

# ENSEMBLE FORECASTING AT NCEP: HISTORY, PRESENT STATUS, AND FUTURE DIRECTIONS

Zoltan Toth

## ***Global***

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Mozheng Wei<sup>(2)</sup>, Dingchen Hou<sup>(1)</sup>

## ***Regional***

Jeff McQueen, Jun Du<sup>(1)</sup>, BinBin  
Zhou<sup>(1)</sup>, Geoff Manikin, Brad Ferrier<sup>(1)</sup>

## ***Coupled ocean-atmosphere***

Malaquias Pena

## ***Adaptive observations***

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D. Michaud, B. Gordon  
S. Tracton, E. Kalnay, I. Szunyogh, L. Holland  
C. Bishop, S. Majumdar

# OUTLINE

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- **GLOBAL ENSEMBLE FORECAST SYSTEM**
- **REGIONAL ENSEMBLE FORECAST SYSTEM**
- **ADVERTISEMENT FOR TWO POSTERS**
  - *Intercomparison of ECMWF, Canadian, & NCEP ensembles (Wei et al.)*
  - *Combining information from hires control & lowres ensemble (J. Du)*
- **REPRESENTING MODEL ERRORS:**  
***A NEW FRONTIER IN ENSEMBLE FORECASTING***

# GLOBAL ENSEMBLE FORECASTING AT NCEP

## • BACKGROUND

- Capturing case dependent fluctuations in forecast skill a long time desire
- No tangible results regarding climatological regime classification
- Lorenz, Leith, Epstein, etc investigations – ensemble is a theoretical possibility
- Systematic errors in global models reduced by early 1990s
- Cpu increase makes global ensemble work tangible by early 1990s
- Ensemble is “in the air”

## • PERSONAL STORY

- Eugenia (then Development Division Director) asked me if interested
- Started work in second half of 1991

## • HISTORY OF NCEP GLOBAL ENSEMBLE

- Breeding technique developed in 1991/92
- Joe Irwin of NCO personally interested
- Implemented in operational suite in December 1992 (days ahead of ECMWF)
- Upgraded system implemented in March 1994
- Today 40 members per day, heavily used by NCEP, NWS, public and private sector
- Four people working on further development
- **COMPARISON WITH ECMWF & CANADIAN ENSEMBLES** – Poster by Mozheng Wei

## • FUTURE DIRECTIONS

- Improved initial perturbations (THORPEX collaboration)
- **REPRESENTING MODEL RELATED UNCERTAINTY**
- New products/applications (NAEFS collaboration with Canadians)

# NCEP GLOBAL ENSEMBLE FORECAST SYSTEM

## RECENT UPGRADE (Apr. 2003)

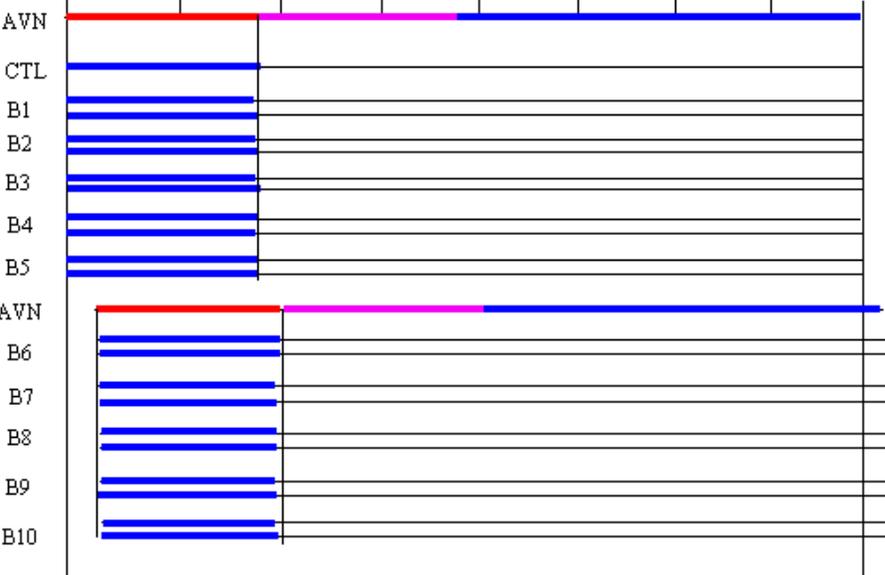
10/50/60% reduction  
in initial perturbation size over  
NH/TR/SH

