

# sec news digest

Issue 83-246

December 22, 1983

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## ADMINISTRATIVE PROCEEDINGS

DEC 23 1983

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RAYMOND L. DIRKS AND JOHN D. SULLIVAN SANCTIONED

U.S. SECURITIES AND  
EXCHANGE COMMISSION

Administrative Law Judge Irving Schiller filed an initial decision barring Raymond L. Dirks and John D. Sullivan from association with any broker or dealer in a supervisory, managerial, financial, principal or proprietary capacity. Dirks and Sullivan were general partners in John Muir and Company, a registered broker-dealer.

In his decision, the Judge held that Dirks and Sullivan wilfully aided and abetted Muir's violation of the net capital requirements of the Securities Exchange Act. The decision further states that Dirks and Sullivan were responsible for the management and policies of Muir and as a result of the manner in which they conducted the financial affairs of Muir, the firm's customers were placed in an endangered position. The irresponsible conduct of Dirks and Sullivan was also found to have been the primary cause of the liquidation of the firm by a trustee appointed by a U.S. District Court under the Securities Investor Protection Act of 1970.

Based upon such findings and other matters considered in the decision, it was concluded that the sanctions imposed are in the public interest. The decision is subject to review by the Commission on its own motion or on petition of the parties.

CARLTON R. JORGENSEN BARRED

The Commission instituted public proceedings under Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 against Carlton R. Jorgensen and Stifel, Nicolaus & Co. Incorporated, a broker-dealer registered with the Commission. In anticipation of these proceedings, Jorgensen and Stifel submitted Offers of Settlement which the Commission accepted.

Without admitting or denying the allegations contained in the Commission's Order for Public Proceedings: Jorgensen, in his Offer of Settlement, consented to a Commission Order providing for findings that he wilfully violated the antifraud provisions of the securities laws by executing unauthorized transactions in and converting funds from some of his customers' accounts while employed by Stifel and another broker-dealer; and Stifel, in its Offer of Settlement, consented to a Commission Order providing for findings that it failed to reasonably supervise Jorgensen.

In accepting Jorgensen's and Stifel's Offer of Settlement, the Commission barred Jorgensen from association with any broker, dealer, municipal securities dealer, investment company or investment adviser, and censured Stifel and required it to comply with certain undertakings. (Rel. 34-20473)

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## CIVIL PROCEEDINGS

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ORDER OF ARREST OF JOHN ACORD ENTERED

The Atlanta Regional Office and the Miami Branch Office announced that on December 6, following a hearing, Judge William M. Hoeveler, U.S. District Court for the Southern District of Florida, entered an Order of Arrest of John Acord. The Court found that John Acord, also known as John Allen (Acord), failed to comply with the Court's previous orders. The Order directed any U.S. Marshall to take Acord into custody and bring him before the Court for further Order. Acord's present residence is unknown.

On November 8 the Commission filed a Motion for an Order to Show Cause and an Order Directing That John Acord Be Imprisoned for failing to comply with the Judgment of Civil Contempt And Order To Pay Against John Acord entered on August 23. In that judgment the Court found Acord in civil contempt for violating a temporary restraining order entered on December 22, 1982 and ordered him to pay to the court appointed receiver, the sum of \$299,250 within 15 days of the entry of the judgment. The judgment also provided that Acord shall be fined \$500 per day after the 15th day until he pays the full amount to the receiver. Acord has not paid the money to the receiver, and has violated the temporary restraining order by removing \$299,250 from a Monarch Oil & Gas Limited bank account that was frozen by that order. (SEC v. Monarch Oil & Gas Corporation, et al., SD FL, Civil Action No. 82-6833-CIV-WMH). (LR-10235)

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## INVESTMENT COMPANY ACT RELEASES

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### SPARBANKERNAS BANK

An order has been issued amending a 1980 order to extend the exemption granted to Sparbankernas Bank, a Swedish commercial bank, to include a wholly-owned domestic finance subsidiary, Swedbank, Inc. (Rel. IC-13678 - Dec. 20)

### EZI FINANCE LTD.

An order has been issued exempting EZI Finance Ltd., an Australian corporation, from all provisions of the Investment Company Act. (Rel. IC-13679 - Dec. 20)

