

1 **Chapter 3—Case Studies**

2 Thresholds of ecological change can occur at many spatiotemporal scales and in a
3 diversity of ecosystems. The following examples were chosen to illustrate that thresholds
4 probably have already been crossed in ecosystems in response to climate change and that
5 the crossing of these thresholds will likely have implications at continental and global
6 scales. Because these changes will likely impact American society significantly, these
7 examples make clear the usefulness of considering thresholds in the monitoring and
8 management of natural resources.

9 Four case studies are presented below in detail; they cover distinctly different
10 types of ecosystems, all of which are potentially undergoing threshold-type changes.
11 These studies are arranged in order of latitude, beginning with the highest. The first study
12 is of a latitude in the far north where climate change has resulted in large temperature
13 changes. The next study is of the midlatitude PPR where continental drying is expected
14 because the subtropical high-pressure zone is broadening. The third case study is of
15 forests of the West and Southwest, which are at a slightly lower latitude, are generally
16 already water-limited, and will be sensitive to the decreased water availability that would
17 profoundly impact the western half of the United States. Finally, in the lowest latitude
18 example, the effects of climate change in forcing threshold changes in coral reef
19 ecosystems are examined.

20 *Case Study 1. Ecological Thresholds in Alaska*

21 In recent decades, Alaska has warmed at more than twice the rate of the rest of the
22 United States. The Statewide annual average temperature has increased by 3.4°F since the
23 mid-20th century, and the increase is much greater in winter (6.3°F). A substantial

1 portion of the increase occurred during the shift of the Pacific decadal oscillation in the
2 1970s. The higher temperatures of recent decades have been associated with changes in
3 the physical environment, such as earlier snowmelt in the spring (Dye, 2002; Stone et al.
4 et al. 2002; Dye and Tucker, 2003; Euskirchen et al. 2006, 2007), a reduction of sea ice
5 coverage (Stroeve et al. 2005), a retreat of many glaciers (Hinzman et al. 2005),
6 and a warming of permafrost (Osterkamp, 2007). In parallel with these changes in the
7 physical environment, substantial changes in ecological systems have been observed,
8 including dramatic increases in the frequency and spatial extent of (stet) (Balshi *et al.*,
9 2008) wildfire (Westerling et al. 2006), wildfire, dramatic changes in the wetlands of
10 interior Alaska (Yoshikawa and Hinzman, 2003), vegetation changes in the tundra of
11 northern Alaska (Goetz *et al.*, 2005), and ecological changes that are affecting fisheries in
12 the Bering Sea. The temporal pattern of these responses to climatic change in Alaska, the
13 understanding of the drivers of the responses, the degree to which these responses
14 represent gradual versus threshold responses, and implications for the services provided
15 to society by ecological systems in Alaska are described below.

16 *Ecological Thresholds and Changes in Insect and Wildfire Regimes of Interior*
17 *Alaska.*—Analyses of historical insect and fire disturbance in Alaska indicate that the
18 extent and severity of these disturbances are intimately associated with longer and drier
19 summers (Juday et al. 2005; Balshi et al. 2008). Between 1970 and 2000, the
20 snow-free season increased by approximately 10 days across Alaska primarily because of
21 earlier snowmelt in the spring (Euskirchen et al. 2006, 2007). Longer summers have
22 the potential to be beneficial to the growth of plants. However, the satellite record
23 suggests that the response of plant growth to warming differs in different regions of the

1 State with growth increasing in the tundra of northern Alaska and decreasing in the boreal
2 forest of interior Alaska (Jia et al. 2003; Goetz et al. 2005). Analysis of forest
3 growth data indicates that the growth of white spruce forests in interior Alaska is
4 declining because of drought stress (Barber et al. 2002), and there is the potential that
5 continued warming could lead to forest dieback in interior Alaska (Juday et al. 2005).
6 The drought stress that has been experienced by trees in Alaska during recent decades
7 makes them particularly vulnerable to attack by insects.

8 During the 1990s, south-central Alaska experienced the largest outbreak of spruce
9 bark beetles in the world (Juday et al. 2005). This outbreak was associated with a
10 threshold response to milder winters and warmer temperatures that increased the over-
11 winter survival of the spruce bark beetle and allowed the bark beetle to complete its life
12 cycle in 1 year instead of the normal 2 years. This was superimposed on 9 years of
13 drought stress between 1989 and 1997, which resulted in spruce trees that were too
14 distressed to fight off the infestation. The forests of interior Alaska are now threatened by
15 an outbreak of spruce budworms, which generally erupt after hot, dry summers (Fleming
16 and Volney, 1995). The spruce budworm has been a major insect pest in Canadian
17 forests, where it has erupted approximately every 30 years (Kurz and Apps, 1999), but
18 was not able to reproduce in interior Alaska before 1990 (Juday et al. 2005). Areas
19 that experience the death of trees over large areas of forest are vulnerable to wildfire as
20 the dead trees are highly flammable. This is of particular concern in Alaska where
21 wildfire incidence has been increasing in recent decades.

22 The area burned in the North American boreal region has tripled from the 1960s
23 to the 1990s owing to the increased frequency of large-fire years (Kasischke and

1 Turetsky, 2006). For example, two of the three most extensive wildfire seasons in
2 Alaska's 56-year record occurred in 2004 and 2005, and half of the years with the largest
3 fires during this 50-year time period have been since 1990 (Kasischke et al. 2002,
4 2006; Kasischke and Turetsky, 2006). The increase in fire frequency in Alaska appears to
5 be primarily associated with the shift in the Pacific decadal oscillation that occurred in
6 the late 1970s as large-fire years occurred once every 6 years before the shift and
7 increased to once every 3 years after the shift (Kasischke et al. 2002). Analyses of
8 fire probability in interior Alaska indicate that fire probability increases as a step function
9 when the mean temperature in June increases above 14°C or when the August mean
10 precipitation decreases below 40 millimeters (mm) (Paul Duffy, oral commun.,
11 05/02/2008). Because the mean June temperature has been increasing in interior Alaska
12 during the last several decades, the crossing of these thresholds will likely lead to
13 substantial increases in area burned in interior Alaska, and there is the potential that the
14 large-fire years of 2004 and 2005 in Alaska may occur several times a decade instead of
15 once or twice every 50 years.

16 Analyses of the response of fire to scenarios of future climate change indicate that
17 the average area burned per year in Alaska will double by the middle of the 21st century
18 for scenarios of both moderate and high rates of fossil fuel burning (Balshiet et al.
19 2008). By the end of the 21st century, fire is projected to triple in Alaska for a scenario of
20 moderate rates of increase in fossil fuel burning and to quadruple for scenarios of high
21 rates of increase in fossil fuel burning. Such increases have the potential to release large
22 stocks of carbon stored in Alaska soils to the atmosphere, which would be a positive
23 feedback to climate warming (Balshiet et al. 2008). The projected increase in the

1 burned area also increases the fire risk to rural indigenous communities, reduces
2 subsistence opportunities, and has implications for fire policy (Chapinet al.et al. 2008).

3 *Ecological Thresholds and Changes in Wetlands of Interior Alaska.*—There has
4 been a documented decrease in the area of closed-basin lakes (*that is*, lakes without
5 stream inputs and outputs) during the latter half of the 20th century in the southern two-
6 thirds of Alaska (Kleinet al.et al. 2005; Riordanet al.et al. 2006). The decrease in lake
7 area appears to be caused by greater evaporation associated with longer and drier
8 summers and by catastrophic drainage associated with thawing of permafrost in areas
9 where the temperature of permafrost is close to melting. A decrease in the area of closed-
10 basin lakes has also been documented in Siberia in areas of “warm” permafrost (Smithet
11 al.et al. 2005).

12 Discontinuous permafrost in Alaska is warming and thawing, and extensive areas
13 of thermokarst terrain (marked subsidence of the surface resulting from thawing of ice-
14 rich permafrost) are now developing as a result of climatic change. Estimates of the
15 magnitude of the warming at the discontinuous permafrost surface are 0.5° to 1.5°C
16 (Osterkamp and Romanovsky, 1999). Thermokarst is developing in the boreal forests of
17 Alaska where ice-rich discontinuous permafrost is thawing. Thaw subsidence at the
18 thermokarst sites is typically 1 to 2 meters (m) with some sites experiencing subsidence
19 of up to 6 m (Osterkampet al.et al. 1997). Much of the discontinuous permafrost in
20 Alaska is warm and is highly susceptible to thermal degradation if regional warming
21 continues. Warming of permafrost may be causing a significant loss of open water across
22 Alaska as thawing of permafrost connects closed watersheds with groundwater
23 (Yoshikawa and Hinzman, 2003).

1 Examination of satellite imagery indicates that the loss of water can occur
2 suddenly, which suggests catastrophic drainage associated with thawing of permafrost
3 (Riordan et al. 2006). However, the reduction of open water bodies may also reflect
4 increased evaporation under a warmer and effectively drier climate as the loss of open
5 water has also been observed in permafrost-free areas (Klein et al. 2005).

