

C.2

SEC NEWS DIGEST

Issue 2001-158

August 15, 2001

ENFORCEMENT PROCEEDINGS

DEL MAR, CA, BROKER-DEALER, OWNER, ASSOCIATE, SANCTIONED FOR FRAUD

Del Mar Financial Services, Inc. (Del Mar), a Del Mar, CA, broker-dealer; its owner, Kevin C. Dills; and an associate, Jai Chaudhuri, have been sanctioned after a hearing before an administrative law judge. Dills and Del Mar were ordered to pay a total of \$1,701,677.50 in fines and disgorgement and were barred from the securities industry. Chaudhuri, who holds no securities licenses, was ordered to disgorge ill-gotten gains of \$103,550 and to cease and desist from further violations. The law judge concluded that they violated antifraud and other provisions of the federal securities laws.

The law judge found that Del Mar, Dills, and Chaudhuri engaged in a scheme to promote the stock of Comparator Systems Corporation (Comparator) during 1995 in which Dills received kickbacks that he did not disclose to customers who bought the stock. In a series of convoluted steps, Chaudhuri obtained stock at discount prices, which Dills sold through Del Mar to targeted customers at market prices. Dills assured the customers that he was receiving only a nominal commission on the sales, when he was actually receiving substantial kickbacks. Chaudhuri kicked back about \$500,000 of the profits to Dills and retained about \$100,000 for himself.

Then, in May 1996, Comparator stock soared during four days of frenzied trading. Dills advised Del Mar customers to sell, and the flood of orders overwhelmed Del Mar's one-man trading operation on Friday, May 3, and Monday, May 6, 1996. A mismatch of customer and market maker orders and other trading errors left Del Mar liable to pay out more than \$500,000. Dills addressed this problem by lowering prices after the fact on many customer trades and giving the customers false explanations when they complained.

Del Mar's trader and clearing firm were cleared of charges of wrongdoing. (Initial Decision No. 188; File No. 3-9959)

JOAN FLEENER, JMC INTERNATIONAL INC., CAROLLA HOPKINS AND NORTHSTAR, INC. ORDERED TO CEASE AND DESIST FROM CAUSING VIOLATIONS OF THE ANTIFRAUD PROVISIONS OF THE SECURITIES ACT OF 1933

The Commission announced that on August 14 the Commission simultaneously instituted and settled administrative proceedings against Joan L. Fleener (Fleener) of San Bruno, California, Carolla S.R. Hopkins (Hopkins) of Yreka, California, JMC International, Inc. (JMC) and Northstar Inc. (Northstar) (collectively, Respondents), both private Nevada corporations. Fleener, age 61, is the President of JMC. Hopkins, age 50, is Vice President and Secretary of Northstar. Beginning in 1997, Fleener and Hopkins caused their respective corporations, JMC and Northstar, to each issue a revenue bond with a face value of \$250 million and took additional actions, including drafting and disseminating fraudulent private placement memoranda for the bonds, to create the false impression that the instruments were genuine debt securities. Beginning in 1999, with Respondents' and others' knowledge, two promoters used the fraudulent private placement memoranda to solicit offers to purchase the bonds. Respondents settled the administrative proceedings by consenting, without admitting or denying the Commission's findings, to the entry of the Commission's order: (1) finding that Respondents caused violations of Sections 17(a)(1) and 17(a)(3) of the Securities Act; and (2) ordering Respondents to cease and desist committing or causing any violation or any future violation of Sections 17(a)(1) and 17(a)(3) of the Securities Act. (Rel. 33-8000; File No. 3-10558)