### HUTTON TELEPHONE & TELECOMMUNICATIONS TAX-ADVANTAGED TRUST

An order has been issued exempting Hutton Telephone & Telecommunications Tax-Advantaged Trust, an open-end, non-diversified, management investment company, from the provisions of Sections 2(a)(32), 2(a)(35), 22(c) and 22(d) of the Investment Company Act and Rule 22c-1. This will permit Hutton to assess a contingent deferred sales charge on redemptions of its initial and future series of shares and to waive the contingent sales charge on redemptions following the death, disability, or retirement of a shareholder. (Rel. IC-13680 - Dec. 20)

### NATIONAL GOVERNMENT RESERVES, INC.

An order has been issued declaring that National Government Reserves, Inc. has ceased to be an investment company. (Rel. IC-13681 - Dec. 20)

### MASTER RESERVES TAX FREE TRUST

An order has been issued exempting Capital T Tax Free Fund and Master Reserves Tax Free Trust from the provisions of Section 12(d)(3) of the Investment Company Act to enable them to acquire rights to sell their portfolio securities to brokers or dealers. (Rel. IC-13682 - Dec. 20)

### HOMAC GOVERNMENT FINANCIAL CORPORATION WEST

A notice has been issued giving interested persons until January 16, 1984 to request a hearing on an application filed by HOMAC Government Financial Corporation West, a limited purpose financing corporation, for an order exempting it from all provisions of the Investment Company Act. (Rel. IC-13683 - Dec. 20)

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## HOLDING COMPANY ACT RELEASES

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### CENTRAL POWER AND LIGHT COMPANY

A supplemental order has been issued extending the authorization of Central Power and Light Company (CPL), Public Service Company of Oklahoma (PSO), Southwestern Electric Power Company (SWEPCO), and West Texas Utilities (WTU), subsidiaries of Central and South West Corporation, and Central and South West Fuels, subsidiary of CPL, PSO, SWEPCO, and WTU, to engage in fuel exploration and development through March 31, 1984. (Rel. 35-23171 - Dec. 20)

### PUBLIC SERVICE COMPANY OF OKLAHOMA

A supplemental order has been issued extending the authorization of Public Service Company of Oklahoma, subsidiary of Central and South West Corporation, to make short-term loans of up to \$2,171,500 to its subsidiary, Ash Creek Mining Company, through December 31, 1984. (Rel. 35-23172 - Dec. 20)

### CONSOLIDATED NATURAL GAS COMPANY

A supplemental notice has been issued giving interested persons until January 16, 1984 to request a hearing on a proposal by Consolidated Natural Gas Company, a registered holding company, two of its subsidiaries, Consolidated Gas Supply Corporation and CNG Coal Company, and Consolidated Gas Transmission Corporation, a newly-organized corporation, regarding the reorganization of the system's operations in West Virginia. (Rel. 35-23173 - Dec. 21)

## THE COLUMBIA GAS SYSTEM, INC.

A supplemental order has been issued authorizing The Columbia Gas System, Inc., a registered holding company, and one of its subsidiaries, Columbia Gas of New York, Inc., to engage in certain intrasystem financing. (Rel. 35-23174 - Dec. 21)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### UNLISTED TRADING GRANTED

Orders have been issued granting the applications of the following stock exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system: Boston Stock Exchange, Inc. - six issues. (Rel. 34-20504); and the Cincinnati Stock Exchange, Inc. - six issues. (Rel. 34-20505)

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## SELF-REGULATORY ORGANIZATIONS

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### AMENDMENT NO. 1 TO PROPOSED RULE CHANGE AND ACCELERATED APPROVAL

The Commission approved an amended proposed rule change, on an accelerated basis, filed under Rule 19b-4 by the Philadelphia Stock Exchange, Inc. The rule change (SR-Phlx-83-16) permits the use of letters of credit as "original" or initial margin when establishing short positions in foreign currency options. (Rel. 34-20497)

### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved proposed rule changes filed under Rule 19b-4 by the American Stock Exchange, Inc. One rule change (SR-Amex-83-26) enables the order of a registered options trader to retain priority over or have parity with an off-floor broker-dealer order represented in the trading crowd, where both orders are to establish or increase a position. (Rel. 34-20502) The other (SR-Amex-83-30) increases position and exercise limits for Amex's Treasury bill options. (Rel. 34-20506)

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## TRUST INDENTURE ACT RELEASES

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### THE STANDARD OIL COMPANY

An order has been issued under the Trust Indenture Act of 1939 on an application of The Standard Oil Company, Sohio/BP Trans Alaska Pipeline Capital Inc. and Kennecott Corporation that the trusteeship of Morgan Guaranty Trust Company of New York under two indentures previously qualified under the Act, and four indentures that have not been qualified under the Act, is not so likely to involve a material conflict of interest as to make it necessary to disqualify Morgan Guaranty from acting as trustee. (Rel. TI-872)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a Rights Offering; File number and date filed; Assigned Branch; If the registration statement is a New Issue; and [S] denoting SHELF REGISTRATION under Rule 415.