6 In wetland complexes underlain by ice-rich permafrost in areas of hydrologic
7 upwelling (for example, wetland complexes abutting up against the foothills of large
8 mountain ranges), the thawing of that permafrost may result in wetland expansion as trees
9 die when their roots are regularly flooded, causing wet sedge meadows, bogs, and
10 thermokarst ponds and lakes to replace forests (Osterkamp et al. 2000). The Tanana
11 flats, which extends nearly 70 miles from the northern foothills of the Alaska Range to
12 Fairbanks, Alaska, is underlain by ice-rich permafrost that is thawing rapidly and causing
13 birch forests to be converted to minerotrophic floating mat fens (Jorgenson et al.
14 2001). It is estimated that 84% of a 260,000-hectare (ha) (642,000-acre) area of the
15 Tanana flats was underlain by permafrost a century or more ago. About one-half of this
16 permafrost has partially or totally degraded. These new ecosystems favor aquatic birds
17 and mammals, whereas the previous forest ecosystems favored land-based birds and
18 mammals.

19 During the past 50 years, it appears that warming has generally resulted in the loss
20 of open water in closed-basin lakes in wetland complexes located in areas of
21 discontinuous permafrost in the southern two-thirds of Alaska (Riordan et al. 2006).
22 The Tanana flats near Fairbanks is the only area where an increase in water area has been
23 documented (Jorgenson et al. 2001), and closed-basin lakes in the tundra region of

1 northern Alaska have shown no changes in area during the past 50 years (Riordan et al.
2 al. 2006). The loss of area of closed-basin lakes in interior Alaska may be indicative of a
3 lowering of the water table that has the potential to convert wetland ecosystems in
4 interior Alaska into upland vegetation. A substantial loss of wetlands in Alaska has
5 profound consequences for management of natural resources on national wildlife refuges
6 in Alaska, which cover about 3.1 million hectares (more than 77 million acres) and
7 comprise 81% of the National Wildlife Refuge System. These refuges provide breeding
8 habitat for millions of waterfowl and shorebirds that winter in more southerly regions of
9 North America; reduction of habitat area would present a substantial challenge for
10 waterfowl management across the National Wildlife Refuge System (Julius et al. et al.
11 2008). Wetland areas have also been traditionally important in the subsistence lifestyles
12 of native peoples in interior Alaska as many villages are located adjacent to wetland
13 complexes that support an abundance of wildlife subsistence resources. Thus, the loss of
14 wetland area has the potential to affect the sustainability of subsistence lifestyles of
15 indigenous peoples in interior Alaska.

16 *Ecological Thresholds and Vegetation Changes in Northern Alaska.*—Shrub cover in
17 northern Alaska has increased by about 16% since 1950 (Sturmet et al. et al. 2001; Tape et
18 al. et al. 2006), and the treeline is expanding in most places (Lloyd and Fastie, 2003;
19 Lloyd, in press). This is consistent with satellite observations of an approximately 16%
20 increase per decade in the normalized difference vegetation index (NDVI) (Jia et al. et al.
21 2003; Goetz et al. et al. 2005). The increased growth of vegetation at or above the treeline
22 appears to be a response to longer and warmer growing seasons. Tundra vegetation in
23 northern Alaska may not be experiencing drought stress to the extent experienced by

1 forests in interior Alaska because the surface water in tundra regions is not able to drain
2 away through the ice-rich continuous permafrost. Experimental studies demonstrate that
3 arctic summer warming of 1°C increases shrub growth within a decade (Arft et al. et al.
4 1999). Satellite analyses of relationships between NDVI and summer warming (Jia et al. et
5 al. 2003) suggest that the response of tundra vegetation is linearly related to summer
6 warmth. Thus, it appears that the response of tundra vegetation to warming is not a
7 threshold response.

8 While growth of shrubs and trees may not be threshold responses to warming, the
9 changing snow cover and vegetation in northern Alaska have the potential to result in
10 sudden changes in the absorption of heat from incoming solar radiation and the transfer
11 of that heat to warm the atmosphere. For example, the advance in snowmelt reduces
12 spring albedo, causing the ecosystem to absorb more heat and transfer it to the
13 atmosphere. The snowmelt-induced increase in heating in northern Alaska has been about
14 3.3 watts per square meter (W m^{-2}) averaged over the summer, similar in magnitude to
15 the 4.4 W m^{-2} caused by a doubling of atmospheric CO_2 over several decades (Chapin et
16 al. et al. 2005). Thus, gradual warming has caused a rapid advance in the snowmelt date
17 and a very large increase in local heating. Although vegetation changes to date have had
18 minimal effects on atmospheric heating, conversion to shrubland would increase summer
19 heating by 8.9 W m^{-2} , with even larger changes triggered by conversion to forest.
20 Warming experiments that increase shrubs also reduce the abundance of lichens, an
21 important winter food of caribou (Cornelissen et al. et al. 2001). Most arctic caribou herds
22 are currently declining in population, although the reasons are uncertain. In summary,
23 positive feedback associated with earlier snowmelt and shrub expansion is amplifying

1 arctic warming and may be altering food-web dynamics in ways that have important
2 cultural and nutritional implications for northern indigenous people.

3 *Ecological Thresholds and Fisheries of the Bering Sea.*—Alaska leads the United
4 States in the value of its commercial fishing catch, and most of the Nation’s salmon, crab,
5 and herring come from Alaska, and specifically from the Bering Sea. The Bering Sea is
6 one of the most productive marine ecosystems in the world, supporting some of the
7 largest oceanic populations of fish, seabirds, and marine mammals anywhere (Loughlinet
8 al.et al. 1999). The Bering Sea provides 47% of total U.S. fishery production by mass,
9 including the largest single species fishery in the United States, walleye pollock
10 (*Theragra chalcogramma*) (Criddleet al.et al. 1998). It is also an important source of
11 subsistence resources (fish, marine mammals, and seabirds) for more than 30 Alaska
12 Native communities and supports 95% of the worldwide population of northern fur seals,
13 80% of the total number of seabirds that breed in the United States, and major
14 populations of tens of thousands of Pacific walrus, steller sea lion, and several species of
15 great whales. This production is fueled by nutrients annually replenished from slope and
16 oceanic waters across the very broad [more-than-500-kilometer (km)-wide] continental
17 shelf (Stabenoet al.et al. 2001, 2006).

18 Changes in fisheries of the Bering Sea occurred in the transition of cool to warm
19 conditions in 1977, in association with a shift of the North Pacific oscillation, and were
20 followed by historically high commercial catches of salmon and pollock, as well as a
21 shift away from crab dominance on the ocean floor. In the past decade, geographic
22 displacement of marine mammal populations has been documented in the Bering Sea
23 region. The displacements of walrus and seal populations are already apparent to coastal

1 communities. The displacements of fauna in the Bering Sea has coincided with a
2 reduction of benthic prey populations, an increase in pelagic fish, an increase in air and
3 ocean temperatures, and a reduction in sea ice (Grebmeier et al. 2006). Ultimately,
4 populations of fish, seabirds, seals, walruses, and other species depend on plankton
5 blooms that are regulated by the extent and location of the ice edge in spring. As the sea
6 ice continues to decline, the location, timing, and species makeup of the blooms is
7 changing, vastly decreasing the amount of food reaching the living things on the ocean
8 floor. This radically changes the species makeup and populations of the fish and other
9 marine life forms, with significant repercussions for fisheries (Grebmeier et al. 2006;
10 Hatfield et al. 2008; Juliu et al. 2008). Thus, changes in sea ice are the major
11 driver of concern with respect to threshold changes in fisheries of the Bering Sea.

12 Seasonal sea ice extent currently divides the Bering Sea eastern shelf into two
13 biogeographic provinces, which differ in production pathways. In the subarctic
14 biogeographic province (south of the average-annual maximum sea ice extent), most
15 primary production remains within the pelagic ecosystem, and pollock is the dominant
16 tertiary consumer (Macklin and Hunt, 2004). In contrast, in the arctic biogeographic
17 province, tight coupling between pelagic primary production and the benthos benefits
18 benthic foragers, such as gray whales, walrus, and diving ducks (Grebmeier et al.
19 2006). The boundary between the two biogeographic provinces varies in location on
20 longer time scales (decadal or longer) and is expected to move northward as the region
21 becomes warmer. The average southern edge of the maximum ice extent currently lies
22 north of the Pribilof Islands (Byrd et al. 2008).

1 The Bering Sea ecosystem, however, is in a state of rapid flux owing to climate
2 change. Present data and climate projections from atmosphere-ocean models predict
3 major loss of sea ice during the next few decades (Overland and Stabeno, 2004); the
4 Bering Sea is particularly sensitive to global warming (Grebmeier et al. 2006).
5 Recent relative temperature extremes in Alaska and adjacent waters (more than 2°C)
6 represent the largest recent change on the planet (Hansen et al. 2006). However,
7 these models and data also demonstrate large natural variability. Ecosystems will *likely*
8 be affected by how the path of such warming occurs, that is, whether there will be a
9 continued slow warming trend with little interannual variability versus a warming trend
10 that incorporates wide swings in temperature and extent of sea ice.