### FORMER SYSTEM

— T254 L64 — T170 L42 — T126 L28 — T62 L28

DAYS

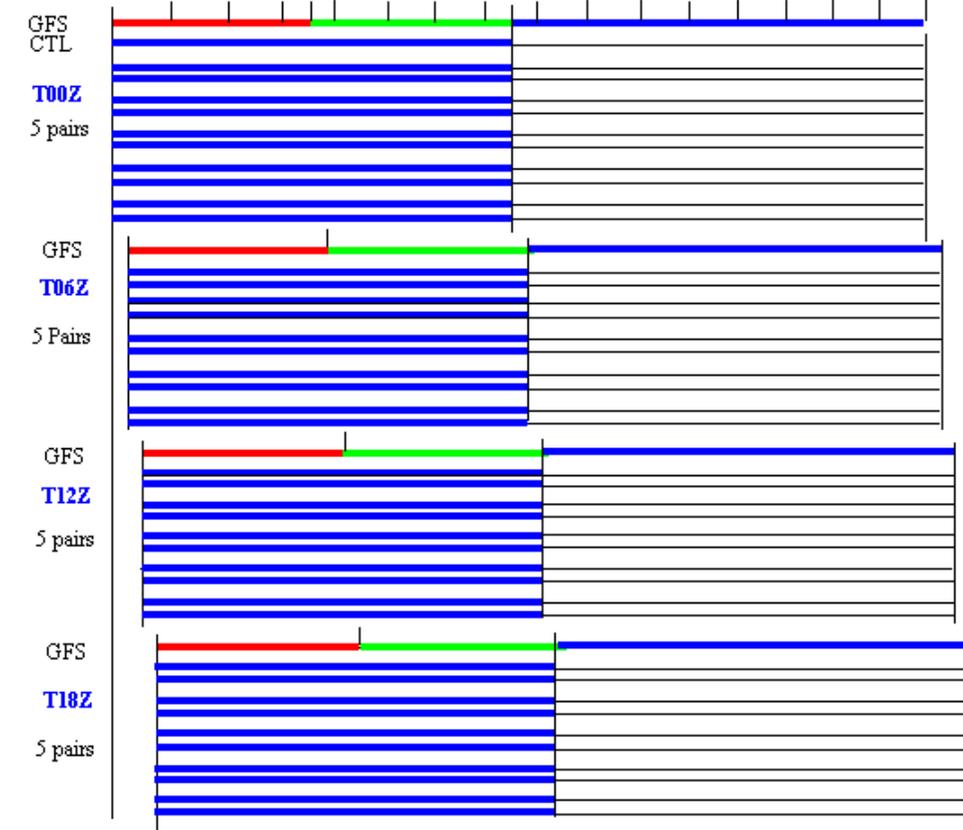
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16



## NEW CONFIGURATION MARCH 2004

— T254 L64 — T170 L42 — T126 L28 — T62 L28

DAYS 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16



# REGIONAL ENSEMBLE FORECASTING AT NCEP

## • BACKGROUND

- Expectations raised by initial positive results from global ensemble systems
- Short Range Ensemble Forecasting (SREF) workshop at NCEP, 1994
- Steve Tracton spearheading effort

## • HISTORY OF NCEP REGIONAL ENSEMBLE

- 1995            Experimental system set up for ETA by Eric Rogers  
                    Based on global bredcs and 5 in-house analyses,  
                    Run about once a week on manually selected cases
- 1996            Jun Du sets up regional breeding procedure, ETA & RSM models
- 1997            SREF mini workshop
- 1998            Quasi-real time ensemble during SAMEX
- 2000            Modifications/upgrades (from 80km to 48km; further evaluation)
- Apr 2001       5 ETA + 5 RSM members run operationally by NCO
- 2002            5 KF members added
- 2003-04       Physics diversity testing
- 2004            ***INCREASED RESOLUTION & PHYSICS DIVERSITY TO BE IMPLEMENTED***

## • FUTURE DIRECTIONS

- Transition into WRF era
- New products
- Improvements in configuration (initial/model perturbations, better coupling with hires fcst)
- ***ADD LOW-RES PERTURBATIONS TO HIGHRES CONTROL?***

# SREF Parallel Experiment

## Physics Members

*Since March 3, 2004*

<u>Model</u>	<u>Res (km)</u>	<u>Levels</u>	<u>Members</u>	<u>Cloud Physics</u>	<u>Convection</u>
RSM SAS	32	28	Ctl,n1,p1	GFS physics	Simple Arakawa-Shubert
RSM RAS	32	28	n1,p1	GFS physics	Relaxed Arakawa-Shubert
Eta-BMJ	32	60	Ctl,n1,p1	Op Ferrier	Betts-Miller-Janic
Eta-SAT	32	60	n1,p1	Op Ferrier	BMJ-moist prof
Eta-KF	32	60	Ctl,n1,p1	Op Ferrier	Kain-Fritsch
Eta-KFD	32	60	n1,p1	Op Ferrier	Kain-Fritsch with enhanced detrainment

