

# SEC NEWS DIGEST

Issue 2001-50

March 14, 2001

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## COMMISSION ANNOUNCEMENTS

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### COMMISSION EXTENDS INITIAL COMPLIANCE DATE OF ORDER EXECUTION QUALITY DISCLOSURE RULE AND PROVIDES WRITTEN GUIDANCE ON IMPLEMENTATION OF RULE

On March 13, the Commission announced that it is extending the initial compliance date for the first phase-in of securities subject to Rule 11Ac1-5, the order execution quality disclosure rule, from April 2, 2001 to May 1, 2001. The subsequent phase-in dates of Rule 11Ac1-5 and the compliance date of Rule 11Ac1-6, the order routing disclosure rule, remain unchanged.

The Division of Market Regulation also has published Staff Legal Bulletin No. 12 responding to questions the staff has received from market participants on the application of Rule 11Ac1-5. The legal bulletin, in the form of a Frequently Asked Questions (FAQ) document, sets forth the views of the Division of Market Regulation. The Commission has neither approved nor disapproved its content.

In addition, the Commission has issued exemptions from the provisions of Rule 11Ac1-5 relating to manually-received orders, orders affected by crossed markets, and orders affected by trading halts. The exemptions are set forth in a letter to the Securities Industry Association.

Separately, the Commission issued a temporary exemption, until July 31, 2001, from the reporting requirement of Rule 11Ac1-5 to smaller Nasdaq market centers. To qualify, the Nasdaq market center must meet two conditions: (1) its reported trade volume in Nasdaq-listed securities for the month of January 2001 was less than 10,000 trades; and (2) it arranges, prior to May 1, 2001, to use Nasdaq's forthcoming voluntary reporting service or any other reporting service to comply with the Rule. The exemption is set forth in a letter to the Nasdaq Stock Market, Inc.

The Commission's release extending the initial compliance date of Rule 11Ac1-5, Staff Legal Bulletin No. 12, and the two exemption letters will be made available on the SEC's Internet site at: <http://www.sec.gov>.

For further information, contact. Susie Cho in the Division of Market Regulation at 202-942-0748. (Press Rel. 2001-27; Rel. 34-44060)

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## **RULES AND RELATED MATTERS**

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### **ELECTRONIC RECORDKEEPING BY INVESTMENT COMPANIES AND INVESTMENT ADVISERS**

The Commission has issued a release proposing amendments to Rule 31a-2 under the Investment Company Act and Rule 204-2 under the Investment Advisers Act to allow registered investment companies and registered investment advisers to store all required records on electronic storage media. The proposed amendments would expand the ability of registered investment companies and advisers to use electronic storage media to maintain and preserve records, provided specified record maintenance procedures are followed. The Commission is proposing these amendments in response to the passage of the Electronic Signatures in Global and National Commerce Act, which encourages federal agencies to accommodate electronic recordkeeping. FOR FURTHER INFORMATION CONTACT: William C. Middlebrooks, Jr., Attorney, or Martha B. Peterson, Special Counsel, Office of Regulatory Policy, (202) 942-0690, Division of Investment Management, Securities and Exchange Commission, 450 5th Street, N.W., Washington, D.C. 20549-0506. (Rels. IC-24890; IA-1932; File No. S7-06-01)

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## **ENFORCEMENT PROCEEDINGS**

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### **ADMINISTRATIVE PROCEEDINGS SETTLED WITH SHIRLEY MCKINNEY AND MICHAEL MCEVOY**

On March 13, the Commission entered an Order Making Findings and Imposing Remedial Sanctions (Order) against Shirley A. McKinney (McKinney) and Michael J. McEvoy (McEvoy). McKinney and McEvoy were barred from association with any broker or dealer.

On September 21, 2000, the Commission entered an Order Instituting Administrative Proceedings (Complaint) against McKinney and McEvoy alleging that each of them pled guilty to one count of an indictment or criminal information charging them with conspiracy to commit securities fraud, mail fraud and wire fraud. The indictment and criminal information alleged that while employed as registered representatives at various registered broker-dealers during various periods between June 1993 and June 1995, McKinney, McEvoy and others accepted undisclosed compensation from a promoter for inducing their clients to purchase securities of certain issuers affiliated with the promoter. Each was sentenced to probation and/or prison and ordered to pay restitution to the extent that their clients suffered losses (*United States v. Paul Mabry, et al.*, Case No. 98-8117-CR-

HURLEY, S.D. Fla. 1998, and *United States v. McEvoy*, Case No. 98-8115 CR- HURLEY, S.D. Fla. 1998).

McKinney and McEvoy settled the proceedings by consenting to the entry of the Order without admitting or denying the allegations of the Complaint, except as to jurisdiction and the entry of the convictions set forth above. (Rel. 34-44068; File No. 3-10295)

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## INVESTMENT COMPANY ACT RELEASES

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### GFINET, INC. AND MAGNETIC HOLDINGS INTERNATIONAL (DE) LLC

A notice has been issued giving interested persons until March 12 to request a hearing on an application filed by GFINet inc. and Magnetic Holdings International (DE) LLC, for an order under Sections 6(b) and 6(e) of the Investment Company Act. The order would exempt an employees' securities company formed for the benefit of key employees of GFINet inc. from certain provisions of the Act. (Rel. IC-24891 - March 13)

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## SELF-REGULATORY ORGANIZATIONS

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### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the Philadelphia Stock Exchange (SR-Phlx-01-16) permitting the Exchange to compensate hearing panelists. Publication of the notice in the Federal Register is expected during the week of March 12. (Rel. 34-44061)

### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-Amex-01-11) submitted by the American Stock Exchange to increase the maximum permissible contracts executable through the Exchange's Order File System from 250 to 2500 option contracts has been filed and become immediately effective under Rule 19b-4 under the Securities Exchange Act of 1934. (Rel. 34-44065)

### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the Chicago Stock Exchange (SR-CHX-00-31) to amend its rule governing preopening orders. Publication of the proposal is expected in the Federal Register during the week of March 12. (Rel. 34-44062)

