

ANNOUNCING THE **2ND CONFERENCE** ON...

DEFENSE EXPORT CONTROLS

— NEW RULES AND REGULATIONS,
OPPORTUNITIES & CHALLENGES —

27 Experts from: DOC, Treasury, DOJ, DTSA, DSCA, DTCC, OUSD/AT&L, SAFIA, NIPO, Joint Staff, NASA, GAO, NRC, NNSA, BAE, Northrop Grumman, JP Morgan, Commonwealth, Renaissance SA, Hogan & Hartson, Baker & McKenzie, Berliner Corcoran & Rowe, CSIS, and MK Technology examine...

- The Most Up-to-the-Minute Revisions, Rulings and Reforms in Export Control, ITAR, and EAR
- Special Issues: Deemed Exports, Jurisdiction, End-Use Verification, Dual-Use, Licensing, etc.
- Developing a Global Export and Technology Transfer Control & Compliance Program
- Technology Security and Nonproliferation — Nuclear, C4I, WMD, Space ITAR and more...



Conference Management by:
Technology Training Corporation

Washington, D.C.
June 25-26, 2009

www.TechnologyTraining.com

Defense Exports — Revising Controls; Increasing Trade Opportunities

There is a growing debate within the Aerospace and Defense industry about whether the **current export control system is too cumbersome**, neither fully **protecting against unauthorized transfer of sensitive technology** nor providing the mechanisms to **foster increased defense exports**. The **Presidential Export Control Directive** of January 2008 and the **Export Control Reform Act of 2008** are attempts to remedy some of the short-comings. But as many challenges remain, opportunities for defense exports are growing exponentially, with **foreign military sales having tripled over the last seven years**.

This outstanding conference brings together the government and industry experts who are leading the efforts to **reform the export control system**. Our agenda also is comprised of the leading industry experts who will tackle the key issues associated with **developing a successful global export control program**. **What roles do DOC, Treasury, DOJ, State, and DoD play? DSCA, DTSA, DTCC, NIPO, and other organizations? What are the issues associated with end-use, jurisdiction, deemed exports, and dual use? What are the special challenges associated with control of C4I, WMD, nuclear, IT, space and other sensitive technologies? How are these controls being enforced? What are the penalties for failure to comply?** These and many other critical issues will be examined during this important two day event.

Our Panel of Experts:

Mr. Matthew Borman	Assistant Secretary, Export Administration, DOC
Ms. Barbara Hammerle	Deputy Director, OFAC, Department of Treasury
Mr. Peter Lichtenbaum	Vice President, Regulatory Compliance, BAE Systems
Mr. John Hall	Director, Export Control, NASA
Dr. Link Spann	Director, Technology Security, Navy International Program Office
Mr. Frank Kenlon	Director, International Negotiations, OUSD/AT&L
Dr. Steven Goldman	Partner, MK Technology
Ms. Anne-Marie Lasowski	Director, Acquisition and Sourcing Management Team, GAO
Mr. Joseph Christoff	Director, International Affairs & Trade Team, GAO
Mr. David Trimble	Director, Defense Trade Controls Compliance, Dept. of State
Mr. Robert Vander Lugt	Director, Export Compliance, Northrop Grumman
Mr. Greg Suchan	Senior Associate, Commonwealth Consulting
CAPT Glen Sears II	Chief, Security Assistance Division, Joint Staff/J5
Mr. Michael Laychak	Licensing Director, Defense Technology Security Administration
Mr. Steve Pelak	National Export Coordinator, DOJ
Ms. Suzanne Szadai	Chief, Foreign Disclosure, Air Force International Affairs
Ms. Holly Haverstick	Chief, C4I Transfers, DSCA
Ms. Janice Owens	Senior Licensing Officer, Office of International Programs, NRC
Ms. Joanna Sellen	Senior Licensing Officer, Office of International Regimes, NNSA
Mr. Gerald Epstein	Senior Fellow for Science and Security, Homeland Security Program, CSIS
Mr. John Priecko	Vice President, Global Trade Management, JP Morgan
Mr. Ed Holland	Chief, International Programs, BIS/DOC
Ms. Beth Peters	Partner, Hogan and Hartson
Mr. Pierre Chao	Chief Executive Officer, Renaissance Strategic Advisors
Mr. John Ordway	Partner, Berliner Corcoran and Rowe
Mr. Bart McMillan	Partner, Baker and McKenzie
Ms. Paula Geisz	Manager, International Technology Transfer Policy, NASA

