X					X			09/19/01	
FBI FRESH BURGERS INTERNATIONAL		X				X		X			09/29/01	
FINET COM INC	DE						X	X			10/03/01	
FINX GROUP INC	NY		X				X	X			09/19/01	
FIRST BANCTRUST CORP	DE						X	X			10/03/01	
FIRST CHOICE HEALTH NETWORK INC	WA					X					09/30/01	
FIRST LEESPORT BANCORP INC	PA						X	X			09/19/01	
FMC CORP	DE						X				09/28/01	
FNANB CREDIT CARD MASTER TRUST							X	X			01/31/01	AMEND
FREERELTIME COM INC	DE						X	X			08/21/01	
FRIEDMANS INC	DE								X		10/04/01	
FX ENERGY INC	NV							X	X		10/03/01	
G&L REALTY CORP	MD						X	X			09/28/01	
GASCO ENERGY INC	NV							X	X		10/04/01	
GENERAL MOTORS ACCEPTANCE CORP	DE						X				09/28/01	
GENERAL MOTORS CORP	DE						X				09/18/01	
GENESCO INC	TN								X		10/03/01	
GENESEE & WYOMING INC	DE		X					X			10/01/01	
GENESIS HEALTH VENTURES INC /PA	PA			X				X			09/20/01	
GENOME THERAPEUTICS CORP	MA						X				10/04/01	
GERALD STEVENS INC/	FL						X	X			09/20/01	
GLIATECH INC	DE						X	X			10/01/01	
GLOBAL CROSSING LTD							X	X			10/04/01	
GLOBAL PREFERRED HOLDINGS INC	DE						X	X			10/04/01	
GUNTHER INTERNATIONAL LTD	DE						X				10/03/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
IKON RECEIVABLES LLC	DE					X						09/21/01	
INHALE THERAPEUTIC SYSTEMS INC	DE		X						X			01/08/01	AMEND
INHALE THERAPEUTIC SYSTEMS INC	DE		X						X			06/29/01	AMEND
INSILCO HOLDING CO	DE				X				X			09/24/01	AMEND
INSILCO TECHNOLOGIES INC	DE				X				X			09/24/01	AMEND
INTEGRATED INFORMATION SYSTEMS INC	DE					X			X			09/28/01	
INTERALLIED GROUP INC /NV/	NV				X							08/24/01	AMEND
INTERNATIONAL LEASE FINANCE CORP	CA					X						10/02/01	
INTERNATIONAL LEASE FINANCE CORP	CA								X			10/03/01	
INTERNET PICTURES CORP	DE					X			X			09/26/01	
KERR MCGEE CORP /DE	DE					X			X			10/02/01	AMEND
KOALA CORP /CO/	CO					X			X			10/03/01	
KOPIN CORP	DE					X			X			09/25/01	
KPMG CONSULTING INC	DE					X			X			10/02/01	
LIFE FINANCIAL CORP	DE					X			X			09/25/01	
LIGHTBRIDGE INC	DE					X			X			10/02/01	
LIL MARC INC	NV					X						08/31/01	
LINDSAY MANUFACTURING CO	DE				X							10/01/01	
LODGIAN INC	DE					X			X			10/02/01	
MARK SOLUTIONS INC	DE					X						09/27/01	
MARRIOTT INTERNATIONAL INC /MD/	DE					X			X			10/04/01	
MASTERPIECE TECHNOLOGY GROUP INC	UT				X				X			04/02/01	AMEND
MATTHEWS STUDIO EQUIPMENT GROUP	CA				X							09/19/01	
MEDCOM USA INC	DE					X						09/20/01	
MEDICINES CO/ MA	DE					X			X			10/03/01	
METAWAVE COMMUNICATIONS CORP	DE					X			X			10/02/01	
METRICOM INC / DE	DE					X			X			09/19/01	
MEXICAN RESTAURANTS INC	TX					X			X			10/02/01	
MICREL INC	CA					X						10/03/01	
MICRO LABORATORIES INC	NV					X						10/04/01	
MICROLOG CORP	VA					X			X			09/25/01	
MIDWEST BANC HOLDINGS INC	DE					X			X			10/04/01	
MIIX GROUP INC	DE					X						09/21/01	
MILLENNIUM CHEMICALS INC	DE					X						10/01/01	
MILLER INDUSTRIES INC /TN/	TN					X			X	X		09/24/01	
MINNESOTA CORN PROCESSORS LLC	CO					X			X			10/04/01	
