

1 **CCSP Synthesis and Assessment Product 1.2**
2 **Past Climate Variability and Change in the Arctic and at High Latitudes**

3
4 **Chapter 4 — Paleoclimate Concepts**

5
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14 **ABSTRACT**

15

16 Interpretation of paleoclimate records requires an understanding of Earth’s climate
17 system, the causes (forcings) of climate changes, and the processes that amplify (positive
18 feedback) or damp (negative feedback) these changes. Paleoclimatologists reconstruct the history
19 of climate from proxies, which are those characteristics of sedimentary deposits that preserve
20 paleoclimate information. A great range of physical, chemical, isotopic, and biological
21 characteristics of lake and ocean sediments, ice cores, cave formations, tree rings, the land
22 surface itself, and more are used to reconstruct past climate. Ages of climate events are obtained
23 by counting annual layers, measuring effects of the decay of radioactive atoms, assessing other
24 changes that accumulate through time at rates that can be assessed accurately, and using time-
25 markers to correlate sediments with others that have had their ages measured more accurately.
26 Not all questions about the history of Earth’s climate can be answered through paleoclimatology:
27 in some cases the necessary sediments are not preserved, or the climatic variable of interest is not
28 recorded in the sediments. Nonetheless, many questions can be answered from the available
29 information.

30 An overview of the history of Arctic climate over the past 65 million years (m.y.) shows
31 a long-term irregular cooling over tens of millions of years. As ice became established in the
32 Arctic, it grew and shrank over tens of thousands of years in regular cycles. During at least the
33 most recent of these cycles, shorter-lived large and rapid fluctuations occurred, especially around
34 the North Atlantic Ocean. The last 11,000 years or so have remained generally warm and
35 relatively stable, but with small climate changes of varying spacing and size. Assessment of the
36 causes of climate changes, and the records of those causes, shows that reduction in atmospheric
37 carbon-dioxide concentration and changes in continental positions were important in the cooling

38 trend over tens of millions of years. The cycling in ice extent was paced by features of Earth's
39 orbit and amplified by the effects of the ice itself, changes in carbon dioxide and other
40 greenhouse gases, and additional feedbacks. Abrupt climate changes were linked to changes in
41 the circulation of the ocean and the extent of sea ice. Changes in the sun's output and in Earth's
42 orbit, volcanic eruptions, and other factors have contributed to the natural climate changes since
43 the end of the last ice age.

44

44 **4.1 Introduction**

45 Most people notice the weather. Day to day, week to week, and even year to year,
46 changes in such parameters as minimum and maximum daily temperatures, precipitation
47 amounts, wind speeds, and flood levels are all details about the weather that nearly everyone
48 shares in daily conversations. When all else fails, most people can talk about the weather.

49 Evaluating longer-term trends in the weather (tens to hundreds of years or even longer) is
50 the realm of climate science. *Climate* is the average weather, usually defined as the average of
51 the past 30 years. *Climate change* is the long-term change of the average weather, and climate
52 change is the focus of this assessment report. While most people accept that the weather is
53 always changing on the time scale of recent memory, geologists reconstruct climate on longer
54 time scales and use these reconstructions to help understand why climate changes. This improved
55 understanding of Earth’s climate system informs our ability to predict future climate change.
56 Reconstructions of past climate also allow us to define the range of natural climate variability
57 throughout Earth’s history. This information helps scientists assess whether climate changes
58 observable now may be part of a natural cycle or whether human activity may play a role. The
59 relevance of climate science lies in the recognition that even small shifts in climate can and have
60 had sweeping economic and societal effects (Lamb, 1997; Ladurie, 1971).

61 Indications of past climate, called climate proxies, are preserved in geological records;
62 they tell us that Earth’s climate has rarely been static. For example, during the past 70 million
63 years (“m.y.”), of Earth history, large changes have occurred in average global temperature and
64 in temperature differences between tropical and polar regions, as well as ice-age cycles during
65 which more than 100 m of sea level was stored on land in the form of giant continental ice sheets
66 and then released back to the ocean by melting of that ice. Climate change includes long-term

67 trends lasting tens of millions of years, and abrupt shifts occurring in as little as a decade or less,
68 both of which have resulted in large-scale reorganizations of oceanic and atmospheric circulation
69 patterns. As we discuss in the following sections, these climate changes are understood to be
70 caused by combinations of the drifting of continents and mountain-building in response to plate-
71 tectonic forces that cause continental drift and mountain-building forces, variations in Earth's
72 orbit about the Sun, and changes in atmospheric greenhouse gases, solar irradiance, and
73 volcanism, all of which can be amplified by powerful positive feedback mechanisms, especially
74 in the Arctic. Documenting past climates and developing scientific explanations of the observed
75 changes (paleoclimatology) inform efforts to understand the climate, reveal features of
76 importance that must be included in predictive models, and allow testing of the models
77 developed.

78 An overview of key climate processes is provided here, followed by a summary of
79 techniques for reconstructing past climatic conditions. Additional details pertaining to specific
80 aspects of the Arctic climate system and its history are presented in the subsequent chapters.

81

82 **4.2 Forcings, Feedback, and Variability**

83 An observed change in climate may depend on more than one process. Tight linkages and
84 interactions exist between these processes, as described below, but it is commonly useful to
85 divide these processes into three categories: internal variability, forcings, and feedbacks. (For
86 additional information, see Hansen et al., 1984, Peixoto and Oort, 1992; or IPCC, 2007 among
87 other excellent sources.)

88 Internal variability is familiar to weather watchers: if you don't like the weather now,
89 wait for tomorrow and something different may arrive. Even though the Sun's energy, Earth's

90 orbit, the composition of the atmosphere, and many other important controls are the same as
91 yesterday, different weather arrives because complex systems exhibit fluctuations within
92 themselves. This variability tends to average out over longer time periods, so climate is less
93 variable than weather; however, even the 30-year averages typically used in defining the climate
94 vary internally. For example, without any external cause, a given 30-year period may have one
95 more El Niño event in the Pacific Ocean, and thus slightly warmer average temperatures, than
96 the previous 30-year period.

97 Forced changes are caused by an event outside the climate system. If the Sun puts out
98 more energy, Earth will warm in response. If fewer volcanoes than average erupt during a given
99 century, then less sunlight than normal will be blocked by particles from those volcanoes, and
100 Earth's surface will warm in response. If burning fossil fuel raises the carbon-dioxide
101 concentration of the atmosphere, then more of the planet's outgoing radiation will be absorbed
102 by that carbon dioxide, and Earth's surface will warm in response. Depending on often-random
103 processes, different forcings may combine to cause large climate swings or offset to cause
104 climate changes to be small.

105 When one aspect of climate changes, whether in response to some forcing or to internal
106 variability, other parts of the climate system respond, and these responses may affect the climate
107 further; if so, then these responses are called feedbacks. How much the temperature changes in
108 response to a forcing of a given magnitude (or in response to the net magnitude of a set of
109 forcings) depends on the sum of all of the feedbacks. Feedbacks can be characterized as positive,
110 serving to amplify the initial change, or negative, acting to partially offset the initial change.

111 As an example, some of the sunshine reaching Earth is reflected back to space by snow
112 without warming the planet. If warming (whether caused by an El Niño, increased output from

113 the Sun, increased carbon dioxide concentration in the atmosphere, or anything else) melts snow
114 and ice that otherwise would have reflected sunshine, then more of the Sun’s energy will be
115 absorbed, causing additional warming and the melting of more snow and ice. This additional
116 warming is a feedback (usually called the ice-albedo feedback). This ice-albedo feedback is
117 termed a positive feedback, because it amplifies the initial change.

118

119 **4.2.1 The Earth’s Heat Budget—A Balancing Act**

120 On time scales of hundreds to thousands of years, the energy received by the Earth from
121 the Sun and the energy returned to space balance almost exactly; imbalance between incoming
122 and outgoing energy is typically less than 1% over periods as short as years to decades. (Figure
123 4.1). This state of near-balance is maintained by the very strong negative feedback linked to
124 thermal radiation. All bodies “glow” (send out radiation), and warmer bodies glow more brightly
125 and send out more radiation than cooler ones. (Watching the glow of a burner on an electric
126 stove become visible as it warms shows this effect very clearly.) Some of the Sun’s energy
127 reaching Earth is reflected without causing warming, and the rest is absorbed to warm the planet.
128 The warmer the planet, the more energy it radiates back to space. A too-cold planet (that is, a
129 planet colder than the temperature at which it would be in equilibrium) will receive more energy
130 than is radiated, causing the planet to warm, thus increasing radiation from Earth until the
131 incoming and outgoing energy balance. Similarly, a too-warm planet will radiate more energy
132 than is received from the Sun, producing cooling to achieve balance. Greenhouse gases in the
133 atmosphere block some of the outgoing radiation, transferring some of the energy from the
134 blocked radiation to other air molecules to warm them, or radiating the energy up or down. The
135 net effect is to cause the lower part of the atmosphere (the troposphere) and the surface of the

136 planet to be warmer than they would have been in the absence of those greenhouse gases. The
137 global average temperature can be altered by changes in the energy from the sun reaching the top
138 of our atmosphere, in the reflectivity of the planet (the planet's albedo), or in strength of the
139 greenhouse effect..

140

141 **FIGURE 4.1 NEAR HERE**

142

143 Equatorial regions receive more energy from space than they emit to space, polar regions
144 emit more energy to space than they receive, and the atmosphere and ocean transfer sufficient
145 energy from the equatorial to the polar regions to maintain balance (for additional information
146 see Nakamura and Oort, 1988, Peixoto and Oort, 1992, and Serreze et al., 2007).

147 Important forcings described later in this section include changes in the Sun; cyclical
148 features of Earth's orbit (Milankovitch forcing); changes in greenhouse gas concentrations in
149 Earth's atmosphere; the shifting shape, size, and positions of the continents (plate tectonics);
150 biological processes; volcanic eruptions; and other features of the climate system. Other possible
151 forcings, such as changes in cosmic rays or in blocking of sunlight by space dust, cannot be ruled
152 out entirely but do not appear to be important.