11 Warming of the Bering Sea is expected to alter the current geographic
12 distributions and behaviors of humans, marine mammals, seabirds, and fish by
13 restructuring their habitats and food webs (Grebmeier et al. 2006). As a result of
14 warming, changes in the time and place of food production lead to dominance of top-
15 down control processes in the pelagic marine environment and the decline of benthic
16 production. Under a long-term warming scenario with early ice retreat, bottom-up control
17 mechanisms (temperature, sea ice extent and duration, ocean currents, and nutrient
18 fluxes) set the stage for the emergence and dominance of top-down control processes in
19 the pelagic marine environment and the decline of benthic production. Increased heat
20 content would increase the combined populations of the subarctic piscivores—arrowtooth
21 flounder, pollock, and cod—in proportion to expanded breeding grounds and increased
22 availability of food during critical developmental stages (Hunt and Stabeno, 2002).
23 Because arrowtooth flounder is not targeted by fishing, it is likely to become the

1 dominant component of the biomass of the three subarctic piscivores in this study and is
2 predicted to be one of the principal agents of top-down control in the Bering Sea, as
3 predator and competitor of the now-dominant, but commercially exploited, pollock and
4 cod.

5 Arrowtooth flounder is also an agent of change as a direct and indirect competitor
6 of fur seals, murre, and kittiwakes for their respective forage species (euphausiids,
7 copepods, juvenile pollock, capelin, and myctophids). Populations of fur seals, murre,
8 and kittiwakes could fluctuate in the near term depending on the locality of rookeries and
9 nesting colonies, but long-term overall trends would be downward under warming. Fur
10 seals, murre, and kittiwakes would further decline owing to competition from humpback
11 and fin whales, with fur seal declines being further accelerated by increasing killer whale
12 predation. Dislocation of feeding hot spots would likely disadvantage breeding fur seals,
13 murre, and kittiwakes as central place foragers, but would work to the advantage of
14 humpback and fin whales, further exacerbating direct and indirect competition between
15 these two groups of species. Dislocations and declines in fur seals, kittiwakes, murre,
16 pollock, and cod would stress human communities by increasing the costs of maintaining
17 a livelihood and obtaining food and by necessitating changes in the types of food taken
18 and the means of harvest.

19 The northern Bering Sea, in particular, is experiencing a rapid shift in the
20 structure and function of the formerly arctic climate regime to conditions typical of
21 marine ecosystems of the subarctic (Grebmeier et al. 2006; Hunt et al. 2002). The earlier
22 sea ice retreat results in a later, warm-water spring phytoplankton bloom, increased
23 grazing by zooplankton, and greater pelagic secondary productivity (Hunt et al. et al.

1 2002). Concurrently, benthic productivity is decreasing (Grebmeier et al. 2006). The
2 formerly ice-dominated, shallow marine ecosystem that favored highly productive
3 benthic communities also supported high densities of upper trophic level bottom-feeders,
4 such as Pacific walrus, gray whales, and seabirds, including the Ecological Society of
5 America (ESA)-listed spectacled eider.

6 The northward flowing Anadyr Current, which originates in the southern Bering
7 Sea, transports nutrient-rich water far onto the Bering Shelf and the northern Bering Sea.
8 This transport creates unusually productive shelf waters in the area north of St. Lawrence
9 Island and south of the Bering Strait, known as the Chirikov Basin (Springer et al.
10 1989; Piatt and Springer, 2003). Oceanic copepods, such as *Neocalanus cristatus* and *N.*
11 *flemingeri*, transported by the Anadyr Current, along with the large euphausiid
12 *Thysanoessa raschii* provide abundant prey for planktivores foraging near St. Lawrence
13 Island (Piatt et al. 1988). The Anadyr Current is highly variable on a seasonal and
14 annual basis, reaching its greatest velocity during July [about 1.3 Sv (13 million cubic
15 meters per second)] (Roach et al. 1995). Consequently, the primary productivity on
16 the Bering Shelf during summer months varies with the strength of northward flow
17 associated with the Anadyr Current (Springer et al. 1989; Russel et al. 1999).

18 When the Anadyr Current is weaker, planktivores presumably rely more on
19 zooplankton associated with northern Bering Shelf waters, such as the small copepod
20 *Calanus marshallae* and the large amphipod *Themisto libellula* (Coyle, Chavtur, and
21 Pinchuk, 1996; Russel et al. 1999). *Neocalanus* copepods are larger and have higher
22 energy content per prey item than the small, neritic copepod *C. marshallae* which is
23 characteristic of Bering Shelf water. The lipid content of *Neocalanus* copepods is also

1 probably higher (Obstet al.et al. 1995), making these oceanic species more energy-dense
2 than their shelf domain counterparts. When preferred *Neocalanus* copepods are not
3 available, planktivores must switch to other prey types. The progressively earlier
4 transition from winter to spring in the Bering Sea and the resulting changes in primary
5 and secondary productivity are expected to have large impacts on upper trophic-level
6 consumers (Stabeno and Overland, 2001; Grebmeier et al. 2006).

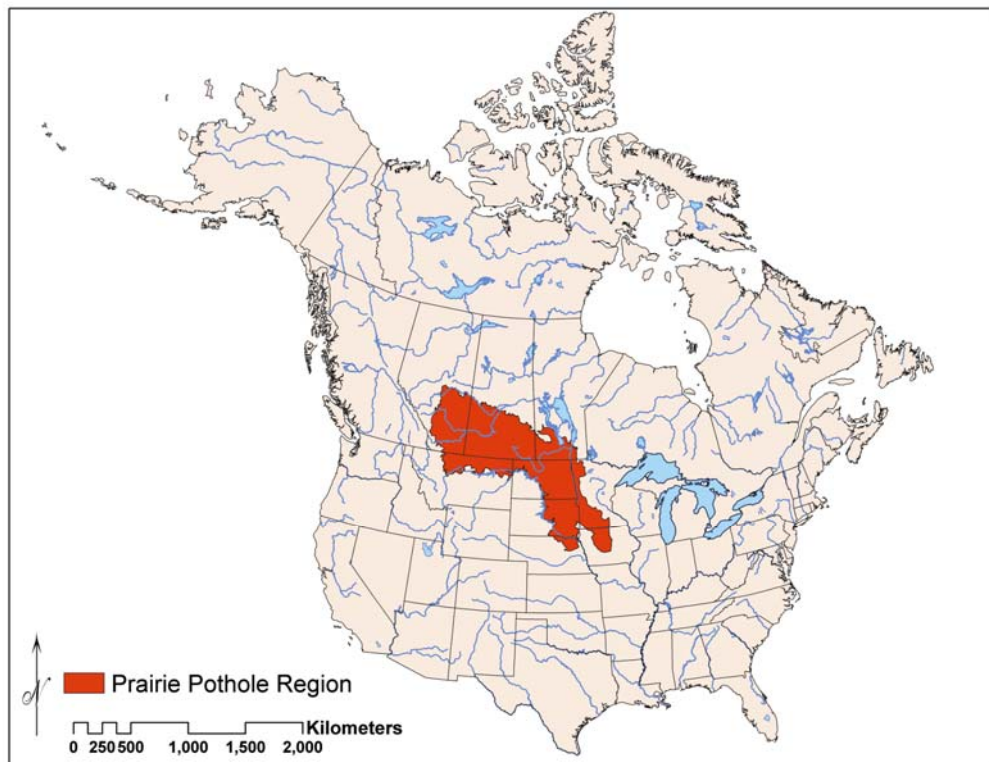
7 Projected warming of the Bering Sea is also expected to profoundly alter the
8 structure of the southeastern Bering Sea ecosystem by changing pathways and fluxes of
9 energy flow, as well as the abundance, spatial distribution, and species composition of
10 fish, seabirds, and marine mammals, thereby affecting commercial and subsistence
11 fisheries that support local, regional, and national economies (Grebmeier et al. 2006; Hunt
12 and Stabeno 2002). Climate-induced changes in physical forcing of the Bering Sea
13 modifies the partitioning of food resources at all trophic levels on the continental shelf
14 through bottom-up processes.

15

16 *Case Study 2. The Mid-Continent Prairie Pothole Region: Threshold Responses to*
17 *Climate Change*

18 The Prairie Pothole Region (PPR) of north-central North America is one of the
19 most ecologically valuable freshwater resources of the Nation (van der Valk, 1989). It
20 contains 5 million to 8 million wetlands, which supply critical habitat for continental
21 waterfowl populations and provide numerous valuable ecosystem services for the region
22 and nation. The weather extremes associated with this region are particularly important
23 for the long-term productivity of waterfowl dependent on these wetlands.

1 The PPR (fig. 3.1) exhibits a variable climate, ranging from severe droughts
2 exemplified by the 1930s when agriculture was devastated, grassland communities
3 shifted eastward, and trees died by the millions (Albertson and Weaver, 1942, 1945;
4 Woodhouse and Overpeck, 1998; Rosenzweig and Hillel, 1993) to periods of deluge,
5 such as occurred in the late 1900s when closed-basin lakes flooded, causing high
6 mortality of shoreline trees and considerable economic damage to farmland, roads, and
7 towns (Winter and Rosenberry, 1998; Johnson et al. 2005; Shapley et al. 2005).
8 The 20th-century climate of the PPR was punctuated by significant droughts. These
9 conditions have occurred over small and large areas and lasted as short as several
10 growing seasons to as long as a decade (Skaggs, 1975; Laird and Cumming, 1998;
11 Nkemdirim and Weber, 1999).