(S-18) LIFE CONCERNS, 866 Plumas Ave., Yuva City, CA 95991 (916) 671-0105 - 1,100,000 shares of common stock. Underwriter: R. Rowland & Co., Incorporated. The company manages free-standing walk-in clinics. (File 2-88299-LA - Dec. 7) (Br. 6 - New Issue)

- (S-1) HAMILTON TECHNOLOGY, INC., 101-121 North Queen St., Lancaster, PA 17604 (717) 299-2581 - 50,000 units, \$50 million of senior subordinated debentures, due 1999, with 1,000,000 shares of common stock. Underwriter: Drexel Burnham Lambert Incorporated. The company develops, engineers and manufactures mechanical time fuzes. (File 2-88504 - Dec. 20) (Br. 6 - New Issue)
- (S-3) ARROW ELECTRONICS, INC., 600 Steamboat Rd., Greenwich, CT 06830 (203) 622-9030 - 277,308 shares of common stock. (File 2-88505 - Dec. 16) (Br. 8) [S]
- (S-1) PORTAVIDEO INTERNATIONAL, INC., 3325 W. Catalina Dr., Phoenix, AZ 85017 (602) 269-2101 - 1,667,000 shares of common stock. Underwriter: First Affiliated Securities, Inc. The company has developed and markets the PORTAVIDEO unit, a video cassette player. (File 2-88510 - Dec. 20) (Br. 3 - New Issue) [S]
- (S-14) CNB FINANCIAL CORPORATION, Market and Second Sts., Clearfield, PA 16830 (814) 765-9621 - 500,000 shares of common stock. (File 2-88511 - Dec. 20) (Br. 2 - New Issue)
- (S-14) TECTONIC ENERGY CORPORATION, 300 Texas Commerce Bank Bldg., Amarillo, TX 79109 (806) 358-2436 - 400,000 shares of preferred stock, 4,529,054 shares of common stock, and 800,000 warrants. (File 2-88512 - Dec. 20) (Br. 3) [S]
- (S-3) INTERCO INCORPORATED, 10 Broadway, St. Louis, MO 63102 (314) 231-1100 - 100,000 shares of common stock. Underwriter: The First Boston Corporation. The company is a manufacturer of footwear, apparel and furniture. (File 2-88513 - Dec. 20) (Br. 7) [S]
- (S-3) DANA CORPORATION, 4500 Dorr St., Toledo, OH 43697 (419) 535-4500 - 543,994 shares of common stock. (File 2-88514 - Dec. 20) (Br. 4) [S]
- (S-14) SOCIETY CORPORATION, 127 Public Sq., Cleveland, OH 44114 (216) 622-9000 - 2,300,000 shares of common stock. (File 2-88515 - Dec. 20) (Br. 1)
- (S-6) E. F. HUTTON TAX-EXEMPT TRUST, MULTISTATE SERIES 3, One Battery Park Plaza, New York, NY 10004 - 15,000 units. Depositor: E. F. Hutton & Company Inc. (File 2-88516 - Dec. 20) (Br. 18 - New Issue)
- (S-1) NICO, INC., 345 Hudson St., New York, NY 10014 (212) 620-8200 - 1,000,000 shares of common stock. Underwriter: E.F. Hutton & Company Inc. The company is an interior contracting firm. (File 2-88517 - Dec. 20) (Br. 9 - New Issue)
- (S-3) AMERICAN SCIENCE AND ENGINEERING, INC., Fort Washington, Cambridge, MA 02139 (617) 868-1600 - 1,000,000 units. (Rights Offering) (File 2-88520 - Dec. 20) (Br. 8) [S]
- (S-1) UNITED BRANDS COMPANY, 1271 Avenue of the Americas, New York, NY 10020 (212) 307-2000 - \$75 million of senior subordinated debentures, due January 15, 1999. Underwriter: Drexel Burnham Lambert Incorporated. The company is a producer and marketer of bananas. (File 2-88521 - Dec. 20) (Br. 3)
- (S-1) PETROLEUM HEAT AND POWER CO., INC., Davenport St., Stamford, CT 06904 (203) 323-2121 - 1,000,000 shares of common stock. Underwriters: Drexel Burnham Lambert Incorporated and E.F. Hutton & Company Inc. The company is an independent retail distributor of home heating oil. (File 2-88526 - Dec. 20) (Br. 2 - New Issue)
- (S-8) ASHLAND OIL, INC., Ashland Dr., Russell, KY 41169 (606) 329-3333 - 3,396,226 shares of common stock. (File 2-88528 - Dec. 21) (Br. 3)
- (S-1) THERMODYNETICS, INC., 651 Day Hill Rd., Windsor, CT 06095 (203) 683-2005 - 2,500,000 shares of common stock and 2,500,000 three-year common stock purchase warrants. Underwriter: First Jersey Securities, Inc. The company designs, manufactures and sells surface enhanced metal tubing and related assemblies. (File 2-88529 - Dec. 21) (Br. 6) [S]
- (S-3) FIRST RAILROAD & BANKING COMPANY OF GEORGIA, 699 Broad St., Augusta, GA 30913 - 150,000 shares of common stock. (File 2-88531 - Dec. 21) (Br. 1)