153

154 **4.2.2 Solar Irradiance Forcing**

155 **4.2.2a Effects of the Aging of the Sun**

156 Energy emitted by the Sun is the primary driver of Earth's climate system. The Sun's
157 energy, or irradiance, is not constant, and changes in solar irradiance force changes in Earth's
158 climate. Our understanding of the physics of the Sun indicates that during Earth's 4.6-billion-

159 year history, the Sun’s energy output should have increased smoothly from about 70% of modern
160 output (see, for example, Walter and Barry, 1991). (Direct paleoclimatic evidence of this
161 increase in solar output is not available.) During the last 100 m.y., changes in solar irradiance are
162 calculated to have been less than 1%, or less than 0.000001% per century. Therefore, the effects
163 of the Sun’s aging have no bearing on climate change over time periods of millennia or less. For
164 reference, the 0.000001% per century change in output from aging of the Sun can be compared
165 with other changes, for example:

- 166 • maximum changes of slightly under 0.1% over 5 to 6 years as part of the sunspot cycle
167 (Foukal et al., 2006);
- 168 • the estimated increase from the year 1750 to 2005 in solar output averaged across sunspot
169 cycles, which also is slightly under 0.1% (Forster et al., 2007; see below); and
- 170 • the warming effect of carbon dioxide added to the atmosphere from 1750 to 2005.

171 This addition is estimated to have had the same warming effect globally as an increase in
172 solar output of ~0.7% (Forster et al., 2007), and thus it is much larger than changes in
173 solar irradiance during this same time interval.

174

175 **4.2.2b Effects of Short-Term Solar Variability**

176 Earth-based observations and, in recent years, more-accurate space-based observations
177 document an 11-year solar cycle that results from changes within the Sun. Changes in solar
178 output associated with this cycle cause peak solar output to exceed the minimum value by
179 slightly less than 0.1% (Beer et al., 2006; Foukal et al., 2006; Camp and Tung, 2007). A satellite
180 thus measures a change from maximum to minimum of about 0.9 W/m^2 , out of an average of
181 about 1365 W/m^2 . This value is usually recalculated as a “radiative forcing” for the lower

182 atmosphere. It is divided by 4 to account for spreading of the radiation around the spherical Earth
183 and multiplied by about 0.7 to allow for the radiation that is directly reflected without warming
184 the planet (Forster et al., 2007). The climate response to this sunspot cycling has been estimated
185 as less than 0.1°C (Stevens and North, 1996) to almost 0.2°C (Camp and Tung, 2007). As
186 discussed by Hegerl et al. (2007), the lack of any trend in solar output over longer times than this
187 sunspot cycling, as measured by satellites, excludes the Sun as an important contributor to the
188 strong warming during the interval of satellite observations, but the solar variability may have
189 contributed weakly to temperature trends in the early part of the 20th century.

190 Over longer time frames, indirect proxies of solar activity (historical sun-spot records,
191 tree-rings and ice-cores) also exhibit 11-year solar cycles as well as longer-term variability.
192 Common longer cycles are about 22, 88 and 205 years (e.g., Frohlich and Lean, 2004). The
193 historical climate record suggests that periods of low solar activity may be linked to climate
194 anomalies. For example, the solar minima known as the "Dalton Minimum" and the "Maunder
195 Minimum" (1790–1820 AD, and 1645–1715 AD, respectively) correspond with the relatively
196 cool conditions of the Little Ice Age. However, the magnitude of radiative forcing that can be
197 attributed to variations in solar irradiance remains debated (e.g., Baliunas and Jastrow, 1990;
198 Bard et al., 2000; Fleitmann, et al., 2003; Frolich and Lean, 2004; Amman et al., 2007;
199 Muscheler et al., 2007). An extensive summary of estimates of solar increase since the Maunder
200 Minimum is given by Forster et al. (2007), which lists a preferred value of a radiative forcing of
201 $\sim 0.2 \text{ W/m}^2$, although the report also lists older estimates of just less than 0.8 W/m^2 , still well
202 below the estimated radiative forcing of the human-caused increase in atmospheric carbon
203 dioxide ($\sim 1.7 \text{ W/m}^2$) (IPCC, 2007).

204

4.2.3 Orbital Forcing and Milankovitch Cycles

Irregularities in Earth’s orbital parameters, often referred to as “Milankovitch variations” or “Milankovitch cycles,” after the Serbian mathematician who suggested that these irregularities might control ice-age cycles, result in systematic changes in the seasonal and geographic distribution of incoming solar radiation (insolation) for the planet (Milankovitch, 1920, 1941). The Milankovitch cycles have almost no effect on total sunshine reaching the planet over time spans of years or decades; they have only a small effect on total sunshine reaching the planet over tens of thousands of years and longer; but they have large effects on north-south and summer-winter distribution of sunshine. These “Milankovitch variations” (Figure 4.2) are due to three types of changes: (1) the eccentricity (out-of-roundness) of Earth’s orbit around the Sun varies from nearly circular to more elliptical and back over about 100 thousand years (k.y.) (E in Figure 4.2); (2) the obliquity (how far the North Pole is tilted away from “straight up” out of the plane containing Earth’s orbit about the Sun) tilts more and then less over about 41 k.y. (T in Figure 4.2); and (3) the precession (the wobble of Earth’s rotational axis, moves Earth from its position closest to the Sun in the Northern-Hemisphere summer (the southern winter) to its position farthest from the Sun in the northern summer (the southern winter and back again in cycles of about 19–23 k.y. (P in Figure 4.2) (e.g., Loutre et al., 2004). These orbital features are linked to the influence of the gravity of Jupiter and the moon, among others, acting on Earth itself and on the bulge at the equator caused by Earth’s rotation. These features are relatively stable, and can be calculated for periods of millions of years with high accuracy. Paleoclimatic records show the influence of these changes very clearly (e.g., Imbrie et al., 1993).

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FIGURE 4.2 NEAR HERE

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229 The variations in eccentricity (orbital “out of roundness” or departure from circularity)
230 affect the total sunshine received by the planet in a year, but by less than 0.5% between extremes
231 (hence giving very small changes of less than 0.001% per century). The other orbital variations
232 have essentially no effect on the total solar energy received by the planet as a whole. However,
233 large variations do occur in energy received at a particular latitude and season (with offsetting
234 changes at other latitudes and in other seasons); changes have exceeded 20% in 10,000 years
235 (which is still only 0.2% per century, again with offsetting changes in other latitudes and seasons
236 so that the total energy received is virtually constant).

237 In the Arctic, the most important orbital controls are the tilt of Earth’s axis (T in Figure
238 4.2), where high tilt angles result in much more high-latitude insolation than do low tilt angles,
239 and the precession or wobble of Earth’s rotational axis (P in Figure 4.2). When Earth is closest to
240 the Sun at the summer solstice, insolation is significantly greater than when Earth is at its
241 greatest distance from the Sun at the summer solstice. For example, 11 thousand years ago (ka),
242 Earth was closest to the Sun at the Northern Hemisphere summer solstice, but the summer
243 solstice has been steadily moving toward the greatest distance from the Sun since then, such that
244 at present Northern Hemisphere summer occurs when Earth is almost the greatest distance from
245 the Sun, resulting in 9% less insolation in Arctic midsummers today than at 11 ka (Figure 4.3).
246 On the basis of this orbital consideration alone, Arctic summers should have been cooling during
247 this interval in response to the precession of the equinoxes.

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FIGURE 4.3 NEAR HERE

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252 **4.2.4 Greenhouse Gases in the Atmosphere**

253 Roughly 70% of the incoming solar radiation is absorbed by the planet, warming the
254 land, water, and air (Forster et al., 2007). Earth, in turn, radiates energy to balance what it
255 receives, but at a longer wavelength than that of the incoming solar radiation. Greenhouse gases
256 are those gases present in the atmosphere that allow incoming shortwave radiation to pass largely
257 unaffected, but that absorb some of Earth's outgoing longwave radiation band (Figure 4.1).
258 Greenhouse gases play a key role in keeping the planetary temperature within the range
259 conducive to life. In the absence of greenhouse gases in Earth's atmosphere, the planetary
260 temperature would be about -19°C (-2°F); with them, the average temperature is about 33°C
261 (about 57°F) higher (Hansen et al., 1984; Le Treut et al., 2007). The primary pre-industrial
262 greenhouse gases include, in order of importance, water vapor, carbon dioxide, methane, nitrous
263 oxide, and tropospheric ozone. Concentrations of these gases are directly affected by
264 anthropogenic (human) activities, with the exception of water vapor as discussed below. Purely
265 anthropogenic recent additions to greenhouse gases include a suite of halocarbons and
266 fluorinated sulfur compounds (Ehhalt et al., 2001).

267 Typically, carbon dioxide is a less important greenhouse gas than water vapor near
268 Earth's surface. Changing the carbon-dioxide concentration of the atmosphere is relatively easy,
269 but changing the atmospheric concentration of water vapor to any appreciable degree is difficult
270 except by changing the temperature. Natural fluxes of water vapor into and out of the atmosphere
271 are very large, equivalent to a layer of water across the entire surface of Earth of about 2
272 cm/week (e.g., Peixoto and Oort, 1992); human perturbations to these fluxes are relatively very
273 small (Forster et al., 2007). However, the large ocean surface and moisture from plants provide

274 important water sources that can yield more water vapor to warmer air; relative humidity tends to
275 remain nearly constant as climate changes, so warming for any reason introduces more water
276 vapor to the air and increases the greenhouse effect in a positive feedback (Hansen et al., 1984;
277 Pierrehumbert et al., 2007). Hence, discussions of forcing of changes in climate focus especially
278 on carbon dioxide, and to a lesser degree on methane and other greenhouse gases, rather than on
279 water vapor (Forster et al., 2007).

280 Carbon dioxide concentrations in the atmosphere are tied into an extensive natural system
281 of terrestrial, atmospheric, and oceanic sources and sinks called the global carbon cycle (see
282 Prentice et al. (2001) in the IPCC 3rd Assessment Report for a comprehensive discussion). The
283 possible effect of increasing CO₂ levels in the atmosphere was first recognized by Arrhenius
284 (1896). By the 1930s, mathematical models linking greenhouse gases and climate change
285 (Callendar, 1938) projected that a doubling of atmospheric CO₂ concentration would increase the
286 mean global temperature by 2°C and would warm the poles considerably more. (Le Treut et al.
287 (2007) provides a detailed historical perspective on the recognition of Earth's greenhouse effect.)
288 By the 1970s, CH₄, N₂O and CFCs were widely recognized as important additional
289 anthropogenic greenhouse gases (Ramanathan, 1975).

290 The direct relationship between climate change and greenhouse gases such as CO₂ and
291 methane is clearly described by the recent Intergovernmental Panel on Climate Change report
292 (IPCC, 2007). Information summarized there highlights the likelihood that changes in
293 concentrations of greenhouse gases will especially affect the Arctic (Figure 4.4) and focuses
294 attention on greenhouse gases as well as other influences on the Arctic, as discussed in this
295 report especially in Chapter 5 (temperature and precipitation history).