12

1 **Figure 3.1.** Location of the Prairie Pothole Region (PPR) of North America (red highlighted
2 area). (Boldsethet al.et al. 2007)

3

4 Wetlands in the PPR are likely to be strongly affected by gradual changes in
5 climate (Poiani and Johnson, 1991; Covichet al.et al. 1997). Climate drives surface
6 processes, such as the hydrologic cycle, and hydrology is the most important factor that
7 controls key wetland processes and services (Winter and Woo, 1990). A warmer and
8 drier climate, as indicated by general circulation models (GCM) for the northern Great
9 Plains (Ojima and Lackett, 2002), could affect the wetland hydroperiod, the ratio of
10 emergent plant cover to open water, the species composition, wetland permanence, and
11 primary and secondary productivity, among others (van der Valk, 1989). Winter (2000)
12 predicted that the surface area of seasonal and semipermanent wetlands in the PPR would
13 be reduced by increases in evapotranspiration and reduced summer soil moisture. With
14 increased temperatures, summer evapotranspiration would put increasing demands on
15 groundwater, resulting in earlier drying of wetlands. Thus, additional climate variability
16 of the magnitude suggested by global climate change models would profoundly affect
17 wetland water budgets and the many processes and attributes linked to these wetlands..

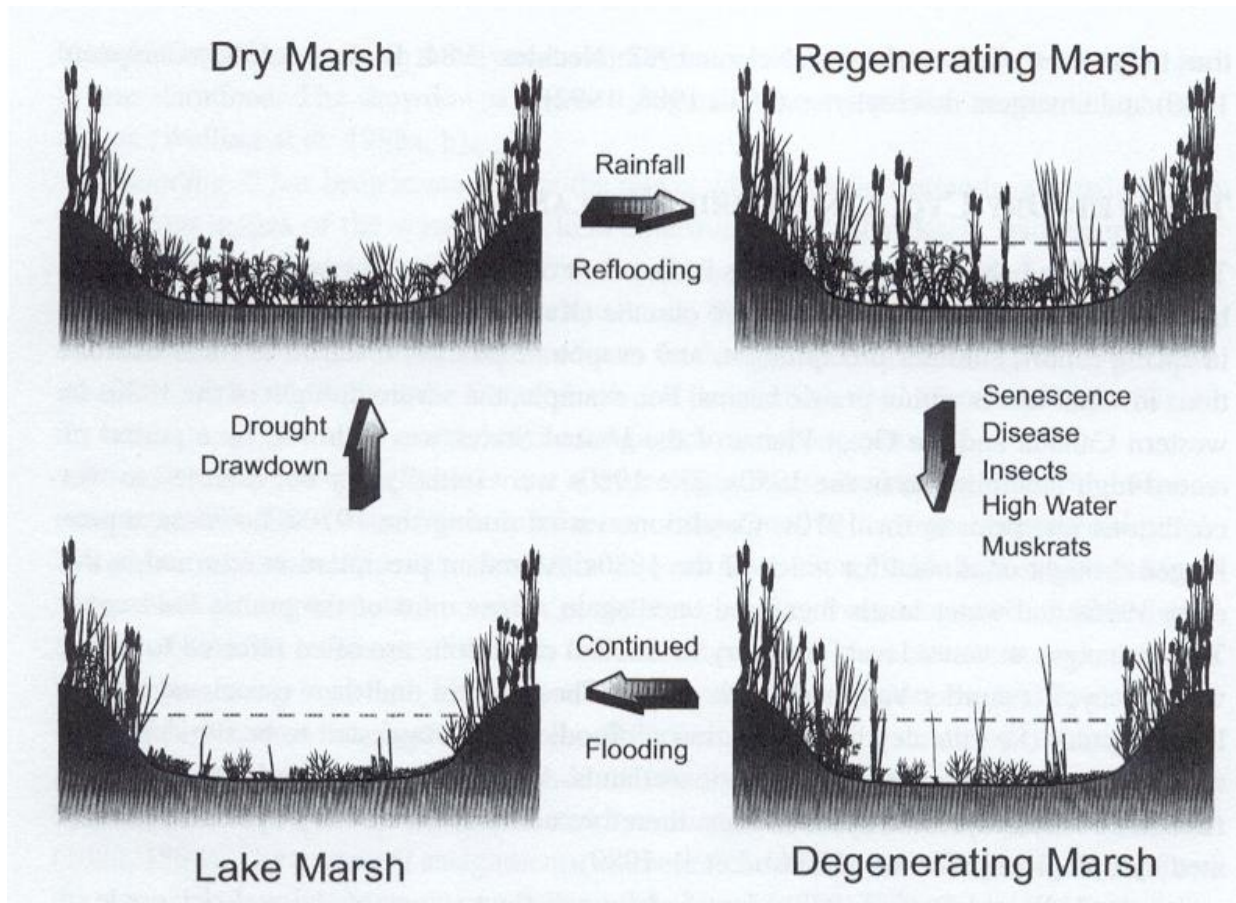
18 Changing climate can have direct effects on the trajectories of these wetland
19 ecosystems and their sustainability. Shifts in climate in this region over decadal time
20 scales could result in longer or more frequent drought periods and may lead to threshold
21 responses by the wetland systems. The interaction of extrinsic and intrinsic processes
22 reflected in such hydrologically, geologically and biologically linked systems as wetlands
23 and their surrounding watersheds could result in rapid nonlinear changes at broad spatial

1 scales that are triggered by small differences in temperature and precipitation if threshold
2 values are exceeded that may also result in these systems exhibiting hysteresis.

3 The first quantitative assessments of the possible effects of climate change on
4 PPR wetlands used the WETSIM (WETland SIMulator), which is a rule-based, spatially
5 explicit simulation model that is composed of hydrology and vegetation submodels
6 (Poiani and Johnson, 1991, 1993a, b; Poianiet al.et al.1995, 1996). Simulations using this
7 model and GCM climate forcings indicate that semipermanent wetlands would lose their
8 historic highly dynamic character by drying up more frequently and becoming
9 chronically choked with emergent cover. Shortened hydroperiods and monotonous stands
10 of emergent cover for semipermanent wetlands across the PPR would have strong
11 negative effects on the continental population of waterbirds (particularly ducks).

12 Johnsonet al.et al. (2005) used a simulation model (WETSIM) to contrast
13 historical and future wetland conditions across the PPR of North America (fig. 1). They
14 assembled 95-year climate data sets for 18 weather stations across the PPR as input to a
15 revised version of WETSIM (version 3.1), which enabled a much broader geographic
16 assessment to be conducted of the effects of past and future climate variability on wetland
17 conditions across the PPR. Their model runs reflected the high level of spatial and
18 temporal heterogeneity in wetland water levels historically across the PPR. They were
19 able to use model output to simulate the number of completions of the wetland cover
20 cycle across the PPR (fig. 3.2; Weller, 1965).

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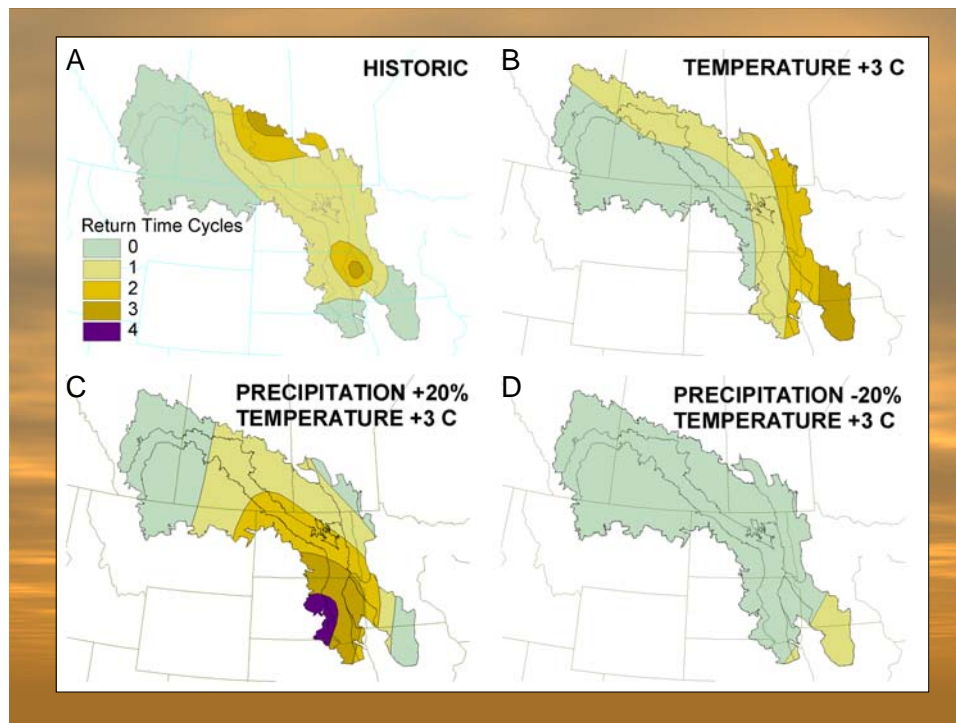
3 **Figure 3.2.** Wetland cover cycle (modified from Weller, 1965).

