

Exhibit 300: Capital Asset Plan and Business Case Summary**Part I: Summary Information And Justification (All Capital Assets)****Section A: Overview (All Capital Assets)**

1. Date of Submission: 8/17/2007
2. Agency: Department of Justice
3. Bureau: Federal Bureau Of Investigation
4. Name of this Capital Asset: FBI Special Technologies and Applications
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) 011-10-01-02-01-2810-00
6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.) Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB? FY2003
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:
- The Special Technologies and Applications Office (STAO) of the FBI Science and Technology Branch utilizes innovative techniques, tools, and systems to identify actionable intelligence to prevent, disrupt, and defeat terrorist operations and other criminal acts before they occur, and to resolve existing counterterrorism, counterintelligence, and other criminal cases. It operates as a service of common concern, supporting all FBI operational elements, all members of the Intelligence Community to include the Department of Homeland Security, and, as needed, state, local, and foreign governments. STAO maintains restricted access IT capabilities consisting of hardware, software, and associated services, referred to collectively as TACNet. This includes a dedicated infrastructure to support the sharing of electronic data and products to specific Intelligence Community customers through a very controlled process. STAO receives hundreds of pieces of media that are loaded, processed, and analyzed with technical lead reports returned to the requestor. STAO delivers the following services/analysis to the law enforcement and intelligence communities: Knowledge extraction from data, deep technical analysis on working copies of seized electronic media, technical analysis of victim log files, technical analysis of network captures, malicious code/binary analysis, victim analysis, protocol reconstruction, source code de-compilation, and technical network traffic overlap analysis. FY09 funding will primarily be used towards operating and maintaining current STAO technologies, systems, and programs. Operation and Maintenance request does not include research and development efforts for the EDMS/DWS II (EDII) project. Funds will be utilized to support specific sections of the overall STAO mission, including: investigative analysis, data systems management, and analytical tool use and analysis, as well as computer engineering, infrastructure and logistics, and management support.
9. Did the Agency's Executive/Investment Committee approve this request? Yes
- a. If "yes," what was the date of this approval? 5/19/2007
10. Did the Project Manager review this Exhibit? Yes
11. Contact information of Project Manager?
- Name
- Phone Number
- Email
- a. What is the current FAC-P/PM certification level of the project/program manager? TBD
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? No
- a. Will this investment include electronic assets (including computers)? Yes
- b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) No

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

1. If "yes," is an ESPC or UESC being used to help fund this investment?

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all that apply: Expanded E-Government

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

This investment supports intelligence information sharing and collaboration by significantly improving the capabilities required to share electronic data and products across the National Intelligence Community. STA provides unique electronic technical analysis capabilities that address FBI investigative and intelligence priorities IIA.4, IIC6, and IIJ.2 from the FBI Strategic Plan 2004-2009 and Objectives DI.A1, DI.P03, DI.P07, DI.P09 from the May 2007 Strategy Management System Map.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) No

a. If "yes," does this investment address a weakness found during a PART review?

b. If "yes," what is the name of the PARTed program?

c. If "yes," what rating did the PART receive?

15. Is this investment for information technology? Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

19. Is this a financial management system?

No

a. If "yes," does this investment address a FFMI compliance area?

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Hardware	7
Software	6
Services	73
Other	14

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

22. Contact information of individual responsible for privacy related questions:

Name

Phone Number

Title

E-mail

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Question 24 must be answered by all Investments:

24. Does this investment directly support one of the GAO High Risk Areas? Yes

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2007	CY 2008	BY 2009	BY+1 2010	BY+2 2011	BY+3 2012	BY+4 and beyond	Total
Planning:	0	0	0	0	0	0	0	0	0
Acquisition:	64.48	0	0	0	0	0	0	0	
Subtotal Planning & Acquisition:	64.48	0	0	0	0	0	0	0	
Operations & Maintenance:	176.06	76.261	70.261	97.261					
TOTAL:	240.54	76.261	70.261	97.261					
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	28.5	8.55	9.927	10.622					
Number of FTE represented by Costs:	190	57	62	62					

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes

a. If "yes," How many and in what year? 5 additional in 2008

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes:

Our budget was significantly reduced in FY2007 due to various rescissions and organizational transfers. These rescissions have completely taken away our technical refresh capabilities, which has increased our overall risk level. In FY2008, the base budget has been reduced by \$6M to account for the transfer of funds resulting from reorganization. Starting in FY2009, we are requesting additional funds to begin performing the necessary technical refresh for our various IT computing platforms.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