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297 FIGURE 4.4 NEAR HERE

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300 **4.2.5 Plate Tectonics**

301 The drifting of continents (explained by the theory of plate tectonics) moves land masses
302 from equator to pole or the reverse, opens and closes oceanic “gateways” between land masses
303 thus redirecting ocean currents, raises mountain ranges that redirect winds, and causes other
304 changes that may affect climate. These changes can have very large local to regional effects
305 (moving a continent from the pole to the equator obviously will greatly change the climate of
306 that continent). Moving continents around may have some effect on the average global
307 temperature, in part through changes in the planet’s albedo (Donnadiou et al., 2006).

308 Processes linked to continental rearrangement can strongly affect global climate by
309 altering the composition of the atmosphere and thus the strength of the greenhouse effect,
310 especially through control of the carbon-dioxide concentration of the atmosphere (e.g., Berner,
311 1991; Royer et al., 2007). Over millions of years, the atmospheric concentration of carbon
312 dioxide is controlled primarily by the balance between carbon-dioxide removal through chemical
313 reactions with rocks near the Earth’s surface, and carbon-dioxide release from volcanoes or other
314 pathways involving melting or heating of rocks that sequester carbon dioxide. Because higher
315 temperatures cause carbon dioxide to react more rapidly with Earth-surface rocks, atmospheric
316 warming tends to speed removal of carbon dioxide from the air and thus to limit further
317 warming, in a negative feedback (Walker et al., 1981). Because the tectonic processes causing
318 continental drift control the rate of volcanism, and can change over millions of years, changes in
319 atmospheric carbon-dioxide concentration can be forced by the planet beneath.

320

321 **4.2.6 Biological Processes**

322 Biological processes can both absorb and release carbon dioxide, such that evolutionary
323 changes have contributed to atmospheric changes. For example, some carbon dioxide taken from
324 the air by plants is released by their roots into the soil, by respiration while living and by decay
325 after death. Thus, plants speed the reaction of atmospheric carbon dioxide with rocks (Berner,
326 1991; Beerling and Berner, 2005). This process could not have occurred on the early Earth
327 before the evolution of plants with roots.

328 Plants are composed in part of carbon dioxide removed from the atmosphere, and burning
329 (oxidation) of plants releases most of this carbon dioxide back to the atmosphere (minus the
330 small fraction that reacts with rocks in the soil). When plants are buried without burning and
331 altered to form fossil fuels, the atmospheric carbon-dioxide level is reduced; later, natural
332 processes may bring the fossil fuels back to the surface to decompose and release the stored
333 carbon dioxide. (Humans are greatly accelerating these natural processes; fossil fuels that
334 required hundreds of millions of years to accumulate are being burned in hundreds of years.)
335 Rapid burial favors preservation of organic matter, whereas dead things left on the surface will
336 decompose. Thus, changes in rates of sediment deposition linked to continental rearrangement
337 are among the processes that may affect the formation and breakdown of fossil fuels and thus the
338 strength of the atmospheric greenhouse effect.

339 Continents move more or less as rapidly as fingernails grow, so that a major reshuffling
340 of the continents requires about 100 million years, and the opening or closing of an oceanic
341 gateway may require millions of years (e.g., Livermore et al., 2007). Major evolutionary changes
342 have required millions of years or longer (e.g., d'Hondt, 2005). Thus, those changes in the

343 greenhouse effect that modified Earth's climate or were linked to continental drift or biological
344 evolution have been highly influential over time spans of tens of millions of years, but they have
345 had essentially no effect over shorter intervals of centuries or millennia. (Note that if one
346 considers hundreds of thousands of years or longer, an increase in volcanic activity may notably
347 increase carbon dioxide in the atmosphere, causing warming. However, volcanic release of
348 carbon dioxide is small enough that in a few millennia or less the changes in volcanic release
349 have not notably affected the carbon-dioxide concentration of the atmosphere. The main short-
350 term effect of an increase in volcanic eruptions is to cool the planet by blocking the Sun, as
351 discussed next.)

352

353 **4.2.7 Volcanic Eruptions**

354 Volcanic eruptions are an important natural cause of climate change on seasonal to multi-
355 decadal time scales. Large explosive volcanic eruptions inject both particles and gases into the
356 atmosphere. Particles are removed by gravity in days to weeks. Sulfur gases, in contrast, are
357 converted rapidly to sulfate aerosols (tiny droplets of sulfuric acid) that have a residence time in
358 the stratosphere of about 3 years and are transported around the world and poleward by
359 circulation within the stratosphere. Tropical eruptions typically influence both hemispheres,
360 whereas eruptions at middle to high latitudes usually affect only the hemisphere of eruption
361 (Shindell et al., 2004; Fischer et al., 2007). Consequently, the Arctic is affected primarily by
362 tropical and Northern Hemisphere eruptions.

363 The radiative and chemical effects of the global volcanic aerosol cloud produce strong
364 responses in the climate system on short time scales (see Figure 6.5) (Briffa et al., 1998; deSilva
365 and Zielinski, 1998; Oppenheimer, 2003). By scattering and reflecting some solar radiation back

366 to space, the aerosols cool the planetary surface, but by absorbing both solar and terrestrial
367 radiation, the aerosol layer also heats the stratosphere. A tropical eruption produces more heating
368 in the tropics than in the high latitudes and thus a steeper temperature gradient between the pole
369 and the equator, especially in winter. In the Northern Hemisphere winter, this steeper gradient
370 produces a stronger jet stream and a characteristic stationary tropospheric wave pattern that
371 brings warm tropical air to Northern Hemisphere continents and warms winter temperatures.
372 Because little solar energy reaches the Arctic during winter months, the transfer of warm air
373 from tropical sources to high latitudes has more effect on winter temperatures than does the
374 radiative cooling effect from the aerosols. However, during the summer months, radiative
375 cooling dominates, resulting in anomalously cold summers across most of the Arctic. The 1991
376 Mt. Pinatubo eruption in the Philippines resulted in volcanic aerosols covering the entire planet,
377 producing global-average cooling, but winter warming over the Northern Hemisphere continents
378 in the subsequent two winters (Stenchikov et al., 2004, 2006).

379 Three large historical Northern Hemisphere eruptions have been studied in detail: the 939
380 AD Eldgjá (Iceland), 1783–1784 AD Laki (Iceland), and 1912 AD Novarupta (Katmai, Alaska)
381 eruptions. All caused cooling of the Arctic during summer but no winter warming (Thordarson et
382 al., 2001; Oman et al., 2005, 2006).

383 When widespread stratospheric volcanic aerosols settle out, some of the sulfate falls onto
384 the Antarctic and Greenland ice sheets (Figure 4.5). Measurements of those sulfates present in
385 ice cores can be used to estimate the Sun-blocking effect of the eruption. Large volcanic
386 eruptions, especially those within a few decades of each other, are thought to have promoted
387 cooling during the Little Ice Age (about 1280–1850 AD) (Anderson et al., 2008). A

388 comprehensive review of the effects of volcanic eruptions on climate and of records of past
389 volcanism is provided by Robock (2000, 2007).

390

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FIGURE 4.5 NEAR HERE

392

393 The effects of volcanic eruptions are clearly evident in ice-core records (e.g., Zielinski et
394 al., 1994); major eruptions cooled Greenland about 1°C for about 1 or 2 years as recorded in
395 Greenland ice cores (e.g., Stuiver et al., 1995) (Figure 4.6). Tree-ring records also support the
396 connection between climate and volcanic eruptions (LaMarche and Hirschbeck, 1984; Briffa et
397 al., 1998; D’Arrigo et al., 1999; Salzer and Hughes, 2007). The growth and shrinkage of the
398 great ice-age ice sheets, and the associated loading and unloading of Earth, may have affected
399 the frequency of volcanic eruptions somewhat (e.g., Maclennan et al., 2002), but in general the
400 recent timing of explosive volcanic eruptions appears to be random. There is no mechanism for a
401 volcano in, say, Alaska to synchronize its eruptions with a volcano in Indonesia; hence, volcanic
402 eruptions in recent millennia appear to have introduced unavoidable climatic “noise” as opposed
403 to controlling the climate in an organized way.

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FIGURE 4.6 NEAR HERE

406

407 **4.2.8 Other influences**

408 Paleoclimatic records discount some speculative mechanisms of climate change. For
409 example, about 40,000 years ago natural fluctuations reduced the strength of Earth’s magnetic
410 field essentially to zero for about one millennium. The cosmic-ray flux into the Earth system

411 increased greatly, as recorded by a large peak in beryllium-10 in sedimentary records. However,
412 the climate record does not change in parallel with changes in beryllium-10, indicating that the
413 cosmic-ray increase had little or no effect on climate (Muscheler et al., 2005). Large changes in
414 concentration of extraterrestrial dust between Earth and Sun might lead to changes in solar
415 energy reaching Earth and thus to changes in climate; however, the available sedimentary
416 records show no no significant changes in the rate of infall of such extraterrestrial dust (Winckler
417 and Fischer, 2006).

418 The climate is a complex, integrated system, and it operates through strong linked
419 feedbacks, internal variability, and numerous forcings. On time scales of centuries or less,
420 however, many of the drivers of past climate change—such as drifting continents, biological
421 evolution, aging of the Sun, and features of Earth’s orbit—have no discernible influence on the
422 climate. Small variations in climate appear to have been caused by small variations in the Sun’s
423 output, occasional short-lived cooling caused by explosive volcanic eruptions, and greenhouse-
424 gas changes have affected the planet’s temperature.

425

426 **4.3 Reading the History of Climate Through Proxies**

427 A modern historian trying to understand our human story cannot go back in time and
428 replay an important event. Instead, the historian must rely on indirect evidence: eyewitness
429 accounts (which may not be highly accurate), artifacts, and more. It is as if the historical figures,
430 who cannot tell their tale directly, have given their proxies to other people and other things to
431 deliver the story to the modern historian.

432 Historians of climate—paleoclimatologists—are just like other historians: they read the
433 indirect evidence that the past sends by proxy. All historians are aware of the strengths and

434 weaknesses of proxy evidence, of the value of weaving multiple strands of evidence together to
435 form the complete fabric of the story, of the necessity of knowing when things happened as well
436 as what happened, and of the ultimate value of using history to inform understanding and guide
437 choices.