4

5 The wetland cover cycle was highly sensitive to alternative future climates. The
 6 geographic pattern of return times shifted markedly with changes in temperature and
 7 precipitation. A 3°C increase in temperature and no change in precipitation resulted in a
 8 greatly diminished area and geographic shift eastward for the region of fastest return
 9 times. However, reduced precipitation and warmer air temperatures resulted in no
 10 complete cover cycle return times across the PPR except in a small area of north-central
 11 Iowa (fig. 3.3), thus representing a threshold response to climate change. Such dramatic

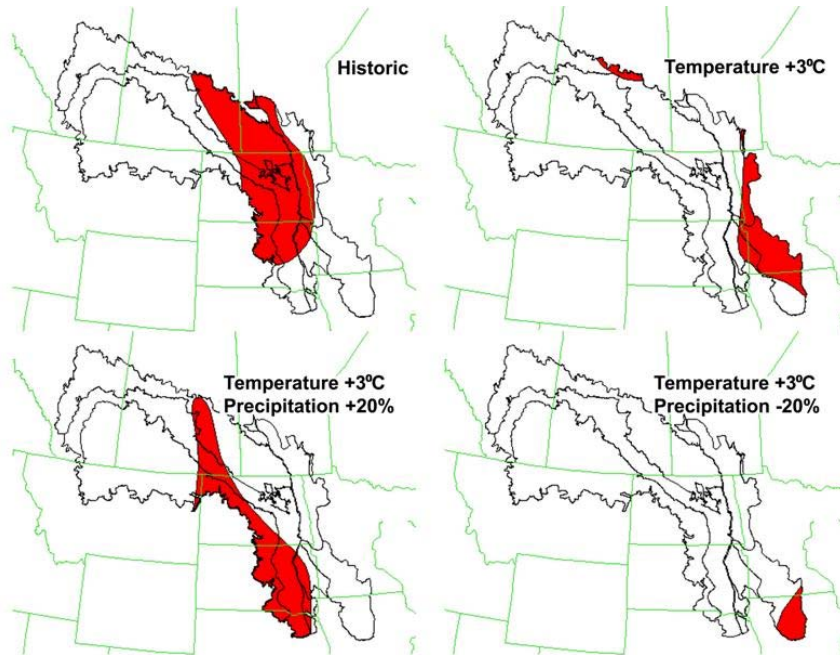
1 shifts in wetland conditions emphasize the sensitivity of PPR wetlands to climate
2 variability.

3 Using this information, Johnson et al. (2005) simulated the occurrence of
4 highly favorable water and cover conditions for breeding waterfowl (fig. 3.4). The most
5 productive habitat for breeding water birds would shift under an effectively drier climate
6 from the center of the PPR (the Dakotas and southeastern Saskatchewan) to the wetter
7 eastern and northern fringes (in sync with the changes in the cover cycle return results).
8



9
10 **Figure 3.3.** Geographic patterns of the speed of the wetland cover cycle, simulated for the Prairie
11 Pothole Region (PPR) under historic (a) and alternative future (b, c, and d) climatic conditions.
12 (Johnson et al. 2005)

13



1
2

3 **Figure 3.4.** Simulated occurrence of highly favorable water and cover conditions
4 for waterfowl breeding (occurrence of at least one return time and hemimarsch
5 conditions at more than 30% frequency) across the Prairie Pothole Region (PPR) under
6 historic (a) and alternative (b, c, and d) future climatic conditions. (Johnson et al. 2005)

7

8 *Case Study 3. Broad-Scale Forest Die-Back as a Threshold Response to Climate Change*
9 *in the Southwestern United States*

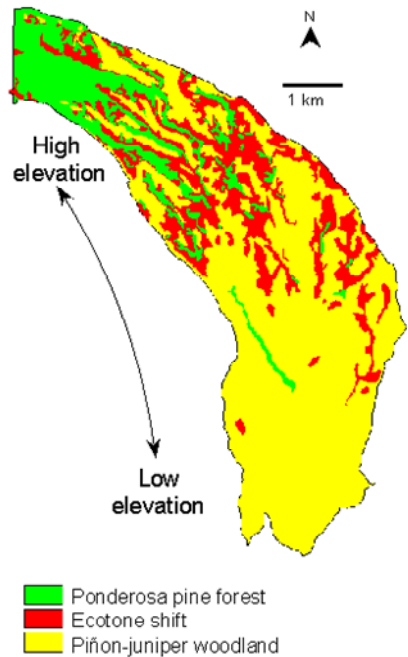
10 The ecological dynamics of semiarid forests and woodlands in the southwestern
11 United States are observed to respond strongly to climate-driven variation in water-
12 availability, with major pulses of woody plant establishment and mortality commonly
13 corresponding to wet and dry periods (Swetnam and Betancourt, 1998). In particular,
14 climate-induced water stress can trigger rapid, extensive, and dramatic forest dieback
15 (Breshears et al. 2005), exemplifying significant ecosystem threshold responses to

1 climate. Broad-scale tree mortality can shift ecotones between vegetation types (Allen
2 and Breshears 1998) and alter regional distributions of overstory and understory
3 vegetation (Gitlin et al. 2006; Richet et al. 2008). Rapid forest dieback also has
4 nonlinear feedbacks at multiple spatial scales with other ecological disturbance processes,
5 such as fire and erosion (Allen, 2007), which, in some cases, leads to additional nonlinear
6 threshold behaviors. Massive forest mortality is an example of a threshold phenomenon
7 with substantial implications for future ecosystem dynamics and management of lands
8 undergoing such changes (Millaret et al. 2007).

9 Assessments of potential global change impacts initially focused on how
10 vegetation types matched given climatic envelopes (IPCC, 1996). Subsequent research
11 has considered how vegetation patterns might migrate in response to changing climate
12 with a focus on rates of plant establishment, has documented that forest turnover rates
13 follow global and regional patterns of productivity (significantly driven by climate)
14 (Stephenson and van Mantgem, 2005), and has increasingly moved toward dynamic
15 global vegetation models that try to incorporate more realistic disturbance dynamics
16 (Scholze et al. 2006; Purves and Pacala, 2008). Currently, climate-induced dieback
17 of woody plants is being recognized as an important vegetation response to climate
18 variation and change, with examples of forest dieback emerging from around the world
19 (Allen and Breshears, 2007). Recent research shows that water stress appears to be
20 driving increases in background tree mortality rates in western North American forests
21 (van Mantgem and Stephenson, 2007; van Mantgem et al. in review). In addition,
22 observations of extensive tree die-off—especially from semiarid ecosystems where
23 woody plants are near their physiological limits of water stress tolerance—are being

1 documented globally, for example, in Australia (Fensham and Holman, 1999), Africa
2 (Gonzalez, 2001), west Asia (Fisher, 1997), Europe (Dobertinet al.et al. 2007), South
3 America (Suarezet al.et al. 2004), and North America (Breshears et al.et al. 2005).
4 Climate-induced water stress during extended time periods can exceed the physiological
5 tolerance thresholds of individual plants and directly cause mortality through either: 1)
6 cavitation of water columns in the xylem conduits (“hydraulic failure”); or 2) forcing
7 plants to shut down photosynthesis to conserve water, leading to “carbon starvation”
8 (McDowellet al.et al. 2008; Breshears et al.et al. in press). These individual-scale
9 threshold responses to climate stress can trigger tree mortality that propagates to
10 landscape and even regional spatial scales (Allen, 2007), sometimes amplified by biotic
11 agents (like bark beetles) that can successfully attack and reproduce in weakened tree
12 populations and generate massive insect population outbreaks with positive feedbacks
13 that greatly increase broad-scale forest mortality (Kurzet al.et al. 2008).

14 Ecotones are areas where vegetation changes in response to climate are expected
15 to be most rapid and prominent (Beckageet al.et al. 2008), as highlighted by a
16 southwestern case study of drought effects on vegetation during the 1950s (fig. 3.5; Allen
17 and Breshears, 1998). Severe drought across the southwestern United States during the
18 1950s caused ponderosa pine (*Pinus ponderosa*) trees at lower, drier sites to die, resulting
19 in an upslope shift of the ponderosa pine forest and piñon-juniper woodland ecotone of as
20 much as 2 km in less than 5 years, producing a rapid and persistent change in dominant
21 vegetation cover. Similarly, within the distributional range for the piñon pine (*Pinus*
22 *edulis*), many trees at lower or drier sites also died (Swetnam and Betancourt, 1998).