***Operational suite: 3 model versions, 2 pairs plus one control each (15)***

***Parallel suite: 6 model versions, one pair each plus 3 controls only (15)***

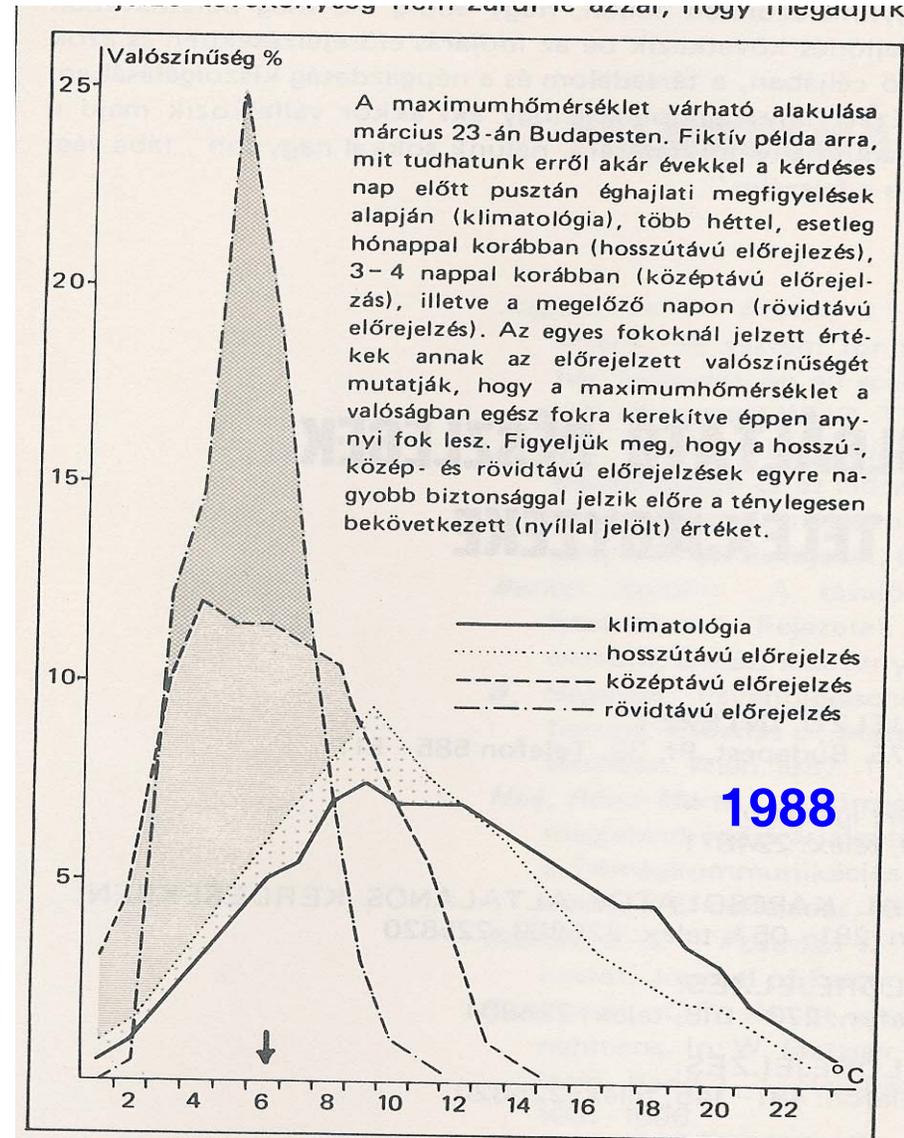
***Scaled breeding***

***Expected Implementation: Second half of 2004***

# PROBABILISTIC FORECASTING

## DREAM OF “SEAMLESS SUITE OF PRODUCTS” FROM HUNGARY, 16 YRS AGO

- NWP is “**bulldozer approach**” to weather forecasting *P. Lynch*
- Probabilistic forecasts can be generated many different ways
  - **Ensemble is “bulldozer approach” to probabilistic forecasting**
- Does ensemble capture (some) case dependent uncertainty?
  - For initial value related uncertainty  
**YES**
  - For model related uncertainty  
**NOT KNOWN YET**