Contracts/Task Orders Table:															* Costs in millions	
Contract or Task Order Number	Type of Contract/ Task Order	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition ? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)	Does the contract include the required security & privacy clauses? (Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level (Level 1,2,3,N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition ? (Y/N)
J-FBI-02-093	CPFF	Yes	8/13/2002	9/12/2002	9/30/2007	73	No	No	No	NA	No	Yes			Level N/A	Yes
A71706613	CPFF	Yes	9/29/2005	10/1/2005	9/30/2010		Yes	Yes		NA	No	Yes			Level N/A	Yes
Classified	Firm Fixed Price	Yes	10/1/2004	10/1/2004	9/30/2009	2.5	Yes	No	No	NA	No	No			Level N/A	Yes
A71704073	T & M	Yes	3/24/2005	7/1/2005	6/30/2010		Yes	No		NA	No	Yes			Level N/A	Yes
JWICS	Firm Fixed Price	Yes	10/1/2004	10/1/2004	9/30/2009	2	Yes	No	No	NA	No	No			Level N/A	Yes
263-01-D-0050	T & M	Yes	7/15/2005	7/18/2005	7/17/2010		Yes	No		NA	No	Yes			Level N/A	Yes
J-FBI-05-109	T & M	Yes	8/30/2005	9/13/2005	9/12/2010		No	No		NA	No	Yes			Level N/A	Yes
J-FBI-03-025	CPFF	Yes	10/1/2004	10/1/2004	9/30/2008	52	No	No	No	NA	No	Yes			Level N/A	Yes
J-FBI-06-135	T & M	Yes	7/14/2005	7/14/2005	9/30/2010		No	No		NA	No	Yes			Level N/A	Yes
NAS5-01142	Firm Fixed Price	Yes	8/15/2005	8/15/2005	8/15/2007	36	No	No	Yes	NA	No	No			Level N/A	Yes
A7N704075	T & M	Yes	3/9/2007	10/1/2007	9/30/2010		No	No		NA	No	Yes			Level N/A	Yes
S71704067	Firm Fixed Price	Yes	7/1/2006	7/1/2006	9/30/2010		Yes	No		NA	No	Yes			Level N/A	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Earned Value not required for steady state

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why:

Section 508 requires that Federal agencies' electronic and information technology is accessible to people with disabilities, including employees and members of the public. Every two years, the Department of Justice reports to the Congress and the President on the federal government's progress in complying with section 508. Information regarding all aspects of assistive technologies and accessibility under Section 508 is available at the federal government's request.

4. Is there an acquisition plan which has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date?

5/15/2007

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Response Time	Percentage of level 1 (immediate) requests processed in 1 business day		Process 90% of requests within 1 business day (target increases are budget based)	
2007	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Collection	Number of leads (operations, analysis and technical) supported per year		Process 90% of requests within 1 business day	
2007	Prevent Terrorism and Promote the Nation's Security	Processes and Activities	Productivity and Efficiency	Productivity	Number of products per year		Increase number of products per year by 30% (target increases are budget based)	
2007	Prevent Terrorism and Promote the Nation's Security	Technology	Information and Data	Internal Data Sharing	Number of computer hard drive partitions processed per month		Increase number of hard drive partitions processed per month by 5% (target increases are budget based)	
2008	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Response Time	Percentage of level 1 (immediate) requests processed in 1 business day		Process 90% of requests within 1 business day (target increases are budget based)	
2008	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Collection	Number of leads (operations, analysis and technical)		Process 90% of requests within 1 business day	