MIRANT AMERICAS GENERATING INC	DE					X						10/03/01	
MIRANT CORP	DE					X						10/03/01	
MONEY STORE ASSET BACKED CERTIFICAT ES SERIES 1998-B						X			X			09/17/01	
MONEY STORE HOME EQUITY LOAN TRUST 1998-A	NJ					X			X			09/17/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
MONEY STORE HOME IMPROVEMENT LOAN B ACKED CERT SERIES 1998-I						X		X				09/17/01	
MONEY STORE RESIDENTIAL TRUST 1998-I	NJ					X		X				09/17/01	
MONEY STORE TRUST 1998 C						X		X				09/17/01	
MONSANTO CO /NEW/	DE							X		X		10/03/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC	DE					X		X				10/03/01	
MULTICARE COMPANIES INC	DE			X				X				09/20/01	
NATIONAL BANCSHARES CORP /OH/	OH					X		X				10/02/01	
NATIONAL STEEL CORP	DE					X		X				10/03/01	
NETMANAGE INC	DE					X		X				10/02/01	
NEWFIELD EXPLORATION CO /DE/	DE							X				10/04/01	
NEXTEL COMMUNICATIONS INC	DE					X		X				10/03/01	
NEXTEL COMMUNICATIONS INC	DE					X		X				10/04/01	
NORTEL NETWORKS CORP						X		X				10/02/01	
NORTEL NETWORKS LTD						X		X				10/02/01	
NORTH VALLEY BANCORP	CA					X		X				09/28/01	
NOVOSTE CORP /FL/	FL							X		X		10/03/01	
NTELOS INC	VA					X						10/03/01	
NTL INC/DE/	DE					X		X				10/03/01	
ODETICS INC	DE					X		X				09/13/01	
OMNISKY CORP						X		X				10/03/01	
OPEN PLAN SYSTEMS INC	VA					X		X				10/01/01	
ORBIT E COMMERCE INC	ID					X						09/07/01	
ORIENT EXPRESS HOTELS LTD						X						09/25/01	
PACIFICARE HEALTH SYSTEMS INC /DE/	DE					X		X				10/03/01	
PARAMARK ENTERPRISES INC	DE	X						X				09/22/01	
PAREXEL INTERNATIONAL CORP	MA					X		X				10/04/01	
PARTNERRE LTD						X		X				10/02/01	
PEOPLES FINANCIAL CORP \OH\	OH					X						10/02/01	AMEND
PETROQUEST ENERGY INC	DE					X						10/01/01	
PHONE1GLOBALWIDE INC	DE					X		X				10/03/01	
POWERBRIEF INC/TX/	TX			X				X				10/01/01	
POWERCOLD CORP	NV					X						10/03/01	
PRICELINE COM INC	DE									X		10/01/01	
PRIMECORE MORTGAGE TRUST INC	MD					X						10/02/01	
PRINCETON VIDEO IMAGE INC	NJ	X	X					X				09/20/01	
PRINTWARE INC	MN					X						10/03/01	
PROFESSIONAL DETAILING INC	DE					X		X				10/01/01	
PROFESSIONAL DETAILING INC	DE					X						10/02/01	
PROVINCE HEALTHCARE CO	DE					X		X				10/01/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
RAMTRON INTERNATIONAL CORP	DE					X						10/04/01	
RENAISSANCE MEDIA CAPITAL CORP	DE					X	X					09/24/01	
RENAISSANCE MEDIA GROUP LLC	DE					X	X					09/24/01	
RENAISSANCE MEDIA TENNESSEE LLC	DE					X	X					09/24/01	
RENAISSANCERE HOLDINGS LTD						X	X					10/02/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X					09/21/01	
RIPPED CANADA ARTISTS INC /						X						04/23/01	
RIVIERA HOLDINGS CORP	NV					X	X					10/03/01	
SAFETY COMPONENTS INTERNATIONAL INC	DE					X	X					09/27/01	
SAGEMARK COMPANIES LTD	NY		X					X				09/18/01	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE							X				09/28/01	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE							X				09/28/01	