The Commission approved a proposed rule change (SR-NASD-01-01) submitted by the National Association of Securities Dealers, through its subsidiary, the Nasdaq Stock Market, Inc. to amend NASD Rule 4330(f) to require a Nasdaq issuer to apply for initial inclusion following a Reverse Merger with a non-Nasdaq entity, and to make conforming changes to IM-4300, Interpretive Material Regarding Future Priced Securities. Publication of the proposal is expected in the Federal Register during the week of March 12. (Rel. 34-41067)

## PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-Amex-00-48) to amend its Minor Rule Violation Fine Plan. Publication of the notice in the Federal Register is expected during the week of March 12. (Rel. 34-44066)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed, Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-3 ZAPWORLD COM, 117 MORRIS ST, SEBASTOOL, CA 95472 (707) 824-4150 -  
255,000 (\$453,900) COMMON STOCK. (FILE 333-56632 - MAR. 07) (BR. 5)

SB-2 SILICON SOUTH INC, 20 PASO DEL RIO, SUITE 200, CARMEL VALLEY, CA  
93924  
(891) 659-7808 - 400,000 (\$200,000) COMMON STOCK. (FILE 333-56634 -  
MAR. 07)

S-8 HOVNANIAN ENTERPRISES INC, 10 HWY 35, PO BOX 500, RED BANK, NJ 07701  
(908) 747-7800 - 738,785 (\$7,746,160.73) COMMON STOCK. (FILE 333-56640  
-  
MAR. 07) (BR. 6)

S-3 BROOKS AUTOMATION INC, 15 ELIZABETH DR, CHELMSFORD, MA 01824  
(978) 262-2566 - 507,529 (\$16,002,389.37) COMMON STOCK. (FILE 333-  
56642 -  
MAR. 07) (BR. 5)

S-8 EATON CORP, EATON CTR, 1111 SUPERIOR AVE, CLEVELAND, OH 44114  
(216) 523-5000 - 1,000,000 (\$73,550,000) COMMON STOCK. (FILE 333-56644  
-  
MAR. 07) (BR. 5)

S-8 TVIA INC, 4001 BURTON DRIVE, SANTA CLARA, CA 95054 (408) 982-8588 -  
73,000 (\$159,688) COMMON STOCK. (FILE 333-56646 - MAR. 07) (BR. 5)

S-4 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403  
(262) 636-1200 - 4,236,490 (\$14,462,000) COMMON STOCK. (FILE 333-56648  
-  
MAR. 07) (BR. 5)

S-4 PEOPLES ENERGY CORP, 24TH FLOOR, 130 EAST RANDOLPH DRIVE, CHICAGO,  
IL  
60601 (312) 240-4299 - 325,000,000 (\$325,000,000) STRAIGHT BONDS.  
(FILE  
333-56650 - MAR. 07) (BR. 2)

S-8 UNITED NATURAL FOODS INC, PO BOX 999, 260 LAKE RD, DAYVILLE, CT  
06241  
(860) 779-2800 - 500,000 (\$6,250,000) COMMON STOCK. (FILE 333-56652 -  
MAR. 07) (BR. 4)

S-8 TRANSMETA CORP, 3940 FREEDOM CIRCLE, 415-413-1880, SANTA CLARA, CA  
95054  
(408) 919-3000 - 9,045,135 (\$170,283,737.80) COMMON STOCK. (FILE  
333-56654 - MAR. 07) (BR. 5)

S-4 SIMON PROPERTY GROUP L P /DE/, 115 WEST WASHINGTON, INDIANAPOLIS, IN  
46204 (317) 636-1600 - 500,000,000 (\$500,000,000) STRAIGHT BONDS.  
(FILE  
333-56656 - MAR. 07) (BR. 8)

S-3 VALSPAR CORP, 1101 THIRD ST SOUTH, MINNEAPOLIS, MN 55415 (612) 332-  
7371  
- \$266,400,000 COMMON STOCK. (FILE 333-56658 - MAR. 07) (BR. 2)

S-8 FORD MOTOR CO, ONE AMERICAN ROAD, DEARBORN, MI 48126 (313) 322-3000  
-  
150,000,000 (\$150,000,000) OTHER SECURITIES INCLUDING VOTING TRUST.  
(FILE  
333-56660 - MAR. 07) (BR. 5)

S-8 CIRCUIT CITY STORES INC, 9950 MAYLAND DR, RICHMOND, VA 23233  
(804) 527-4000 - 2,500,000 (\$34,975,000) COMMON STOCK. (FILE 333-56662  
-  
MAR. 07) (BR. 2)

S-3 AMGEN INC, ONE AMGEN CENTER DRIVE, THOUSAND OAKS, CA 91320  
(805) -44-7-10 - 1,000,000 (\$72,185,000) COMMON STOCK. (FILE 333-56664  
-  
MAR. 07) (BR. 1)

S-8 ROYAL FINANCE INC, 1481 S. MILLING TRAIL, SUITE 14, WEST PALM BEACH,  
FL  
33415 (561) 649-3901 - 150,000 (\$100,000) COMMON STOCK. (FILE 333-  
56666 -  
MAR. 07) (BR. 7)

S-3 VERITAS DGC INC, 10300 TOWN PARK DR, HOUSTON, TX 77072 (713) 512-  
8300 -  
1,137,467 (\$39,276,736) COMMON STOCK. (FILE 333-56668 - MAR. 07) (BR.  
4)

S-8 SEATTLE GENETICS INC /WA, 22215 26TH AVENUE S E, SUITE 3000,  
BOTHELL, WA  
98021 (425) 489-4990 - 15,299,297 (\$97,455,245) COMMON STOCK. (FILE  
333-56670 - MAR. 07) (BR. 1)

S-8 AMGEN INC, ONE AMGEN CENTER DRIVE, THOUSAND OAKS, CA 91320  
(805) -44-7-10 - 5,000,000 (\$360,925,000) COMMON STOCK. (FILE 333-  
56672 -  
MAR. 07) (BR. 1)

S-3 PRIDE INTERNATIONAL INC, 5847 SAN FELIPE ST ST 3300, HOUSTON, TX  
77057  
(713) 789-1400 - 3,035,454 (\$77,252,305) COMMON STOCK. (FILE 333-56678  
-  
MAR. 07) (BR. 4)