438 Some of the proxy evidence used by paleoclimatologists would be familiar to more-
439 traditional historians. Written accounts of many different activities often include notes on the
440 weather, on the presence or absence of ice on local water bodies, and on times of planting or
441 harvest and the crops that grew or failed. If care is taken to account for the tendency of people to
442 report the rare rather than the commonplace, and to include the effects of changes in husbandry
443 and other issues, written records can contribute to knowledge of climate back through written
444 history. However, human accounts are lacking for almost all of Earth's history. The
445 paleoclimatologist is forced to rely on evidence that is less familiar to most people than are
446 written records. Remarkably, these natural proxies may reveal even more than the written
447 records.

448

449 **4.3.1 Climate's Proxies**

450 Much of the history of a civilization can be reconstructed from the detritus its people left
451 behind. Similarly, paleoclimate records are typically developed through analysis of sediment,
452 broadly defined. "Sediment" may include the ice formed as years of snowfall pile up into an ice
453 sheet, the mud accumulating at the bottom of the sea or a lake, the annual layers of a tree, the
454 thin sheets of mineral laid one on top of another to form a stalagmite in a cave, the piles of rock
455 bulldozed by a glacier, the piles of desert sand shaped into dunes by the wind, the odd things
456 collected and stored by packrats, and more (e.g., Crowley and North, 1991; Bradley, 1999;

457 Cronin, 1999). For a sediment to be useful, it must do the following: (1) preserve a record of the
458 conditions when it formed (i.e., subsequent events cannot have erased the original story and
459 replaced it with something else); (2) be interpretable in terms of climate (the characteristics of
460 the deposit must uniquely relate to the climate at the time of formation); and (3) be “datable”
461 (i.e., there must be some way to determine the time when the sediment was deposited). Here, we
462 first present one well-known paleoclimatic indicator as an example, then discuss general issues
463 raised by that example, and follow with a discussion of many types of paleoclimatic indicators.

464 Long records of Earth’s climate are commonly reconstructed from climate proxies
465 preserved in deep-ocean sediments. One of the best-known proxy records of climate change is
466 that recorded by benthic (bottom-dwelling) foraminifers, microscopic organisms that live on the
467 sea floor and secrete calcium-carbonate shells in equilibrium with the sea water. The isotopes of
468 oxygen in the carbonate are a function of both the water temperature (which often does not
469 change very rapidly with time or very steeply with space in the deep ocean) and changes in
470 global ice volume. Global ice volume determines the relative abundances of the isotopes oxygen-
471 16 and oxygen-18 in seawater. Snow has relatively less of the heavy oxygen-18 than its seawater
472 source. Consequently, as ice sheets grow on land, the ocean becomes enriched in the heavy
473 oxygen-18, and this enrichment is recorded by the oxygen isotopic composition of foraminifer
474 shells. The proportion of the heavy and light isotopes of oxygen is usually expressed as $\delta^{18}\text{O}$;
475 positive $\delta^{18}\text{O}$ values represent extra amounts of the heavy isotope of oxygen, and negative values
476 represent samples with less of the heavy isotope than average seawater. Positive $\delta^{18}\text{O}$ reflects
477 glacial times (colder, more ice), whereas more negative $\delta^{18}\text{O}$ reflects interglacial (warmer, less
478 ice) times in Earth’s history. Although the $\delta^{18}\text{O}$ of foraminifer shells does not reveal where the
479 glacial ice was located, the record does provide a globally integrated value of the amount of

480 glacial ice on land, especially if appropriate corrections are made for temperature changes by use
481 of other indicators. In the absence of changes in global ice volume, changes in benthic
482 foraminifer $\delta^{18}\text{O}$ reflect changes in ocean temperatures: more positive $\delta^{18}\text{O}$ values indicate
483 colder water, and more negative $\delta^{18}\text{O}$ values indicate warmer water.

484 Written documents have sometimes been erased and rewritten, in a deliberate attempt to
485 distort history or because the paper was more valuable than the original words.

486 Paleoclimatologists are continually watching for any signs that a climate record has been
487 “erased” and “rewritten” by events since deposition of the sediment. Occasionally, this vigilance
488 proves to be important. For example, water may remove isotopes carrying paleoclimatic
489 information from shells and replace them with other isotopes telling a different story (e.g.,
490 Pearson et al., 2001). However, except for the very oldest deposits from early in Earth’s history,
491 it is usually possible to tell whether a record has been altered, and this problem should not affect
492 any of the conclusions presented in this report.

493 Finding the link between climate and some characteristic of the sediment is then required.
494 The climate is recorded in myriad ways by physical, biological, chemical, and isotopic
495 characteristics of sediments.

496 Physical indicators of past climate are often easy to read and understand. For example, a
497 sand dune can form only if dry sand is available to be blown around by the wind, without being
498 held down by plant roots. Except near beaches (where fluctuations in water level reveal bare
499 sand), a dry climate is needed to keep grass off the sand so the sand can blow around. Today in
500 northwestern Nebraska, the huge dune field of the Sand Hills is covered in grass (Figure 4.7).
501 The dunes formed during drier conditions in the past, but wetter conditions now allow grass to
502 grow on top (e.g., Muhs et al., 1997). Similarly, the sediments left by glaciers are readily

503 identified, and those sediments in areas that are ice free today attest to changing climate. A very
504 different physical indicator of past climate is the temperatures measured in boreholes. Just as a
505 Thanksgiving turkey placed in an oven takes a while to warm in the middle, the two-mile-thick
506 ice sheet of Greenland has not finished warming from the ice age, and the cold temperatures at
507 depth reveal how cold the ice age was (Cuffey and Clow, 1997).

508

509

FIGURE 4.7 NEAR HERE

510

511 Many paleoclimate records are based directly on living things. Tundra plants are quite
512 different from those living in temperate forests. If pollen, seeds, and twigs found in deep layers
513 of a sediment core came from tundra plants, and those found in shallow layers came from
514 temperate-forest plants, a formerly cold time that has warmed is indicated. Trees grow more
515 rapidly and add thicker rings when climatic conditions are more favorable. In very dry regions,
516 this feature allows trees to be used in reconstruction of rainfall; in cold regions, growth may be
517 more closely linked to temperature (Fritts, 1976; Cook and Kairiukstis, 1990)

518

519 Chemical analysis of sediments may reveal additional information about past climates.
520 As one example, some single-celled organisms in the ocean change the chemistry of their cell
521 walls in response to changing temperature: they use more-flexible molecules to offset the
522 increase in brittleness caused by colder temperatures. These molecules are sturdy and persist in
523 sediments after the organism dies, so the history of the ratio of stiffer to less-stiff molecules in a
524 sediment core provides a history of the temperature at which the organisms grew. (In this case,
525 the organisms are prymnesiophyte algae, the chemicals are alkenones, and the frequency of
carbon double bonds controls the stiffness (Muller et al., 1998); other such indicators exist.)

526 Isotopic ratios are among the most commonly used proxy indicators of past climates.
527 Consider just one example, providing one of the ways to determine the past concentration of
528 carbon dioxide. All carbon atoms have 6 protons in their nuclei, most have 6 neutrons (making
529 carbon-12), but some have 7 neutrons (carbon-13) and a few have 8 neutrons (radioactive
530 carbon-14). The only real difference between carbon-12 and carbon-13 is that carbon-13 is a bit
531 heavier. The lighter carbon-12 is “easier” for plants to use, so growing plants preferentially
532 incorporate carbon from carbon dioxide containing only carbon-12 rather than carbon-13.
533 However, if carbon dioxide is scarce in the environment, the plants cannot be picky and must use
534 what is available. Hence, the carbon-12:carbon-13 ratio in plants provides an indicator of the
535 availability of carbon dioxide in the environment. The sturdy cell-wall chemicals described in the
536 previous paragraph can be recovered and their carbon isotopes analyzed, providing an estimate
537 of the carbon-dioxide concentration at the time the algae grew (e.g., Pagani et al., 1999).

538 Much of the science of paleoclimatology is devoted to calibration and interpretation of
539 the relation between sediment characteristics and climate (see National Research Council, 2006).
540 The relationship of some indicators to climate is relatively straightforward, but other
541 relationships may be complex. The width of a tree ring, for example, is especially sensitive to
542 water availability in dry regions, but it may also be influenced by changes in shade from
543 neighboring trees, an attack of beetles or other pests that weaken a tree, the temperature of the
544 growing season, and more. Extensive efforts go into calibration of paleoclimatic indicators
545 against the climatic variables. Because paleoclimatic data cannot be collected everywhere,
546 additional work is devoted to determining which areas of the globe have climates that can be
547 reconstructed from the available paleoclimatic data. Wherever possible, multiple indicators are

548 used to reconstruct past climates and to assess agreement or disagreement (National Research
549 Council, 2006). Conclusions about climate typically rest on many lines of evidence.

550

551 **4.3.2 The Age of the Sediments**

552 History requires “when” as well as “what.” Many techniques reveal the “when” of
553 sediments, sometimes to the nearest year. In general, more-recent events can be dated more
554 precisely.

555 Climate records that have been developed from most trees, and from some ice cores and
556 sediment cores, can be dated to the nearest year by counting annual layers. The yearly nature of
557 tree rings from seasonal climates is well known. A lot of checking goes into demonstrating that
558 layers observed in ice cores and special sediment cores are annual, but in some cases the layering
559 clearly is annual (Alley et al., 1997), allowing quite accurate counts. The longest-lived trees may
560 be 5000 years old; use of overlapping living and dead wood has allowed extension of records to
561 more than 10,000 years (Friedrich et al., 2004); and the longest annually layered ice cores
562 recovered to date extend beyond 100,000 years (Meese et al., 1997). However, relatively few
563 records can be absolutely dated in this way.

564 Other techniques that have been used for dating include measuring the damage that
565 accumulates from cosmic rays striking things near Earth’s surface (those rays produce beryllium-
566 10 and other isotopes), observing the size of lichen colonies growing on rocks deposited by
567 glaciers, and identifying the fallout of particular volcanic eruptions that can be dated by
568 historical accounts or annual-layer counting.

569 Most paleoclimatic dating uses the decay of radioactive elements. Radiocarbon is
570 commonly used for samples containing carbon from the most recent 40,000 years or so (very

571 little of the original radiocarbon survives in older samples, causing measurements difficulties and
572 allowing even trace contamination by younger materials to cause large errors in estimated age, so
573 other techniques are preferred). Many other isotopes are used for various materials and time
574 intervals, extending back to the formation of Earth. Intercomparison with annual-layer counts,
575 with historical records, and between different techniques shows that quite high accuracy can be
576 obtained, so that it is often possible to have errors in age estimates of less than 1%. (That is, if an
577 event is said to be 100,000 years old, the event can be said with high confidence to have occurred
578 sometime between 99,000 years and 101,000 years ago.)