SANDERS MORRIS HARRIS GROUP INC	TX				X			X				09/28/01	
SECURITY ASSOCIATES INTERNATIONAL I NC	DE					X						10/03/01	
SEDONA CORP	PA							X	X			10/04/01	
SENIOR CARE INDUSTRIES INC	NV						X					09/24/01	
SENIOR HOUSING PROPERTIES TRUST	MD					X	X					10/03/01	
SENIOR HOUSING PROPERTIES TRUST	MD					X	X					10/03/01	AMEND
SHURGARD STORAGE CENTERS INC	WA					X						10/01/01	
SIERRA HEALTH SERVICES INC	NV					X						10/01/01	
SIMPLETECH INC	CA					X	X					09/27/01	
SOUTHERN CALIFORNIA EDISON CO	CA					X	X					10/03/01	
SOUTHERN MISSOURI BANCORP INC	DE					X	X					09/28/01	
SOUTHWEST AIRLINES CO	TX					X						09/30/01	
STILWELL FINANCIAL INC	DE					X	X					10/03/01	
SURGICAL SAFETY PRODUCTS INC	NY					X	X					10/01/01	
SUSSEX BANCORP	NJ					X	X					10/01/01	
TIFFANY & CO	DE					X						10/03/01	
TMS MORTGAGE INC	NJ					X	X					09/17/01	
TMS MORTGAGE INC	NJ					X	X					09/17/01	
TOYOTA AUTO FINANCE RECEIVABLES LLC	DE					X	X					09/20/01	
TOYOTA MOTOR CREDIT CORP	CA					X	X					09/20/01	
TRIDON ENTERPRISES INC	CO							X				08/24/01	AMEND
TRIDON ENTERPRISES INC	CO							X	X			10/02/01	
TYSON FOODS INC	DE					X	X					09/28/01	
U S WIRELESS DATA INC	CO					X						10/03/01	
UNILAB CORP /DE/	DE								X			10/03/01	
UNITED RENTALS INC /DE	DE					X	X					09/28/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
US AIRWAYS GROUP INC	DE					X		X			10/04/01	
US AIRWAYS INC	DE					X		X			10/04/01	
V TWIN HOLDINGS INC	DC			X							10/01/01	
VERIZON COMMUNICATIONS INC	DE					X		X			10/03/01	
VERIZON COMMUNICATIONS INC	DE					X		X			10/03/01	AMEND
VOICEFLASH NETWORKS INC	FL			X				X			10/03/01	AMEND
WACKENHUT CORP	FL					X		X			10/03/01	
WALL STREET DELI INC	DE		X					X			10/01/01	
WALT DISNEY CO/	DE							X	X		10/03/01	
WASHINGTON GAS LIGHT CO	DC					X					10/04/01	
WASHINGTON GROUP INTERNATIONAL INC	DE				X			X			10/03/01	
WASHINGTON MUTUAL MORTGAGE SECURITI ES CORP	DE					X		X			10/04/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			09/21/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			09/21/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			10/04/01	
WESTWOOD CORP/NV/	NV					X					09/27/01	
WEYERHAEUSER CO	WA					X					09/28/01	
WGL HOLDINGS INC	VA					X					10/04/01	
WILEY JOHN & SONS INC	NY		X								09/21/01	
WIRE ONE TECHNOLOGIES INC	DE		X					X			07/17/01	AMEND
WIRELESS VENTURES INC	DE					X	X				10/02/01	
WITTER DEAN REALTY INCOME PARTNERSH IP I LP	DE					X					09/11/01	
WITTER DEAN REALTY INCOME PARTNERSH IP II LP	DE					X					09/11/01	
WITTER DEAN REALTY INCOME PARTNERSH IP IV L P	DE					X					09/11/01	
WYNDHAM INTERNATIONAL INC	DE					X		X			10/02/01	
XEROX CORP	NY					X					10/03/01	
XIN NET CORP	FL					X		X			10/03/01	
XL CAPITAL LTD						X		X			10/04/01	
YORK WATER CO	PA	X									10/02/01	
YOUTICKET COM INC	NV								X		09/30/01	
ZIASUN TECHNOLOGIES INC	NV		X			X		X			09/27/01	

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