S-1 E-MEDSOFT COM, 1300 MARSH LANDING PARKWAY, SUITE 106, JACKSONVILLE,  
FL  
32250 (818) 710-9813 - 9,113,721 (\$9,523,838) COMMON STOCK. (FILE  
333-56680 - MAR. 07) (BR. 3)

S-4 CAPITAL BANCORP INC, 1816 HAYES STREET, NASHVILLE, TN 37203  
(615) 327-9000 - 1,560,271 (\$15,275,053) COMMON STOCK. (FILE 333-56682  
-  
MAR. 07) (NEW ISSUE)

SB-2 ZEOLITE MINING CORP, 2272 WEST SEVENTH AVE, SUITE 318 V6K 1Y2,  
VANCOUVER BC CANADA, (604) 731-7040 - 2,000,000 (\$200,000) COMMON  
STOCK.  
(FILE 333-56686 - MAR. 07) (NEW ISSUE)

S-3 PLATO LEARNING INC, POPLAR CREEK OFFICE PLZ, 1721 MOON LAKE BLVD,  
HOFFMAN ESTATES, IL 60194 (847) 781-7800 - 417,519 (\$7,866,058)  
COMMON STOCK. (FILE 333-56688 - MAR. 07) (BR. 5)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.

- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

| NAME OF ISSUER   | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| ACCEPTANCE INSURANCE COMPANIES INC                             | DE            |             |   |   | X |   |   |   |   |   | 03/02/01 |         |
| ACCEPTANCE INSURANCE COMPANIES INC                             | DE            |             |   |   | X |   |   |   |   |   | 03/05/01 |         |
| ADVANTAGE MARKETING SYSTEMS INC/OK                             | OK            |             | X |   |   |   |   | X |   |   | 01/04/01 | AMEND   |
| AIRPLANES LTD  | DE            |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| AIRPLANES US TRUST   | DE            |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| ALLEN TEST CO REL TEST 5660                                    | MI            |             |   |   | X |   |   |   |   |   | 03/01/99 |         |
| ALLEN TEST CO REL TEST 5660                                    | MI            |             |   |   | X |   |   |   |   |   | 03/01/00 |         |
| ALLIANCE FIBER OPTIC PRODUCTS INC                              | CA            |             |   |   |   |   |   |   | X |   | 03/12/01 |         |
| AMBI INC   | NY            |             |   |   | X |   | X |   |   |   | 03/08/01 |         |
| AMERICAN AIRLINES INC  | DE            |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| AMERICAN GENERAL CORP /TX/                                     | TX            |             |   |   | X |   | X |   |   |   | 03/11/01 |         |
| AMES DEPARTMENT STORES INC                                     | DE            | X           |   |   |   |   |   |   |   |   | 03/08/01 |         |
| AMR CORP   | DE            |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| APARTMENT INVESTMENT & MANAGEMENT C<br>O                       | MD            |             |   |   | X |   | X |   |   |   | 01/25/01 | AMEND   |
| AQUIS COMMUNICATIONS GROUP INC                                 | DE            |             |   | X |   |   | X |   |   |   | 03/05/01 |         |
| ARROW ELECTRONICS INC  | NY            |             |   |   | X |   | X |   |   |   | 02/15/01 |         |
| ARTISAN COMPONENTS INC   | DE            |             |   |   |   |   | X |   |   |   | 01/04/01 | AMEND   |
| ASSET BACKED FUNDING CORP                                      | DE            |             |   |   | X |   | X |   |   |   | 03/06/01 |         |
| ATWOOD OCEANICS INC  | TX            |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| AVAYA INC  | DE            |             |   |   |   |   |   | X |   |   | 03/12/01 |         |
| AZTEC TECHNOLOGY PARTNERS INC /DE/                             | DE            |             |   |   | X |   | X |   |   |   | 03/13/01 |         |
| BAKER J INC  | MA            |             |   |   | X |   | X |   |   |   | 02/26/01 |         |
| BANK OF AMERICA MORT SEC INC MORT P<br>ASS THR CERT SER 2000-7 | DE            |             |   |   | X |   | X |   |   |   | 02/25/01 |         |
| BEAR STEARNS ASSET BACKED SECURITIE<br>S INC                   | DE            |             |   |   | X |   | X |   |   |   | 03/09/01 |         |
| BELDEN & BLAKE CORP /OH/                                       | OH            |             |   |   | X |   |   |   |   |   | 03/04/01 |         |
| BICO INC/PA  | PA            |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| BIOTRANSPLANT INC  | DE            |             |   |   | X |   | X |   |   |   | 03/12/01 |         |
| BLOUNT INTERNATIONAL INC                                       | DE            |             |   |   | X |   | X |   |   |   | 03/02/01 |         |
| BUCA INC /MN   | MN            |             |   |   | X |   | X |   |   |   | 03/12/01 | AMEND   |
| CADIZ INC  | DE            |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| CAMBRIDGE TECHNOLOGY PARTNERS MASSA<br>CHUSETTS INC            | DE            | X           |   |   |   |   |   | X |   |   | 03/12/01 |         |
| CANARGO ENERGY CORP  | DE            |             |   |   | X |   |   |   |   |   | 03/08/01 |         |
| CAPITAL GAMING INTERNATIONAL INC /N<br>J/                      | NJ            |             |   |   | X |   | X |   |   |   | 03/12/01 |         |
| CAPITAL ONE MASTER TRUST                                       | VA            |             |   |   | X |   | X |   |   |   | 02/28/01 |         |
| CAPITAL ONE MASTER TRUST                                       | VA            |             |   |   | X |   | X |   |   |   | 03/15/01 |         |
| CASSCO CAPITAL CORP  | DE            |             |   | X |   |   |   |   |   |   | 03/13/01 |         |