SEC SUES FOUR INDIVIDUALS AND THEIR COMPANIES FOR FRAUD IN THE OFFER OF \$500 MILLION OF CORPORATE REVENUE BONDS

The Commission announced that on August 14 the Commission filed a civil injunctive action in the United States District Court for the Northern District of Illinois against Kirk I. Koskella (Koskella), O. Jay Neeley (Neeley) and their company, ELTC Limited (ELTC Limited) (collectively, Defendants), alleging that the Defendants engaged in fraud in the offer of two worthless Corporate Revenue Bonds with an aggregate face value of \$500 million. Specifically, Joan L. Fleener (Fleener), age 61, of San Bruno, California, and Carolla S.R. Hopkins (Hopkins), age 50, of Yreka, California, caused their respective corporations, JMC International, Inc. (JMC) and Northstar, Inc. (Northstar), which had no assets or operating income, to each issue a worthless \$250 million face value bond. The Commission's Complaint alleges that Fleener and Hopkins also took additional actions, including drafting and disseminating fraudulent private placement memoranda for the bonds, to create the false impression that the instruments were genuine debt securities. The Complaint also alleged that, in 1999, the bonds were assigned to Koskella and Neeley. Thereafter, Koskella and Neeley, through ELTC, used the fraudulent private placement memoranda to solicit offers from various institutional investors and lenders to purchase the bonds or to provide them with a margin loan or commercial loan for the face value of the bonds. The Commission also alleged that, by these activities, Koskella, Neeley and ELTC violated Sections 17(a)(1) and 17(a)(3) of the Securities Act. In addition to an injunction, the Complaint also seeks civil penalties against Koskella and Neeley. The

Commission also announced that on August 14, 2001 it simultaneously instituted and settled the administrative proceedings against Fleener, Hopkins, JMC and Northstar (collectively, Respondents). Respondents settled the administrative proceedings against them by consenting, without admitting or denying the Commission's findings, to the entry of the Commission's order: (1) finding that Respondents caused Koskella, Neeley and ELTC's violations of Sections 17(a)(1) and 17(a)(3) of the Securities Act; and (2) ordering Respondents to cease and desist from committing or causing any violation or any future violation of Sections 17(a)(1) and 17(a)(3) of the Securities Act. [SEC v. Kirk I. Koskella, O. Jay Neeley and ELTC Limited, Civil Action No. 01-C-6227, WJH, ND Ill.] (LR-17102)

INVESTMENT COMPANY ACT RELEASES

NATIONWIDE MUTUAL FUNDS AND VILLANOVA MUTUAL FUND CAPITAL TRUST

An order has been issued on an application filed by Nationwide Mutual Funds and Villanova Mutual Fund Capital Trust for an exemption from Section 17(a) of the Investment Company Act. The order permits a series of Nationwide Mutual Funds, an open-end management investment company, to acquire all of the assets, net of liabilities, of another series of Nationwide Mutual Funds. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-25108 – August 14)

HOLDING COMPANY ACT RELEASES

AMERICAN ELECTRIC POWER COMPANY, INC., ET AL.

A supplemental order has been issued authorizing a release of jurisdiction over a proposal by American Electric Power Company, Inc. (AEP), a registered public utility holding company, AEP Resources (Resources) and AEP Energy Services, Inc. (AEPES), (collectively, Applicants), both wholly-owned nonutility subsidiaries of AEP, and the special purpose financing subsidiaries of Applicants (Special Purpose Subsidiaries). AEP and the Special Purpose Subsidiaries directly owned by AEP have been authorized to issue unsecured long-term borrowings and to provide guaranties of long-term indebtedness issued by any Special Purpose Subsidiary for the purpose of financing the previously authorized acquisition of certain energy assets (Energy Assets) or the equity securities of a company substantially all of whose physical properties consist of the same kinds of energy assets (Energy Asset Companies). The Special Purpose Subsidiaries of AEPES and Resources have been authorized to issue long-term borrowings and to provide guaranties of indebtedness issued by any Special Purpose Subsidiary for the purpose of financing the acquisition of Energy Assets or Energy Asset Companies. The

authorization is granted through December 31, 2003 and subject to the investment limitation of \$2 billion. (Rel. 35-27432)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-Phlx-2001-70) filed by the Philadelphia Stock Exchange extending the Pilot Program for Exchange Rule 98, Emergency Committee until November 30, 2001, has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice in the Federal Register is expected during the week of August 13. (Rel. 34-44653)