#### REGISTRATIONS EFFECTIVE

Dec. 2: Florida Power & Light Company, 2-88088; Owl Capital Corp., 2-87090-NY; Temco Home Health Care Products, Inc., 2-86582-NY; University Genetics Co., 2-87633; VMX, Inc., 2-87088.

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

		FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ASI COMMUNICATIONS INC NEUERMAN MARC ET AL	COM	13D	11/30/83	41 14.0	00205830 0.0	NEW
ACCELERATION CORP STEPHEN-BLAIR INVEST CO	COM	13D	12/ 5/83	904 19.4	00429910 18.4	UPDATE
ALMY STORES INC WILDER JOEL B ET AL	COM	13D	12/ 7/83	519 52.5	02042510 0.0	NEW
AMERICAN CAPITAL INSURANCE FORTUNE NATL CORP	COMMON STOCK	13D	12/ 6/83	286 13.7	02503310 0.0	NEW
AMERICAN CAPITAL INSURANCE INSCAP CORP	COMMON STOCK	13D	12/ 6/83	0 N/A	02503310 N/A	UPDATE
AMREP CORP UNICORP AMERICAN CORP ET AL	COM	13D	12/15/83	501 13.3	03215910 12.1	UPDATE
ASAMERA INC CAISSE DE DEPOT DU QUEBEC	COM	13D	12/ 9/83	1,554 6.3	04341110 5.2	UPDATE
BARUCH FOSTER CORP HELMERLICH & PAYNE	COM	13D	11/22/83	305 13.0	06968910 11.3	UPDATE
BAYSWATER RLTY & CAP CORP ICAHN ACQUISITION CORP ET AL	SH BEN INT	13D	12/ 8/83	756 87.1	07317010 82.4	UPDATE
BAYSWATER RLTY & CAP CORP ICAHN CARL	SH BEN INT	13D	12/ 8/83	756 87.1	07317010 82.4	UPDATE
CALIFORNIA LEISURE PRODS INC AERO SYSTEMS INC ET AL	COM	13D	11/11/83	1,865 67.8	13037010 49.6	UPDATE
CASTLE & COOKE INC UNITED FINL CORP TEXAS ET AL	COM	13D	12/16/83	2,217 7.9	14842910 0.0	NEW
CASTLE INDS INC MILWAUKEE CO	COM	13D	12/ 8/83	54 3.3	14845310 0.0	NEW
CAYMAN IS REINS LTD AMADEUS CO LTD ET AL	COM	13D	10/13/83	1,371 22.0	14975810 9.1	UPDATE
COMMONWEALTH SVGS & LN ASS F HARVISON JOHN H. JR	COM	13D	12/13/83	138 7.7	20327010 7.4	UPDATE
CONVEST ENERGY CORP WALLACE MONTE J ET AL	COM	13D	10/26/83	8,310 63.3	21257510 63.3	UPDATE
DIETRICH RES CORP BUTCHER HOWARD III	COM NEW	13D	10/30/83	201 7.5	25373620 6.3	UPDATE