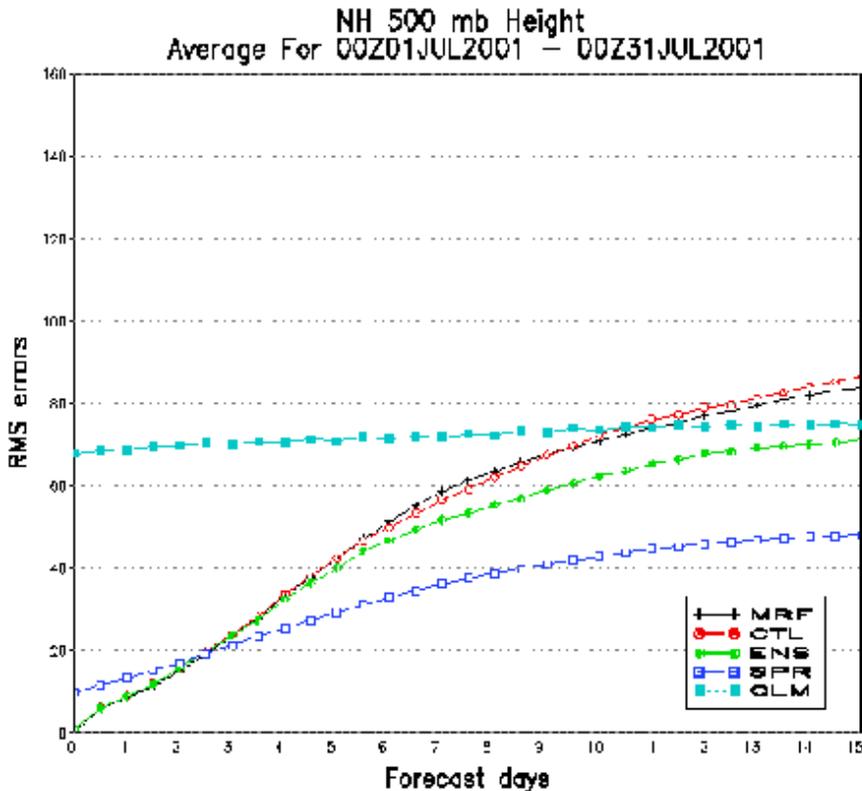


# SOURCES OF FORECAST ERRORS

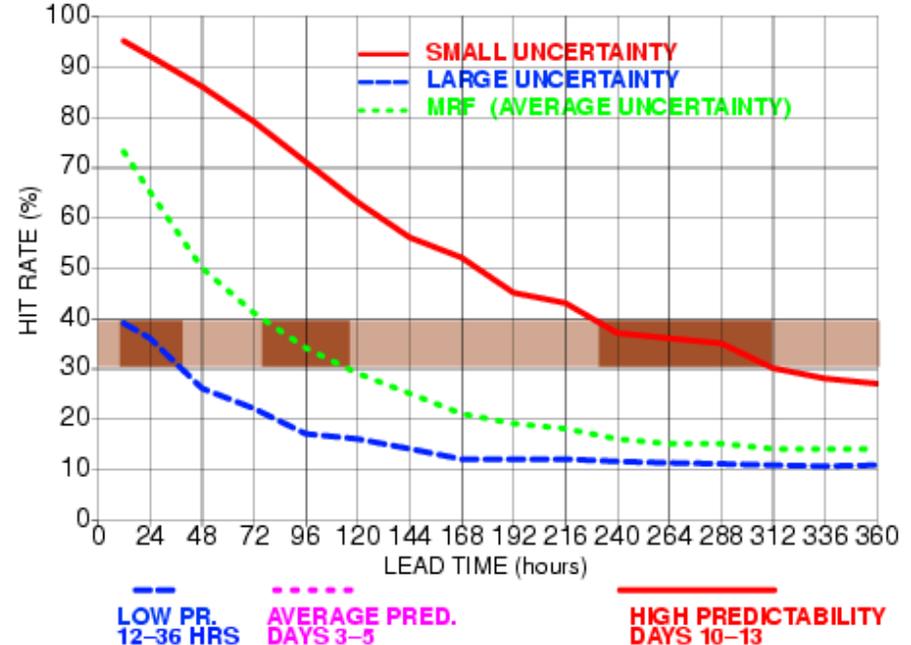
## IMPERFECT KNOWLEDGE OF INITIAL CONDITIONS

### RESULTS

- Flow dependent variations in forecast uncertainty captured
- Forecast for first moment (ensemble mean) improved
- Difficult or impossible to reproduce with statistical methods



### SEPARATING HIGH VS. LOW UNCERTAINTY FCSTS



UNCERTAINTY OF FCSTS CAN BE QUANTIFIED IN ADVANCE

### PROBLEMS

- Perturbation growth lags error growth – ensemble does not capture truth
- Case dependent model failures not indicated by ensemble

**REPRESENTING MODEL RELATED UNCERTAINTY:  
THE SECOND FRONTIER IN ENSEMBLE FORECASTING**

# **SAMPLING FORECAST ERRORS = REPRESENTING ERRORS DUE TO USE OF IMPERFECT MODELS**

## **CURRENT METHODS**

- 1) Change structure of model (eg, use different convective schemes, etc, MSC)  
Model version fixed, whereas model error *varies in time*  
Random/stochastic errors not addressed  
Difficult to maintain
- 2) Add stochastic noise (eg, perturb diabatic forcing, ECMWF)  
Small scales perturbed  
If otherwise same model used, larger scale biases may not be addressed

**Do they work? Advantages of various approaches need to be carefully assessed**

- **Are flow dependent variations in uncertainty captured?**
- **Can statistical post-processing replicate use of various methods?**

## **NEED NEW**

- **MORE COMPREHENSIVE AND**
- **THEORETICALLY APPEALING**

## **APPROACH**

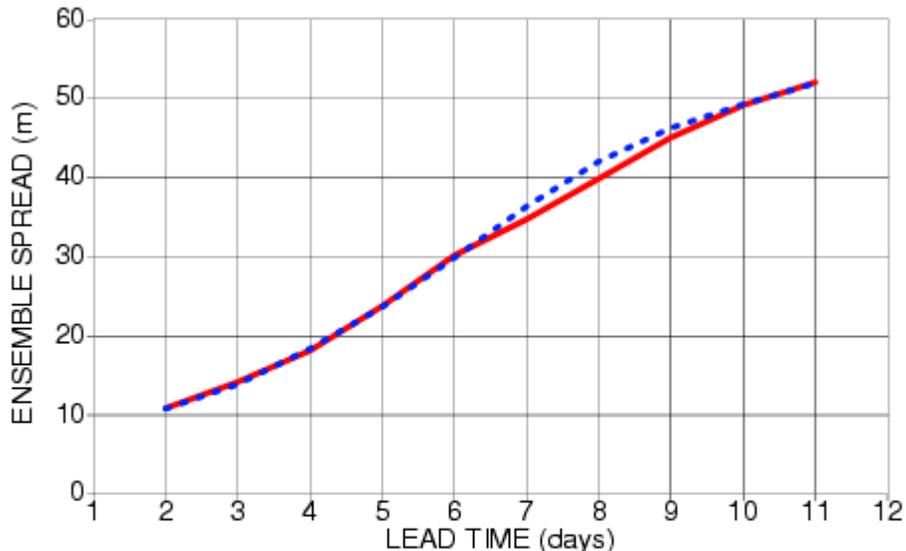
# SAMPLING FORECAST ERRORS = REPRESENTING ERRORS DUE TO USE OF IMPERFECT MODELS - 1