A proposed rule change filed by the Chicago Board Options Exchange, and Amendment No.1 thereto, adopting a new interpretation of an existing rule relating to the display of indicative spread prices (SR-CBOE-2001-41) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 13. (Rel. 34-44683)

A proposed rule change filed by the Philadelphia Stock Exchange, and Amendment No.1 thereto, establishing obligations of AUTOM Order Entry Firms and Users and prohibiting entry of multiple orders via AUTOM for the account(s) of the same owner of beneficial interest for the same option within 15 seconds (SR-Phlx-2001-58) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 13. (Rel. 34-44687)

A proposed rule change filed by the Pacific Exchange extending its Automated Opening Rotation pilot program for one year (SR-PCX-2001-31) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of August 13. (Rel. 34-44688)

PROPOSED RULE CHANGES

The New York Stock Exchange filed a proposed rule change (SR-NYSE-2001-17) to amend the Exchange's allocation policy and procedures. Publication of the proposal is expected in the Federal Register during the week of August 13. (Rel. 34-44676)

A proposed rule change (SR-PCX-00-45) has been filed by the Pacific Exchange to eliminate position and exercise limits for certain qualified hedge strategies. Publication of the proposal is expected in the Federal Register during the week of August 13. (Rel. 34-44680)

A proposed rule change (SR-CBOE-00-12) has been filed by the Chicago Board Options Exchange to eliminate position and exercise limits for certain qualified hedge strategies. Publication of the proposal is expected in the Federal Register during the week of August 13. (Rel. 34-44681)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the Philadelphia Stock Exchange (SR-Phlx-2001-54) to eliminate the requirement that the three core members of the Equity and Options Allocation, Evaluation, and Securities Committees who conduct a public securities business be the same people for both committees. (Rel. 34-44682)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Options Clearing Corporation filed a proposed rule change (SR-OCC-2001-08) that will allow OCC to set options' marking prices at the arithmetic average of the best bid and best offer across all exchanges listing the options for the purposes of calculating premium margin. Publication of the proposal is expected in the Federal Register during the week of August 13. (Rel. 34-44689)

JOINT INDUSTRY PLAN

The Commission approved an extension until September 19, 2001, to the Reporting Plan for Nasdaq/National Market securities traded on an exchange on an unlisted or listed basis, (S7-24-89), submitted by the National Association of Securities Dealers, Pacific Exchange and Boston Stock Exchange, Cincinnati Stock Exchange, and Philadelphia Stock Exchange. (Rel. 34-44694)