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Security				supported per year			
2008	Prevent Terrorism and Promote the Nation's Security	Processes and Activities	Productivity and Efficiency	Productivity	Number of products per year		Increase number of products per year by 30% (target increases are budget based)	
2008	Prevent Terrorism and Promote the Nation's Security	Technology	Information and Data	Internal Data Sharing	Number of computer hard drive partitions processed per month		Increase number of hard drive partitions processed per month by 5% (target increases are budget based)	
2009	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Response Time	Percentage of level 1 (immediate) requests processed in 1 business day		Process 90% of requests within 1 business day (target increases are budget based)	
2009	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Collection	Number of leads (operations, analysis and technical) supported per year		Process 90% of requests within 1 business day	
2009	Prevent Terrorism and Promote the Nation's Security	Processes and Activities	Productivity and Efficiency	Productivity	Number of products per year		Increase number of products per year by 30% (target increases are budget based)	
2009	Prevent Terrorism and Promote the Nation's Security	Technology	Information and Data	Internal Data Sharing	Number of computer hard drive partitions processed per month		Increase number of hard drive partitions processed per month by 5% (target increases are budget based)	
2010	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Response Time	Percentage of level 1 (immediate) requests processed in 1 business day		Process 90% of requests within 1 business day (target increases are budget based)	
2010	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Collection	Number of leads (operations, analysis and technical) supported per year		Process 90% of requests within 1 business day	
2010	Prevent Terrorism and Promote the Nation's Security	Processes and Activities	Productivity and Efficiency	Productivity	Number of products per year		Increase number of products per year by 30% (target increases are budget based)	
2010	Prevent Terrorism and Promote the Nation's Security	Technology	Information and Data	Internal Data Sharing	Number of computer hard drive partitions processed per month		Increase number of hard drive partitions processed per month by 5% (target increases are budget based)	
2011	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Response Time	Percentage of level 1 (immediate) requests processed in 1 business day		Process 90% of requests within 1 business day (target increases are budget based)	
2011	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Intelligence Operations	Intelligence Collection	Number of leads (operations, analysis and technical) supported per year		Process 90% of requests within 1 business day	
2011	Prevent Terrorism and	Processes and Activities	Productivity and Efficiency	Productivity	Number of products per		Increase number of products per	

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Promote the Nation's Security				year		year by 30% (target increases are budget based)	
2011	Prevent Terrorism and Promote the Nation's Security	Technology	Information and Data	Internal Data Sharing	Number of computer hard drive partitions processed per month		Increase number of hard drive partitions processed per month by 5% (target increases are budget based)	

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:

a. If "yes," provide the "Percentage IT Security" for the budget year:

2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.

3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):			
Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems)

4. Operational Systems - Security Table:							
Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level (High, Moderate, Low)	Has C&A been Completed, using NIST 800-37? (Y/N)	Date Completed: C&A	What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, NIST 800-26, Other, N/A)	Date Complete(d): Security Control Testing	Date the contingency plan tested

5. Have any weaknesses, not yet remediated, related to any of

the systems part of or supporting this investment been identified by the agency or IG?

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation

Details for Text Options:
 Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.
 Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.
 Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. "FBI STAS" as listed in Appendix I of the June 2006 Enterprise Architecture Transition and Sequencing Plan

b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture? No

a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

4. Service Component Reference Model (SRM) Table:								
Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Information Exchange	Defines the set of capabilities that support the interchange of information between multiple systems or applications.	Back Office Services	Data Management	Data Exchange			No Reuse	6

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Metadata Management	Defines the set of capabilities that provide metadata management. Metadata management is the control and administration of the information that relates to data (e.g. data attribute names).	Back Office Services	Data Management	Meta Data Management			No Reuse	6
Forensics	Defines the set of capabilities that support the analysis of physical elements using science and technology for investigative and legal purposes.	Business Analytical Services	Analysis and Statistics	Forensics			No Reuse	5
Forensic Analysis	Defines the set of capabilities that support the acquisition of evidence from live and off-line systems, data interpretation, and conclusions about the origin and nature of the suspicious activity.	Business Analytical Services	Analysis and Statistics	Forensics			No Reuse	10
Data Mining	Defines the set of capabilities that support the efficient discovery of non-obvious, valuable patterns and relationships within a large collection of data.	Business Analytical Services	Knowledge Discovery	Data Mining			No Reuse	20
OLAP	Defines the set of capabilities that support the analysis of information that has been summarized into multidimensional views and hierarchies.	Business Analytical Services	Reporting	OLAP			No Reuse	10
Mapping/Geospatial/Elevation/GPS	Defines the set of capabilities that support the representation of position information through the use of attributes such as elevation, latitude and longitude coordinates.	Business Analytical Services	Visualization	Mapping / Geospatial / Elevation / GPS			No Reuse	5
Document Referencing	Defines the set of capabilities that support the redirection to other documents and information for related content.	Digital Asset Services	Document Management	Document Referencing			No Reuse	10
Indexing	Defines the set of capabilities	Digital Asset Services	Document Management	Indexing			No Reuse	8

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

4. Service Component Reference Model (SRM) Table:
 Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	that support the rapid retrieval of documents through a structured numbering construct.							
Library / Storage	Defines the set of capabilities that support document and data warehousing and archiving.	Digital Asset Services	Document Management	Library / Storage			No Reuse	20