## CURRENT METHODS

### 1) Change structure of model (use different convective schemes, etc, **MSC**)

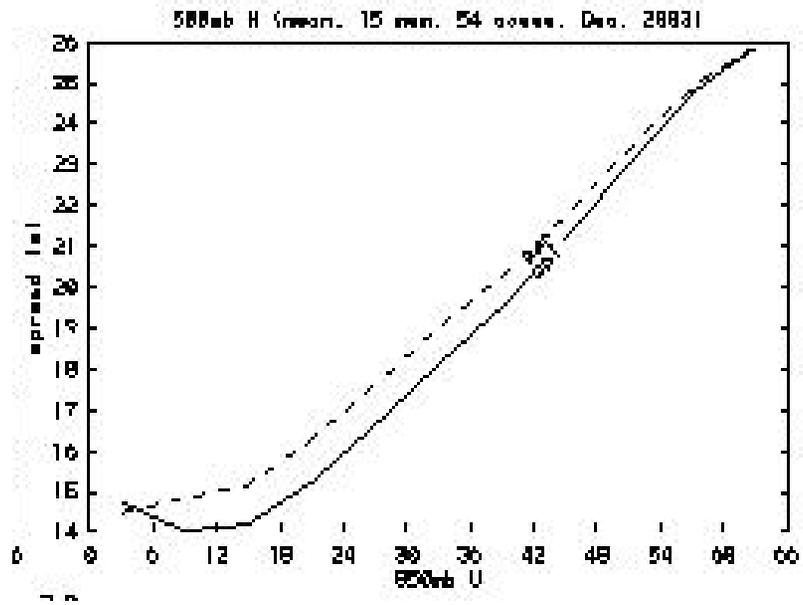
- Perturbation growth not affected?
- Biases of different model versions cancel out in ensemble mean?

IMPACT OF PERTURBING THE MODEL



Spread of 8-member ensemble with (blue dashed line) and without (red continuous line) changing model parameters/physics packages from one ensemble member to the another. 500 hPa geopotential height, forecasts started at 0000 UTC on April 18, 1994. Note that initial perturbations are larger for the changing model ensemble and that the curve for the unchanging model ensemble has been shifted one day to the left, to illustrate that in this ensemble setup the changes in model configuration do not result in larger spread. Data are from Table 4 of Houtekamer et al., 1996.

