

INSTRUCTIONS FOR COMPLETING THE HIGHLY MIGRATORY SPECIES DEALER FORM

Under Federal regulation, as specified in section 50 CFR 635.5, dealers issued a swordfish, shark or tuna permit by the National Marine Fisheries Service are required to report all purchases of these species (regardless of area caught; e.g., state or Federal waters) from U.S. flag vessels. The form must be submitted within 10 days of the end of each two-week reporting period to the Southeast Fisheries Science Center, 75 Virginia Beach Drive, Miami, Florida 33149. The reporting periods are the 1st through the 15th, and the 16th through the end of each month. If your business is located in the eastern coastal states Virginia north through Maine, do not report to the Southeast Science Center, but follow the reporting requirements established by the Northeast Regional Office.

This form is to be used to report the total quantity of highly migratory species during each two-week reporting period (regardless of waters; e.g., state or Federal). Only one form should be submitted for each period. Do not submit separately for individual trips or vessels.

If labels have been included with your dealer reports, you may attach **one** to the form in the upper left-hand area indicated by “Affix label here” for the reporting period specified (see below). If a different reporting period is desired, black out the dates on the label, and write in the correct reporting period in the boxes provided next to ‘Begin Date’ and ‘End Date’. **Please check the accuracy of the information on the label before using and contact the Permit Office if any errors are found.**

DEALER REPORTING FOR HIGHLY MIGRATORY

Affix label here (optional): Please Use Black or

Dealer Name: _____

SE Dealer #: D L R - State - Permit No. - Year

NE Dealer #: Permit No. (optional)
(Enter both southeast and northeast federal permit numbers, if applicable to your facility.)

The following are the instructions that apply to the completion of the form. Remember to include vessel information on the back of the form if purchases of HMS species were made. Do not write in the space labeled **Schedule No. NMFS Use Only**, on the front or back of the form.

- | | |
|----------------------|--|
| Dealer Name | Please use the labels if they have been provided and attach one to the form in the area indicated by “Affix label here:” (see above). If the name of the business is incorrect or labels were not provided, print the name of the company as it appears on the permit application. Please avoid abbreviations or aliases. |
| SE Dealer Permit No. | If a label has not been provided or the permit number on the label is incorrect (see above), print the permit number that has been issued by the NMFS, Southeast Regional Office in this space. Put one letter/number in each box. If the provided label has been affixed to the form, this portion of the form should be covered. Please check the accuracy of the label. If you do not have a SE Dealer No., leave this blank. |
| NE Dealer Permit No. | If a label has not been provided or the permit number is incorrect (see above), print the permit number issued by the NMFS, Northeast Regional Office in this space. Put one number in each box. If the label has been affixed to the form, this portion of the form should be covered. If you do not have a NE Dealer No., leave this blank. If your facility has both SE and NE Dealer Numbers, include both on this form. |
| Facility location | If your company has more than one facility, please print the county and state of the dealer facility for which you are reporting, in the spaces provided. |
| Begin Date | <u>If the affixed label correctly reflects the reporting period, please leave this blank.</u> Otherwise, if labels have not been provided or the beginning dates |

of the period you are reporting are different, print the number for the month, day, then year (all four digits) for the beginning of the reporting period covered by this report. Print one number in each box. If a label is affixed, but the reporting periods are different, **black out** the reporting dates provided on the label.

End Date If the affixed label correctly reflects the reporting period, please leave this blank. If labels have not been provided or the ending dates of the period are different, then print the number for the month, day, then year (all four digits) for the end of the reporting period covered by this report. Print one number in each box. If a label is affixed, but the reporting periods are different, also **black out** the reporting dates provided on the label.

Purchased Fish? & Imported Fish? If **no** purchases of HMS (swordfish, sharks and tunas) were made during the reporting period from US vessels, check or darken the ‘**No**’ circle beside the question, “Were any fish purchased for this period?” If purchases were made from US vessels, mark ‘**Yes**’ and complete the rest of the form (front and back). Indicate if fish were imported for this period (with the question, “Were any fish imported for this period?”), but do not include these weights in your tallies.

Phone No. Please include a phone number of the facility if one is not listed on your labels. This is useful information when the identity of the dealer cannot be determined, or incomplete information is provided.

Contact (optional) Please provide a contact who can answer any questions pertaining to your Dealer Report for Highly Migratory Species.