| NAME OF ISSUER   | STATE | BK ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| CENDANT CORP   | DE    |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| CENTURA SOFTWARE CORP                                  | DE    |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| CENTURY ALUMINUM CO                                    | DE    |             |   |   | X | X | X |   |   |   | 03/12/01 |         |
| CENTURY ALUMINUM CO                                    | DE    |             |   |   |   | X | X |   |   |   | 03/12/01 | AMEND   |
| CERIDIAN CORP  | DE    |             |   |   |   | X | X |   |   |   | 03/12/01 |         |
| CHAMPIONSHIP AUTO RACING TEAMS INC                     | DE    |             |   |   | X | X |   |   |   |   | 06/24/98 | AMEND   |
| CHARLES RIVER LABORATORIES INC                         |       |             |   |   | X |   |   |   |   |   | 12/21/00 | AMEND   |
| CHARLES RIVER LABORATORIES INC                         |       |             |   |   | X |   |   |   |   |   | 01/08/01 | AMEND   |
| CHARLES RIVER LABORATORIES INC                         |       |             |   |   | X |   |   |   |   |   | 02/07/01 | AMEND   |
| CHARLES RIVER LABORATORIES INTERNAT<br>IONAL INC       |       |             |   |   | X |   |   |   |   |   | 12/21/00 | AMEND   |
| CHARLES RIVER LABORATORIES INTERNAT<br>IONAL INC       |       |             |   |   | X |   |   |   |   |   | 01/08/01 | AMEND   |
| CHARLES RIVER LABORATORIES INTERNAT<br>IONAL INC       |       |             |   |   | X |   |   |   |   |   | 02/07/01 | AMEND   |
| CHARTER COMMUNICATIONS HOLDINGS CAP<br>ITAL CORP       | DE    |             |   |   | X |   |   |   |   |   | 03/08/01 |         |
| CHARTER COMMUNICATIONS HOLDINGS LLC                    | DE    |             |   |   | X |   |   |   |   |   | 03/08/01 |         |
| CHASE FUNDING INC                                      | NY    |             |   |   | X | X |   |   |   |   | 02/26/01 |         |
| CHASE FUNDING INC                                      | NY    |             |   |   | X | X |   |   |   |   | 02/27/01 |         |
| CHASE MORTGAGE FINANCE CORP                            | DE    |             |   |   | X | X |   |   |   |   | 02/26/01 |         |
| CHEM INTERNATIONAL INC                                 | DE    |             |   |   | X | X |   |   |   |   | 03/06/01 |         |
| CHEVY CHASE BANK FSB                                   | MD    |             |   |   |   | X |   |   |   |   | 03/09/01 |         |
| CIGNA CORP   | DE    |             |   |   |   |   |   | X |   |   | 03/13/01 |         |
| CITICORP MORTGAGE SECURITIES INC                       | DE    |             |   |   | X |   |   |   |   |   | 03/07/01 |         |
| CITRUS FINANCIAL SERVICES INC                          | FL    |             |   |   | X |   |   |   |   |   | 03/06/01 |         |
| CNA SURETY CORP  | DE    |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| CNH HOLDINGS CO  | NV    |             |   | X |   |   |   |   |   |   | 03/13/01 |         |
| COHERENT INC   | DE    |             |   | X |   | X |   |   |   |   | 03/07/01 |         |
| COMBINED COMPANIES CORP                                | DE    | X           | X |   | X | X |   |   |   |   | 02/06/01 |         |
| CONSECO FINANCE CORP                                   | DE    |             |   |   |   | X |   |   |   |   | 03/12/01 |         |
| CONSECO FINANCE SECURITIZATIONS COR<br>P               | DE    |             |   |   |   | X |   |   |   |   | 03/12/01 |         |
| CONSYGEN INC   | TX    |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| COPLEY PENSION PROPERTIES VI                           | MA    |             | X |   |   | X |   |   |   |   | 02/26/01 |         |
| COPLEY PENSION PROPERTIES VII                          | MA    |             | X |   |   | X |   |   |   |   | 02/26/01 |         |
| CORE MATERIALS CORP                                    | DE    |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| CORPORATE ASSET BACKED CORP                            | DE    |             |   |   |   | X |   |   |   |   | 03/01/01 |         |
| CORPORATE BOND BACKED CERT TR SER 1<br>998-CAT 1       |       |             |   |   | X | X |   |   |   |   | 03/01/01 |         |
| COVANCE INC  | DE    |             |   | X |   | X |   |   |   |   | 03/06/01 |         |
| COX COMMUNICATIONS INC /DE/                            | DE    |             |   | X |   | X |   |   |   |   | 03/09/01 |         |
| CREDIT SUISSE FIRST BOSTON MORTGAGE<br>SECURITIES CORP | DE    |             |   | X |   | X |   |   |   |   | 03/06/01 | AMEND   |
| CRIIMI MAE FINANCIAL CORP                              | MD    |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| CROWN ENERGY CORP                                      | UT    |             |   |   | X |   |   |   |   |   | 03/05/01 |         |
| CSX CORP   | VA    |             |   |   | X | X |   |   |   |   | 03/08/01 |         |
| CWMBBS INC   | DE    |             |   |   | X | X |   |   |   |   | 01/29/01 |         |
| DAIMLER BENZ AUTO GRANTOR TRUST 199<br>7 A             | DE    |             |   |   | X | X |   |   |   |   | 02/15/01 |         |
| DAIMLER BENZ VEHICLE OWNER TRUST 19<br>98-A            | DE    |             |   |   | X | X |   |   |   |   | 02/20/01 |         |
| DATA DIMENSIONS INC                                    | DE    |             |   |   | X | X |   |   |   |   | 03/08/01 |         |
| DEERE & CO   | DE    |             |   |   |   |   |   | X |   |   | 03/12/01 |         |