Spread



Oper: 3 model versions

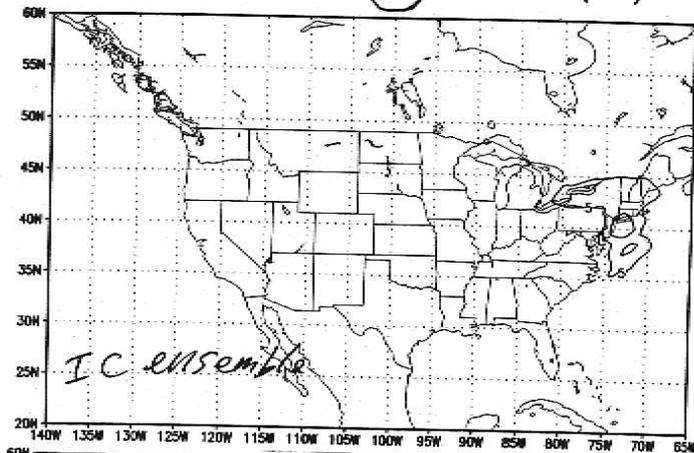
Para: More model diversity

# USING DIFFERENT CONVECTIVE SCHEMES

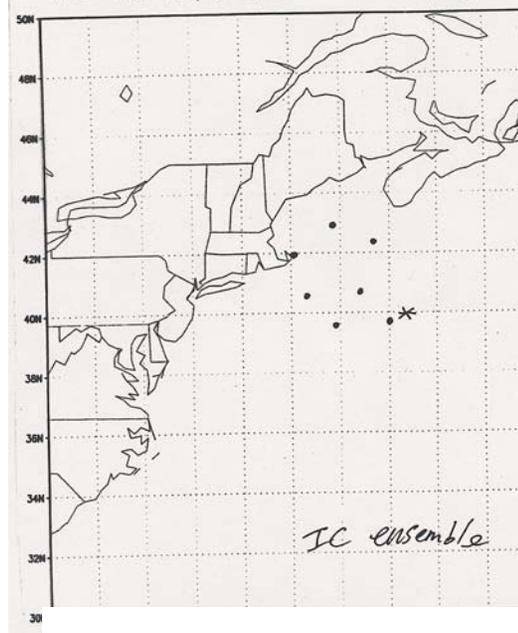
CAN CHANGE PRECIP CHARACTERISTICS

BUT HAS LITTLE OR NO IMPACT ON CIRCULATION FORECASTS

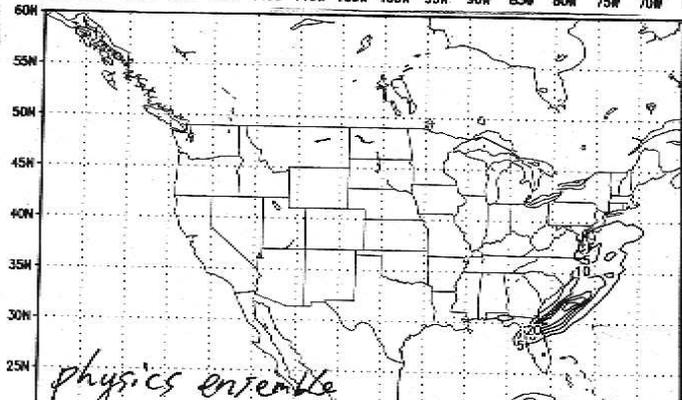
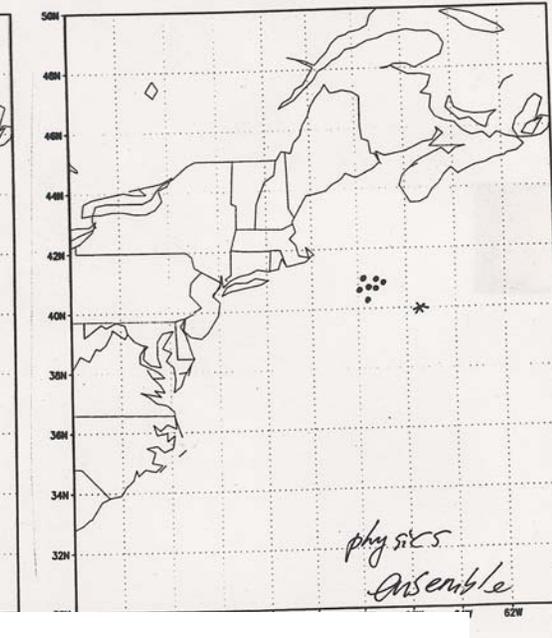
NCEP SREF of ppts0, **F30** fr 04031521(STD)



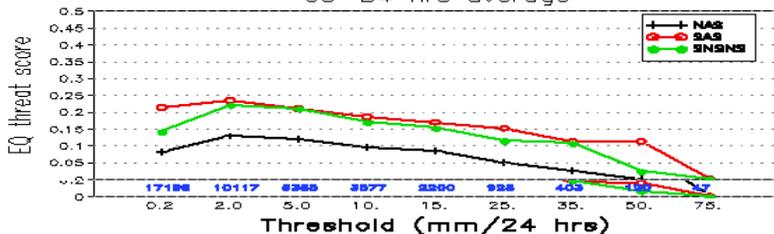
NCEP SREF of pmsl0, F39 fr 04031521(BMJ\_CTL)



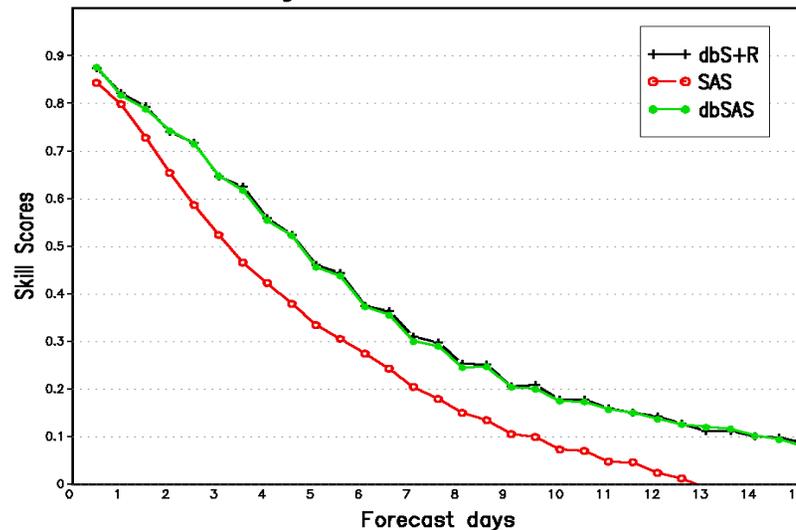
NCEP SREF of pmsl0, F39 fr 04031521(BMJ\_CTL)