Allen and Breshears 1998, PNAS

Evidence of 1950s dieback:
-remnant dead wood
-air photos
-documents

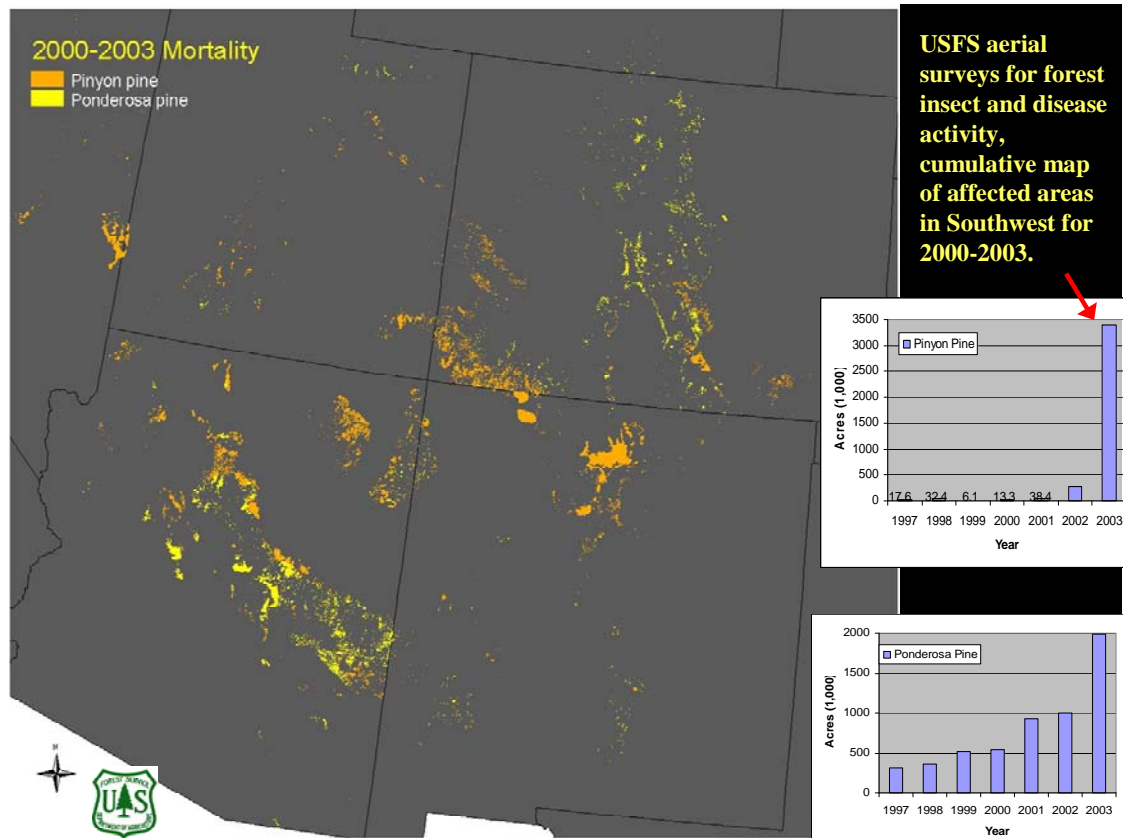
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2 **Figure 3.5.** Changes in vegetation cover between 1954 and 1963 at Frijolito Mesa, Jemez
 3 Mountains, New Mexico, showing the persistent ponderosa pine forest (365 ha), the persistent
 4 piñon-juniper woodland (1527 ha), and the ecotone shift zone (486 ha) where forest changed to
 5 woodland (from Allen and Breshears, 1998).

6

7 Although tree mortality almost certainly occurred across much of the
 8 southwestern United States in response to the 1950s drought (and probably for previous
 9 regional-scale droughts as well), few studies exist that allow scientists to test projections
 10 about the rapidity and extent of potential vegetation die-off response to drought. A recent
 11 drought beginning in the late 1990s and peaking in the early 2000s affected most of the
 12 western United States; this was the most severe drought in the Southwest since the
 13 1950s. Substantial mortality of multiple tree species has been observed throughout the
 14 Southwest during this 2000s drought (fig. 3.6; Gitlin et al. 1996; U.S. Forest Service,
 15 2006; Allen, 2007). For example, mortality of the piñon pine spanned major portions of
 16 the species' range, with substantial die-off occurring across at least 1,000,000 ha from

1 2002 to 2004 (Breshears et al. 2005; U.S. Forest Service, 2006). For both droughts,
 2 much of the forest mortality was associated with bark beetle infestations, but the
 3 underlying cause of dieback appears to be water stress associated with the drought
 4 conditions.



5
 6 **Figure 3.6.** Graph of the acreage of piñon pine (*Pinus edulis*) and ponderosa pine (*Pinus*
 7 *ponderosa*) dieback from 1997-2004 in the Four Corners states of Arizona, New Mexico,
 8 Colorado, and Utah; map showing cumulative area from 2000 to 2004. Based upon annual aerial
 9 forest insect and disease activity inventories by the U.S. Forest Service.

10 The precipitation deficit that triggered the recent regional-scale die-off of the
 11 piñon pine across the Southwest was not as severe (dry) as the previous regional drought
 12 of the 1950s, but the recent 2000s drought was hotter than the 1950s drought by several
 13 metrics, including mean, maximum, minimum, and summer (June-July) mean
 14 temperature (Breshears et al. 2005). Although historic data from the 1950s is

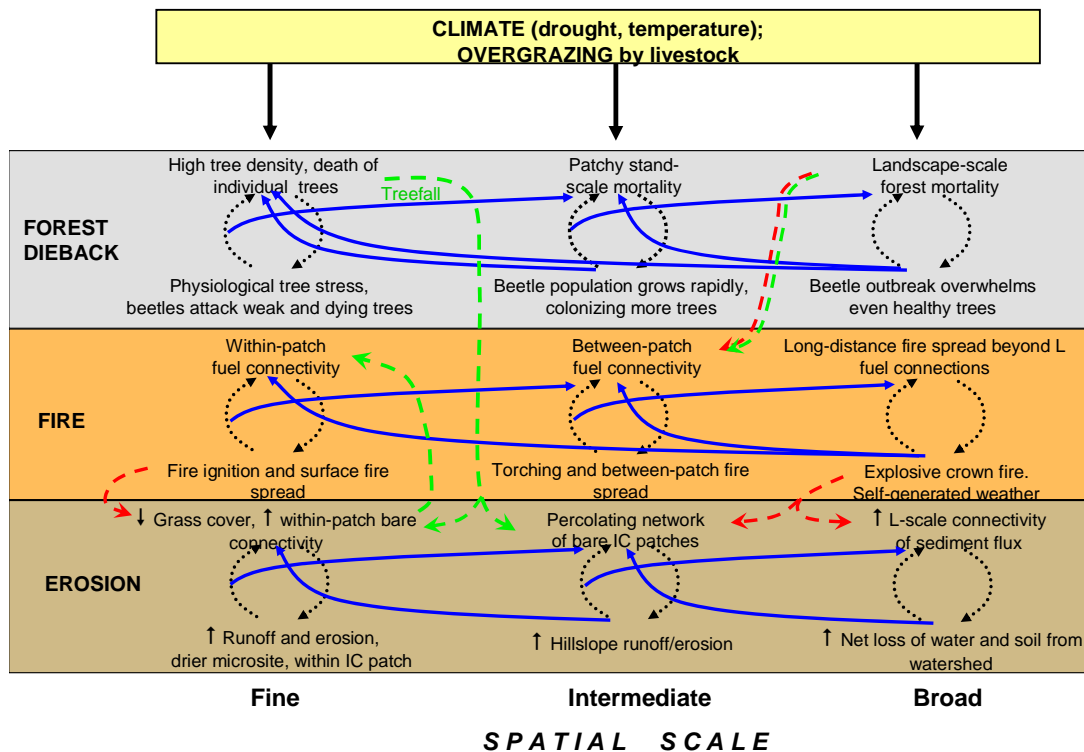
1 limited, available data suggest that piñon pine mortality in response to the recent drought
2 has been more extensive, affected greater proportions of more age classes, and occurred
3 at higher elevation and wetter sites than in the previous 1950s drought. Hence, the
4 warmer temperatures associated with the 2000s drought may have driven greater plant
5 water stress through increased evapotranspirational demand, and resulted in more-
6 extensive tree die-off. Because global change is projected to result in droughts under
7 warmer conditions—referred to as *global-change type drought*, the severe piñon pine
8 dieback from the recent drought may be a harbinger of vegetation response to future
9 global-change type droughts (Breshears et al. 2005).

10 In addition to the die-off of dominant overstory tree species, high levels of
11 dieback also were observed in other southwestern U.S. species and lifeforms in response
12 to the warm regional drought in the 2000s (Gitlin et al. 2006; Allen, 2007). These
13 include species where bark beetles are unimportant or nonexistent, including one-seed
14 juniper (*Juniperus monosperma*)—a co-dominant with piñon pine for much of its range;
15 shrubs such as wavy-leaf oak (*Quercus undulate*) and mountain mahogany (*Cercocarpus*
16 *montanus*); and blue grama (*Bouteloua gracilis*), the dominant herbaceous species in
17 many of these woodland systems.

18 In addition to direct climate-induced mortality, severe protracted drought also can
19 cause substantial reductions in the productivity and soil surface cover of herbaceous
20 plants, which in turn affects numerous other ecological processes. In particular,
21 reductions in herbaceous ground cover can trigger a nonlinear increase in soil erosion
22 once a threshold of decreased herbaceous cover has been crossed, through increased
23 connectivity of bare soil patches (fig. 3.7; Davenport et al. 1998; Wilcox et al.

1 2003; Ludwig et al. 2005; Mayoret et al. in press). On the other hand, dieback of
 2 woody canopies tends to cause an immediate successional shift toward greater cover of
 3 understory vegetation if moisture conditions are adequate (for example, Richet et al.
 4 2008), which propagates a different set of effects.