WITHDRAWAL GRANTED

An order has been issued granting the application of the Philadelphia Stock Exchange to withdraw certain underlying securities as option classes, effective at the opening of business on August 14, 2001. (Rel. 34-44686)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 UNITED DOMINION INDUSTRIES LIMITED, 2300 ONE FIRST UNION CENTER,
301 SOUTH COLLEGE STREET, CHARLOTTE, NC 28202 (704) 347-6800 -
2,265,724
(\$60,678,920.87) FOREIGN COMMON STOCK. (FILE 333-8230 - JAN. 22) (BR.
6)
- F-6 NEWSPLAYER GROUP PLC /ADR/ - 20,000,000 (\$1,000,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13774 - JUL. 31) (BR.
99
- NEW ISSUE)
- F-6 TULLOW OIL PLC /FI, 1ST FLOOR AMES HOUSE, 6 DUKE OF YORK STREET,
LONDON,
X0 00000 - 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON
STOCK.
(FILE 333-13778 - AUG. 03) (NEW ISSUE)
- S-8 P&O PRINCESS CRUISES PLC, 77 NEW OXFORD ST, LONDON WC1A 1PP ENGLAND,
X0
00000 - 900,000 (\$4,941,000) FOREIGN COMMON STOCK. (FILE 333-13794 -
AUG. 06) (BR. 5)
- F-6 GRUPO GIGANTE S A DE C V /ADR/, 111 WALL ST, C/O CITIBANK NA, NEW
YORK,
NY 10043 (212) 657-7531 - 10,000,000 (\$500,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13798 - AUG. 07)
- S-3 MACROCHEM CORP, 110 HARTWELL AVE, LEXINGTON, MA 02173 (617) 862-4003
-
1,879,256 (\$12,102,408) COMMON STOCK. (FILE 333-67058 - AUG. 07) (BR.
1)
- S-1 GLOBAL MACRO TRUST, C/O MILLBURN CORP, 411 WEST PUTNAM AVENUE,
GREENWICH, CT 06830 (203) 625-7554 - \$5,000,000
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-67072 - AUG. 08)
(NEW ISSUE)
- S-3 GENEREX BIOTECHNOLOGY CORP, 33 HARBOUR SQ, STE 202,
TORONTO ONTARIO CANA, A1 (416) 364-2551 - 3,187,048 (\$36,213,511.11)
COMMON STOCK. (FILE 333-67118 - AUG. 08) (BR. 1)
- S-1 VETERINARY CENTERS OF AMERICA INC, 12401 WEST OLYMPIC BOULEVARD,
LOS ANGELES, CA 90064 (310) -58-4-65 - 241,500,000 (\$241,500,000)
COMMON STOCK. (FILE 333-67128 - AUG. 09) (BR. 4)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACCLAIM ENTERTAINMENT INC	DE				X	X					08/03/01	
ACT TELECONFERENCING INC	CO						X	X			08/14/01	
ADMIRALTY BANCORP INC	DE				X	X					08/07/01	
AIRGATE PCS INC /DE/	DE						X	X			08/13/01	
AIRPLANES LTD	DE				X						08/13/01	
AIRPLANES US TRUST	DE				X						08/13/01	
ALLOY ONLINE INC	DE				X	X					08/07/01	
AMERICAN MEDICAL SECURITY GROUP INC	WI				X						08/09/01	
AMERICAN RETIREMENT CORP	TN							X			08/14/01	
ARAOVA COMMUNICATIONS INC	DE				X	X					08/14/01	
ARRIS GROUP INC	DE		X				X				08/03/01	
ARS NETWORKS INC	NH				X						08/09/01	
ATRIUM COMPANIES INC	DE				X	X					07/31/01	
AVERT INC	CO				X						04/26/01	
AVERT INC	CO				X						07/02/01	
AVERT INC	CO				X						07/27/01	
BALCHEM CORP	MD		X				X				07/31/01	AMEND
BANK OF AMERICA MOR SEC INC MOR PAS S THR CER SER 2001-C	DE	X									07/25/01	
BE AEROSPACE INC	DE				X	X					08/13/01	