579

580 **4.4 Cenozoic Global History of Climate**

581 As emphasized in the Summary for Policymakers of IPCC (2007) and in the body of that
582 report, a paleoclimatic perspective is important for understanding Earth's climate system and its
583 forcings and feedbacks. Arctic records, and especially Arctic ice-core records, have provided key
584 insights. The discussion that follows briefly discusses selected features in the history of Earth's
585 climate and the forcings and feedbacks of those climate events. This discussion does not treat all
586 of the extensive literature on these topics, but it is provided here as a primer to help place the
587 main results of this report in context. (Kump et al. (2003) is a more-complete yet accessible
588 introduction to this topic.)

589 This report focuses on the Cenozoic Era, which began about 65 Ma with the demise of
590 the dinosaurs and continues today (see section 4.5 for a discussion of the chronology used in this
591 report). During most of this 65 m.y. interval, deep-sea records of foraminifer $\delta^{18}\text{O}$ (a powerful
592 paleoclimatic indicator, described above in section 4.4.1), which integrate the sedimentary record
593 in several ocean basins, show that Earth was warmer than at present and supported a smaller

594 volume of ice (Figure 4.8). Yet, following the peak warming of the early Eocene, about 50–55
595 Ma, global temperatures generally declined (Miller et al., 2005). Although this record is not
596 specific about Arctic climate change, the record indicates that the global gradient (or difference)
597 in temperature between polar regions and the tropics was smaller when global climate was
598 warmer, and that this gradient increased as the high latitudes progressively cooled (Barron and
599 Washington, 1982). Changes in the gradient cause changes in atmospheric and oceanic
600 circulation. The overall cooling trend of the past 55 m.y. was punctuated by intervals during
601 which the cooling was reversed and the oceans warmed, only to cool rapidly again at a later time.
602 Examples of such accelerated cooling include rapid decreases in foraminifer $\delta^{18}\text{O}$ about 34 Ma
603 and again about 23 Ma, which are thought to reflect the rapid buildup of ice in Antarctica in only
604 a few hundred thousand years (Zachos et al., 2001). The Paleocene-Eocene thermal maximum
605 (about 55 Ma) represents a major interval of global warming when CO_2 levels are estimated to
606 have risen abruptly (Shellito et al., 2003), perhaps owing to the rapid release of methane from
607 sea-floor sediments (Bralower et al., 1995).

608

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FIGURE 4.8 NEAR HERE

610

611 The style and tempo of global climate change during the past 5.3 m.y. is depicted well by
612 the foraminifer $\delta^{18}\text{O}$ record of Lisiecki and Raymo (2005) (Figure 4.9; see section 4.4.1 for a
613 discussion of this proxy). This composite record provides a well-dated stratigraphic tool against
614 which other records from around world can be compared. The foraminifer $\delta^{18}\text{O}$ record reflects
615 changes in both global ice volume and ocean bottom-water temperature change, and with the
616 same sense—An increase in global ice or a decrease in ocean temperatures pushes the indicator

617 in the same direction. The foraminifer $\delta^{18}\text{O}$ record indicates low-magnitude climate changes
618 from 5.3 until about 2.7 Ma, when the amplitude of the foraminifer $\delta^{18}\text{O}$ signal increased
619 markedly. This shift in foraminifer $\delta^{18}\text{O}$ amplitude coincides with widespread indications of
620 onset of northern continental glaciation (see Chapter 5, temperature and precipitation history).
621 The oxygen isotope fluctuations since 2.7 Ma are commonly used as a global index of the
622 frequency and magnitude of glacial-interglacial cycles. In addition to the fluctuations, the data
623 show that within the past 3 m.y., average ocean temperatures have been dropping. Global
624 circulation models constrained by extensive paleoclimatic data targeting the late Pliocene
625 interval from 3.3 to 3.0 Ma suggest that global temperatures were warmer by as much as 2°C or
626 3°C at that time (see Jiang et al., 2005; IPCC, 2007).

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FIGURE 4.9 NEAR HERE

629

630 The large fluctuations in foraminifer $\delta^{18}\text{O}$ beginning about 2.7 Ma exhibited clear
631 periodicities matching those of the Milankovitch forcing (those periodicities are also present in
632 smaller, older fluctuations). A 41 k.y. periodicity was especially apparent, as well as the 19–23
633 k.y. periodicity. More recently, within the last 0.9 m.y. or so, the variations in $\delta^{18}\text{O}$ became even
634 bigger, and while the 41 k.y. and 19–23 k.y. periodicities continued, a 100 k.y. periodicity
635 became dominant. The reasons for this shift remain unclear and are the focus of much research
636 (Clark et al., 2006; Ruddiman, 2006; Huybers, 2007; Lisiecki and Raymo, 2007).

637

638

639

Moving toward the present, the number of available records increases greatly, as does
typical time resolution of the records and the accuracy of dating (see section 4.4). The large ice-
age cycling of the last 0.9 m.y. produced growth and retreat of extensive ice sheets across broad

640 regions of North America and Eurasia, as well as smaller extensions of ice in Greenland,
641 Antarctica, and many mountainous areas. Ice in North America covered New York and Chicago,
642 for example. The water that composed those ice sheets had been removed from the oceans,
643 causing non-ice-covered coastlines typically to lie well beyond modern boundaries. Melting of
644 ice sheets exposed land that had been ice-covered and submerged coastal land, but with a
645 relatively small net effect (e.g., Kump and Alley, 1994). The ice-age cycling caused large
646 temperature changes, of many degrees to tens of degrees in some places (see Chapter 5,
647 temperature and precipitation history).

648 Climate changed in large abrupt jumps (see section 6.4.3) during the most recent of the
649 glacial intervals and probably during earlier ones. In records from near the North Atlantic such as
650 Greenland ice cores, roughly half of the total difference between glacial and interglacial
651 conditions was achieved (as recorded by many climate-change indicators) in time spans of
652 decades to years. Changes away from the North Atlantic were notably smaller, and in the far
653 south the changes appear to see-saw (southern warming with northern cooling). The “shape” of
654 the climate records is interesting: northern records typically show abrupt warming, gradual
655 cooling, abrupt cooling, near-stability or slight gradual warming, and then they repeat (see Figure
656 7.9).

657 The most recent interglacial interval has lasted slightly more than 10,000 years. Generally
658 warm conditions have prevailed compared with the average of the last 0.9 m.y. However,
659 important changes have been observed. These changes include broad warming and then cooling
660 in only millennia, abrupt events probably linked to the older abrupt changes, and additional
661 events with various spacings and sizes that have a range of causes, which will be described more
662 in Chapters 5 (temperature and precipitation history) and 6 (rates of Arctic climate change).

663

664 **4.5 Chronology**

665 In any discussion of past climate periods, we must use a time scale understandable to all
666 readers. Beyond the historical period, then, we must use time periods that are within the realm of
667 geology. In this report, we use two sets of terminology for prehistoric time periods, one for the
668 longer history of Earth and one for much more recent Earth history, approximately the past 2.6
669 m.y. (the Quaternary Period). For the longer period of Earth history, we use the terminology and
670 time scale adopted by the International Commission on Stratigraphy (Ogg, 2004). This time scale
671 is well established and has been widely accepted throughout the geologic community. The
672 Quaternary Period is the youngest geologic period in this time scale, and constitutes the past
673 approximately 2.6 m.y. (<http://www.stratigraphy.org/gssp.htm>; Jansen et al., 2007) (Figure 4.10).
674 The Quaternary Period is of particular interest in this report, because this time interval is
675 characterized by dramatic changes—between glacial and interglacial—in climate.

676

677

FIGURE 4.10 NEAR HERE

678

679 Some problems are associated with the use of time scales within the Quaternary Period.
680 These problems are common to all geologic dating, but they assume additional importance in the
681 Quaternary because the focus during this geologically short, recent period is on relatively short-
682 lived events. Very few geologic records for the Quaternary Period are continuous, well dated,
683 and applicable to all other records of climate change. Furthermore, many geologic deposits
684 preserve records of events that are time-transgressive or diachronous. That is, a particular
685 geologic event is recorded earlier at one geographic location and later at another.

686 A good example of time-transgression is the most recent deglaciation of mid-continent North
687 America, the retreat of the Laurentide ice sheet. Although this retreat marked a major shift in a
688 climate state, from a glacial period to an interglacial period, by its very nature it occurred at
689 different times in different places. In midcontinental North America, the Laurentide ice sheet had
690 begun to retreat from its southernmost position in central Illinois after about 22.6 ka, but it was
691 still present in what is now northern Illinois until after about 15.1 ka, and was still in Wisconsin
692 and Michigan until after about 12.9 ka (Johnson et al., 1997) (radiocarbon ages were converted
693 using the algorithm of Fairbanks et al., 2005), and in north-central Labrador until about 6 ka
694 (Dyke and Prest, 1987). Thus, the geologic record of when the present “interglacial” period
695 began is older in central Illinois than it is in northern Michigan, which in turn is older than it is in
696 southern Canada. Time transgression as a concept also applies to phenomena other than geologic
697 processes. Migration of plant communities (biomes) as a result of climate change is not an
698 instantaneous process throughout a wide geographic region. Thus, many records of climate
699 change that reflect changes in plant communities will take place at different times in a region as
700 taxa within that community migrate.

701 Another difficulty is not with the geologic records themselves but with the terms used in
702 different regions to describe them. For example, “Sangamon” is the name of the last interglacial
703 period in the mid-continent of North America (Johnson et al., 1997) and the term “Eemian” is
704 used for the last interglacial period in Europe. However, North American workers apply the term
705 Sangamon primarily to rock-stratigraphic records (tills deposited by glaciers and old soils called
706 paleosols). The Sangamon interglacial is considered to have lasted several tens of thousands of
707 years, because no glacial ice was present in the mid-continent between the last major glacial
708 event (“Illinoian”) and the most recent one (“Wisconsinan”). In contrast, the term Eemian, used

709 by European workers, is often applied to pollen records and is reserved for a period of time,
710 perhaps less than 10,000 years, when climate conditions were as warm or warmer than present.