| NAME OF ISSUER   | STATE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|-------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| DELTA AIR LINES INC /DE/   | DE    |             |   |   |   |   |   |   | X | X | 03/13/01 |         |
| DIASENSOR COM INC  | PA    |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| DIME BANCORP INC   | DE    |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| DISCOVER BANK  | DE    |             |   |   | X | X |   |   |   |   | 03/15/01 |         |
| DISCOVER CARD MASTER TRUST I                                     | DE    |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| DISCOVER CARD MASTER TRUST I                                     | DE    |             |   |   | X | X |   |   |   |   | 03/15/01 |         |
| DOSKOCIL MANUFACTURING CO INC                                    | TX    |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| DUKE ENERGY CORP   | NC    |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| DUN & BRADSTREET CORP/NW   | DE    |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| DYNAMIC I-T INC  | CO    |             |   |   | X |   | X |   |   |   | 03/05/01 |         |
| DYNAMIC I-T INC  | CO    |             |   |   | X |   | X |   |   |   | 03/05/01 | AMEND   |
| ELECSYS CORP   | KS    |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| ELLETT BROTHERS INC  | SC    |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| EMMIS COMMUNICATIONS CORP  | IN    |             |   |   |   |   |   | X |   |   | 03/12/01 |         |
| EMMIS COMMUNICATIONS CORP  | IN    |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| ENTRAVISION COMMUNICATIONS CORP                                  | DE    |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| EPLUS INC  | DE    |             |   |   | X |   |   |   |   |   | 02/28/01 |         |
| EROOMSYSTEM TECHNOLOGIES INC                                     | NV    |             |   |   | X |   |   |   |   |   | 03/06/01 |         |
| EXPRESS SCRIPTS INC  | DE    | X           |   |   |   |   |   |   |   |   | 03/12/01 |         |
| FARMER MAC MORTGAGE SECURITIES CORP                              | DE    | X           |   |   |   |   |   |   |   |   | 02/16/01 |         |
| FARMER MAC MORTGAGE SECURITIES CORP                              | DE    | X           |   |   |   |   |   |   |   |   | 02/16/01 |         |
| FARMER MAC MORTGAGE SECURITIES CORP                              | DE    | X           |   |   |   |   |   |   |   |   | 02/16/01 |         |
| FARMER MAC MORTGAGE SECURITIES CORP                              | DE    | X           |   |   |   |   |   |   |   |   | 03/13/01 |         |
| FINANCIAL ASSET SEC CORP ASSET BACK<br>ED CERTS SERIES 2001 FF1  | DE    |             |   |   |   |   |   | X |   |   | 02/23/01 |         |
| FINELINE PROPERTIES INC  | NV    |             |   | X | X |   |   |   |   |   | 11/07/00 |         |
| FINOVA CAPITAL CORP  | DE    |             |   |   | X |   |   |   |   |   | 03/07/01 |         |
| FINOVA GROUP INC   | DE    |             |   |   | X |   |   |   |   |   | 03/07/01 |         |
| FIRST UNION COMMERCIAL MORTGAGE SEC<br>URITIES INC               | NC    |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| FISHER COMPANIES INC   | WA    |             |   |   | X | X |   |   |   |   | 03/08/01 |         |
| FLEMING COMPANIES INC /OK/                                       | OK    |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| FORD CREDIT AUTO RECEIVABLES TWO L<br>P                          | DE    |             |   |   | X | X |   |   |   |   | 02/28/01 |         |
| FRANKLIN FINANCIAL SERVICES CORP /P<br>A/                        | PA    |             |   |   | X | X |   |   |   |   | 03/08/01 |         |
| FREESTAR TECHNOLOGIES  | NV    |             |   |   | X |   |   |   |   |   | 02/20/01 | AMEND   |
| FRONTLINE CAPITAL GROUP  | DE    |             |   |   |   |   | X |   |   |   | 03/07/01 | AMEND   |
| FUTURE CARZ INC  | NV    | X           |   |   |   |   |   |   |   |   | 03/29/00 |         |
| GENERAL SEMICONDUCTOR INC  | DE    |             |   |   |   |   | X | X |   |   | 03/12/01 |         |
| GENZYME TRANSGENICS CORP   | MA    |             | X |   |   |   | X |   |   |   | 02/26/01 |         |
| GGEOWORKS /CA/   | DE    |             |   |   |   | X | X |   |   |   | 03/12/01 |         |
| GLOBAL E TUTOR INC   | DE    |             |   |   | X |   | X |   |   |   | 03/05/01 |         |
| GREENWICH CAPITAL ACCEPTANCE INC MO<br>RT PAS THR CT S 2000 FTB1 | DE    |             |   |   | X | X |   |   |   |   | 02/20/01 |         |
| HADRO RESOURCES INC  | NV    | X           |   |   |   |   |   | X |   |   | 01/31/01 |         |
| HADRO RESOURCES INC  | NV    |             |   |   | X |   |   | X |   |   | 03/08/01 |         |
| HAHN AUTOMOTIVE WAREHOUSE INC                                    | NY    |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| HALL KINION & ASSOCIATES INC                                     | DE    |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| HALLIBURTON CO   | DE    |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| HASTINGS MANUFACTURING CO  | MI    |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| HEALTHAXIS INC   | PA    | X           | X |   |   |   |   |   |   |   | 03/13/01 |         |
| HERSHEY FOODS CORP   | DE    |             |   |   |   |   |   | X |   |   | 03/12/01 |         |
| HOLIDAY RV SUPERSTORES INC                                       | DE    |             |   |   | X | X |   |   |   |   | 03/13/01 |         |