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table:
 To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Document Referencing	Component Framework	Data Interchange	Data Exchange	
Data Exchange	Component Framework	Data Interchange	Data Exchange	
Meta Data Management	Component Framework	Data Management	Reporting and Analysis	
Forensics	Component Framework	Data Management	Reporting and Analysis	
OLAP	Component Framework	Data Management	Reporting and Analysis	
Document Referencing	Component Framework	Presentation / Interface	Content Rendering	
Forensics	Service Access and Delivery	Access Channels	Collaboration / Communications	
Document Referencing	Service Access and Delivery	Delivery Channels	Intranet	
Meta Data Management	Service Access and Delivery	Delivery Channels	Intranet	
Meta Data Management	Service Interface and Integration	Integration	Middleware	
Forensics	Service Interface and Integration	Interoperability	Data Transformation	
OLAP	Service Interface and Integration	Interoperability	Data Transformation	
Forensics	Service Interface and Integration	Interoperability	Data Transformation	
Data Exchange	Service Interface and Integration	Interoperability	Data Transformation	
OLAP	Service Interface and Integration	Interoperability	Data Types / Validation	
Indexing	Service Platform and Infrastructure	Database / Storage	Database	
Data Mining	Service Platform and Infrastructure	Database / Storage	Database	
Data Mining	Service Platform and Infrastructure	Database / Storage	Storage	
Data Mining	Service Platform and Infrastructure	Database / Storage	Storage	
Library / Storage	Service Platform and Infrastructure	Database / Storage	Storage	

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

5. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Mapping / Geospatial / Elevation / GPS	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	
Data Mining	Service Platform and Infrastructure	Support Platforms	Platform Independent	

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? No

a. If "yes," please describe.

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)**Section A: Risk Management (All Capital Assets)**

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes
- a. If "yes," what is the date of the plan? 4/20/2007
- b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? Yes
- c. If "yes," describe any significant changes:

We reviewed all major systems and components within STAO in the beginning of 2007 and identified current risk areas along with risk mitigation/reduction/avoidance strategies for addressing each area.

2. If there currently is no plan, will a plan be developed?
- a. If "yes," what is the planned completion date?
- b. If "no," what is the strategy for managing the risks?

Section B: Cost and Schedule Performance (All Capital Assets)

1. Was operational analysis conducted? Yes
- a. If "yes," provide the date the analysis was completed. 4/6/2007
- b. If "yes," what were the results?

After completion of a thorough operational analysis, it was determined that components within STAO needed to be realigned into core capabilities of data management, analytic tools and analysis, and investigative analysis. While customer satisfaction was very high, certain capabilities that STAO provided in the past were very similar to other capabilities inside the Bureau and it made good business sense to transfer those resources to gain synergies. Through our new focus, STAO will provide the FBI's Investigative and Intelligence Priorities with technical analysis capabilities through innovative techniques, tools and systems.

- c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

2. Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts).

- a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? Contractor Only

2.b Comparison of Plan vs. Actual Performance Table:

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

Comparison of Plan vs. Actual Performance Table							
Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Schedule (# days)	Cost(\$M)
1	FY 03 Acquisition - MITRE	9/30/2003	\$9.85	9/30/2003	\$9.85	0	\$0
2	FY 04 Acquisition	9/30/2004	\$26.44	9/30/2004	\$26.44	0	\$0
3	FY04 Hardware	9/30/2004	\$16.84	9/30/2004	\$16.84	0	\$0
4	FY04 Software	9/30/2004	\$0.46	9/30/2004	\$0.46	0	\$0
5	FY04 Services	9/30/2004	\$28	9/30/2004	\$28	0	\$0
6	FY04 Other	9/30/2004	\$0.23	9/30/2004	\$0.23	0	\$0
7	FY 05 Acquisition	9/30/2005	\$28.19	9/30/2005	\$28.19	0	\$0
8	FY05 Hardware	9/30/2005	\$16.84	9/30/2005	\$16.84	0	\$0
9	FY05 Software	9/30/2005	\$0.46	9/30/2005	\$0.46	0	\$0
10	FY05 Services	9/30/2005	\$28	9/30/2005	\$28	0	\$0
11	FY05 Other	9/30/2005	\$0.23	9/30/2005	\$0.23	0	\$0
12	FY06 Q1 Hardware (Computer Equipment, Computer Infrastructure Equipment, etc.) and Software (Operating Systems, Support System, Associated Programs, etc.)	12/31/2005	\$8.07	12/31/2005	\$1.5	0	\$6.57
13	FY06 Q1 Services (Engineering, Testing, Security, Tech. Support, Training, Maintenance, etc.)	12/31/2005	\$13.07	12/31/2005	\$1.4	0	\$11.67
14	FY06 Q1 Other (Travel, Rent, Utilities, Supplies, etc.)	12/31/2005	\$0.11	12/31/2005	\$0.6	0	\$-0.49
15	FY06 Q2 Hardware (Computer Equipment, Computer Infrastructure Equipment, etc.) and Software (Operating Systems, Support System, Associated Programs, etc.)	3/31/2006	\$8.07	3/31/2006	\$0.4	0	\$7.67
16	FY06 Q2 Services (Engineering, Testing, Security, Tech. Support, Training, Maintenance, etc.)	3/31/2006	\$13.07	3/31/2006	\$0.15	0	\$12.92