711 Nevertheless, it is crucial that at least some terminology is used as a common basis for
712 discussion of geologic records of climate change during the Quaternary. In this report, we have
713 chosen to use the stages of the oxygen isotope record from foraminifers in deep-sea cores as our
714 terminology for discussing different intervals of time within the Quaternary Period. The
715 identification of glacial-interglacial changes in deep-sea cores, and the naming of stages for
716 them, began with a landmark report by Emiliani (1955). The oxygen isotope composition of
717 carbonate in foraminifer skeletons in the ocean shifts as climate shifts from glacial to interglacial
718 states (see section 4.4.1, above). These shifts are due both to changes in ocean temperature and
719 changes in the isotopic composition of seawater. The latter changes result from the shifts in
720 oxygen isotopic composition of seawater, in turn a function of ice volume on land. Because the
721 temperature and ice-volume influences on foraminiferal oxygen-isotope compositions are in the
722 same direction, the record of glacial-interglacial changes in deep-sea cores is particularly robust.

723 The oxygen isotope record of glacial-interglacial cycles has been studied and well
724 documented in hundreds of deep-sea cores. The same glacial-interglacial cycles are easily
725 identified in cores from all the world's oceans (Bassinot, 2007). It is, therefore, truly a
726 continuous and global record of climate change within the Quaternary Period. Furthermore, a
727 variety of geologic records of climate change show the same glacial-interglacial cycles that can
728 be compared and correlated with the deep-sea record. These geologic records include glacial
729 records (e.g., Booth et al., 2004; Andrews and Dyke, 2007), ice cores (e.g., NGRIP, 2004; Jouzel
730 et al., 2007), cave carbonates (e.g., Winograd et al., 1992, 1997), and eolian sediments (e.g., Sun
731 et al., 1999). Furthermore, deep-sea cores themselves sometimes contain, in addition to

732 foraminifers, other records of climate change such as pollen from past vegetation (e.g., Heusser
733 et al., 2000) or eolian (wind-deposited) sediments that record glacial and interglacial climates on
734 land (e.g., Hovan et al., 1991).

735 The time scales that have been developed for the oxygen isotope record are important to
736 understand. The mostly widely used time scales are those that have been developed by use of
737 “stacked” deep-sea core records (i.e., multiple core records, from more than one ocean) that are
738 in turn, “tuned” or “dated” by a combination of identification of dated paleomagnetic events and
739 an assumed forcing of climate change by changes in the parameters related to Earth-Sun orbital
740 geometry, precession, and obliquity.

741 Initially, dated paleomagnetic events were used with an assumed constant sedimentation
742 rate to provide a first estimate of the timing of the main variations in the climate. The timing
743 closely matched the known periodicities in Earth-Sun orbital geometry, to a degree that provided
744 very high confidence that those known periodicities were affecting the climate. Then, this result
745 was used to fine-tune the dating by adjusting the sedimentation rates to allow closer match
746 between the data and the orbital periodicities. The practice is often referred to as “astronomical”
747 or “orbital” tuning. The strategy behind “stacking” multiple records is to eliminate possible local
748 effects on a core and present a smoothed, global record. Several highly similar time scales have
749 been developed using this approach. The most commonly cited are the SPECMAP studies of
750 Imbrie et al. (1984) and Martinson et al. (1987) (Figure 4.11), and the more recent work of
751 Lisiecki and Raymo (2005).

752

753

FIGURE 4.11 NEAR HERE

754

755 However, there are disadvantages to using the astronomically tuned oxygen isotope records.
756 Very few deep-sea cores are dated directly, except in the upper parts that are within the range of
757 radiocarbon dating, or at widely spaced depths where paleomagnetic events are recorded. In
758 addition, after the initial tests, the astronomical tuning approach assumes that the orbital
759 parameters, particularly precession and obliquity, are the primary forcing mechanisms behind
760 climate change on glacial-interglacial time scales in the Quaternary Period. Challenges to this
761 assumption are based on directly dated cave calcite records (Winograd et al., 1992, 1997) and
762 emergent coral reef terraces (Szabo et al., 1994; Gallup et al., 2002; Muhs et al., 2002), although
763 in general the assumption appears to be more-or-less accurate. Additional assumptions, including
764 that response is proportional to forcing, are inherent in tuning.

765 Recognizing the assumptions inherent in the SPECMAP time scale, we use this time scale
766 and the marine oxygen isotope stage terminology in this report for four reasons:

- 767 1. the wide acceptance and use in the scientific community,
- 768 2. the continuous nature of the record,
- 769 3. the global aspect of the record, and
- 770 4. the ability to subdivide the periods of time under consideration.

771 Regarding the latter, for example, the marine record can accommodate the problem in the use of
772 “Sangamon,” as used in North America compared with “Eemian,” in Europe. The Sangamon
773 interglacial, as used by North Americans, includes all of marine isotope stage 5 (MIS 5), as well
774 as perhaps parts of MIS 4. However, the Eemian, as used by most European workers, would
775 include only MIS 5e or 5.5, an interval within the greater MIS 5.

776

777 **4.6 Synopsis**

778 Earth's climate is a complex, interrelated system of air, water, ice, land surface, and living
779 things responding to the Sun's energy. Scientific understanding of this system has been
780 increasing rapidly, and the broad outline is now quite well known, although many details remain
781 obscure and further discoveries are guaranteed.

782 The climate system can be forced to change, but it also varies internally without external
783 forcing. Both forced and unforced variations interact with various feedback processes that may
784 either amplify or reduce the resulting climate change, often with interesting patterns in space and
785 time.

786 Changes in the energy emitted by the Sun, the amount of that energy reaching Earth, the
787 amount of that energy reflected by Earth, and the greenhouse effect of the atmosphere are
788 important in controlling global climate. Changes in continental positions, ocean currents, wind
789 patterns, clouds, vegetation, ice, and more affect regional climates as well as contribute to the
790 global picture. The Sun has brightened slowly for billions of years, and its brightness shows very
791 small fluctuations measured in years to centuries. Features of Earth's orbit change the latitudinal
792 and seasonal distribution of sunshine, and they have a small effect on total sunshine reaching the
793 planet over tens of thousands of years. Great tectonic forces in the Earth rearrange continents and
794 promote or reduce volcanic activity and growth of mountain ranges. All three affect greenhouse-
795 gas concentrations and other features of the climate over millions of years or longer, and they
796 interact with changes in the biosphere in response to biological evolution. And, these general
797 statements omit many interesting and increasingly well-understood features of the system.

798 Many deposits of the Earth system—muds and cave formations and tree rings and ice layers
799 and many more—have characteristics that reflect the climate at the time of formation, that are
800 preserved after formation, and that reveal their age of formation. Careful consideration of these

801 deposits underlies paleoclimatology, the study of past climates. Varied investigative techniques
802 focus on physical, chemical, isotopic, and biological indicators, and they provide surprisingly
803 complete histories of changes in time and space.

804 This report especially focuses on the last tens of millions of years. This interval has been
805 characterized by slow cooling, leading from a largely ice-free world to ice-age cycling in
806 response to orbital changes. Both the cooling trend and the ice-age cycling were punctuated
807 occasionally by abrupt shifts. The last approximately 10,000 years have been a reduced-ice
808 interglacial during the ice-age cycling, but they have experienced a variety of climate changes
809 linked to changing volcanism, ocean currents, solar output, and—recently evident—human
810 perturbation.

811

811 FIGURE CAPTIONS

812

813 **Figure 4.1** Earth's energy budget is a balance between incoming and outgoing radiation.

814 [Numbers are in watts per square meter of the Earth's surface, and some estimates may be

815 uncertain by as much as 20%.] Incoming shortwave radiation from the sun entering Earth's

816 atmosphere [342 W/m^2] may be reflected by clouds, or absorbed or reflected as longwave

817 radiation by the Earth. The greenhouse effect involves the absorption and reradiation of energy

818 by atmospheric greenhouse gases and particles, resulting in a downward flux of infrared

819 radiation (longwave) from the atmosphere to the surface (back radiation) causing higher surface

820 temperatures. In this figure, Earth is in energy balance with the total rate of energy lost from

821 Earth (107 W/m^2 of reflected sunlight plus 235 W/m^2 of infrared [long-wave] radiation) equal to

822 the 342 W/m^2 of incident sunlight (Kiehl and Trenberth, 1997).

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824 **Figure 4.2** Earth's orbital variations (Milankovitch cycles) control the amount of sunlight

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832 **Figure 4.3.** Milankovitch-driven monthly insolation anomalies (deviations from present), 20–0

833 ka. at 60°N . Y axis, calendar months. Contours and numbers depict a history of insolation

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835 Midsummer insolation values at 11 ka exceeded 40 W/m^2 , whereas current values are less than
836 10 W/m^2 .

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838 **Figure 4.4** Mean surface temperature anomalies for Earth relative to 1951–1980. Panel A, the
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842 **Figure 4.5** Simulated spatial distribution of volcanic sulfate aerosols (kg/km^2) produced by the
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850 eruptions reconstructed from the GISP2 ice core (modified from Stuiver et al., 1995).