North America  
00Z16AUG2002 - 00Z30SEP2002  
60-84 hrs average



Northern Hemisphere 500 mb Height (ROC area)  
Average For 20020901 - 20020930



# SAMPLING FORECAST ERRORS = REPRESENTING ERRORS DUE TO USE OF IMPERFECT MODELS – 2

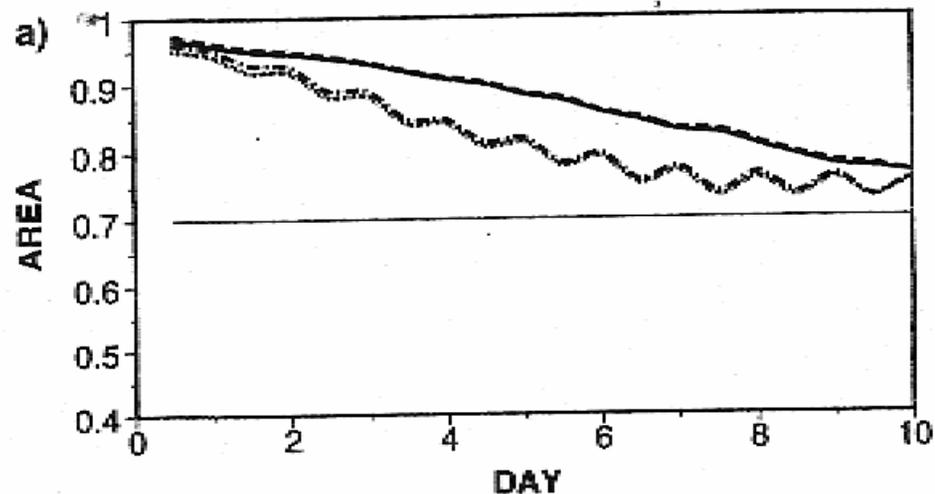
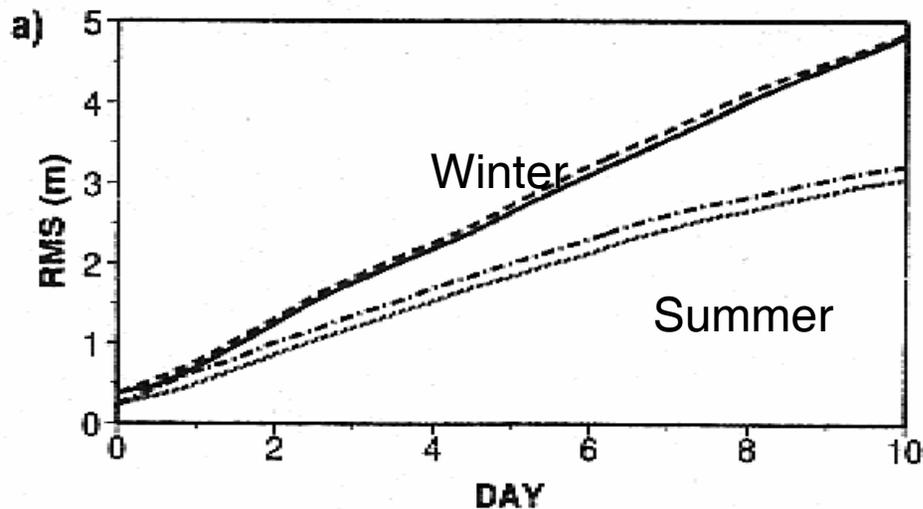
## CURRENT METHODS

- 1) Change structure of model (eg, use different convective schemes, etc, MSC)
- 2) **Add stochastic noise (eg, perturb diabatic forcing, ECMWF)**
  - Modest increase in perturbation growth for tropics
  - Some improvement in ROC skill for precip, for tropics

850 hPa Temp, NH

Spread

ROC Area



Oper vs. Stochastic perturbations

# **SAMPLING FORECAST ERRORS = REPRESENTING ERRORS DUE TO USE OF IMPERFECT MODELS**

## **CURRENT METHODS**

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- **Are flow dependent variations in uncertainty captured?**
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**APPROACH**

# NEW APPROACH TO NWP MODELING – REPRESENTING MODEL RELATED UNCERTAINTY

## MODEL ERRORS ARE DUE TO:

- Truncation in spatial/temporal resolution –
  - Need to represent stochastic effect of unresolved scales
    - Add parameterized random noise
- Truncation in physical processes resolved
  - Need to represent uncertainty due to choice of parameterization schemes
    - Vary parameterization schemes / parameter values

MODEL ERRORS ARE PART OF LIFE, WILL **NEVER** GO AWAY  
IN ENSEMBLE ERA,  
***NWP MODELING PARADIGM NEEDS TO CHANGE***

	<b>OLD</b>	<b>NEW</b>
<i>GOAL</i>	1 <sup>st</sup> Moment	Probability distribution
<i>MEASURE</i>	RMS error	Probabilistic scores
<i>VARIANCE</i>	Ignored / reduced	Emphasized
<i>NWP MODEL</i>	Search for best configuration	Represent uncertainty