Record the dressed weights (no partial pounds, please) for all species that were purchased during the reporting period in the column labeled **Dressed Weight**.

Record the price per pound that was paid for purchases of HMS on the last day of the reporting period. This price should be entered in the column labeled **Price/Pound**.

Vessel information must be included on the **Back of the form** if purchases were made. For each vessel/boat from which highly migratory species were purchased during the reporting period, record the date that the fish were purchased (month, day and year), the name of the vessel, the vessel id. number (which is either the U.S. Coast Guard documentation or the state registration number), as well as the port and state (please use the 2 character abbreviation) where the vessel/boat landed.

For dealers purchasing shark products, vessel operators have requested the opportunity for dealers to indicate if shark products were landed with their fins on. Therefore, when vessels land with shark products, please indicate if all sharks landed had fins attached (Y), if not all fins were attached (N), or if the status was unknown (U) for that particular vessel. Otherwise (vessels without shark products), leave this blank. **For dealers not purchasing shark products**, a mark under the column “Fins Attached?” is not applicable.

The reports can be mailed in the pre-addressed, postage paid enveloped supplied by the Southeast Fisheries Science Center (the address is also listed on the bottom of the Dealer Reporting Form) or can be sent via FAX to (305) 361-4460. As another option, you can send the above information via e-mail to Heather.Balchowsky@noaa.gov. Forms may also be downloaded from the SEFSC Forms website, filled out and submitted. The HMS Dealer form may be found at the bottom of the page at: <http://www.sefsc.noaa.gov/flsformsdownload.jsp>. If you have any questions concerning this form, or need additional forms or envelopes, contact Ms. Heather Balchowsky at (305) 361-4239 or at the above e-mail address. Otherwise, a “Fact Sheet for Dealers Purchasing Sharks, Swordfish, and BAYS Tunas” that answers additional questions may be found on the HMS website at: http://www.nmfs.noaa.gov/sfa/hms/Linkpages/reporting_forms.htm.

Thank you for your continued cooperation in the management of our Nation’s fisheries.

DEALER REPORTING FOR HIGHLY MIGRATORY SPECIES

OMB# 0648-0040 - Exp 10/31/2010
 Schedule No. NMFS Use Only:

Affix label here (optional):

Please Use Black or Blue Ink Only

Dealer Name: _____

SE Dealer #: (Shark and/or Swordfish permits) **DLR** - State - Permit No. - Year

NE Dealer #: (for Tuna permit) Permit No. (Enter both southeast and northeast federal permit numbers, if applicable to your facility.)

Begin Date: (if different than label) **MM DD YYYY**

End Date: **MM DD YYYY**

Facility Location (County and State):

County State

Phone No: _____ (if different than label)

Were any HMS fish purchased this period (from U.S. vessels)? Yes No
 If "YES", provide vessel information requested on back of form.

Were any fish imported for this period? Yes No
 (NOTE: Imports are not to be included on this form)

Contact: _____ (optional)

| Shark Species | NMFS CODE | Size Code | Dressed Weight | Price / Pound |
|---------------|-----------|-----------|----------------|---------------|
|---------------|-----------|-----------|----------------|---------------|

| Large Pelagic Species | NMFS CODE | Size Code | Dressed Weight | Price / Pound |
|-----------------------|-----------|-----------|----------------|---------------|
|-----------------------|-----------|-----------|----------------|---------------|

LARGE COASTAL SHARKS

| | | | | |
|---------------|------|----|--|--|
| Blacktip | 3495 | 00 | | |
| Bull | 3497 | 00 | | |
| Hammerhead | 3516 | 00 | | |
| Lemon | 3517 | 00 | | |
| Night | 3494 | 00 | | |
| Nurse | 3480 | 00 | | |
| Sandbar | 3513 | 00 | | |
| Silky | 3493 | 00 | | |
| Spinner | 3496 | 00 | | |
| Tiger | 3515 | 00 | | |
| Other/Unknown | 3508 | 37 | | |

SWORDFISH

| | | | | |
|---------------------------|------|----|--|--|
| Swordfish > 300 lbs | 4320 | 15 | | |
| Swordfish 200-299 lbs | 4320 | 14 | | |
| Swordfish 100-199 lbs | 4320 | 19 | | |
| Swordfish 50-99 lbs | 4320 | 02 | | |
| Swordfish 26-49 lbs | 4320 | 03 | | |
| Swordfish 0-25 lbs (rats) | 4320 | 04 | | |
| Sword. chunks | 4320 | 05 | | |