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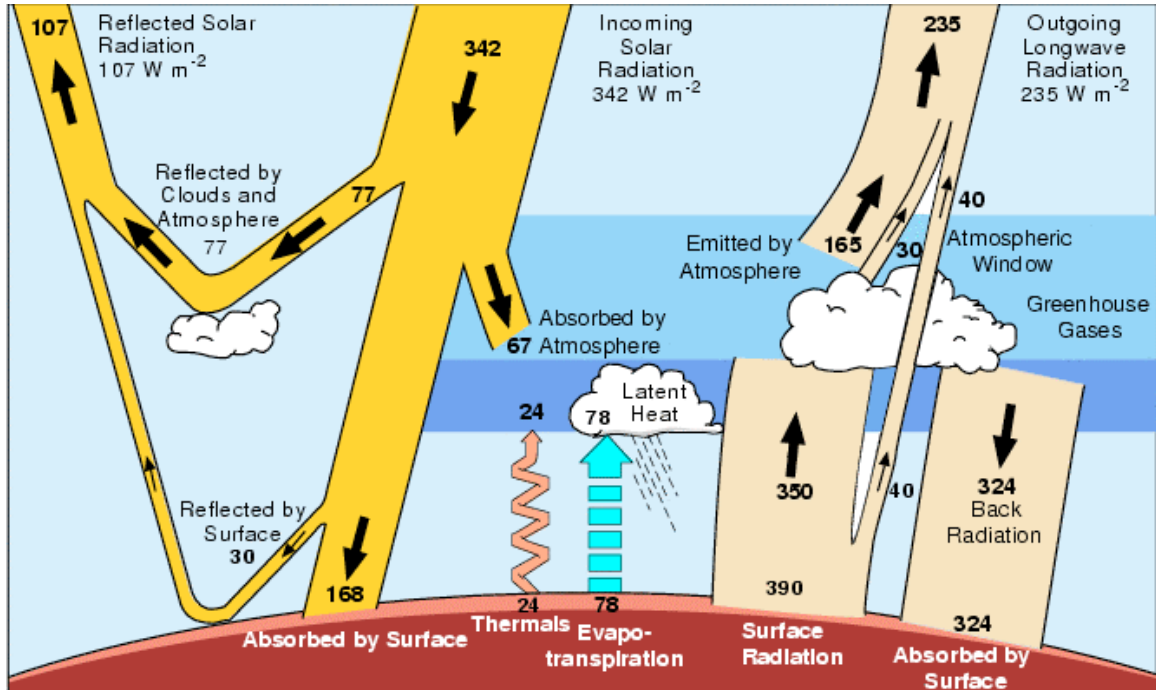
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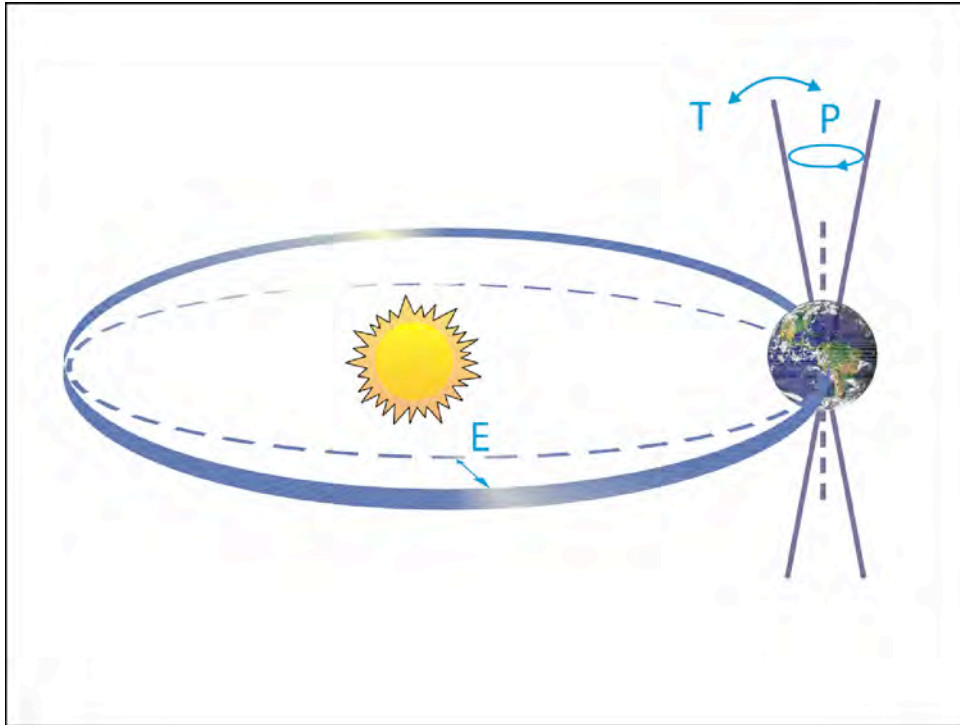


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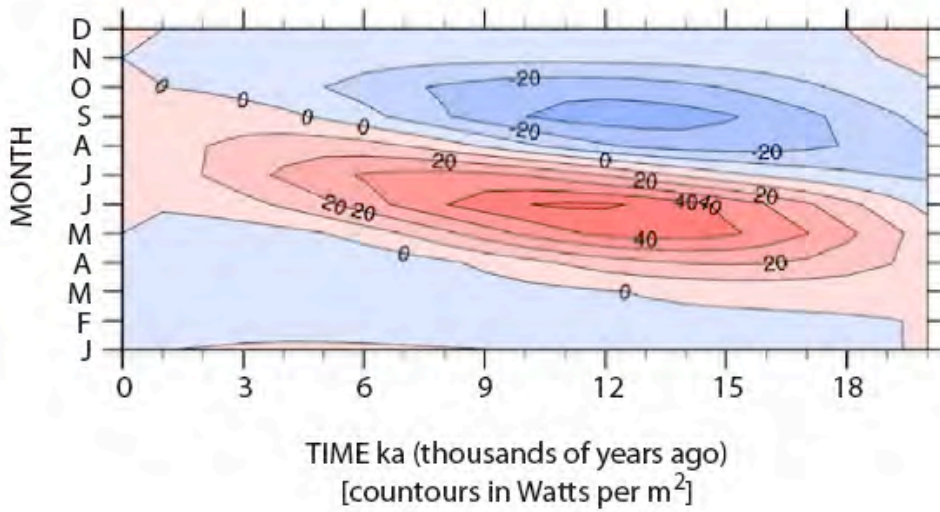


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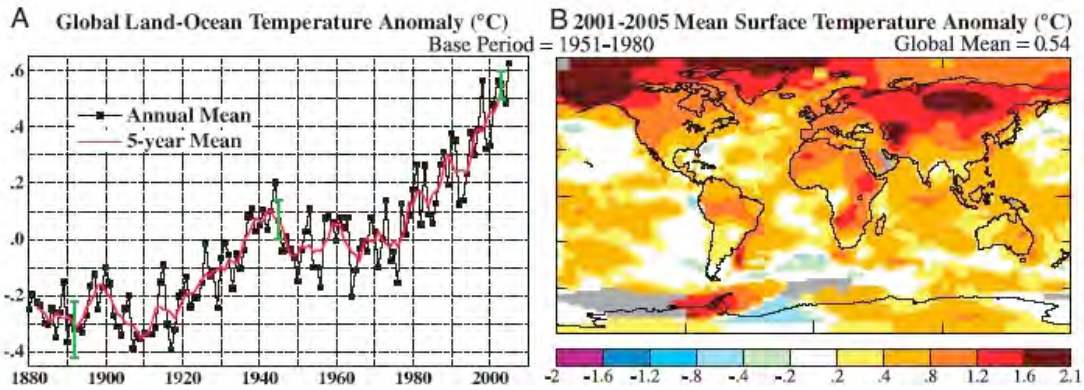
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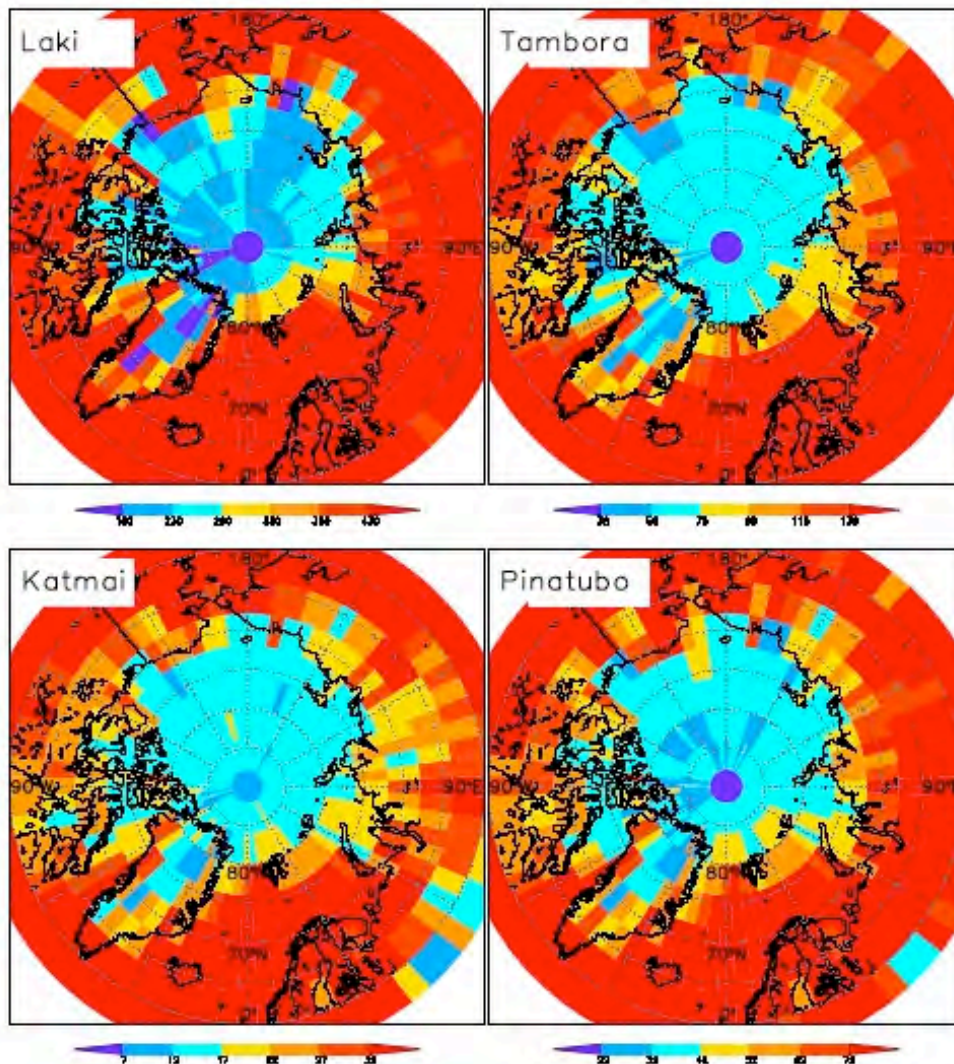
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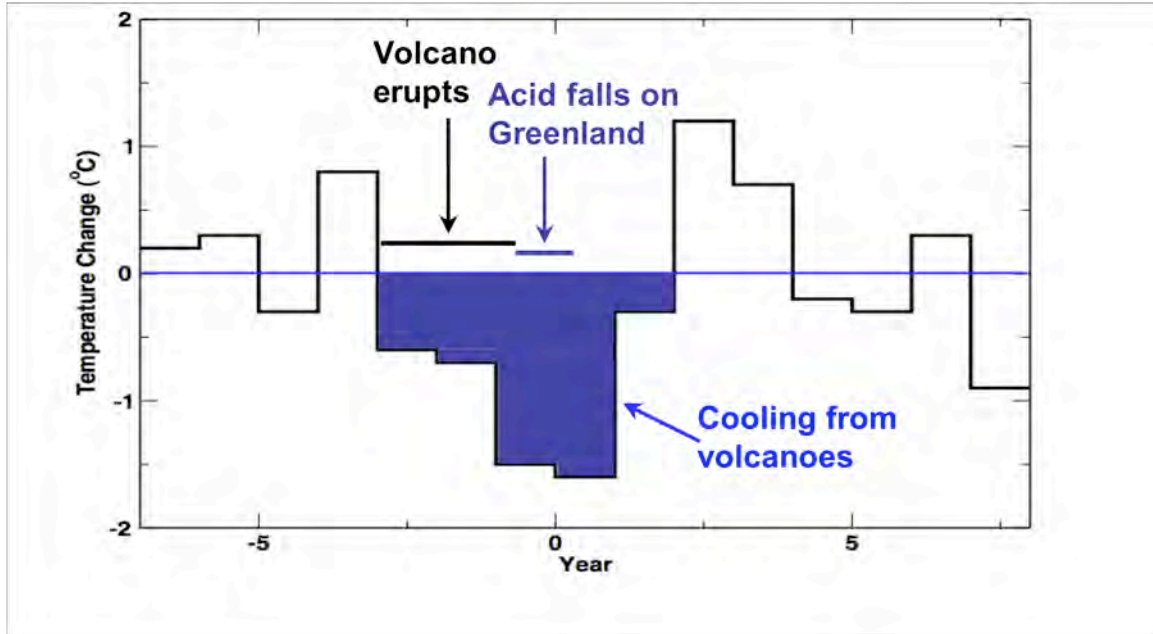
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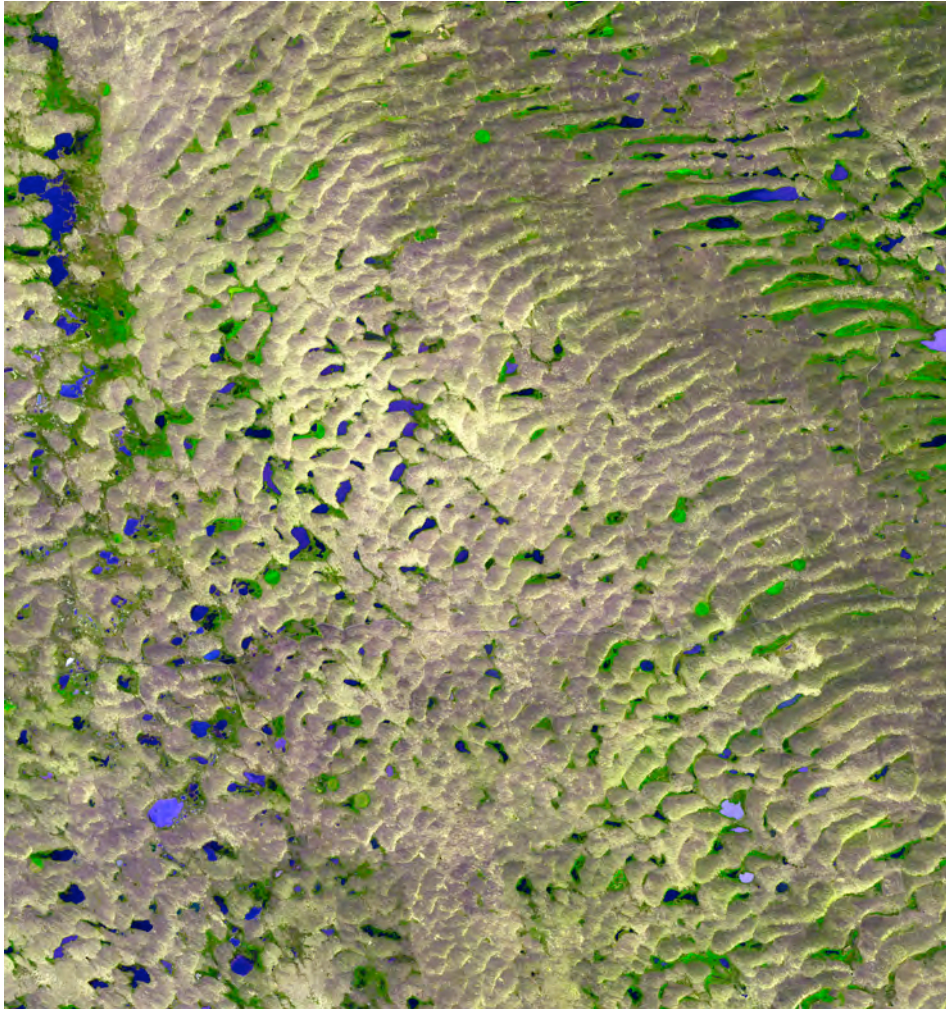