BETHLEHEM CORP	PA			X							07/12/01	AMEND
BICO INC/PA	PA				X						08/10/01	
BINGO COM INC	FL			X		X					08/07/01	
BRIO TECHNOLOGY INC	DE				X	X					08/08/01	
BULL RUN CORP	GA			X		X					08/07/01	
CABLETEL COMMUNICATIONS CORP					X	X					07/31/01	
CALIFORNIA MICRO DEVICES CORP	CA				X						08/06/01	
CANADIAN DERIVATIVES CLEARING CORP					X	X					07/12/01	
CAPITAL ONE MASTER TRUST	VA				X	X					08/10/01	
CENTRAL PARKING CORP	TN	X									08/08/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CENTRAL PARKING CORP	TN	X									08/09/01	
CHAMPION INDUSTRIES INC	WV				X	X					07/31/01	
CHEC FUNDING LLC	DE				X	X					07/25/01	
CHESAPEAKE ENERGY CORP	OK				X						08/13/01	
CIPHERGEN BIOSYSTEMS INC	DE		X				X				07/31/01	
CIRRUS LOGIC INC	DE					X	X				08/09/01	
COLUMBIA BANKING SYSTEM INC	WA				X	X					08/09/01	
CONTROLLED ENVIRONMENTAL AQUACULTUR E TECHNOLOGY INC	CO	X									08/07/01	AMEND
CORECOMM LTD /DE/	DE				X	X					08/14/01	
CRIIMI MAE INC	MD				X						08/13/01	
CWABS INC	DE				X	X					08/09/01	
CWMBS INC	DE				X	X					07/23/01	
DAIMLERCHRYSLER AUTO TRUST 2001 B	DE				X	X					08/13/01	
DAIMLERCHRYSLER AUTO TRUST 2001-A					X	X					08/13/01	
DATAKEY INC	MN	X				X					08/03/01	
DELTA GEN INC	DE	X				X					07/30/01	
DIABETEX INTERNATIONAL CORP	NV			X							03/01/01	
DISC INC/CA	CA	X				X					07/30/01	
DONALDSON CO INC	DE				X	X					08/13/01	
DUCT UTILITY CONSTRUCTION & TECHNOL OGIES INC	CO	X			X	X					08/07/01	
DUKE REALTY LIMITED PARTNERSHIP/ E SYNC NETWORKS INC	IN					X					06/08/01	
EAGLE FAMILY FOODS HOLDINGS INC	DE				X	X					08/06/01	
EAGLE FAMILY FOODS INC	DE				X	X					08/13/01	
EARTHGRAINS CO /DE/	DE				X						08/14/01	
EDUCATION LOANS INC /DE	DE					X					07/30/01	
ELCOR CORP	DE				X	X					08/14/01	
ELEKTRYON	NV				X	X					08/07/01	
ENVIRONMENTAL POWER CORP	DE					X					07/23/01	AMEND
ENZON INC	DE				X						08/13/01	
EPICEDGE INC	TX				X	X					07/31/01	
ERESOURCE CAPITAL GROUP INC	DE				X	X					07/10/01	
EXPRESSIONS GRAPHICS INC	NV			X							03/28/01	AMEND
FREESTAR TECHNOLOGIES	NV	X									08/13/01	
GEOGRAPHICS INC	WI			X							08/09/01	
GLOBAL ECO-LOGICAL SERVICES INC	FL				X						06/21/01	
GOLD STANDARD INC	UT				X						08/10/01	
GOLF TRUST OF AMERICA INC	MD	X				X					07/30/01	
GUARDIAN TECHNOLOGIES INTERNATIONAL INC	DE	X				X					08/01/01	
GUMTECH INTERNATIONAL INC \UT\ GYRODYNE COMPANY OF AMERICA INC	UT				X	X					08/10/01	
HANOVER DIRECT INC	NY				X	X					08/06/01	
HANOVER DIRECT INC	DE						X				08/14/01	
HARLEY DAVIDSON CUSTOMER FUNDING CO RP	NV				X	X					08/13/01	
HARRIS INTERACTIVE INC	DE				X	X					08/05/01	
HARRIS INTERACTIVE INC	DE				X	X					08/06/01	
HEALTHCARE RECOVERIES INC	DE				X	X					08/07/01	
HEALTHSTAR CORP /UT/ HERTZ CORP	DE	X				X					04/02/01	AMEND
HERTZ CORP	DE				X	X					08/08/01	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