PELAGIC SHARKS

| | | | | |
|------------------|------|----|--|--|
| Blue | 3504 | 00 | | |
| Mako-Shortfin | 3505 | 00 | | |
| Oceanic Whitetip | 3498 | 00 | | |
| Porbeagle | 3501 | 00 | | |
| Thresher | 3509 | 00 | | |
| Other/Unknown | 3508 | 38 | | |

MAJOR TUNAS

| | | | | |
|---------------------|------|----|--|--|
| Albacore Tuna | 4651 | 10 | | |
| Bigeye | 4657 | 08 | | |
| Bigeye chunks | 4657 | 09 | | |
| Atlantic Bonito | 0330 | 00 | | |
| Blackfin Tuna | 4658 | 12 | | |
| Skipjack | 4654 | 00 | | |
| Yellowfin 60+ | 4655 | 40 | | |
| Yellowfin 40-59 lbs | 4655 | 41 | | |
| Yellowfin 20-39 lbs | 4655 | 20 | | |
| Yellowfin chunks | 4655 | 21 | | |

SMALL COASTAL SHARKS

| | | | | |
|--------------------|------|----|--|--|
| Atlantic Sharpnose | 3518 | 00 | | |
| Blacknose | 3485 | 00 | | |
| Bonnethead | 3483 | 00 | | |
| Finetooth | 3481 | 00 | | |
| Other/Unknown | 3508 | 39 | | |

OTHER SPECIES

| | | | | |
|-----------------|------|----|--|--|
| Dolphin/Mahi | 1050 | 00 | | |
| Great Amberjack | 1812 | 00 | | |
| King Mackerel | 1940 | 00 | | |
| Oilfish/Escolar | 2502 | 00 | | |
| Wahoo | 4710 | 00 | | |

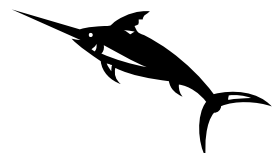
SHARK FINS

| Grade | NMFS Code | WET Only | | DRY Only | |
|-----------|-----------|----------|--------|----------|--------|
| | | lbs. | \$/lb. | lbs. | \$/lb. |
| Grade (A) | 3475 | 27 | | 32 | |
| Grade (B) | 3475 | 28 | | 33 | |
| Grade (C) | 3475 | 29 | | 34 | |
| Grade (D) | 3475 | 30 | | 35 | |
| Grade (E) | 3475 | 31 | | 36 | |

Date Received: _____
 NMFS use only



MAIL THIS FORM TO:
LOGBOOK PROGRAM, PO BOX 491740,
 Miami, FL 33149



Schedule Number:
(NMFS Use Only)

In a Black or Blue Pen (no pencils or red pens please), list the following for all vessels or persons that unloaded HMS products: Date Landed; Vessel Name; Documentation Number (preferred) or State Registration number; and the Port and State (using 2-letter postal abbreviation). For those vessels that landed with shark products, please indicate if all sharks landed had fins attached (**Y**), if not all fins were attached (**N**), or if the status was unknown (**U**). Otherwise (vessels without shark products), leave this blank.

| Date Landed | Vessel Name | Documentation Number | Port Landed | State Landed | Fins attached? |
|-------------|-------------|----------------------|-------------|--------------|--|
| / / 20__ | | | | | <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> U |
| / / 20__ | | | | | <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> U |
| / / 20__ | | | | | <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> U |
| / / 20__ | | | | | <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> U |
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| / / 20__ | | | | | <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> U |

Public reporting burden for this information collection is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and reviewing the completed collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Highly Migratory Species Division, National Marine Fisheries Service, F/SF1, 1315 East West Highway, Silver Spring, MD 20910.

Providing the requested information on the dealer form is mandatory and necessary for managing the Atlantic highly migratory species fisheries in accordance with the Atlantic Tunas Convention Act (16 U.S.C. 971 et seq.) and the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1801 et seq.). In accordance with NOAA Administrative Order 216-100, it is agency policy not to release confidential fisheries statistics, other than in aggregate form. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.