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| HOMEGOLD FINANCIAL INC                        | SC            |             | X |   |   |   |   |   |   |   | 05/09/00 |         |
| HORMEL FOODS CORP /DE/                        | DE            |             |   |   |   |   |   |   | X |   | 02/24/01 | AMEND   |
| HYDRO ENVIRONMENTAL RESOURCES INC             |               |             |   |   |   | X |   |   |   |   | 02/23/00 |         |
| HYPERTENSION DIAGNOSTICS INC /MN              | MN            |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| IBP INC                                       | DE            |             |   |   | X | X |   |   |   |   | 03/12/01 | AMEND   |
| IBP INC                                       | DE            |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| IEXALT INC                                    | NV            |             | X |   |   |   |   | X |   |   | 03/01/01 |         |
| IMAGIS TECHNOLOGIES INC                       | AI            | X           |   |   |   |   |   |   |   |   | 03/07/01 |         |
| IMP INC                                       | DE            |             |   |   |   |   |   |   | X |   | 03/05/01 |         |
| INKTOMI CORP                                  | DE            |             | X |   |   |   |   |   | X |   | 12/29/00 | AMEND   |
| INTEL CORP                                    | DE            |             | X |   |   |   |   |   |   |   | 03/08/01 |         |
| INTERNATIONAL CAVITATION TECHNOLOGI<br>ES INC | CO            |             |   |   |   | X |   |   |   |   | 02/28/01 |         |
| INTERNET COM CORP                             | DE            |             |   |   | X | X |   |   |   |   | 12/22/00 | AMEND   |
| KAMAN CORP                                    | CT            | X           |   |   |   |   |   |   |   |   | 03/09/01 |         |
| KMART CORP                                    | MI            |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| LAKEHEAD PIPE LINE PARTNERS L P               | DE            |             |   |   | X | X |   |   |   |   | 03/08/01 |         |
| LASON INC                                     | DE            |             |   |   | X | X |   |   |   |   | 03/10/01 |         |
| LEHMAN BROTHERS HOLDINGS INC                  | DE            |             |   |   |   |   |   | X |   |   | 03/13/01 |         |
| LIBERTY PROPERTY LIMITED PARTNERSHI<br>P      | PA            |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| LIBERTY PROPERTY TRUST                        | MD            |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| LIVEPERSON INC                                | DE            |             |   |   | X |   |   |   |   |   | 03/08/01 |         |
| LONE STAR TECHNOLOGIES INC                    | DE            |             |   |   |   |   |   |   | X |   | 03/14/01 |         |
| LOUDEYE TECHNOLOGIES INC                      |               |             | X |   |   |   |   | X |   |   | 03/02/01 |         |
| MALLON RESOURCES CORP                         | CO            | X           |   |   |   |   |   |   |   |   | 03/13/01 |         |
| MARKETING SPECIALISTS CORP                    | DE            |             |   |   | X | X |   |   |   |   | 03/02/01 |         |
| MATHSOFT INC                                  | MA            |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| MAXX INTERNATIONAL INC                        | UT            |             |   |   | X |   |   |   |   |   | 02/19/01 |         |
| MC INFORMATICS INC                            | CA            | X           |   |   |   |   |   |   |   |   | 03/12/01 |         |
| MEDICAL DYNAMICS INC                          | CO            |             |   |   | X | X |   |   |   |   | 03/05/01 |         |
| METLIFE INC                                   | DE            |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| METRO-GOLDWYN-MAYER INC                       | DE            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| METRO-GOLDWYN-MAYER INC                       | DE            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| MFC DEVELOPMENT CORP                          | DE            |             |   |   | X |   |   | X |   |   | 03/08/01 |         |
| MILLENNIUM PHARMACEUTICALS INC                | DE            |             |   |   | X |   |   |   |   |   | 03/09/01 |         |
| MONEY STORE D C INC                           | DC            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| MONEY STORE HOME EQUITY CORP                  | KY            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| MONEY STORE KENTUCKY INC                      | KY            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| MONEY STORE MINNESOTA INC                     | MN            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| MORGAN STANLEY AIRCRAFT FINANCE               | DE            |             |   |   | X |   |   |   |   |   | 02/13/01 |         |
| MORGAN STANLEY DEAN WITTER CAPITAL<br>I INC   | DE            |             |   |   | X | X |   |   |   |   | 07/28/00 |         |
| N VISION TECHNOLOGY INC                       | DE            |             |   |   |   |   |   | X |   |   | 11/28/00 | AMEND   |
| N VISION TECHNOLOGY INC                       | DE            |             |   |   | X |   |   |   |   |   | 12/31/00 |         |
| NAPCO SECURITY SYSTEMS INC                    | DE            |             |   |   | X | X |   |   |   |   | 02/28/01 |         |
| NATURAL WONDERS INC                           | DE            |             |   |   | X |   |   |   |   |   | 01/16/01 |         |
| NCO PORTFOLIO MANAGEMENT INC                  | DE            |             |   |   |   |   |   | X |   |   | 12/31/00 | AMEND   |
| NETDIGEST COM INC                             | DE            |             | X |   |   |   |   |   |   |   | 03/12/01 |         |
| NETMASTER INC                                 | NV            |             |   |   | X |   |   | X |   |   | 03/13/01 |         |
| NETVOICE TECHNOLOGIES CORP                    | NV            |             |   |   |   |   |   | X |   |   | 06/30/00 | AMEND   |
| NEW CINEMA PARTNERS                           | NV            |             | X |   |   |   |   |   |   |   | 05/31/01 |         |
| NEW GENERATION HOLDINGS INC                   | DE            |             | X |   |   |   |   | X |   |   | 02/28/01 |         |
| NEW GENERATION HOLDINGS INC                   | DE            |             | X |   |   |   |   |   |   |   | 02/28/01 | AMEND   |