# **NEW APPROACH TO NWP MODELING – REPRESENTING MODEL RELATED UNCERTAINTY**

*IT IS NOT ENOUGH TO PROVIDE SINGLE (BEST) MODEL  
FORECAST*

**JOINT EFFORT NEEDED BETWEEN MODELING & ENSEMBLE COMMUNITY**

**FOR OPTIMAL ENSEMBLE PERFORMANCE,  
MODELS NEED TO REALISTICALLY REPRESENT ALL MODEL-RELATED**

*Resolution (time and space truncation)*

*Parameterization-type (unresolved physics)*

**UNCERTAINTY AT THEIR SOURCE -**

Like in case of initial condition-related uncertainty

**FOR MODEL IMPROVEMENTS,**

**ENSEMBLE OFFERS TOOL TO SEPARATE INITIAL & MODEL ERRORS**

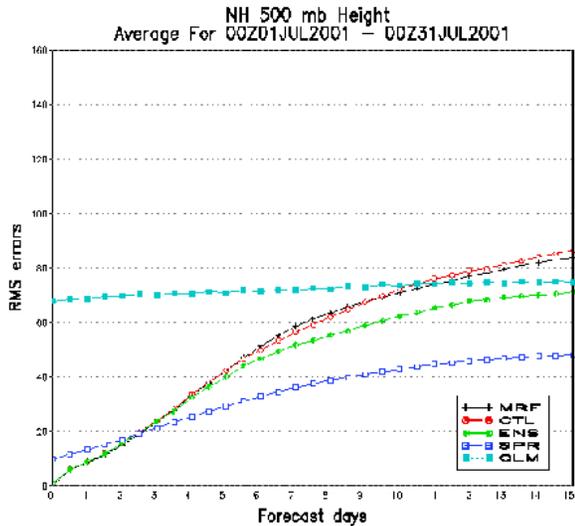
Case dependent errors can potentially be captured and corrected

Only way to systematically evaluate model performance is through ensembles<sup>16</sup>

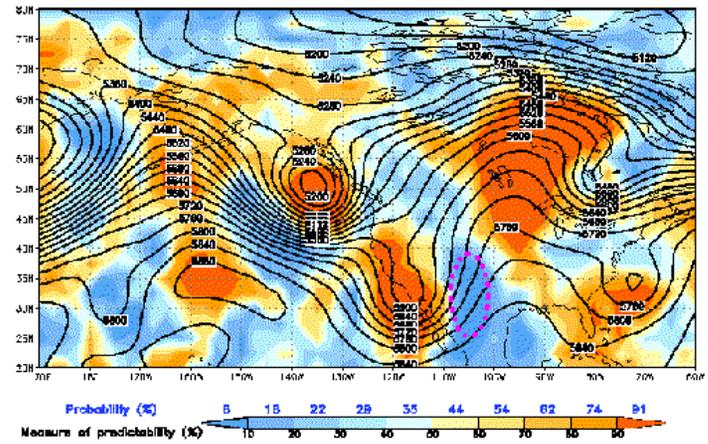
# WILL NEW APPROACH ADD VALUE?

WILL IT ENHANCE RESOLUTION OF PROBABILISTIC FCSTS?

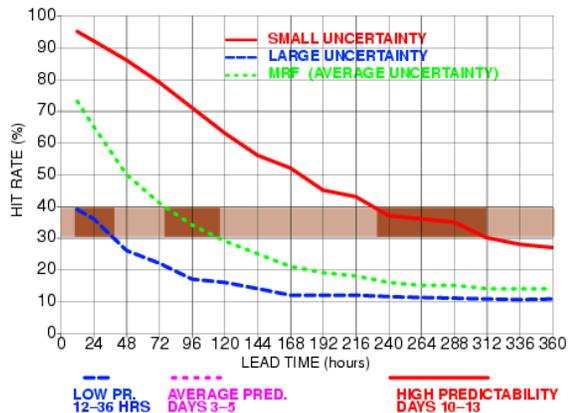
WILL IT GIVE CASE-DEPENDENT ESTIMATES  
(INSTEAD OF AVERAGE STATISTICAL MEASURE) OF  
MODEL-RELATED UNCERTAINTY?



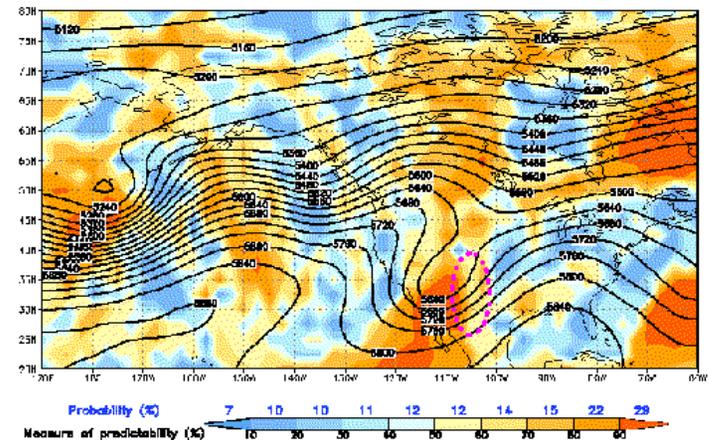
Relative measure of predictability (colors)  
for ensemble mean forecast (contours) of 500 hPa height  
ini: 2000102700 valid: 2000102800 fast: 24 hours



## SEPARATING HIGH VS. LOW UNCERTAINTY FCSTS



Relative measure of predictability (colors)  
for ensemble mean forecast (contours) of 500 hPa height  
ini: 2000102700 valid: 2000104000 fast: 192 hours



UNCERTAINTY OF FCSTS CAN BE QUANTIFIED IN ADVANCE