## ACQUISITION REPORTS CONT.

DIETRICH RES CORP BUTCHER JONATHAN	COM NEW	13D	10/30/83	182 6.8	25373620 6.4	UPDATE
DIETRICH RES CORP BUTCHER W W KEEN	COM NEW	13D	10/30/83	126 4.7	25373620 5.1	UPDATE
DR PEPPER CO FORSTMANN LITTLE & CO	COM	13D	12/ 9/83	4,150 17.8	25612910 17.8	UPDATE
EL PASO CO R-H HLDGS/BURLINGTON NORTHERN	COM	13D	12/13/83	53,798 100.0	28336210 47.8	UPDATE
ENERGY EXCHANGE CORP GUERNSEY PETROLEUM CORP	CL A	13D	12/ 1/83	5,272 16.7	29266610 17.8	UPDATE
FIRST FINANCIAL BANCORP GOEHRING BENJAMIN R	COM	13D	11/20/83	12 2.7	32020999 0.0	NEW
FIRST NATL BANCORP ALLENTOWN JAINDL FREDERICK JOHN	COM	13D	12/ 2/83	365 16.9	32090610 21.1	UPDATE
FLORIDA COML BKS INC CULVERHOUSE HUGH F ET AL	COM	13D	12/ 8/83	433 20.4	34060710 18.8	UPDATE
FORTUNE NATL CORP FORTUNE NATL CORP	COM	13D	12/ 6/83	1,377 51.2	34967010 0.0	NEW
GAF CORP SIMMONS HAROLD C. ET AL	COM	13D	12/13/83	1,869 13.0	36142810 8.6	UPDATE
GEARHART INDS INC SMITH INTERNATIONAL INC	COM	13D	12/12/83	3,843 24.1	36829810 22.9	UPDATE
GREAT OUTDOOR AMERN ADVENT FIDELITY INTL LTD	COM	13D	12/13/83	261 17.7	39114410 13.8	UPDATE
GREAT OUTDOOR AMERN ADVENT FMR CORP	COM	13D	11/ 4/83	261 17.7	39114410 13.8	UPDATE
GULF OIL CORP MESA ASSET CO ET AL	COM	13D	12/15/83	21,563 13.0	40246010 12.8	UPDATE
HUNTINGTON HEALTH SVCS INC GOLDMAN SACHS & CO	COM	13D	12/ 6/83	0 0.0	44633910 5.6	UPDATE
INTERSTATE BAKERIES CORP BERKOWITZ HOWARD P ET AL	COM	13D	12/ 7/83	383 8.0	46072310 0.0	NEW
K D I CORP TACTRON INC ET AL	COM	13D	12/ 2/83	1,780 21.7	48245210 20.4	UPDATE
MATTEL INC BASS BROTHERS ENTERPRISES	PFD CONV CUM A \$2.50 INC	13D	12/ 7/83	154 6.4	57708120 10.0	UPDATE
MEDISCIENCE INC DAYTON 433 LTD	COM	13D	11/21/83	200 6.8	58509310 0.0	NEW
MEDISCIENCE INC HULKOWER BENJAMIN & REGINA	COM	13D	11/21/83	125 4.3	58509310 11.7	UPDATE
MEDISCIENCE INC SALZBERG ISAAC S	COM	13D	11/21/83	125 4.3	58509310 11.7	UPDATE
MEDISCIENCE INC SALZBERG JACK I	COM	13D	11/21/83	819 28.2	58509310 0.0	NEW
METRO BANC HOLDING CORP BOATMENS BANC SHARES INC	COM	14D-1	12/16/83	1,001 99.8	59190310 99.2	UPDATE
OPELIKA MFG CORP WORK WEAR CORP ET AL	COM PAR \$5.00	13D	12/ 6/83	62 10.9	68357420 0.0	NEW

## ACQUISITION REPORTS CONT.

RANCO INC WORMERK & CO	COM	13D	12/16/83	876 24.7	75215910 23.9	UPDATE
SCHERER R P CORP CILLUFFO FRANK J A	COM	13D	12/ 5/83	433 5.5	80652710 0.0	NEW
TOYS R US PETRIE STORES CORP	COM	13D	12/ 9/83	11,558 21.9	89233510 20.7	UPDATE
WICKLUND PETE CORP WICKLUND WILLARD W ET AL	COM	13D	9/ 9/83	2,084 30.2	96753510 28.3	UPDATE
WOLVERINE ALUM CORP RHODAS D DEAN ET AL	COM	13D	12/ 1/83	251 18.9	97787810 19.5	UPDATE
BANGOR PUNTA CORP LEAR SIEGLER INC	COM	14D-1	12/16/83	4,376 61.2	06022110 61.2	UPDATE
RAND INFORMATION SYS INC DARNLEY DALE W ET AL	COM PAR \$0.30	13D	12/ 6/83	749 28.6	75219620 0.0	NEW