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| NEWELL RUBBERMAID INC                              | DE            |             |   |   | X |   | X |   |   |      | 03/12/01 |       |
| NISOURCE INC/DE                                    | DE            |             |   |   | X |   |   |   |   |      | 03/13/01 |       |
| NOBLE DRILLING CORP                                | DE            |             |   |   |   |   | X |   | X |      | 03/06/01 |       |
| NORD RESOURCES CORP                                | DE            |             |   | X |   |   |   |   |   |      | 03/06/01 |       |
| NORTHEAST UTILITIES SYSTEM                         | MA            |             |   |   | X |   | X |   | X |      | 03/12/01 |       |
| NORTHWEST AIRLINES CORP                            | DE            |             |   |   |   |   | X |   | X |      | 03/13/01 |       |
| NOVAVAX INC  | DE            |             |   |   |   |   | X |   | X |      | 03/12/01 |       |
| NOVO NETWORKS INC                                  | DE            |             |   |   | X |   | X |   |   |      | 03/12/01 |       |
| ONVIA COM INC                                      | DE            |             |   |   | X |   | X |   |   |      | 03/09/01 |       |
| OPTICAL COMMUNICATION PRODUCTS INC                 | DE            |             |   |   | X |   | X |   |   |      | 03/07/01 |       |
| OXFORD HEALTH PLANS INC                            | DE            |             |   |   | X |   | X |   |   |      | 03/12/01 |       |
| PACIFIC WEBWORKS INC                               | NV            |             |   |   | X |   |   |   |   |      | 03/12/01 |       |
| PAPA JOHNS INTERNATIONAL INC                       | DE            |             |   |   | X |   | X |   |   |      | 02/27/01 |       |
| PAUL HARRIS STORES INC                             | IN            |             |   |   | X |   | X |   |   |      | 03/09/01 |       |
| PAWNMART INC                                       | DE            |             |   |   | X |   | X |   |   |      | 03/13/01 |       |
| PENN VIRGINIA CORP                                 | VA            |             |   | X |   |   |   |   |   |      | 12/29/00 |       |
| PEOPLES BANCORP INC                                | OH            | X           |   |   |   |   |   |   |   |      | 02/21/01 |       |
| PEOPLES FINANCIAL SERVICES CORP/                   | PA            |             |   | X |   |   | X |   |   |      | 02/28/01 | AMEND |
| PIONEER NATURAL RESOURCES CO                       | DE            |             |   |   |   |   |   | X |   |      | 03/12/01 |       |
| PMC CAPITAL INC                                    | FL            |             |   |   | X |   | X |   |   |      | 12/20/00 |       |
| PMC COMMERCIAL TRUST /TX                           | TX            |             |   |   | X |   | X |   |   |      | 12/20/00 |       |
| POLYCOM INC  | DE            | X           |   |   |   |   | X |   |   |      | 03/12/01 |       |
| PONTOTOC PRODUCTION INC                            | NV            | X           |   |   |   |   |   |   |   |      | 03/01/01 |       |
| POST APARTMENT HOMES LP                            | GA            |             |   |   | X |   | X |   |   |      | 03/07/01 |       |
| POST PROPERTIES INC                                | GA            |             |   |   | X |   | X |   |   |      | 03/07/01 |       |
| POWERTEL INC /DE/                                  | DE            |             |   |   | X |   | X |   |   |      | 03/13/01 |       |
| PRIME GROUP REALTY TRUST                           | MD            |             |   |   | X |   |   |   |   |      | 03/12/01 |       |
| PRIMEDEX HEALTH SYSTEMS INC                        | NY            |             |   |   | X |   |   |   |   |      | 03/01/01 |       |
| PROMETHEUS INCCME PARTNERS                         | CA            |             |   | X |   |   | X |   |   |      | 03/08/01 |       |
| PSINET INC   | NY            |             |   |   | X |   | X |   |   |      | 03/13/01 |       |
| PUGET ENERGY INC /WA                               | WA            |             |   |   | X |   |   |   |   |      | 03/13/01 |       |
| PUGET SOUND ENERGY INC                             | WA            |             |   |   | X |   |   |   |   |      | 03/13/01 |       |
| PURCHASEPRO COM INC                                | NV            |             |   |   | X |   |   |   |   |      | 03/12/01 |       |
| PYR ENERGY CORP                                    | DE            |             |   |   | X |   | X |   |   |      | 03/12/01 |       |
| QUESTAR MARKET RESOURCES INC                       | UT            |             |   |   |   |   | X |   |   |      | 03/06/01 |       |
| REGIONS FINANCIAL CORP                             | DE            |             |   |   | X |   | X |   |   |      | 03/05/01 |       |
| REMEC INC  | CA            | X           |   |   |   |   | X |   |   |      | 03/13/01 |       |
| RENTECH INC /CO/                                   | CO            |             |   |   | X |   |   |   |   |      | 03/05/01 |       |
| RESERVE INDUSTRIES CORP /NM/                       | NM            |             |   |   | X |   |   |   |   |      | 03/09/01 |       |
| RESIDENTIAL ACCREDIT LOANS INC                     | DE            |             |   |   |   |   | X |   |   |      | 02/28/01 |       |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS<br>INC         | DE            |             |   |   |   |   | X |   |   |      | 02/20/01 |       |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS<br>INC         | DE            |             |   |   | X |   | X |   |   |      | 03/12/01 |       |
| RESIDENTIAL ASSET SECURITIES CORP                  | DE            |             |   |   |   |   | X |   |   |      | 03/12/01 |       |
| RESIDENTIAL FUNDING MORTGAGE SECURI<br>TIES II INC | DE            |             |   |   | X |   | X |   |   |      | 03/13/01 | AMEND |
| RICHMOND COUNTY FINANCIAL CORP                     | DE            |             |   |   | X |   |   |   |   |      | 03/13/01 |       |
| ROYAL BANCSHARES OF PENNSYLVANIA IN<br>C           | PA            |             |   |   | X |   |   |   |   |      | 02/21/01 |       |
| RYANS FAMILY STEAKHOUSES INC                       | SC            | X           |   |   |   |   |   |   |   |      | 03/07/01 |       |
| RYDER SYSTEM INC                                   | FL            |             |   |   |   |   |   | X |   |      | 03/12/01 |       |
| SANTA FE INTERNATIONAL CORP/                       |               |             |   |   | X |   |   |   |   |      | 03/09/01 |       |
| SCC COMMUNICATIONS CORP                            | DE            |             |   |   |   |   | X | X |   |      | 03/13/01 |       |