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

Comparison of Plan vs. Actual Performance Table							
Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Schedule (# days)	Cost(\$M)
17	FY06 Q2 Other (Travel, Rent, Utilities, Supplies, etc.)	3/31/2006	\$0.11	3/31/2006	\$0	0	\$0.11
18	FY06 Q3 Hardware (Computer Equipment, Computer Infrastructure Equipment, etc.) and Software (Operating Systems, Support System, Associated Programs, etc.)	6/30/2006	\$8.07	6/30/2006	\$0.8	0	\$7.27
19	FY06 Q3 Services (Engineering, Testing, Security, Tech. Support, Training, Maintenance, etc.)	6/30/2006	\$13.07	6/30/2006	\$18	0	\$-4.93
20	FY06 Q3 Other (Travel, Rent, Utilities, Supplies, etc.)	6/30/2006	\$0.11	6/30/2006	\$0	0	\$0.11
21	FY06 Q4 Hardware (Computer Equipment, Computer Infrastructure Equipment, etc.) and Software (Operating Systems, Support System, Associated Programs, etc.)	9/30/2006	\$8.07	9/30/2006	\$7.5	0	\$0.57
22	FY06 Q4 Services (Engineering, Testing, Security, Tech. Support, Training, Maintenance, etc.)	9/30/2006	\$13.07	9/30/2006	\$51.5	0	\$-38.43
23	FY06 Q4 Other (Travel, Rent, Utilities, Supplies, etc.)	9/30/2006	\$0.11	9/30/2006	\$2	0	\$-1.89
24	FY07 Q1 Hardware/Software	12/31/2006	\$0.51	12/31/2006	\$0.51	0	\$0
25	FY07 Q1 Services	12/31/2006	\$11.91	12/31/2006	\$11.78	0	\$0.13
26	FY07 Q1 Other	12/31/2006	\$2.657	12/31/2006	\$1.92	0	\$0.737
27	FY07 Q2 Hardware/Software	3/31/2007	\$0.4	3/31/2007	\$1.2	0	\$-0.8

Exhibit 300: FBI Special Technologies and Applications (Revision 1)

Comparison of Plan vs. Actual Performance Table							
Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Completion Date (mm/dd/yyyy)	Total Cost(\$M)	Schedule (# days)	Cost(\$M)
28	FY07 Q2 Services	3/31/2007	\$50.057	3/31/2007	\$39.48	0	\$10.577
29	FY07 Q2 Other	3/31/2007	\$1.277	3/31/2007	\$1.19	0	\$0.087
30	FY07 Q3 Hardware/Software	6/30/2007	\$8.057	6/30/2007	\$8.03	0	\$0.027
31	FY07 Q3 Services	6/30/2007	\$7.407	6/30/2007	\$7.8	0	\$-0.393
32	FY07 Q3 Other	6/30/2007	\$2.56	6/30/2007	\$4.08	0	\$-1.52
33	FY07 Q4 Hardware/Software	9/30/2007	\$8.997				
34	FY07 Q4 Services	9/30/2007	\$54.225				
35	FY07 Q4 Other	9/30/2007	\$7.039				
36	FY08 Hardware/Software	9/30/2008	\$8.997				
37	FY08 Services	9/30/2008	\$54.225				
38	FY08 Other	9/30/2008	\$7.039				
39	FY09 Hardware/Software	9/30/2009	\$28.997				
40	FY09 Services	9/30/2009	\$61.225				
41	FY09 Other	9/30/2009	\$7.039				
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Project Totals							