HOLLEY PERFORMANCE PRODUCTS INC									X			08/06/01	
HOLLYWOOD CASINO CORP	DE				X	X						08/13/01	
HOMELAND HOLDING CORP	DE		X		X	X						08/01/01	
HORIZON GROUP PROPERTIES INC	MD				X	X						07/31/01	
HORIZON PCS INC	DE				X							08/13/01	
HORIZON PCS INC	DE				X							08/13/01	
HORTON D R INC /DE/	DE				X	X						08/08/01	
IDACORP INC	ID				X							08/10/01	
IDACORP INC	ID				X							08/10/01	
II-VI INC	PA				X	X						08/14/01	
INDIANTOWN COGENERATION FUNDING CORP	DE				X							01/19/01	
INDIANTOWN COGENERATION LP	DE				X							01/19/01	
INFORMATION MANAGEMENT ASSOCIATES INC	CT				X	X						08/08/01	
INTERLOTT TECHNOLOGIES INC	DE						X					08/14/01	AMEND
INTERWORLD CORP	DE		X									08/09/01	
IONIC FUEL TECHNOLOGY INC	DE				X	X						08/10/01	
IQUIVERSE INC	MN				X	X						08/09/01	
JANEX INTERNATIONAL INC	CO						X					05/29/01	AMEND
LEGAL ACCESS TECHNOLOGIES INC	NV	X	X				X	X	X			08/13/01	
LEHMAN ABS CORP	DE					X	X					08/01/01	
LEHMAN ABS CORP	DE					X	X					08/01/01	
LEHMAN BROTHERS HOLDINGS INC	DE						X					08/14/01	
LEVEL 8 SYSTEMS INC	DE					X	X					08/08/01	
MACROPOR INC	DE					X	X					08/13/01	
MAJOR AUTOMOTIVE COMPANIES INC	NV					X	X					08/13/01	
MARKWEST HYDROCARBON INC	DE					X						08/10/01	
MAXUM DEVELOPMENT INC	MA	X										08/11/01	
MBNA AMERICA BANK NATIONAL ASSOCIATION	DE		X					X				07/31/01	
MBNA AMERICA BANK NATIONAL ASSOCIATION	DE		X			X	X	X				07/31/01	
MBNA AMERICA BK NAT ASSOC MBNA MASTER CREDIT CARD TRUST II			X					X				07/31/01	
MBNA AMERICA BK NAT ASSOC MBNA MASTER CREDIT CARD TRUST II			X		X	X	X					07/31/01	
MBNA CREDIT CARD MASTER NOTE TRUST			X		X	X						07/31/01	
MCLAREN PERFORMANCE TECHNOLOGIES INC	DE							X				08/14/01	AMEND
MEDITECH PHARMACEUTICALS INC	NV				X		X					07/16/01	AMEND
MEDTOX SCIENTIFIC INC	DE					X						08/14/01	
MEGO FINANCIAL CORP	NY				X		X					08/07/01	
MELLON FINANCIAL CORP	PA					X	X					08/06/01	
MERCHANTPARK COMMUNICATIONS INC /NV/	NV				X	X						09/30/01	
MINIMED INC	DE						X					08/13/01	
MIRACOR DIAGNOSTICS INC	UT		X					X				06/14/01	AMEND
MITCHELL ENERGY & DEVELOPMENT CORP	TX					X	X					08/14/01	
ML LEE ACQUISITION FUND II L P	DE					X						08/09/01	
ML LEE ACQUISITION FUND RETIREMENT ACCOUNTS II L P	DE					X						08/09/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001-7	DE	X										07/25/01	
NEOGEN CORP	MI		X					X				07/31/01	
NETWORKS ASSOCIATES INC/	DE					X		X				08/14/01	
OPUS360 CORP	DE	X	X			X		X				07/31/01	
ORGANITECH USA INC	DE					X		X				03/29/01	AMEND
OSI PHARMACEUTICALS INC	DE					X		X				08/07/01	
OXFORD RESIDENTIAL PROPERTIES I LTD PARTNERSHIP	MD					X						07/13/01	
PARC CAPITAL CORP	DE	X						X				08/14/01	
PEOPLES BANCORP INC	OH					X						09/30/01	
PEPSICO INC	NC					X		X				08/13/01	
PHARMACOPEIA INC	DE							X				06/29/01	AMEND
PICCADILLY CAFETERIAS INC	LA					X		X				08/09/01	