5



6

7 **Figure 3.7.** Diagram representing interactions across spatial scales for three different disturbance
 8 processes (forest dieback, fire, and erosion) in northern New Mexico landscapes (from Allen
 9 2007). Dashed black arrows represent pattern-process feedbacks within three different spatial-
 10 scale domains, with one example of pattern and process shown for each domain for each
 11 disturbance. Solid black arrows indicate the overarching direct effects of widespread
 12 environmental drivers or disturbances (such as climate and overgrazing) on patterns and
 13 processes at all scales. Blue arrows indicate the point at which altered feedbacks at finer spatial
 14 scales induce changes in feedbacks at broader scales (for example, fine-scale changes cascade to
 15 broader scales), and also where changes at broader scales overwhelm pattern-process
 16 relationships at finer scales. Red dashed arrows illustrate some examples of amplifying (positive
 17 feedback) interactions between disturbance processes within and between spatial scales; green
 18 dashed arrows illustrate dampening (negative feedback) interactions between disturbance
 19 processes. Abbreviations: L = landscape; IC = intercanopy (interspaces between tree canopies).

1

2 Overall, the dieback of overstory vegetation affects numerous key ecosystem
3 processes, which are tied to site-specific distributions of incoming energy and water
4 (Zouet al.et al. 2007), and has multiple cascading ecological effects. Widespread tree
5 mortality may propagate additional pervasive changes in various ecosystem patterns and
6 processes. Breshears (2007) summarizes the important ecological role of woody plant
7 mosaics in semiarid ecosystems:

8 *A large portion of the terrestrial biosphere can be viewed as lying within a*
9 *continuum of increasing coverage by woody plants (shrubs and trees), ranging from*
10 *grasslands with no woody plants to forests with nearly complete closure and coverage by*
11 *woody plants (Breshears & Barnes, 1999; Breshears, 2006). The characteristics of*
12 *woody plants determine fundamental descriptors of vegetation types including grassland,*
13 *shrubland, savanna, woodland, and forest. Because woody plants fundamentally affect*
14 *many key aspects of energy, water and biogeochemical patterns and processes, changes*
15 *in woody plant cover are of particular concern (Breshears, 2006).*

16 Climate-driven, rapid forest dieback has feedbacks with other ecological
17 disturbance processes, such as fire and erosion, in some cases leading to further nonlinear
18 ecosystem threshold behaviors (fig. 3.7). Warming and drying climate conditions are
19 driving higher-severity fire activity at broader scales in the southwestern United States
20 directly (Swetnam & Betancourt, 1998; Westerling et al. 2006), and probably also
21 indirectly where forest dieback changes fuel conditions (fig. 3.7: Bigler et al. 2005).
22 High-severity stand-replacing fires within woodlands and forests can almost instantly
23 cause large reductions in tree canopies and soil surface covers, thereby also triggering

1 dramatically increased rates of runoff and soil erosion for several years post-fire until
2 vegetation regrowth restores adequate land surface cover (Veenhuis, 2002; Moody and
3 Martin, in press). Forest dieback, fire, and erosion also have significant effects on
4 ecosystem carbon pools (Breshears and Allen, 2002; Kurz et al. 2008). The
5 combined interactive effects of climate-driven ecological disturbance processes
6 (vegetation dieback, fire, and erosion) are highlighted by the major changes in woodland
7 and forest ecosystems that have occurred in northern New Mexico during the past 60
8 years (fig. 3.8; Allen, 2007). Climate-induced forest dieback, fire, and accelerated
9 erosion already may be causing permanent “type conversion” changes to some
10 southwestern ecosystems. Even without factoring in ongoing/predicted climate changes,
11 it will be at least several decades to centuries before reestablishment of pre-disturbance
12 tree canopy covers will occur on many semiarid woodland and forest sites in this region
13 (Allen and Breshears, 1998; Savage and Mast, 2005).



1

2 **Figure 3.8** Increased herbaceous cover has developed since recent piñon pine forest dieback in
3 the Jemez Mountains of New Mexico and may promote surface fire regimes and changes in
4 runoff and erosion patterns. July 2004.

5

6 Examples of drought-induced tree die-off in semiarid forests and woodlands
7 highlight the rapidity and extensiveness with which climate stress can trigger pervasive
8 and persistent ecosystem changes. Climate change has the potential to drive multiple
9 nonlinear or threshold-like processes that can interact in complex ways, including tree
10 mortality, altered fire regimes, energy and water budget changes, and soil erosion
11 thresholds (Allen, 2007), making ecological predictions difficult (McKenzie and Allen,
12 2007). For example, the projections of state-of-the-art dynamic global vegetation models
13 “are currently highly uncertain, making vegetation dynamics one of the largest sources of
14 uncertainty in Earth system models” (Purves and Pacala, 2008). Additional research,

1 including research on threshold responses, is needed to improve projections of the
2 nonlinear ecological effects of expected climate changes, such as broad-scale forest
3 dieback, associated ecosystem dynamics, and effects on carbon budgets and other
4 ecosystem goods and services (Breshears and Allen, 2002; Millennium Ecosystem
5 Assessment, 2005; Millaret al.et al. 2007).

6

7 *Case Study 4. Thresholds in Climate Change for Coral-Reef Ecosystem Functioning*

8 Corals are perpetually subjected to environmental changes in time and space. As
9 adult colonies, corals are sessile and so are subjected to changes in the environmental
10 factors through time in one location. As larvae, corals are motile, and each must select a
11 location from a complex and variable array of available sites. Corals are resilient to
12 changes in both space and time through acclimatization, adaptation, local environmental
13 ameliorations, initial community composition, and the morphological characteristics of
14 the reef. It is reasonable to assume that most corals will not go extinct with global climate
15 change because of their abilities to acclimatize, to adapt, and to broadcast their larvae
16 geographically. The threshold or tipping point for coral-reef ecosystems is the point along
17 the environmental gradient at which the ecological or biological processes change from
18 negative feedback for net accretion to positive feedback or reef erosion. Systems consist
19 of mixtures of positive and negative feedbacks, with positive feedbacks tending to alter
20 the nature of the system, and negative feedbacks tending to minimize these changes
21 (Chapinet al.et al. 1996). Once the feedback process starts and net accretion decreases to
22 a point of erosion of the reef, there is no return to the functioning coral-reef ecosystem.

1 The following natural and anthropogenic stressors and coral reef responses to them may
2 include (Birkeland 2004):

- 3 • Inverse density dependence (or Allee effect) Algal abundance at levels
4 beyond the capacity of herbivores to keep in balance;
- 5 • Predators of corals at a rate higher than the rate of recovery and coral
6 population replenishment;
- 7 • Bioerosion of corals;
- 8 • The prevalence of crustose coralline algae, which weakens binding of the
9 substratum, is decreased and thereby decreases successful coral
10 recruitment; and
- 11 • Invasives—establishment of introduced species, which modify the habitat
12 in ways that favor the survival and dominance of the introduced species is
13 displacing natural species.

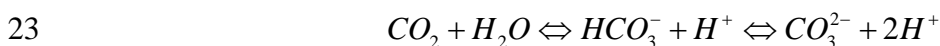
14 Such local processes as these stressors and the feedback mechanisms of corals to
15 these stressors have determined the substantial degradation of coral reefs over the past 3
16 decades in the tropical western Atlantic Ocean (Gardner et al. 2003) and in the Indo-
17 Pacific Ocean (Bruno and Selig, 2007). It is *likely* that the crossing of thresholds in coral
18 ecosystems began nearly 3 decades ago with no evidence the rate of degradation is
19 decreasing.

20 Although anthropogenic modification of local ecological processes has been the
21 dominant force in coral-reef degradation (Birkeland, 2004) and tipping points have been
22 crossed decades ago in many areas (Gardner et al. 2003; Bruno and Selig, 2007),
23 global changes in climate and oceanic characteristics are now becoming more apparent.

1 Global processes that are affecting coral reefs, which are related to the increased
2 concentration of atmospheric CO₂, are sea level rise, the decline in pH of seawater, and
3 the increase in seawater temperature.

4 *Rise and Fall of Sea Level.*—Coral reef ecosystems have experienced rise and fall
5 of sea levels several times in geological history with associated effects on reef
6 functioning (defined as constructing reefs upwardly). Reef accretion has stopped for
7 periods of time in excess of 10 million years, the threshold for the cessation of reef
8 upward growth being the time of decreasing sea level. It is hard to determine the effect
9 of climate change alone on whether corals will keep pace with sea level rise, increasing
10 water temperatures, and change in ocean pH. Whether coral reefs keep up with sea level
11 rise depends on a multitude of local environmental factors and the degree to which these
12 factors stress the corals themselves. The rate of sea level rise alone does not provide a
13 predictable tipping point for reef deposition that can be generalized over a region
14 (Hallock et al. 1993, Garrison et al. 2003).

15 *Decrease in Seawater pH.*—The concentration of CO₂ in the atmosphere is generally
16 expected to reach two times the preindustrial (late 18th century) levels by 2065
17 (Houghton et al. 1996). As CO₂ concentration increases in the atmosphere, the
18 surface seawaters take up more CO₂. The increased uptake of atmospheric CO₂ by the
19 surface waters of the ocean leads to a decrease in pH of surface waters, an increase in the
20 proportion of bicarbonate ions (HCO_3^-), and a decrease in the proportion of carbonate
21 ions (CO_3^{2-})(Feely et al. 2008). . The overall effect is on the rate of precipitation of
22 coral skeleton.



1 The oceans have already taken up an additional one-third to one-half of
2 industrial-age emissions of CO₂, and the concentrations of carbonate ions in the oceans
3 have decreased from 11% (preindustrial), to 9% (now) and are projected to decrease to
4 7% when carbonate concentrations are double the preindustrial concentrations, perhaps in
5 3 to 5 decades (ISRS, 2007).