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| SCIENTIFIC LEARNING CORP            | DE            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| SEARS CREDIT ACCOUNT MASTER TRUST I | IL            |             |   |   | X | X |   |   |   |   | 02/15/01 |         |
| I                                   |               |             |   |   |   |   |   |   |   |   |          |         |
| SEARS CREDIT ACCOUNT MASTER TRUST I | IL            |             |   |   | X |   |   |   |   |   | 02/28/01 |         |
| I                                   |               |             |   |   |   |   |   |   |   |   |          |         |
| SECURITY CAPITAL GROUP INC/         | MD            |             | X |   |   |   |   |   |   |   | 02/28/01 |         |
| SENIOR CARE INDUSTRIES INC          | NV            |             |   | X |   |   |   |   |   |   | 12/31/00 | AMEND   |
| SENIOR CARE INDUSTRIES INC          | NV            |             |   |   |   | X |   |   |   |   | 03/12/01 |         |
| SERVICE CORPORATION INTERNATIONAL   | TX            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| SERVICE MERCHANDISE CO INC          | TN            |             |   |   | X |   |   |   |   |   | 01/01/01 |         |
| SHURGARD STORAGE CENTERS INC        | WA            | X           |   |   |   |   |   |   |   |   | 12/31/00 | AMEND   |
| SIMON PROPERTY GROUP L P /DE/       | DE            |             |   |   |   |   | X | X |   |   | 01/08/01 |         |
| SIXTHCAI INC                        | NV            | X           | X |   | X | X | X | X |   |   | 03/13/01 |         |
| SJW CORP                            | CA            | X           |   |   |   |   |   |   |   |   | 03/01/01 |         |
| SKIBO FINANCIAL CORP                |               |             |   |   |   |   | X |   |   |   | 03/09/01 |         |
| SOLOMON ALLIANCE GROUP INC /AZ      |               |             | X |   |   |   | X |   |   |   | 12/29/00 | AMEND   |
| SONIC SOLUTIONS/CA/                 | CA            | X           | X |   |   |   | X |   |   |   | 03/14/01 |         |
| SPARTA SURGICAL CORP                | DE            |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| SPX CORP                            | DE            |             |   |   |   |   | X | X |   |   | 03/12/01 |         |
| ST PAUL COMPANIES INC /MN/          | MN            |             |   |   | X |   |   |   |   |   | 03/09/01 |         |
| STAR TELECOMMUNICATIONS INC         | DE            |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| STERLING BANCSHARES INC             | TX            |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| STRUCTURED ASSET SEC CORP MORT PASS | DE            |             |   |   | X | X |   |   |   |   | 02/28/01 |         |
| THR CERT SER 2001-2                 |               |             |   |   |   |   |   |   |   |   |          |         |
| SWIFT TRANSPORTATION CO INC         | NV            |             |   |   |   |   | X | X |   |   | 03/13/01 |         |
| S2 GOLF INC                         | NJ            |             | X |   |   |   | X |   |   |   | 12/29/00 | AMEND   |
| TANDYCRAFTS INC                     | DE            |             |   | X | X |   |   |   |   |   | 03/13/01 |         |
| TEAM MUCHO INC                      | OH            | X           |   |   | X |   | X |   |   |   | 12/28/00 | AMEND   |
| TESSERACT GROUP INC                 | MN            | X           |   | X | X |   |   |   |   |   | 03/02/01 |         |
| THOR VENTURES CORP                  | FL            |             |   | X |   | X |   |   |   |   | 03/13/01 |         |
| TIME WARNER TELECOM INC             | DE            |             |   |   |   | X |   | X |   |   | 03/13/01 |         |
| TIREX CORP                          | DE            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| TMS MORTGAGE INC                    | NJ            |             |   |   | X | X |   |   |   |   | 03/09/01 |         |
| TOSCO CORP                          | NV            |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| TRIDENT MEDIA GROUP INC             | NV            |             |   | X |   |   |   |   |   |   | 03/12/01 |         |
| TRIPLE S PLASTICS INC               | MI            |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| TRUE NORTH COMMUNICATIONS INC       | DE            |             |   |   | X |   |   |   |   |   | 03/12/01 |         |
| UACSC 2001-A OWNER TRUST            | DE            |             |   |   | X | X |   |   |   |   | 03/12/01 |         |
| UNITED BANCSHARES INC/OH            | OH            |             | X |   |   | X |   |   |   |   | 02/28/01 |         |
| UNITED DOMINION INDUSTRIES LIMITED  |               |             |   |   |   |   | X | X |   |   | 03/12/01 |         |
| UNITED HERITAGE CORP                | UT            |             |   |   |   |   |   | X |   |   | 03/09/01 |         |
| UNITED INVESTORS REALTY TRUST       | TX            | X           |   |   |   |   |   |   |   |   | 02/28/01 |         |
| UNITED SHIPPING & TECHNOLOGY INC    | UT            |             |   |   | X | X |   |   |   |   | 03/13/01 |         |
| UNITEDHEALTH GROUP INC              | MN            |             |   |   |   | X | X | X |   |   | 03/13/01 |         |
| UNIVERSAL FOODS CORP                | WI            |             |   |   | X | X |   |   |   |   | 02/23/01 |         |
| UNIVERSE2U INC                      | NV            |             |   |   | X |   |   |   |   |   | 03/13/01 |         |
| US AIRWAYS GROUP INC                | DE            |             |   |   |   | X | X | X |   |   | 03/13/01 |         |
| US AIRWAYS INC                      | DE            |             |   |   |   |   | X | X |   |   | 03/13/01 |         |
| US BANCORP \DE\                     | DE            |             | X |   |   | X |   |   |   |   | 02/27/01 |         |
| V I TECHNOLOGIES INC                | DE            |             |   |   | X | X |   |   |   |   | 03/01/01 |         |
| VALENTIS INC                        | DE            |             |   |   | X | X |   |   |   |   | 02/20/01 |         |
| VANDERBILT MORTGAGE & FI IN MA HO C | TN            |             |   |   | X | X |   |   |   |   | 02/27/01 |         |
| O SR SU PA TH C SE 2001A            |               |             |   |   |   |   |   |   |   |   |          |         |
| VEECO INSTRUMENTS INC               | DE            |             |   |   |   |   |   | X |   |   | 03/09/01 | AMEND   |

| NAME OF ISSUER                   | STATE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE | COMMENT  |  |
|----------------------------------|-------|-------------|---|---|---|---|---|---|---|---|------|----------|--|
|                                  | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |      |          |  |
| VERDANT BRANDS INC               | MN    |             |   |   |   | X | X |   |   |   |      | 03/12/01 |  |
| VERITEC INC                      | NV    |             |   |   |   | X |   |   |   |   |      | 03/11/01 |  |
| VIRGINIA COMMERCE BANCORP INC    |       |             |   |   |   | X | X |   |   |   |      | 03/13/01 |  |
| WATERLINK INC                    | DE    |             | X |   |   |   | X |   |   |   |      | 02/28/01 |  |
| WEBEX COMMUNICATIONS INC         |       |             |   |   |   | X |   |   |   |   |      | 03/12/01 |  |
| WEBMETHODS INC                   | DE    |             |   |   |   | X | X |   |   |   |      | 03/06/01 |  |
| WESTON ROY F INC                 | PA    |             |   |   |   | X | X |   |   |   |      | 03/09/01 |  |
| WESTSTAR FINANCIAL SERVICES CORP | NC    |             |   |   |   | X |   |   |   |   |      | 03/12/01 |  |
| WORLDWIDE WEB NETWORK CORP       | DE    |             |   |   |   | X | X |   |   |   |      | 09/30/00 |  |