PIRANHA INC	DE					X						08/10/01	
POLAROID CORP	DE					X		X				08/09/01	
POP N GO INC	DE		X					X				07/06/01	
POWERBRIEF INC/TX/	TX					X		X				07/23/01	
PRIMUS KNOWLEDGE SOLUTIONS INC	WA		X					X				05/31/01	AMEND
PROMISTAR FINANCIAL CORP	PA		X					X				08/14/01	
QUESTAR CORP	UT		X					X				07/31/01	
QUESTAR MARKET RESOURCES INC	UT		X					X				07/31/01	
RADIO ONE INC	DE					X						08/13/01	
READERS DIGEST ASSOCIATION INC	DE					X						06/30/01	
RENT WAY INC	PA					X						08/14/01	
RESIDENTIAL ACCREDIT LOANS INC	DE							X				07/30/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X				07/25/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE							X				07/30/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE							X				07/30/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE							X				07/30/01	
RESTORATION HARDWARE INC	CA					X						06/30/01	
RF MICRO DEVICES INC	NC					X						08/10/01	
RSTAR CORP	DE					X		X				08/09/01	
RVM INDUSTRIES INC	DE					X		X				08/10/01	
RYANS FAMILY STEAKHOUSES INC	SC		X									08/08/01	
SAF T HAMMER CORP/NV	NV								X			06/29/01	
SAFETY KLEEN CORP/	DE					X						08/07/01	
SANDATA INC	DE					X						08/31/01	
SCI SYSTEMS INC	DE					X		X				08/10/01	
SENTRY BUILDERS CORP	DE		X					X				06/30/01	AMEND
SIGNAL TECHNOLOGY CORP	DE					X		X				08/03/01	
SOLECTRON CORP	DE		X									08/14/01	
STARDRIVE SOLUTIONS INC	WA		X					X				08/02/01	
STRUCTURED ASSET SECURITIES CORP MO RT PA TH CERT SER 2001-8A	DE					X		X				07/25/01	
SUNRISE TECHNOLOGIES INTERNATIONAL INC	DE					X		X				08/02/01	
SURGE COMPONENTS INC	NY					X		X				08/13/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SYSTEMAX INC	DE								X	X	08/08/01	
TANISYS TECHNOLOGY INC	WY	X									08/13/01	
TANISYS TECHNOLOGY INC	WY				X						08/13/01	
THORNBURG MORTGAGE INC	MD				X	X					08/13/01	
TOTAL RESEARCH CORP	DE				X	X					08/05/01	
TRIARC COMPANIES INC	DE					X					08/30/01	
TRUSERV CORP	DE				X	X					08/11/01	
TUMBLEWEED INC	DE				X						08/02/01	
TWISTEE TREAT CORP/NV	DE			X							08/10/01	AMEND
UBIQUITEL INC	DE					X		X			08/10/01	
UNION BANKSHARES CORP	VA				X	X					08/06/01	
UNITED SHIPPING & TECHNOLOGY INC	UT				^	X					08/10/01	
VANDERBILT MORTGAGE & FI IN MA HO C O SR SU PA TH C SE 2001A	TN				X	X					08/07/01	
VIALINK CO	DE				X	X					08/13/01	
VIB CORP	CA				X	X					08/14/01	
VIKING CAPITAL GROUP INC	UT	X					X				08/01/01	
VISTA GOLD CORP							X				08/07/01	
WAMU MORTGAGE PASS THROUGH CERT SER IES 2001-S8	DE						X				07/30/01	
WASHINGTON GAS LIGHT CO	DC				X						08/13/01	
WEINGARTEN REALTY INVESTORS /TX/	TX				X	X					08/13/01	
WELLS FARGO ASSET SECURITIES CORP	DE				X	X					08/13/01	
WGL HOLDINGS INC	VA				X						08/13/01	
WINDSWEPT ENVIRONMENTAL GROUP INC	DE							X			08/07/01	
WKI HOLDING CO INC	DE				X	X					08/13/01	
WKI HOLDING CO INC	DE				X	X					08/13/01	