Defense Export Controls Conference

Washington, D.C. • June 25-26, 2009

I. The Emerging Defense Export Control Environment — and The Way Ahead

OPENING ADDRESS:

“Dual-Use Export Controls”

THE HONORABLE MR. MATTHEW S. BORMAN

Assistant Secretary for Export Administration, Department of Commerce

- Overview of the EAR • Current status
- Issues of Interest — Deemed Exports, Entity List, Encryption, VEU

“Defense Trade Controls: Why Do We Do This To Ourselves?”

MR. GREGORY SUCHAN, former Deputy Assistant Secretary of State for Political Affairs; Senior Associate, Commonwealth Consulting

- The Rationale for Controlling Defense Exports • Is the ITAR an Anachronism? • Challenges in Controlling Defense Exports • Recent Developments in Defense Trade Controls • What the Future Might Hold

“Trade Control Challenges and International Trade Policy”

MR. PETER LICHTENBAUM, former Assistant Secretary of Commerce for Export Administration; Vice President, Regulatory Compliance and International Policy, BAE Systems

“Strategic Trade Control Modernization for the 21st Century”

DR. STEVEN GOLDMAN, former Director, Office of Nonproliferation and Treaty Compliance, Department of Commerce; Partner, MK Technology

- Post Cold War Export Control Policy Challenges • Systemic Weaknesses in International Implementation
- Systemic Weaknesses in US Export Control System • Options for Policy and Administrative Reforms

“US Defense Export Trends in the Midst of a Global Recession”

DR. LINK SPANN, Director, Technology Security, Navy International Program Office (NIPO)

“Beyond ‘Fortress America’ — National Security Controls on Science and Technology in a Globalized World”

MR. GERALD EPSTEIN, Senior Fellow for Science and Security, Homeland Security Program, Center for Strategic and International Studies (CSIS)

- “Beyond the ‘Fortress America’” Report • Findings • Recommendations

II. Government Initiatives in Defense Exports and Control

“OFAC Initiatives”

MS. BARBARA HAMMERLE, Deputy Director, Office of Foreign Assets Control, Department of the Treasury

- Brief introduction to OFAC • Country-based Sanctions • Targeted Sanctions – Terrorist Finance, WMD and Other Targets • Jurisdictional Reach • Recent Initiatives

“DOJ Export Control Initiatives”

MR. STEVEN PELAK, *National Export Coordinator, Department of Justice*

“Export Control and U. S. Space Exploration Policy”

MR. JOHN HALL, *Director, Export Control and Interagency Liaison, and*

MS. PAULA GEISZ, *Manager, International Technology Transfer Policy, NASA HQ*

- International Participation in U. S. Space Exploration Programs
- Challenges to Cooperation
- NASA Export Control Program
- Recent Developments

“Current DoD Initiatives in Export Controls”

MR. MICHAEL LAYCHAK, *Licensing Director, Defense Technology Security Administration (DTSA), Department of Defense (DoD)*

“Developing Partner Capability”

CAPTAIN (USN) GLEN R. SEARS, II, *Chief, Security Assistance Division, Global Security Affairs, Joint Staff/J5*

“US Export Control System — Program and Process Challenges — A View from the GAO”

MS. ANNE-MARIE LASOWSKI, *Director, Acquisition and Sourcing Management Team, U.S. Government Accountability Office (GAO)*

- U.S. Safety Net of Programs Designed to Support Defense Trade While Protecting U.S. Technology
- Weaknesses and Challenges in the U.S. Export Control System
- Pending Proposals to Change Existing U.S. Export Control System and On-going GAO Work in the Area

“Department of State Perspective”

MR. DAVID TRIMBLE, *Director, Defense Trade Controls Compliance, Department of State (invited)*