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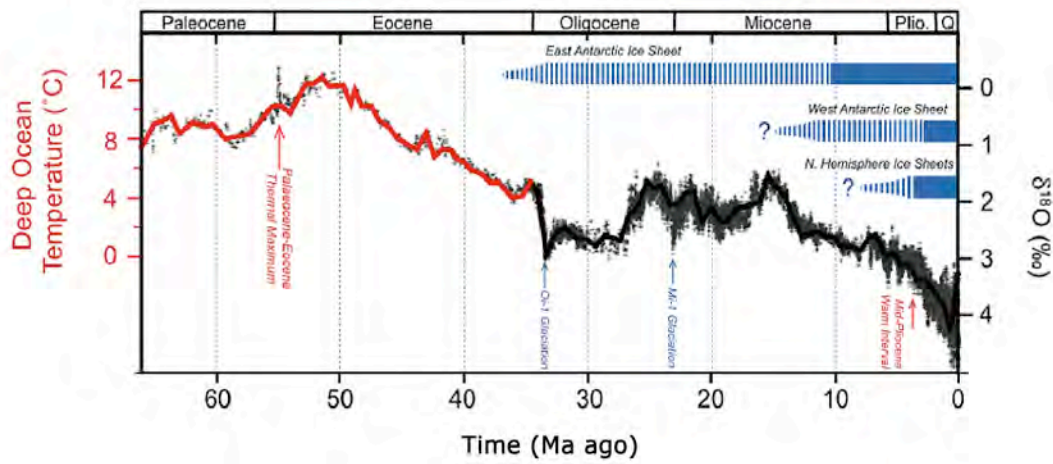


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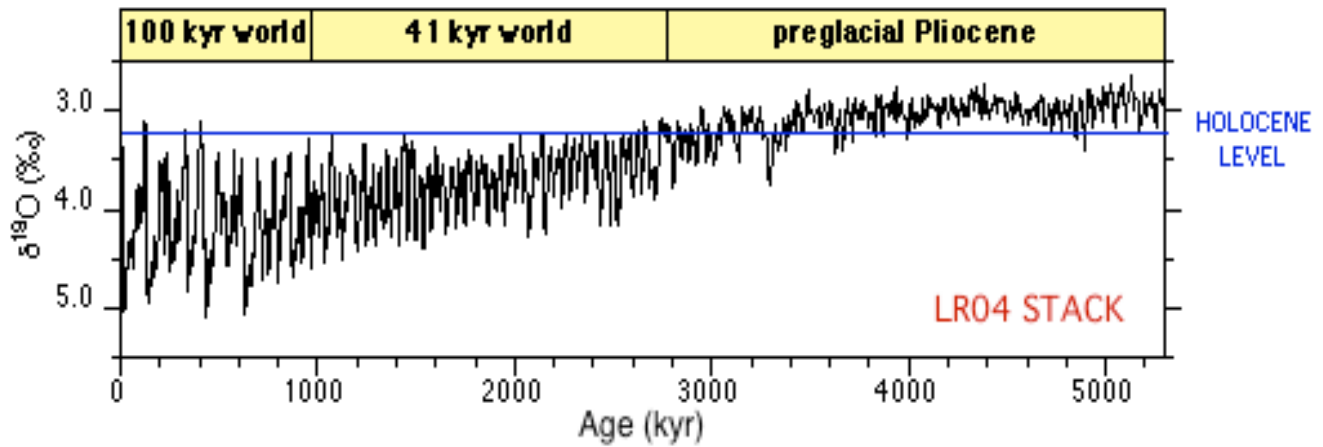
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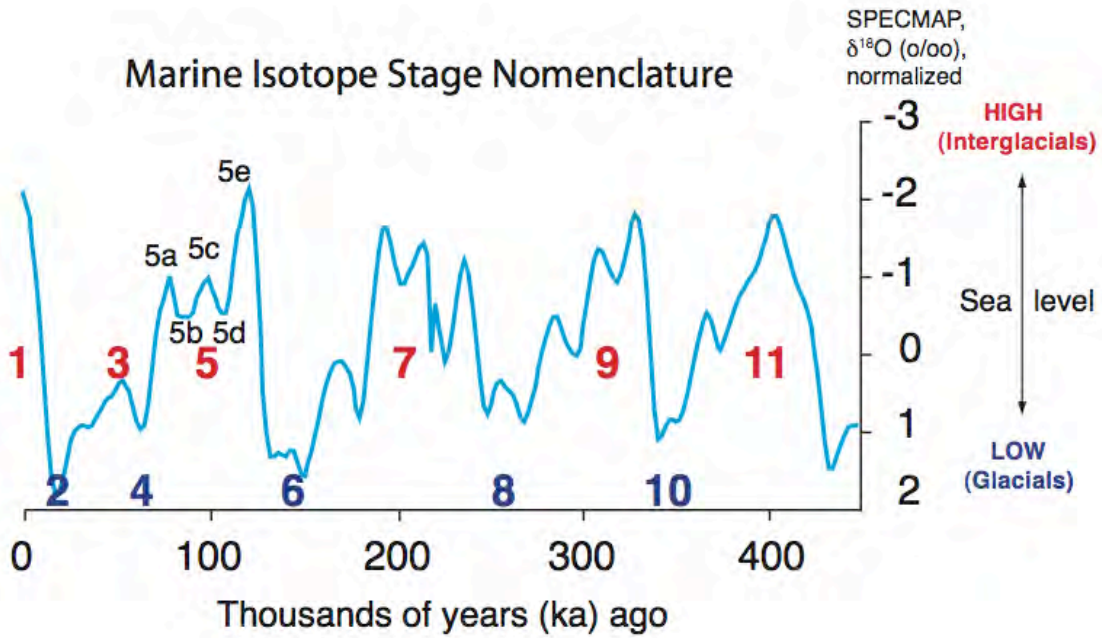
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ERATHEM / ERA	SYSTEM, SUBSYSTEM PERIOD, SUBPERIOD	SERIES / EPOCH	Age estimate of Boundary
Cenozoic	Quaternary	Holocene	11,477 yr
		Pleistocene	2.588 Ma
	Neogene	Pliocene	5.332 Ma
		Miocene	23.03 Ma
			Oligocene
	Paleogene	Eocene	55.8 Ma
		Paleocene	65.5 Ma

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