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE	
AMERICAN EQUITY INVESTMENT TRUST	2	11/28/83	
AMERICAN HOME PROPERTIES 1981	4,7	11/22/83	
AMFAC INC	5,7	12/01/83	
AN CON GENETICS INC	2	11/07/83	
BASIC AMERICAN MEDICAL INC	7	11/09/83	AMEND
BEACON PHOTO SERVICE INC	5,7	12/08/83	
BPI SYSTEMS INC	5	11/23/83	
BUCYRUS ERIE CO	5,7	12/07/83	
CANYON STATE CORP	5,7	12/01/83	
CHOCK FULL O NUTS CORP	5	11/03/83	
COMPUTER COMMUNICATIONS INC	5	11/30/83	
CONSOLIDATED CAPITAL GROWTH FUND	5,7	11/30/83	
CONSOLIDATED CAPITAL INCOME TRUST	5,7	11/01/83	
CONSOLIDATED CAPITAL INSTITUTIONAL PROPE	7	09/27/83	AMEND
CONSOLIDATED CAPITAL PROPERTIES	5,7	11/16/83	
CONSOLIDATED CAPITAL PROPERTIES IV	2,7	11/29/83	
CONSOLIDATED CAPITAL SPECIAL TRUST	5	12/08/83	
CRUTCHER RESOURCES CORP	5	12/13/83	
DURR FILLAUER MEDICAL INC	5	12/08/83	
DYNASTY OIL CORP	5	09/30/83	
EATON VANCE CORP	7	10/31/83	AMEND
ELECTRONIC THEATRE RESTAURANTS CORP	5	12/14/83	
ENCINO ENERGY & DEVELOPMENT CORP	7	08/05/83	AMEND
FAIRFIELD COMMUNITIES INC	2,7	12/06/83	
FINANCIAL CORP OF SANTA BARBARA	5	11/09/83	
FINANCIAL INC	5	11/30/83	
FIRST NATIONAL BANCORP OF LEWISBURG INC	7	10/11/83	AMEND

RECENT 8K FILINGS CONT.

FIRST NH BANKS INC	2,7	11/30/83	
FIRST SENECA CORP	5	12/06/83	
FISCHBACH CORP	NO ITEMS	11/09/83	
FORUM GROUP INC	7	08/31/83	AMEND
GORDON JEWELRY CORP	5,7	12/08/83	
GULF ENERGY & DEVELOPMENT CORP	5	11/10/83	
HARVEL INDUSTRIES CORP	5,7	12/08/83	
HYSTER CO	5,7	12/05/83	
INTEK DIVERSIFIED CORP	2	12/01/83	
INTERSCIENCE SYSTEMS INC	4	05/09/83	
LOUISIANA PACIFIC RESOURCES INC	5	10/21/83	
MAINE PUBLIC SERVICE CO	5	12/01/83	
MALLON 1981-2 LTD PARTNERSHIP	5	07/27/83	
MARINE CORP	5	11/30/83	
MCNEIL REAL ESTATE FUND IV LTD	5	11/18/83	
MCNEIL REAL ESTATE FUND X LTD	2	11/30/83	
MDC CORP/CO/	2,7	12/12/83	
MIDWEST MANAGEMENT CORP	NO ITEMS	12/08/83	
MONTANA POWER CO /MT/	5	11/19/83	
MOOG INC	5,7	11/01/83	
MORAN ENERGY INC	5,7	12/07/83	
MRI BUSINESS PROPERTIES FUND LTD	2	11/28/83	
NATIONAL BANCSHARES CORP OF TEXAS	2,7	11/28/83	
NORTHWEST ENGINEERING CO	1,5	11/28/83	
NUCLEAR SUPPORT SERVICES INC	5	11/15/83	
PRESLEY COMPANIES	5	12/08/83	
PUBLIC SERVICE CO OF NEW HAMPSHIRE	5	12/12/83	
REXMOOR PROPERTIES INC	5,7	12/07/83	
ROTAN MOSLE REALTY FUND I LTD	4,7	11/23/83	
SHANNON OIL & GAS INC	5,7	09/27/83	
SILCO INVESTORS CORP	2	11/30/83	
SOUTHERN CO	5	12/08/83	
SOUTHWEST RESOURCES INC	2	09/19/83	
STUART MCGUIRE CO INC	5	11/28/83	
SYSTEMS ENGINEERING & MANUFACTURING CORP	5,6	09/30/83	
TEXON ENERGY CORP	7	10/14/83	AMEND
TRANSITRON ELECTRONIC CORP	5,7	12/08/83	
VAN SCHAACK & CO	5	12/01/83	