III. The Evolving Regulatory and Compliance Environment

“Getting to Yes — Controlling Your Export Destiny”

MS. SUZANNE SZADAI, *Chief, Foreign Disclosure and Technology Transfer Division, Air Force International Affairs, HQ USAF*

- Poorly Defined Export Applications Increase the Complexity of Export Reviews
- USG Efforts to be Responsive to Exporter Deadlines May Come with a Price – License Provisos
- Get Tips on Providing the Data that Reviewers are Looking for

“Deemed Export Compliance”

MS. BETH PETERS, ESQ., *Partner, Hogan and Hartson*

- Technology Transfers under EAR and ITAR
- How DDTC and BIS Define Dual and Third-Country Nationals
- Screening Employees, Interns and Contractors
- Addressing Anti-Discrimination and Privacy Law Issues
- Technology Control Plans and Procedures

“End-Use Verification”

MR. ED HOLLAND, *Chief, International Programs, Bureau of Industry and Security, DOC*

“OUSD/AT&L Foreign Disclosure and Export Control Considerations”

MR. FRANK KENLON, SES, *Director, International Negotiations, Office of the Under Secretary of Defense for Acquisition, Technology and Logistics (OUSD/AT&L)*

- AT&L International Cooperative Programs
- Current Policies and Processes
- New Ideas (e.g. Defense Trade and Cooperation Treaties)
- Where Do We Go From Here?

“Jurisdiction Determination , The Critical Importance of Getting It Right”

MR. JOHN P. PRIECKO, *Vice President, Global Trade Management, JPMorgan*

- What do the Regulations Say about Commodity Jurisdiction?
- What are the Related Policies, Processes and Procedures?
- What are Some Real World, Hands-on, Practical Application Examples?
- What Questions Need to be Asked When Making Jurisdiction Determinations?
- What are the Lessons Learned and Consequences of Getting it Wrong?

IV. Best Practices in Export Control Compliance

“Export Compliance Challenges”

MR. ROBERT VANDER LUGT, *Director, Export Compliance, Northrop Grumman*

“Performing a Compliance Audit”

MR. BART M. MCMILLAN, *Esq., Partner, Baker and McKenzie*

- Formulation: Honesty; Management Buy-In; Independence; Scope; Methodology; Resources; Audit Team; Timing
- Execution: Information; Interviews; Documents; Testing; Analysis; Report
- Response: Additional Review of Negative Findings; Remedial Actions; Compliance Improvements Directly Related to Causes of Negative Findings

V. Special Technology Security Considerations

“Securing WMD and Protecting Sensitive Technologies”

MR. JOSEPH CHRISTOFF, *Director, International Affairs and Trade Team, U.S. Government Accountability Office (GAO)*

“C4I Transfers”

MS. HOLLY HAVERSTICK, *Chief, C4I Transfers, Defense Security Cooperation Agency (DSCA)*

“Space ITAR”

MR. PIERRE CHAO, *Chief Executive Officer, Renaissance Strategic Advisors*

“Recent ITAR Developments and Space Activities – Industry View from the Trenches”

MR. JOHN A. ORDWAY, *Partner, Berliner, Corcoran and Rowe, LLP*

- New DDTC Management-New DDTC Management
- Presidential Directive on Export Control Reform
- DTSA Monitoring/Document Review
- DDTC Space & Missiles Team
- “Third Country” Nationals

“Global Expansion of Nuclear Energy – NRC International Initiatives and Export Licensing Responsibilities”

MS. JANICE OWENS, *Senior Licensing Officer, Office of International Programs, Nuclear Regulatory Commission (NRC)*

- Current and Future Projections of Commitments to Nuclear Energy
- NRC Initiatives to Enhance Safe, Secure and Peaceful Uses of Nuclear Energy Worldwide
- NRC Efforts to Update and Clarify Export/Import Licensing Regulations
- Key Issues and Challenges Going Forward

“Department of Energy (DOE) Nuclear Technology Export Authorization Initiatives”

MS. JOANNA SELLEN, *Senior Licensing Officer, Office of International Regimes and Agreements, National Nuclear Security Administration (NNSA)*