6 Kleypas and others (1999) determined that doubled atmospheric CO₂ will lead to
7 a 14% to 30% decrease in reef calcification rates. This was estimated to be a general
8 tipping point from net carbonate accretion to net carbonate loss by Kleypas and others
9 (2001). Net reef accretion is potentially reduced to zero when increased CO₂ in the
10 atmosphere reaches about 500 to 600 ppm. On the other hand, CO₂ is less soluble in
11 seawater at higher temperatures. While increased concentrations of atmospheric CO₂ may
12 be accelerating the uptake of CO₂ by surface seawater, global warming may be slightly
13 damping the uptake. But of more substantial influence in accelerating the tipping point of
14 net reef accretion are the synergistic biological effects on corals of reduced growth in the
15 face of natural and anthropogenic stressors.

16 Sabine and others (2004) showed that uptake of anthropogenic CO₂ by subtropical
17 Atlantic waters has been greater than by Pacific waters. The north Atlantic stores 23% of
18 the total anthropogenic (fossil-fuel and cement-manufacturing emissions) CO₂ taken up
19 by the world oceans, even though the north Atlantic occupies only 15% of the world's
20 total ocean area. Pacific waters are less receptive to the uptake of CO₂ and therefore are
21 buffered from a decrease in pH because of higher concentrations of dissolved inorganic
22 carbon. As seawater becomes warmer coral reef net accretion will probably become

1 slightly more restricted in latitude (Kleypaset al.et al. 1999, 2001) because of the changes
2 in chemistry from CO₂ uptake in the world's oceans.

3 *Seawater Warming.*—The thresholds in tolerance of corals to an increase in water
4 temperature and its duration before “bleaching” (expelling the symbiotic zooxanthellae)
5 is predicted by the degree heating week (DHW) record, 12-week accumulations measured
6 as °C weeks. The DHW product is an accumulation of hotspot values over the bleaching
7 threshold [1°C over the maximum monthly mean (MMM)]. The threshold values of
8 DHW vary from site to site because the MMM varies from site to site; thus, corals are
9 likely adapted to their own threshold temperatures at each site. Furthermore, the past
10 history of events in the physical environment and local characteristics of the physical
11 environment can modify the actual location of the threshold or tipping point (Smith and
12 Birkeland 2007). Based on our knowledge of tolerances and the gaps in the literature on
13 thresholds identified in developing this SAP, corals are *likely* to reach a threshold with an
14 increase in sea water temperatures.

15 *Mechanisms of Reef Resilience That Alter Thresholds.*— The resilience of corals
16 to environmental changes is largely determined by their capacity to acclimatize (adjust
17 physiologically and behaviorally). The thresholds of resilience of corals to environmental
18 factors, such as water temperature and ultraviolet (UV) radiation, are altered by changes
19 in symbiotic interactions. Reef-building corals are dependent on symbiotic dinoflagellate
20 algae (zooxanthellae) in their endodermal cells for their nutrition and proficiency in
21 deposition of skeleton. There are a number of clades or types of zooxanthellae, and the
22 physiological and ecological attributes of zooxanthellae vary among clades. The
23 symbiotic relationship breaks down under stressful conditions of extra warm seawater or

1 strong UV radiation. Under these conditions, corals sometimes expel much of the
2 zooxanthellae of clade C and allow the buildup of clade D, with which the coral growth
3 rate is slower but survival under stressful conditions may be greater. As with
4 morphological adjustments, the symbiotic adjustments of corals may be determined by a
5 balance between the stresses imposed by the physical environment and by ecological
6 interactions with other species (Bruno and Selig 2007). In addition to adjustments in
7 morphology and symbiotic relationships, acclimatization can occur through biochemical
8 conditioning. Increased water temperature triggers a substantial increase in biochemical
9 activity in corals, Intense biochemical activities resulting from changes in water
10 temperature may indicate a processes of acclimatization that might increase the distance
11 to the threshold for mortality of the coral from seawater temperature (Smith and
12 Birkeland 2007).

13 Whether changes in morphology, symbiotic relationships, physiological
14 conditioning, or production of biochemicals are the mechanisms to shift the threshold for
15 survival from climate change, acclimatization costs the coral in terms of energy and
16 materials that would otherwise be available for growth and successful competition.
17 Acclimatization can be approached by robustness or plasticity. The mound-shaped
18 species of *Porites* (such as *P. lobata*) are robust and live in a wide range of habitats. They
19 are the last to drop out of the coral community near a river mouth or in bays with
20 increasing turbidity. Species of *Acropora* dominated the reef front at the municipal sewer
21 outfall for Koror, Palau, until predation on corals by the crown-of-thorns starfish and
22 bleaching by the large-scale seawater warming of 1997–98 killed the *Acropora* spp. but
23 not the *Porites* spp. (Richmond et al. 2002). *Porites* can maintain itself rather constantly

1 despite fluctuations in the external physical environment, but at a metabolic cost (fig.
2 3.9).

3 The relatively rapidly growing *Pocillopora eydouxi* display plasticity and can
4 differ substantially among habitats in rates of growth, colony morphology, and types of
5 zooxanthellae hosted. *Pocillopora* are generally more vulnerable to the physical
6 environment and so their growth rates vary among habitats and they are more likely to
7 bleach [expel zooxanthellae and/or photosynthetic pigments] with higher than usual water
8 temperatures and with more intense UV radiation.



9
10 **Figure 3.9.** Branching corals overgrowing mound-shaped corals.

11 *Factors that Shift the Thresholds.*—Corals are most vulnerable to infrequent or
12 very frequent environmental changes. As explained in the previous section, corals can
13 acclimatize (physiological or behavioral response) or adapt (genetic response) to
14 environmental changes of intermediate frequency. If the phenomena, such as

1 extraordinarily warm seawater, are infrequent enough to be unpredictable, then the corals
2 will not be able to acclimatize or adapt. However, if the events are too frequent, the
3 corals will not have time to recover between events.

4 The factor of duration relates to the different effects of acute and chronic
5 disturbances on the resilience of coral communities. The threshold seawater temperature
6 associated with global climate change is determined in part by the duration of the warm
7 water event. In 1997–98, an increased average surface seawater temperature of 1.0° to
8 1.5°C (to about 30° or 31°C) over a period of several weeks caused extensive mortality of
9 corals in the Indian Ocean, the southwestern Pacific Ocean, and the western Atlantic
10 Ocean (Bruno and Selig 2007). In contrast, daily fluctuations of 6°C to 6.5°C (to about
11 34° or 35.5°C) in reef flat pools in American Samoa are endured in good health by about
12 80 species of corals.

13 The threshold seawater temperature that severely affects a coral will be higher in
14 areas of constant or even intermittent high water motion and the threshold of temperature
15 tolerance will be lower in areas of low water motion (Smith and Birkeland, 2007).
16 Thresholds in levels of tolerable input of nutrients or sediment will be low in backwaters
17 and relatively much higher in areas of strong current. In contrast, it will take substantially
18 longer for the ecosystem to solidify rubble into a stable substratum for reef recovery in
19 areas of strong water motion than in areas of low water motion. The threshold of
20 tolerance of corals to infection by disease is sometimes lowered by stress from other
21 environmental factors and by abrasion of surface tissue by predators or other objects
22 (Garrison et al. 2003). The physical and biological environments are a complex system of

1 factors that potentially act synergistically to shift the threshold of the specific factor
2 associated with climate change.

3 *Levels of Thresholds.*—Thresholds should be considered at two levels: the first at
4 which the population is killed or the ecosystem becomes dysfunctional, and the second at
5 which the population or the ecosystem is prevented from becoming reestablished. An
6 acute disturbance to a coral reef is a distinct event. A chronic disturbance is an ongoing
7 process. The coral-reef communities of American Samoa have been severely affected by
8 large-scale acute disturbances, such as outbreaks of the coral-eating crown-of-thorns
9 starfish *Acanthaster planci* (1938, 1978), hurricanes (1981, 1987, 1990, 1991, 2004,
10 2005), and bleaching in response to seawater warming (1994, 2002, 2003). When allowed
11 a 15-year interval between disturbances, the coral communities have recovered
12 (Birkeland et al. 2008). This is in contrast to the western Atlantic where there has
13 been a continual degradation of coral reef systems for a half a century (Gardner et al.
14 2003). The relatively small area of the tropical western Atlantic allows widescale events
15 on continents to affect the whole region (Hallock et al. 1993; Garrison et al.
16 2003). The nutrients (Hallock et al. 1993), pollutants (Garrison et al. 2003), and
17 diseases (Lessios et al. 1984) can disperse across the entire region. A recent paper by
18 Bruno and Selig (2007) reported that 3,168 square kilometers of reef has been dying each
19 year rather uniformly throughout the Indo-Pacific Ocean. Reefs are appearing to be
20 losing their resilience globally.

21 American Samoan reefs have managed to maintain resilience by receiving
22 disturbances only as acute events and being largely isolated from nearby large
23 landmasses. Overfishing, however, has been chronic, and the fish communities have not

1 been as resilient as the corals (Zelleret al.et al. 2006 a, b). Some localized and well-
2 defined areas experience chronic stresses, such as sedimentation at the mouths of rivers
3 or in the backs of bays, and have not been recovering for decades.

4

5

6